

# Efficient Decision Procedures for Heaps Using STRAND

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# Decidable logics on Heaps

Decision procedures for heaps is hard:

- Heaps have unboundedly many nodes
- Logics over heaps must have some form of quantification
- It is even harder to reason with data: standard techniques like Nelson-Oppen fail to combine theories of heap structure and data

# Decidable logics on Heaps

## Decision procedures

- Only heap structure: PALE [KS93], TASC [HIV10]
- With data: HAVOC [LQ08], CSL [BDES09] Neither can express binary search trees
- **STRAND** [MPQ-POPL11]
  - Powerful logic combining structure and data
  - Can express properties of trees: BST, etc.
  - Decidable semantic and syntactic fragments

# STRAND Logic [MPQ-POPL11]

We concentrate on the syntactic decidable fragment of STRAND and build better decision procedures.

STRAND logic [MPQ11] is parameterized by

- a class of **recursively defined data-structures**  $R$ , defined using Monadic Second-Order (MSO) relations
- A data-logic  $D$ , with a decidable quantifier-free theory
- Results in this paper hold for arbitrary  $R$  and arbitrary  $D$

In this talk, we fix

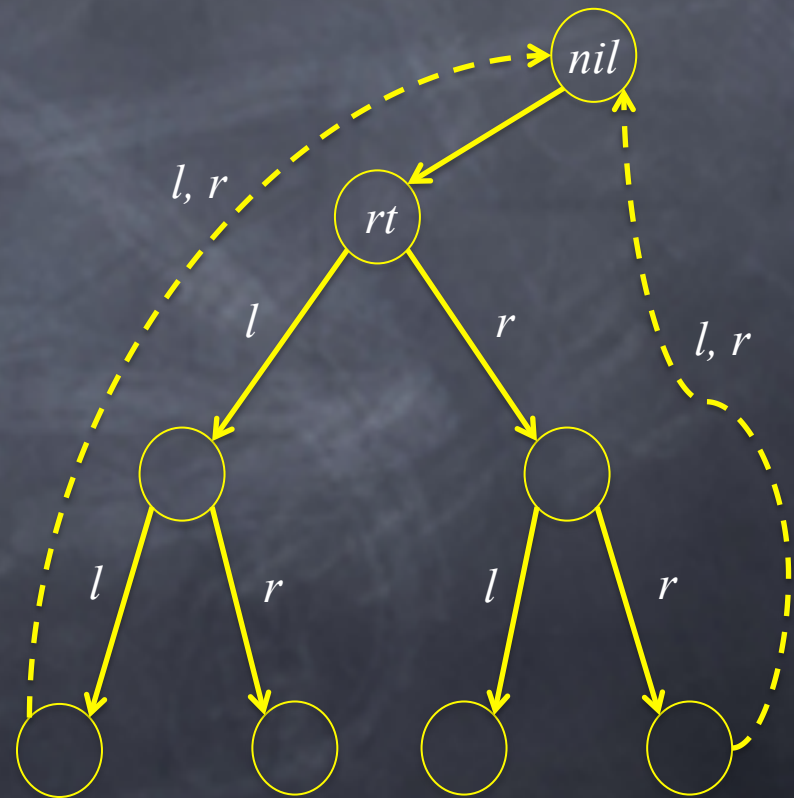
$R$ : class of **binary trees with a unique NIL node**

$D$ : **linear integer arithmetic.**

# Binary Trees with a NIL node

## Elastic and non-elastic relations

- non-elastic relations:  
**left-child/right-child**
- elastic relations:  
**left-desc/right-desc**
- For arbitrary recursively defined data-structure, there is a formal definition of elasticity; also elasticity can be decided.



# Syntax of STRAND

Key restriction: **Non-elastic** relations (left/right-child) can only relate **existentially** quantified variables.

|           |               |  |
|-----------|---------------|--|
| Formula   | $\psi ::=$    | $\exists \vec{x} \forall \vec{y} . \varphi$  |
| QFFormula | $\varphi ::=$ | $e_1 < e_2 \mid e_1 = e_2 \mid \text{root}(v) \mid \text{nil}(v) \mid v = v'$<br>$\mid \text{leftdesc}(v, v') \mid \text{rightdesc}(v, v')$<br>$\mid \text{leftchild}(x, x') \mid \text{rightchild}(x, x')$<br>$\mid \neg \varphi \mid \varphi_1 \wedge \varphi_2 \mid \varphi_1 \vee \varphi_2$ |
| DataExpr  | $e ::=$       | $\text{data}(v) \mid c \mid e_1 + e_2 \mid e_1 - e_2$  |

|                       |                    |                    |                    |
|-----------------------|--------------------|--------------------|--------------------|
| $\exists \text{DVar}$ | $x \in \text{Loc}$ | $\text{DVar}$      | $v ::= x \mid y$   |
| $\forall \text{DVar}$ | $y \in \text{Loc}$ | $\text{DataConst}$ | $c \in \text{Int}$ |

*Example* :  $\forall y. (\neg \text{nil}(y) \rightarrow \text{data}(y) = 1)$



# STRAND for Program Verification

- Expressiveness
  - Can express correctness properties of doubly-linked lists, Cyclic lists, Binary search trees...
- Program verification
  - Hoare-triple Validity w/ pre-cond and post-cond written in universal/existential fragment of STRAND
  - Verification conditions in STRAND
- Decidability of satisfiability
  - Precise, Terminating, Provides counterexamples

# Known Decision Procedure [MPQ11]

$$\psi = \exists \vec{x} \forall \vec{y} . \varphi(\vec{x}, \vec{y})$$

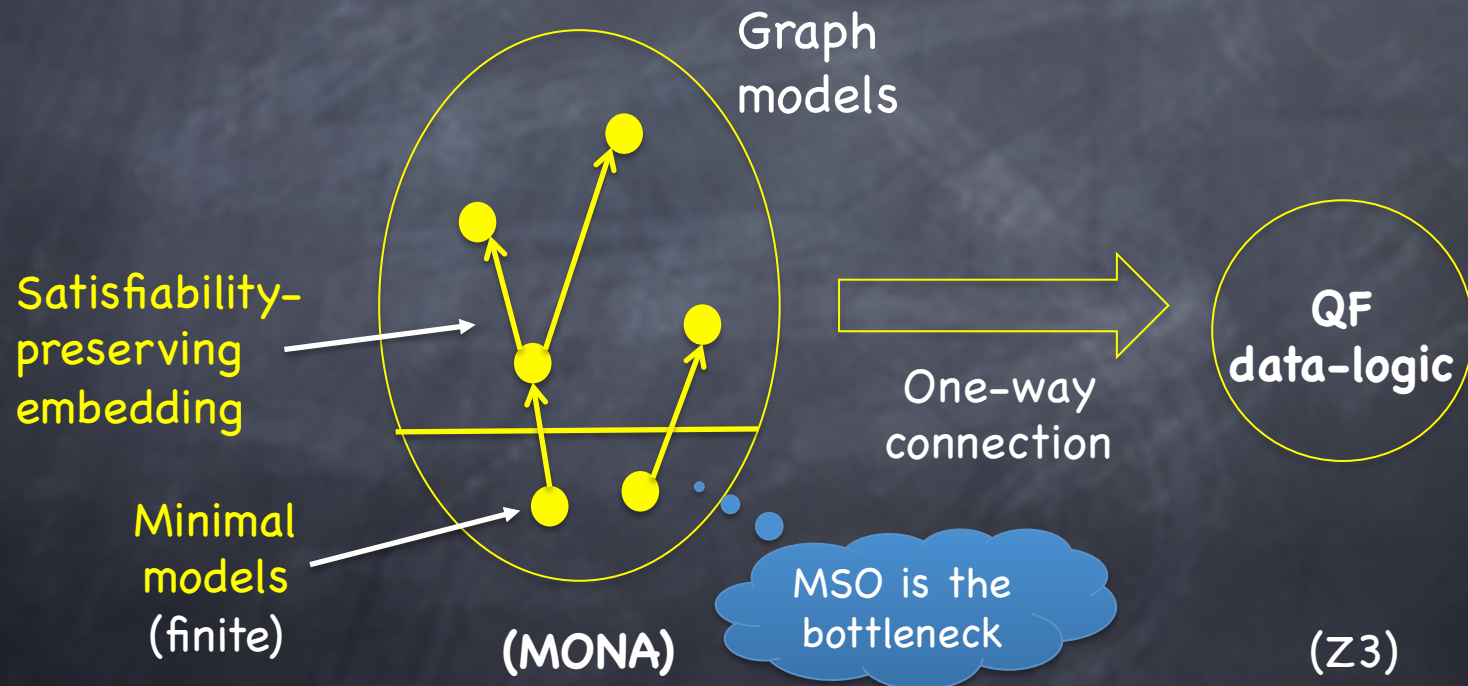
## Key ideas

- A STRAND formula is satisfied iff there is a model of size  $B$ , where  $B$  can be **computed**.
- Bound  $B$  is **non-trivial** to compute; requires solving MSO formulas on trees
- Data-agnostic satisfiability-preserving embedding " $\rightarrow$ ":  
 $\{ M \rightarrow M' \} \text{ then } \{ M' \text{ sat } \psi \Rightarrow M \text{ sat } \psi \}$
- Use pure **MSO over trees** capture the set of minimal models wrt embedding and use it to compute  $B$



# Known Decision Procedure [MPQ11]

Based on the data-agnostic Small Model Property



# Where is the bottleneck?

$$\psi = \exists \vec{x} \forall \vec{y} . \varphi(\vec{x}, \vec{y})$$

$T$  is a Minimal graph model  $\Leftrightarrow$

There is **no** sub-model  $S$  such that  
 $S$  satisfies  $\psi$  **whenever  $T$  does** (data-agnostically!)

There is no  
sub-model  $S$

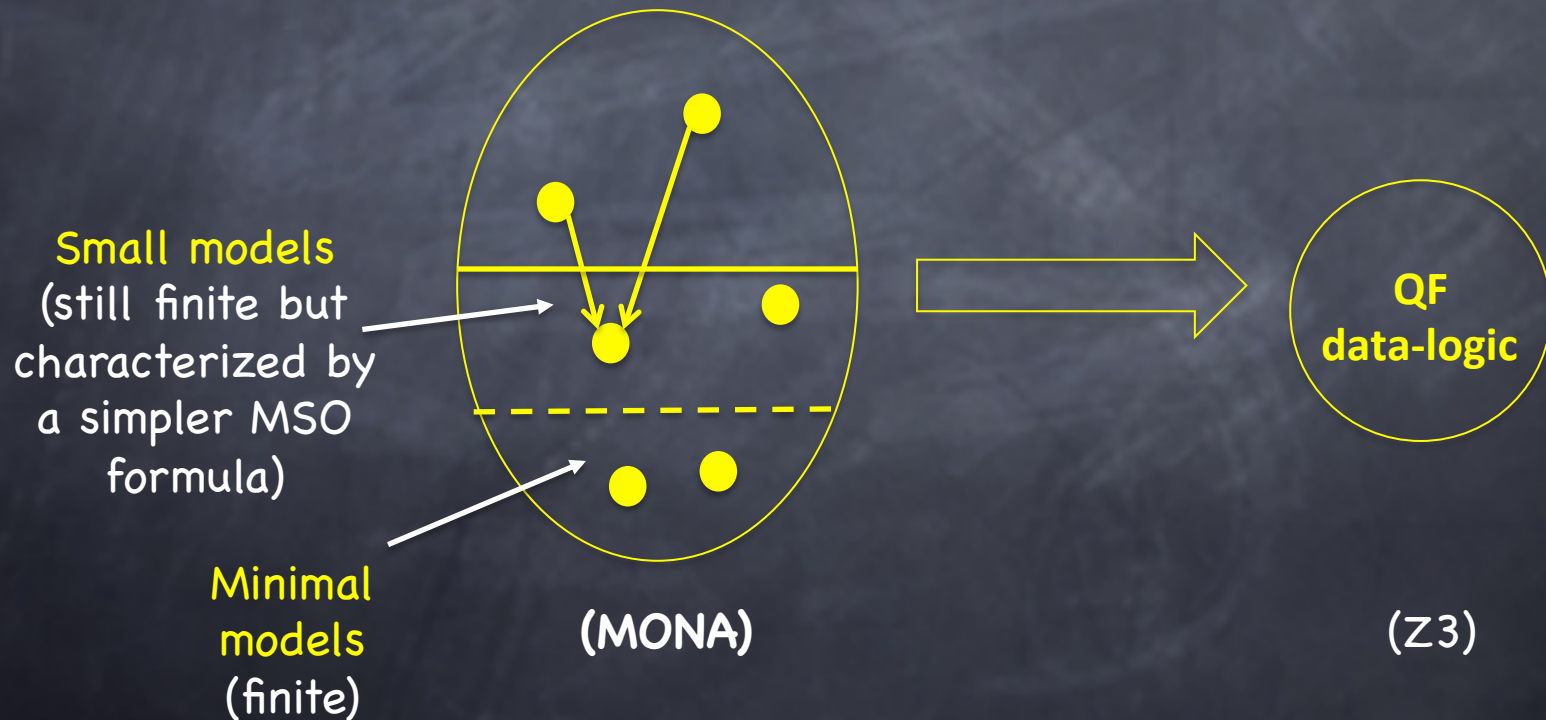
$$MinModel = \neg \exists X. \left( Submodel(X) \wedge \forall \vec{y} \in X \forall \vec{p} \left( \widehat{\varphi}(\vec{y}, \vec{p}) \Rightarrow tailor_X(\widehat{\varphi}(\vec{y}, \vec{p})) \right) \right)$$

$T \text{ sat } \varphi$

$S \text{ sat } \varphi$

# Our Contribution

- **Key idea of this paper:** A new decision procedure that computes a conservative approximation of minimal models using much simpler formulas (**some formulas are solved 1000x faster**)



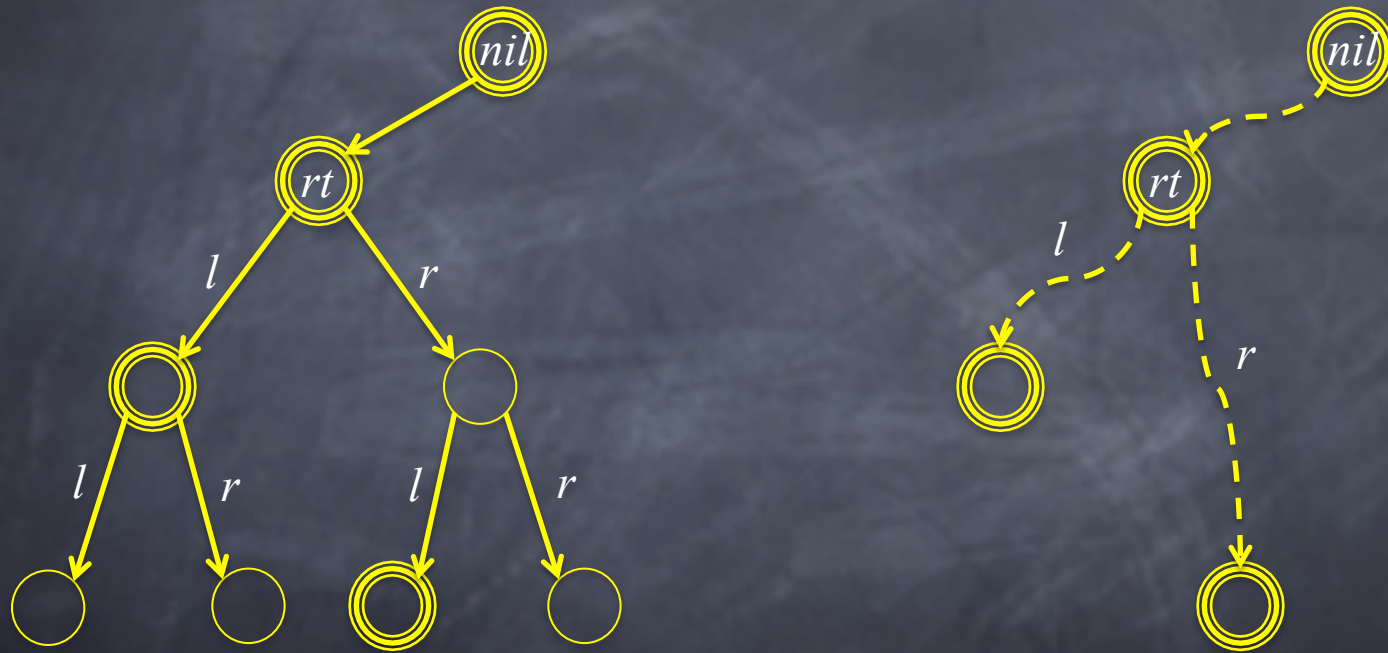
# Outline

- Sub-models
- Elasticity of relations
- New decision procedure
- Comparison with earlier decision procedure

# Sub-models

- The notion of minimal/small models requires the definition of sub-models (sub-trees)
- A sub-tree can be constructed from a **valid subset** of vertices of a tree
  - Unique closest left/right descendent
  - Vertex labels are preserved

# Sub-models: Example

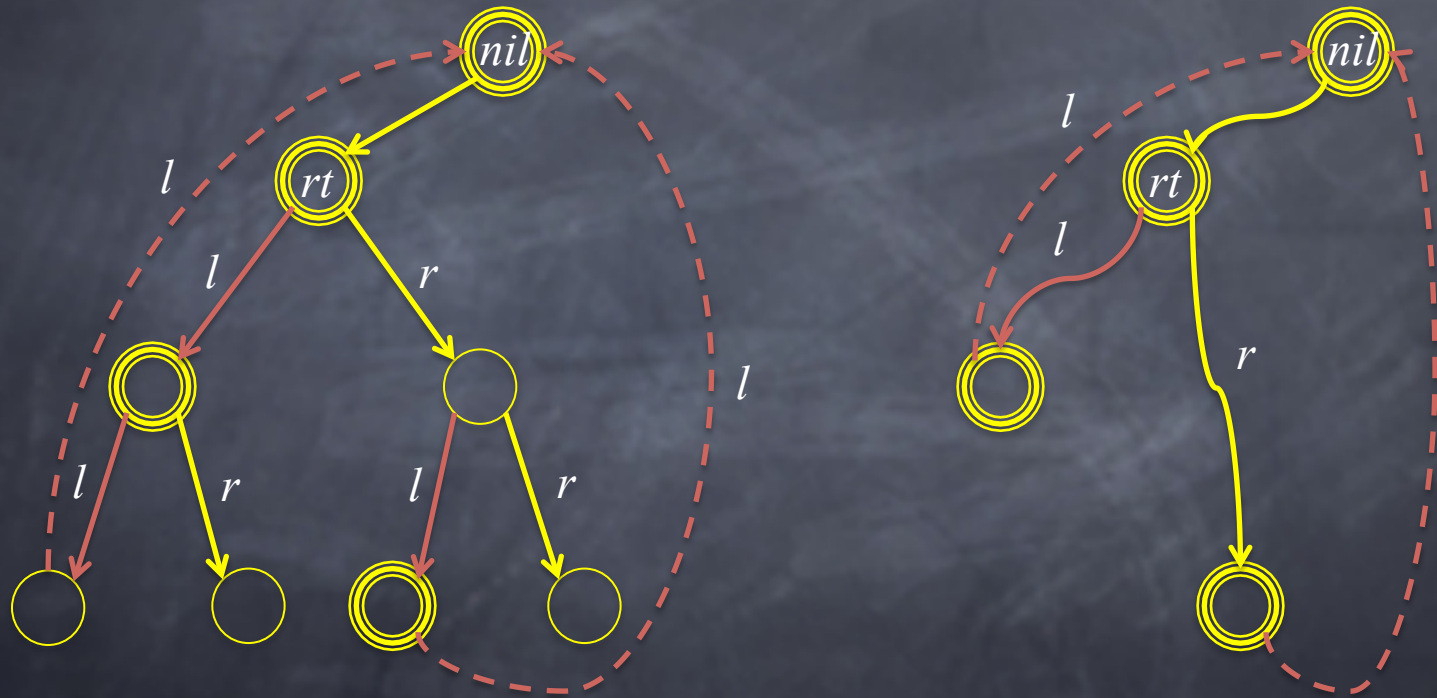




# Outline

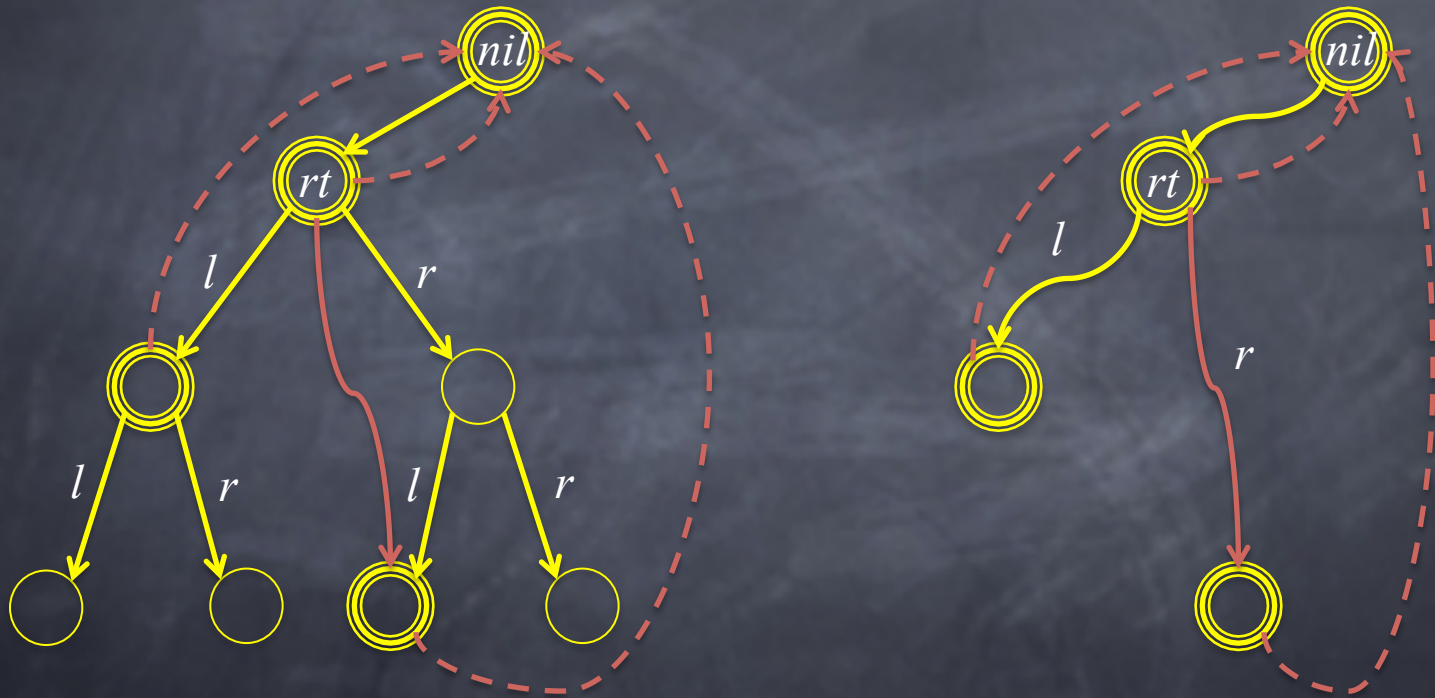
- Sub-models
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# Non-elastic Relation: *left-child*



Not always preserved in sub-models

# Elastic Relation: *right-desc*



Always preserved in sub-models

# Outline

- Sub-models
- Elasticity of relations
- **New decision procedure**
- Comparison with earlier decision procedure

# Small Models

$$\psi = \exists \vec{x} \forall \vec{y} . \varphi(\vec{x}, \vec{y})$$

A **small model**  $T$  does not contain a sub-model evaluating  $\psi$  **in the same way**.

$T$  is a small model  $\Leftrightarrow$

There is **no** sub-model  $S$  such that  
for **every non-elastic relation** possibly appearing in  $\varphi$ , it  
holds in  $T$  iff it holds in  $S$ .

(Elastic relations are always preserved.)

# Represent Small Models in MSO

There is no  
sub-model  $S$

$$\text{SmallModel}(\vec{x}) \equiv \neg \exists X. \left( \text{Submodel}(X) \wedge \bigwedge_{x \in \vec{x}} (x \in X) \wedge \bigwedge_{r \in NE, x, x' \in \vec{x}} \left( r(x, x') \Leftrightarrow \text{tailor}_X(r(x, x')) \right) \right)$$

For every non-  
elastic relation,  
every possible  
pairs of variables

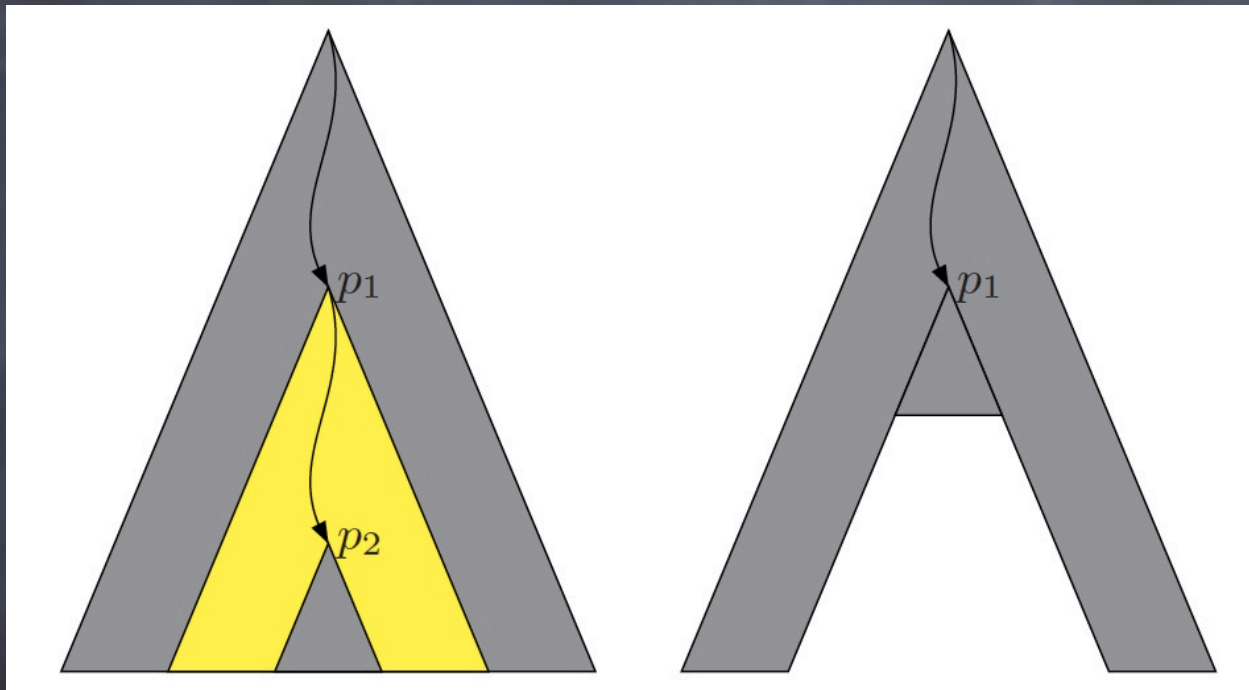
$T \text{ sat } r \text{ iff}$   
 $S \text{ sat } r$



# Two Main Technical Results

- Finiteness
  - For any recursively defined data-structure and any set of existential variables, the number of small models is **finite**.
- Proof Sketch
  - Let  $T$  be a model of  $\psi$
  - Using the classic logic-automata connection, construct a deterministic bottom-up tree automaton for every non-elastic relation  $r$ ; it exactly accepts/rejects  $r(x, x')$  iff  $T$  does/doesn't satisfy  $r(x, x')$
  - The product of these automata accepts  $T$
  - If  $T$  is large enough, we can **pump it down**

# Two Main Technical Results



$T$  with the valid subset  $X$   
(shaded dark)

*A smaller model  
constructed by  $X$*

# Two Main Technical Results

- Equisatisfiability
  - If a STRAND formula  $\psi$  is satisfiable, it is satisfied by a small model.
- Proof Sketch
  - Let  $T$  be the model of  $\psi$  with least number of nodes, then  $T$  is a small model.
  - Otherwise a sub-model of  $T$  also satisfies  $\psi$  (by induction on the structure of  $\psi$ ).

# New Decision Procedure

Is  $\psi$  satisfiable?

1. Compute a tree automaton accepting the set of all small models. (MONA)
2. Compute the maximum height  $h$  of the small models.
3. Query the data-solver as to whether there is a model of height up to  $h$  **with data** that satisfies  $\psi$ . (Z3)

# Outline

- Sub-models
- Elasticity of relations
- New decision procedure
- Comparison with earlier decision procedure

# Theoretical Comparison

$$MinModel = \neg \exists X. \left( Submodel(X) \wedge \forall \vec{y} \in X \forall \vec{p} \left( \hat{\varphi}(\vec{y}, \vec{p}) \Rightarrow tailor_X(\hat{\varphi}(\vec{y}, \vec{p})) \right) \right)$$

$$SmallModel(\vec{x}) \equiv \neg \exists X. \left( Submodel(X) \wedge \bigwedge_{x \in \vec{x}} (x \in X) \wedge \bigwedge_{r \in NE, x, x' \in \vec{x}} \left( r(x, x') \Leftrightarrow tailor_X(r(x, x')) \right) \right)$$



| Program                  | Verification condition | Minimal Model |          | Small Model   |          | Data Constraint Solving<br>Old/New Time (s) |
|--------------------------|------------------------|---------------|----------|---------------|----------|---|
|                          |                        | Max. BDD Size | Time (s) | Max. BDD Size | Time (s) |   |
| Sorted-list-search       | before-loop            | 10009         | 0.34     | 540           | 0.01     |   |
|                          | in-loop                | 17803         | 0.59     | 12291         | 0.14     | -   |
|                          | after-loop             | 3787          | 0.18     | 540           | 0.01     | -   |
| Sorted-list-insert       | before-head            | 59020         | 1.66     | 242           | 0.01     | 0.02/0.02                                   |
|                          | before-loop            | 15286         | 0.38     | 595           | 0.01     | -   |
|                          | in-loop                | 135904        | 4.46     | 3003          | 0.03     | -   |
|                          | after-loop             | 475972        | 13.93    | 1250          | 0.01     | 0.02/0.03                                   |
| Sorted-list-insert-error | before-loop            | 14464         | 0.34     | 595           | 0.01     | 0.02/0.02                                   |
| Sorted-list-reverse      | before-loop            | 2717          | 0.24     | 1155          | 0.01     | -   |
|                          | in-loop                | 89342         | 2.79     | 12291         | 0.14     | -   |
|                          | after-loop             | 3135          | 0.35     | 1155          | 0.01     | -   |
| bubblesort               | loop-if-if             | 179488        | 7.70     | 73771         | 1.31     | -   |
|                          | loop-if-else           | 155480        | 6.83     | 34317         | 0.48     | -   |
|                          | loop-else              | 95181         | 2.73     | 7017          | 0.07     | 0.02/0.04                                   |
| bst-search               | before-loop            | 9023          | 5.03     | 1262          | 0.31     | -   |
|                          | in-loop                | 26163         | 32.80    | 3594          | 2.43     | 0.02/0.11                                   |
|                          | after-loop             | 6066          | 3.27     | 1262          | 0.34     | -   |
| bst-insert               | before-loop            | 3485          | 1.34     | 1262          | 0.34     | -   |
|                          | in-loop                | 17234         | 8.84     | 1908          | 1.38     | -   |
|                          | after-loop             | 2336          | 1.76     | 1807          | 0.46     | -   |
| left-rotate              | bst-preserving         | 1086          | 1.59     | 1510          | 0.48     | 0.05/0.14                                   |
| Total                    |                        |               | 98.15    |               | 7.99     | 0.15/0.36                                   |

<http://www.cs.uiuc.edu/~qiu2/strand/>

# Pre-compute the bound

- Given a recursively defined data-structure, the bound for small models **is only determined** by the number of existentially quantified variables.
- Fix a recursively defined data-structure, a lookup table can be **pre-computed** for up to 5/10 variables and be reused everywhere!
- We can even establish these bounds **analytically**, and the structural phase can be **completely** avoided!
- **Example:** In the binary tree example, for  $n$  variables, a small model is of height up to  $2n$ .

# Conclusion

- The earlier decision procedure for syntactic decidable fragment of STRAND computes minimal structural models in a **completely data-logic agnostic** manner.
- Our new decision procedure gives a way of computing small structural models that is **even agnostic** to the STRAND formula.
- Much **simpler** in theory, and much **faster** in practice.
- Thank you for your attention!