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# Improvement of GaAs metal-semiconductor field-effect transistor drain-source breakdown voltage by oxide surface passivation grown by atomic layer deposition

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## Abstract

Oxide surface passivation grown by atomic layer deposition (ALD) has been applied to GaAs metal-semiconductor field-effect transistors (MESFETs). The breakdown characteristic of a MESFET is greatly improved by both  $Al_2O_3$  and  $HfO_2$  passivation. Three-terminal transistor breakdown voltage is improved to a maximum level of 20 V with  $Al_2O_3$  passivation from 11 V without any surface passivation. With the removal of native oxide and passivation on GaAs surface at drain-gate (D–G) and source-gate (S–G) spacings, the device breakdown characteristics are significantly improved.

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### 1. Introduction

Passivation of the III–V compound semiconductor surface continues to be one of the most difficult problems. The surface imposes many constraints in the design of all types of III–V compound semiconductor photonic and electronic devices. Unlike the electrically passive Si–SiO<sub>2</sub> interface, III–V compound semiconductor passivation technology is still under active development. In general, two types of passivation technologies, chemical surface treatment [1–4] and dielectric deposition [5–9], are widely used in the III–V compound semiconductor field. For examples, the chemical passivation using sulfidation and/or hydrogenation is often applied to InP-based devices [10–12], and the dielectric passivation is critical to the performance of GaN-based power devices [13,14].

Surface passivation of GaAs metal-semiconductor field-effect transistors (MESFETs) attracts much interest to improve the device performance. For example, surface passivation is of great importance to achieve high power and good stability in GaAs power MESFETs. Besides sulfur passivation, deposition of different dielectrics, e.g., PECVD Si<sub>3</sub>N<sub>4</sub> and SiO<sub>2</sub>, and growth of epitaxial passivation films, e.g., low-temperature-grown GaAs [6] and MBE Ga<sub>2</sub>O<sub>3</sub>(Gd<sub>2</sub>O<sub>3</sub>) [8], have been widely studied recently. The improvement of the device performance, e.g., breakdown voltage, has been widely observed in different material systems [4,9,15,16]. But some device simulations and experiments suggest that the breakdown voltage decreases with surface passivation [17–23]. More studies, especially on different passivation materials and approaches, are called for to clarify these contradictory

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results. Inspired by our recent work on GaAs MOSFETs using atomic layer deposition (ALD) grown oxide as gate dielectric [24,25], we apply ALD oxide layer as a new type of dielectric passivation on GaAs MESFETs. In this paper, we study the breakdown voltage of GaAs MES-FETs with ALD grown Al<sub>2</sub>O<sub>3</sub> and HfO<sub>2</sub> films as passivation layers. 5-10 V improvement of breakdown voltage is widely observed in our passivated devices. The study is applicable to improve the device performance of GaAs power MESFETs. Meanwhile, MESFET is also used as a test vehicle to analyze the effectiveness of different treatments and passivation films on GaAs. It improves our understanding of oxide-semiconductor interface properties.

Al<sub>2</sub>O<sub>3</sub> is a widely applied passivation material with a high bandgap of  $\sim$ 9 eV and a dielectric constant of 8.6– 10. HfO<sub>2</sub> is an intensively studied high-k material with a bandgap of  $\sim 5 \text{ eV}$  and a dielectric constant as high as 30. Both oxides have a high breakdown field (5-10 MV/cm), high thermal stability, and remain amorphous under typical processing conditions. ALD itself is an ex situ, robust manufacturing process which is already commonly used for high-k gate dielectrics in Si CMOS technology [26].

## 2. Experiments

Fig. 1 shows the device structure of the fabricated MESFET with ALD grown dielectric passivation. A



Fig. 1. Schematic view of a GaAs MESFET with ALD-grown oxide as a passivation layer. By measurement, the thin oxide layer covered on the drain, source, gate regions is simply pinched through by probes. Inset: TEM image of Al<sub>2</sub>O<sub>3</sub> passivated GaAs surface.

Table 1					
Device	parameters	of GaA	s MESFETs	on different	treatment

		Breakdown voltage V <sub>br</sub> (V)
#1	200 Å Al <sub>2</sub> O <sub>3</sub> passivation	20
#2	10 min ozone clean and Al <sub>2</sub> O <sub>3</sub> passivation	15
#3	HCI cleaning and 200 Å Al <sub>2</sub> O <sub>3</sub> passivation	13-15
#4	HCI cleaning, 10 min ozone cleaning,	13–15
	and 250 Å Al <sub>2</sub> O <sub>3</sub> passivation	
#5	200 Å HfO <sub>2</sub> passivation	15
#6	HCI cleaning and 200 Å HfO <sub>2</sub> passivation	13–15
#7	HCI cleaning unpassivated	10
#8	No cleaning unpassivated	11

1500 Å undoped GaAs buffer layer and a 700 Å Sidoped GaAs layer  $(4 \times 10^{17}/\text{cm}^3)$  were sequentially grown by MBE on a (100)-oriented semi-insulating 2-in. GaAs substrate. Device isolation was achieved by oxygen implantation. Activation annealing was performed at 450 °C in a helium gas ambient. Ohmic contacts were formed by e-beam deposition of Au/Ge/Au/ Ni/Au and a lift-off process, followed by a 435 °C anneal in a forming gas ambient. Finally, Ti/Au metals were e-beam evaporated, followed by lift-off to form the gate electrodes. The gate length varied from 0.65 to 40 µm, while the exposed source-to-gate (S-G) and the drainto-gate (D–G) spacings were the same ( $\sim 0.75 \,\mu m$ ) at each device. The sheet resistance of the channel and its contact resistance, measured by the transfer length method (TLM) on the same wafer, were 1.3  $k\Omega/\Box$  and  $1.5 \,\Omega$  mm. The whole completed wafer was cleaved into eight pieces to carry out different pre-cleaning and passivation process as listed in Table 1. It includes HCl dip cleaning and/or 10 min ozone plasma cleaning. After pre-cleaning, the samples were transferred immediately to an ASM Pulsar2000<sup>™</sup> ALD module. A 200 or 250 Å thick Al<sub>2</sub>O<sub>3</sub> or HfO<sub>2</sub> oxide layer was deposited at a temperature of 300 °C. The TEM image illustrates the ALD grown oxide having an abrupt interface with the GaAs substrate as shown in the inset of Fig. 1. The oxide thickness between 100 and 300 Å is essential for balance of passivation stability and being easily pinched through by probes during measurement. In practice, if the oxide thickness is above 500 Å, the Al<sub>2</sub>O<sub>3</sub> overlayer can be selectively wet etched by diluted HF solution and HfO<sub>2</sub> overlayer can be dry etched away by BCl<sub>3</sub>.

## 3. Results and discussion

Fig. 2(a) shows the I-V curves of Al<sub>2</sub>O<sub>3</sub> passivated MESFET with the gate length of  $0.75 \,\mu\text{m}$ . The gate voltage is varied from -1.0 V to +0.6 V with 0.2 V step. The fabricated device has a pinch-off voltage of -1.0 V. The maximum drain current density  $I_{dss}$ , measured at



Fig. 2. (a) Drain current vs. drain bias as a function of gate bias of an  $Al_2O_3$  passivated MESFET. (b) Drain current vs. gate bias (solid line) and transconductance vs. gate bias (dashed line) at the saturation region.

 $V_{\rm gs}$  = +0.6 V, is ~140 mA/mm. The knee voltage is  $\sim 0.85$  V at  $V_{gs} = +0.6$  V. Fig. 2(b) illustrates the drain current density as a function of gate bias in the saturation region. The device shows almost linear relation of  $I_{\rm ds}$  vs.  $V_{\rm gs}$  in the wide bias range. The slope of the drain current shows that the maximum transconductance of this type of MESFETs at  $L_g = 0.75 \,\mu\text{m}$  is typically  $\sim$ 120 mS/mm. The general performances of the devices at low  $V_{ds}$  (~3 V) are similar with or without passivation. For example, the variation of  $I_{dss}$  is less than 5%. Since the breakdown characteristics between drain and gate is a prerequisite in developing a GaAs power MESFET, in this paper, we focus on just one device parameter, the off-state three-terminal drain-source breakdown voltage  $V_{\rm br}$ , as a function of different precleanings and passivations to search for the best passivation approach for a MESFET power device. Here,  $V_{\rm br}$ is defined as the value of  $V_{ds}$  for a drain current  $I_{ds}$  of 1 mA/mm at the pinch-off state.

The drain breakdown characteristics of Al<sub>2</sub>O<sub>3</sub> passivated MESFETs as a function of gate bias at fully pinched-off condition are shown in Fig. 3. The curves are taken sequentially from  $V_{gs} = -1$  V to -4 V by set-



Fig. 3. The drain breakdown characteristics of an  $Al_2O_3$  passivated MESFET as a function of gate biases at a fully pinched-off condition.

ting the maximum  $I_{ds} = 0.1$  mA to avoid catastrophic breakdown of the device. At  $V_{gs} = -1$  V (pinch-off voltage),  $I_{ds}$  is lower than 1  $\mu$ A with  $V_{ds} < 5$  V.  $I_{ds}$  dramatically increases once  $V_{ds} > 5$  V. We ascribe this to the source/drain punch-through via the undoped buffer layer (background  $p^-$  doping of  $\sim 10^{15}$ /cm<sup>3</sup> in our samples). Once the gate is further biased as -2 to -3 V to deplete the channel,  $V_{\rm br}$  increases to 16–20 V and the remnant channel current reduces to 10–100 nA at  $V_{ds} < 5$  V. The more negative gate bias also helps to fix the potential at the  $p^-$  buffer layer underneath the gate to prevent the punch-through effect.  $V_{\rm br}$  sets back to ~19 V when  $V_{\rm gs}$  is further negatively biased to -4 V. This is because of the breakdown of the reverse junction near the drain-edge of the Schottky-contact gate. Using this scheme with smaller steps as  $\Delta V_{\rm gs} = 0.5$  V, we can find the gate bias condition for the maximum  $V_{\rm br}$ . Here  $V_{\rm br}$ is not the highest value reported in MESFETs, because no lightly doped drain or field plate design are incorporated here. Note that in general  $V_{\rm br}$  mainly depends on the channel doping and the D-G spacing. The D-G spacing here is only 0.75 µm, much shorter than 2-3 µm, the typical D-G spacing for GaAs power MES-FETs. The temperature dependence measurements show that  $V_{\rm br}$  drop 20% in average with increased temperatures from 25 °C to 150 °C.

Fig. 4 presents the drain breakdown characteristics on three identical MESFETs with  $Al_2O_3$  passivation,  $HfO_2$  passivation and a reference without passivation.  $V_{br}$  is significantly improved from 11 V for MESFET without any treatments and passivations, to ~20 V with  $Al_2O_3$  passivation. The distribution of  $V_{br}$  values over 40 devices of three groups is shown in Fig. 5. The three groups of devices are with  $Al_2O_3$ ,  $HfO_2$  passivations, and without any passivation as a reference. Each group has more than 10 devices. The gate length of all the devices is 0.75 µm. There is no clear evidence that  $V_{br}$  is dependent on the gate length in our experiment. It is consistent with the physical picture that the breakdown of the MESFET is the result of a higher electric field



Fig. 4. The drain breakdown characteristics of  $Al_2O_3$  and  $HfO_2$  passivated MESFETs and a MESFET without any passivation or treatment as a reference at a fully pinched-off condition ( $V_{gs} = -3$  V).



Fig. 5. Average  $V_{\rm br}$  for three different types of MESFETs across a 2-in. wafer.

near the drain-edge of the Schottky-contact gate. The data provide decisive conclusion that Al<sub>2</sub>O<sub>3</sub> is more suitable for MESFET passivation to improve device breakdown performance. MESFET  $V_{\rm br}$  measurements also indicate that Al<sub>2</sub>O<sub>3</sub> on GaAs could form a better passivation layer or interface than HfO<sub>2</sub> on GaAs. The naïve understanding is that Al is III-group element and very chemically active, and can replace any Ga vacancies. For examples, AlAs is lattice-matched to GaAs. Al-GaAs/GaAs heterojunction is the most widely used material combination in III-V compound semiconductors. It could be related with the fact that Al<sub>2</sub>O<sub>3</sub> has superior passivation effect on GaAs than HfO<sub>2</sub>. In fact, this point is also verified by our MOS capacitance measurements, which shows the interface of Al<sub>2</sub>O<sub>3</sub>/GaAs has lower interface trap density than that of HfO<sub>2</sub>/ GaAs.

Table 1 lists the breakdown voltages of MESFETs for various surface pre-clean treatments and passivations. Sample #1, the finished devices with direct  $Al_2O_3$  passivation, shows the best  $V_{br}$  so far. Samples #2-#4, the devices with HCl pre-cleaning and/or ozone plasma cleaning before Al<sub>2</sub>O<sub>3</sub> passivation, show inferior breakdown characteristics compared to Sample #1. The same holds for Samples #5-#6 with HfO<sub>2</sub> passivation showing smaller  $V_{\rm br}$ . But all of devices with passivation in general show higher breakdown voltages than those without any passivations as reference Samples #7-#8. It is well-known that native oxides exist at normal GaAs surface, which result in various recombination centers and a large number of bound surface charge. After ALD passivation, especially in the Al<sub>2</sub>O<sub>3</sub> passivation case, the native oxide and excess As can be removed, resulting in a high quality  $Al_2O_3/$ GaAs interface. The surface studies, i.e., medium energy ion scattering (MEIS), high resolution TEM, and atomic level chemical bond analysis [27] confirm the above point. The above experiments demonstrate that the listed straightforward pre-cleaning processes do not further improve the interface quality. To search for a suitable pre-cleaning process is still an open topic. The improved breakdown performance after passivation can be interpreted by the following possible mechanisms. First, the removal of native oxide by ALD process eliminates the weakest source of surface breakdown, thus could improve the device breakdown performance. Second, it is widely recognized that the highest electric field on GaAs MESFET in the breakdown region is near the drain-edge of the Schottkycontact gate. Device simulations considering passivation materials [15] shows that the field lines in the passivated MESFET are more widely spread over the gate and are less crowded at the edge of the gate compared to the unpassivated MESFET. This is another possible reason that the breakdown voltage of the passivated MESFET is higher. Third, if the surface or interface traps were donor-type, reduced trap density by passivation would lead to more positive charges existing on the surface, thus increased surface potential and increased breakdown voltage. The surface or oxide trapped charges can also produce the effect to defocusing the electrical field near the drain-edge of the gate, which has the same effect as the widely used field plates in power devices. More studies to determine the interface trap properties could clarify the puzzle of these controversial experimental results [4,9,15–23].

### 4. Conclusions

In summary, we have demonstrated that both ALDgrown  $Al_2O_3$  and  $HfO_2$  passivation on the S–G and D–G spacings of MESFETs can significantly improve the breakdown characteristics of the devices. The results demonstrate that  $Al_2O_3$  passivation without precleaning yields the best performance and the  $Al_2O_3/$  GaAs interface is of high quality as passivation. These results are particularly critical for power devices.

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