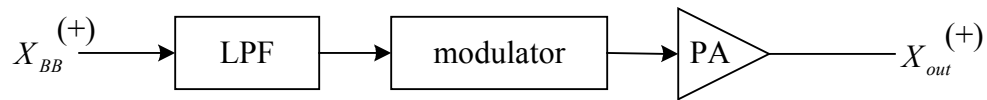


## Nonlinearity and Distortion

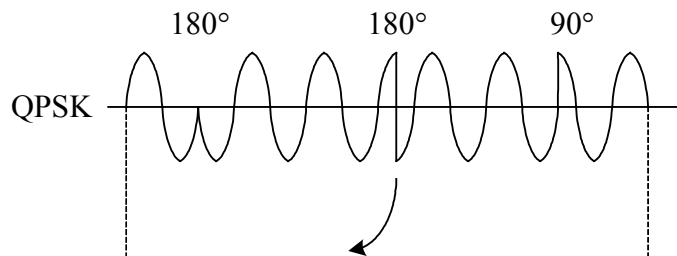
Let's consider two examples

### Example 1

you have a QPSK transmitter



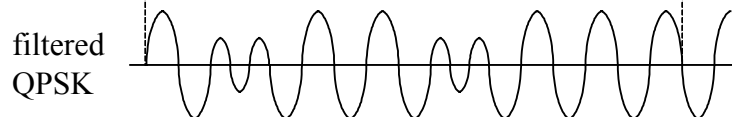
If you do not filter your QPSK this is what you have



but to send this out you need an infinite bandwidth

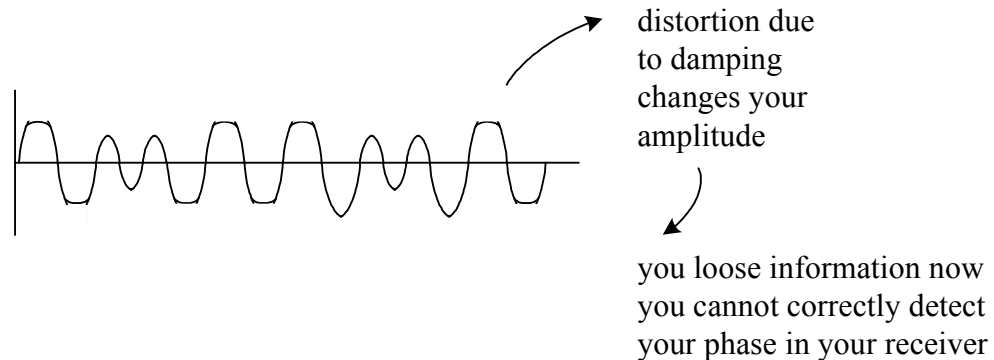
→ you do not have infinite bandwidth

So you have to filter your signal such that it only occupies one channel if you filter your QPSK with a LPF this is what you get



because of limited bandwidth your phase information turns into amplitude information

Now if your PA clamps the peak of your filtered QPSK  
you lose your information

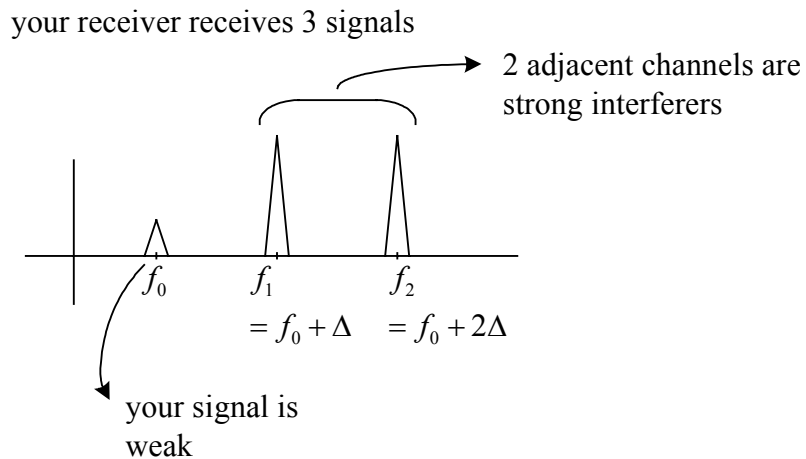


→ QPSK is very sensitive to amp linearity  
FSK or FM is not sensitive to amp linearity  
since you do not have instant phase variation

→ Choice of your modulation depends on  
how linear your amplifier can be

QPSK needs very linear amplifier	→	usually class A not very efficient
FSK, FM not need linear amplifier	→	you can use class B, C, ... amplifier with much higher efficiency

## Example 2

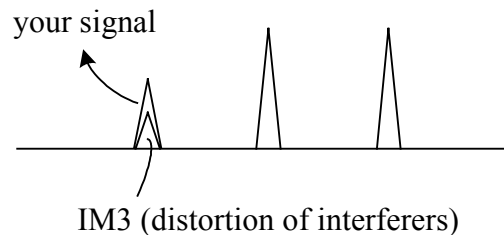


in your LNA you have nonlinearity so your strong interferers mix with each other due to LNA nonlinearity

This mixing generates frequencies such as

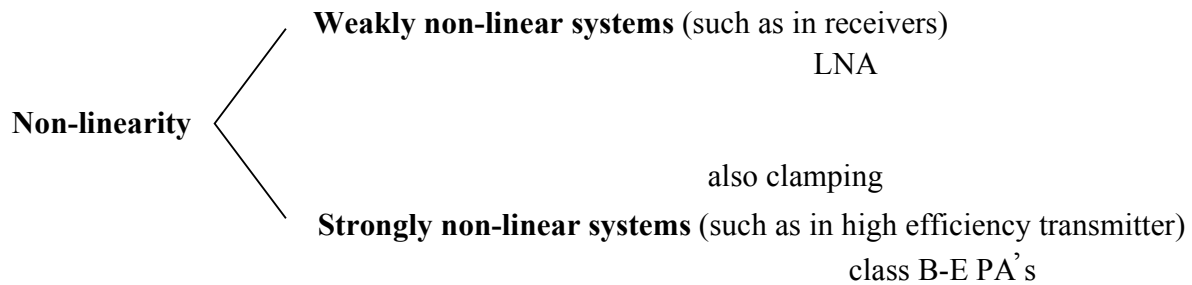
$$2f_1 - f_2 = 2(f_0 + \Delta) - (f_0 + 2\Delta) = f_0$$

This is what you get at the output of LNA

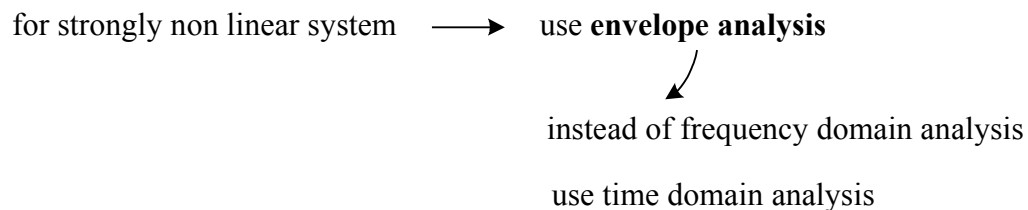
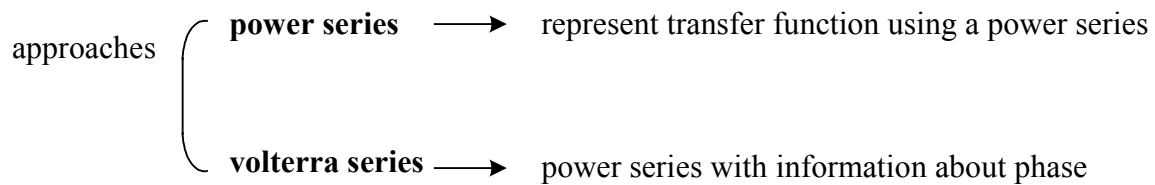


This distortion makes your reception difficult

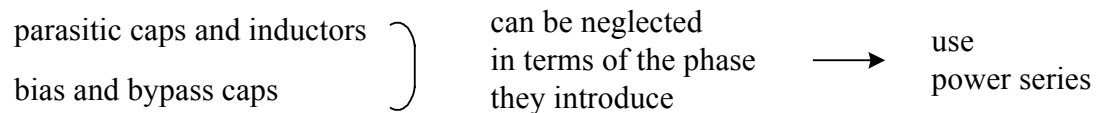
→ we have to understand distortion and nonlinearity



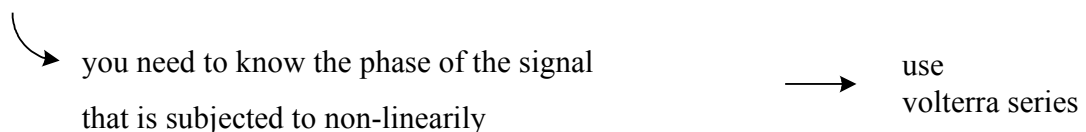
to deal with weakly non-linear system there are two

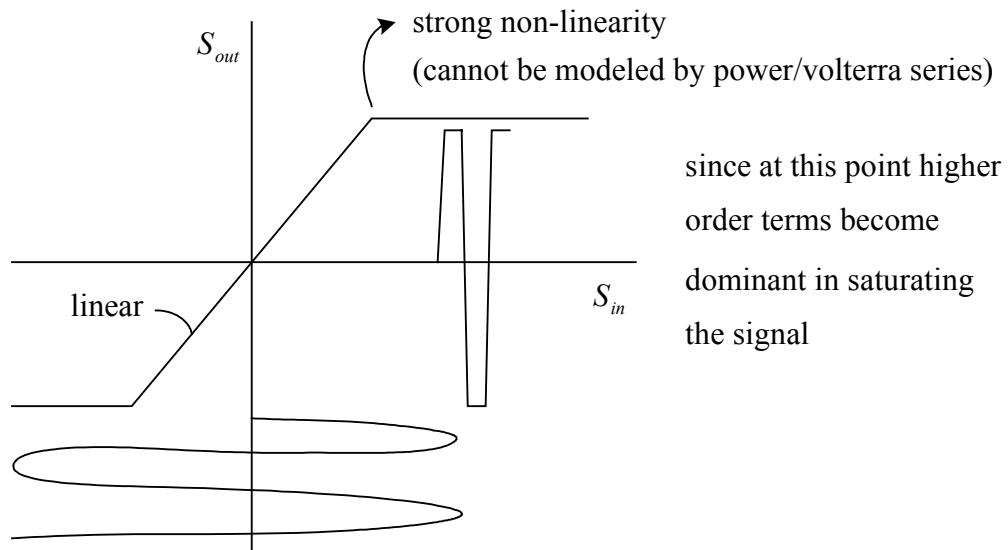


\* At midband frequency

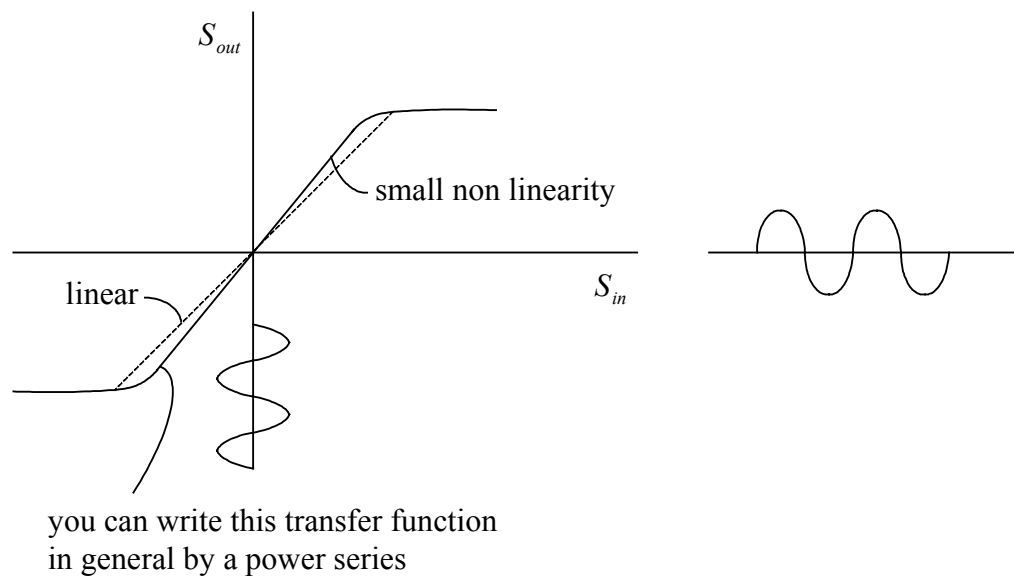


\* At low or high frequencies





\* most often this is what you have



$$S_{out} = a_1 S_{in} + a_2 S_{in}^2 + a_3 S_{in}^3 + \dots$$

where  $a_1, a_2, a_3, \dots$  are constants

- \* they usually vary with signal amplitude
- \* they vary with the choice of bias
- \* they vary with frequency
- \* they vary with input/output matching

let's assume : they are constant (ignore variation with signal amplitude)  
(fixed bias, small frequency range, fixed matching)

now apply an input function  $S_{in} = f(\omega t)$

$$S_{out} = a_1 f(\omega t) + a_2 f^2(\omega t) + a_3 f^3(\omega t) + \dots$$

$$\text{let } f(\omega t) = m_1 \cos \omega_1 t + m_2 \cos \omega_2 t \quad \omega_1 \sim \omega_2$$

$$\begin{aligned} S_{out} &= a_1 (m_1 \cos \omega_1 t + m_2 \cos \omega_2 t) + a_2 (m_1 \cos \omega_1 t + m_2 \cos \omega_2 t)^2 \\ &\quad + a_3 (m_1 \cos \omega_1 t + m_2 \cos \omega_2 t)^3 + \dots \end{aligned}$$

use trigonometric  
expansions

$$\begin{aligned} S_{out} &= a_1 (m_1 \cos \omega_1 t + m_2 \cos \omega_2 t) \\ &\quad + a_2 \left( m_1^2 \frac{1 + \cos 2\omega_1 t}{2} + m_2^2 \frac{1 + \cos 2\omega_2 t}{2} + m_1 m_2 \frac{\cos(\omega_1 + \omega_2)t + \cos(\omega_1 - \omega_2)t}{2} \right) \\ &\quad + a_3 \left\{ \left( m_1^3 \left( \frac{\cos \omega_1 t}{2} + \frac{\cos \omega_2 t}{4} + \dots \right) \right) \right\} \end{aligned}$$

$$\begin{aligned}
 S_{out} = a_2 \left( \frac{m_1^2 + m_2^2}{2} \right) & \quad \left. \vphantom{\frac{m_1^2 + m_2^2}{2}} \right) dc \\
 & + a_1 (m_1 \cos \omega_1 t + m_2 \cos \omega_2 t) \\
 & + a_3 \left( \frac{3}{4} m_1^3 \cos \omega_1 t + \frac{3}{4} m_2^3 \cos \omega_2 t + \frac{3}{2} m_1 m_2^2 \cos \omega_1 t + \frac{3}{2} m_1^2 m_2 \cos \omega_2 t \right) & \left. \vphantom{\frac{3}{4} m_1^3 \cos \omega_1 t} \right) \omega_1, \omega_2 \\
 & + a_2 \left( \frac{m_1^2}{2} \cos 2\omega_1 t + \frac{m_2^2}{2} \cos 2\omega_2 t \right) & \left. \vphantom{\frac{m_1^2}{2} \cos 2\omega_1 t} \right) 2\omega_1, 2\omega_2 \\
 & + a_2 \left( \frac{m_1 m_2}{2} \cos(\omega_1 + \omega_2) t + \frac{m_1 m_2}{2} \cos(\omega_1 - \omega_2) t \right) & \left. \vphantom{\frac{m_1 m_2}{2} \cos(\omega_1 + \omega_2) t} \right) \begin{matrix} \omega_1 + \omega_2 \\ \omega_1 - \omega_2 \end{matrix} \\
 & + a_3 \left( \frac{3}{4} m_1^2 m_2 \cos(2\omega_1 - \omega_2) t + \dots \right) & \left. \vphantom{\frac{3}{4} m_1^2 m_2 \cos(2\omega_1 - \omega_2) t} \right) \begin{matrix} 2\omega_1 - \omega_2 \\ 2\omega_2 - \omega_1 \\ 2\omega_1 + \omega_2 \\ 2\omega_2 + \omega_1 \end{matrix} \\
 & + a_3 \left( \frac{1}{4} m_1^3 \cos(3\omega_1) t + \dots \right) & \left. \vphantom{\frac{1}{4} m_1^3 \cos(3\omega_1) t} \right) \begin{matrix} 3\omega_1 \\ 3\omega_2 \end{matrix}
 \end{aligned}$$

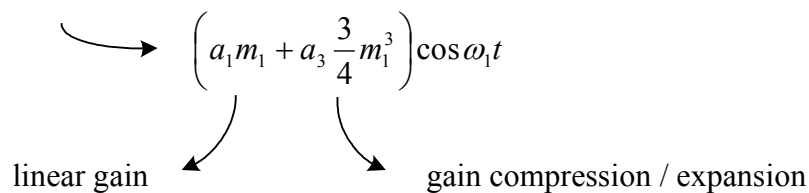
**Let's look at the important coefficient**

- ① *coefficient of  $\cos \omega_1 t \Rightarrow$*   $\left[ a_1 m_1 + a_3 \left( \frac{3}{4} m_1^3 + \frac{3}{2} m_1 m_2^2 \right) \right] \cos \omega_1 t$
- ② *coefficient of  $\cos(\omega_1 - \omega_2) \Rightarrow$*   $\left[ a_2 \left( \frac{m_1 m_2}{2} \right) \right] \cos(\omega_1 - \omega_2) t$
- ③ *coefficient of  $\cos 2\omega_1 t \Rightarrow$*   $\left[ a_2 \frac{m_1^2}{2} \right] \cos 2\omega_1 t$
- ④ *coefficient of  $\cos(2\omega_1 - \omega_2) t \Rightarrow$*   $\left[ a_3 \frac{3}{4} m_1^2 m_2 \right] \cos(2\omega_1 - \omega_2) t$
- ⑤ *coefficient of  $\cos 3\omega_1 t \Rightarrow$*   $\left[ a_3 \frac{1}{4} m_1^3 \right] \cos 3\omega_1 t$

**First non-linearity**

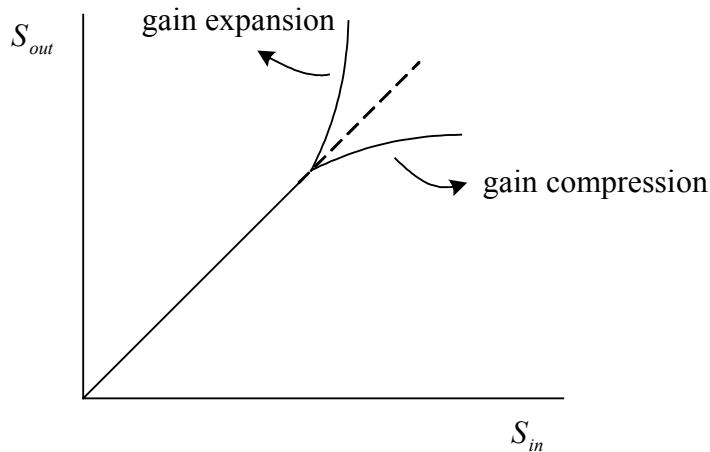
① **Gain compression**

for only one tone :  $f(\omega t) = m_1 \cos \omega_1 t$





as signal becomes stronger



usually we observe gain compression that means  $a_3$  is usually negative

## ② **IM2**

$$a_2 \frac{m_1 m_2}{2} \cos(\omega_1 - \omega_2) + a_2 \frac{m_1 m_2}{2} \cos(\omega_2 - \omega_1) t = a_2 m_1^2 \cos(\omega_1 - \omega_2) t \quad \text{good mixer}$$

$$m_1 = m_2$$

assume  $m_1 = m_2$

$$\longrightarrow IM2 = \frac{\text{amplitude of 2nd order}}{\text{amplitude of fundamental}} = \frac{a_2}{a_1} m_1$$

mixing comes from 2nd order (4th order inter modulation etc) terms (IM2)

→ good news for MOSFETs since their input/output relationship is of 2nd order nature

$$\longrightarrow i_d = \text{const } V_{gs}^2$$

3rd order term also exists in MOSFETs due to two mechanisms

strong non-linearly gain clamping + body effect → weakly non-linear effect

③ **HD2**

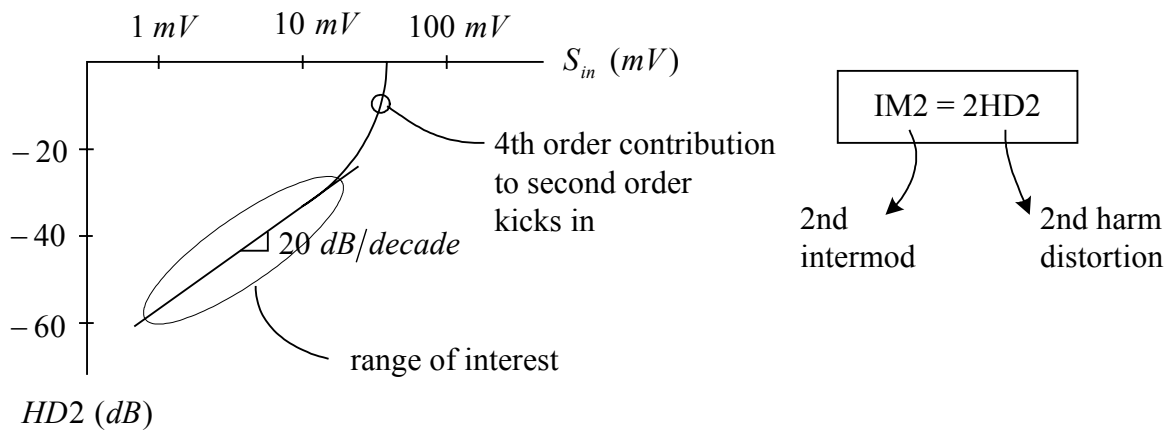
$$a_2 \frac{m_1^2}{2} \cos 2\omega_1 t \longrightarrow \text{2nd harmonic}$$

Define : 2nd harmonic distortion factor HD2

$$HD2 = \frac{\text{second harmonic amplitude}}{\text{fundamental amplitude}} = \frac{\frac{a_2}{2} m_1^2}{a_1 m_1}$$

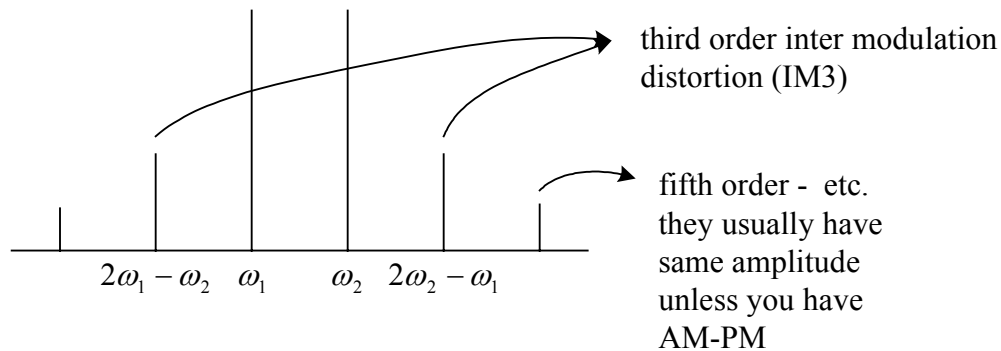
assuming gain  
compression has  
not occurred yet

$$HD2 = \frac{1}{2} \frac{a_2}{a_1} m_1$$



④ **IM3**      assume       $m_1 = m_2$

$$a_3 \frac{3}{4} m_1^3 \cos(2\omega_1 - \omega_2)t = a_3 \frac{3}{4} m_1^3 \cos(2\omega_2 - \omega_1)t$$



IM3 = third order inter modulation

$$m_1 = m_2 \longrightarrow IM3 = \frac{\text{amplitude of third order inter mod}}{\text{amplitude of fundamental}}$$

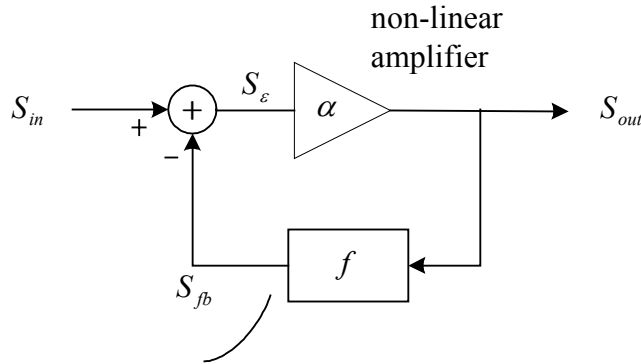
$$IM3 = \frac{a_3 \frac{3}{4} m_1^3}{a_1 m_1} = \frac{3}{4} \frac{a_3}{a_1} m_1^2$$

⑤ **HD3** :  $\cos 3\omega_1 t$

$$HD3 = \frac{\text{third order amplitude}}{\text{fundamental amplitude}} = \frac{a_3 \times \frac{1}{4} m_1^3}{a_1 m_1} = \frac{1}{4} \frac{a_3}{a_1} m_1^2$$

$IM3 = 3HD3$

### Effect of feedback on distortion



assumption : feedback network is linear

use power series :

$$\left. \begin{aligned} S_{out} &= a_1 S_{\epsilon} + a_2 S_{\epsilon}^2 + a_3 S_{\epsilon}^3 + \dots \\ S_{\epsilon} &= S_{in} - f S_{out} \end{aligned} \right\} \rightarrow$$

$$S_{out} = a_1 (S_{in} - f S_{out}) + a_2 (S_{in} - f S_{out})^2 + a_3 (S_{in} - f S_{out})^3 + \dots$$

$$S_{out} = b_1 S_{in} + b_2 S_{in}^2 + b_3 S_{in}^3 \quad \leftarrow \text{we are looking for } b_1, b_2, b_3 \text{ coefficients}$$

$$\begin{aligned} b_1 S_{in} + b_2 S_{in}^2 + b_3 S_{in}^3 &= a_1 S_{in} - a_1 f b_1 S_{in} - a_1 f b_2 S_{in}^2 - a_1 f b_3 S_{in}^3 \\ &\quad + a_2 (S_{in} - f b_1 S_{in} - f b_2 S_{in}^2 - f b_3 S_{in}^3)^2 \\ &\quad + a_3 (S_{in} - f b_1 S_{in} - f b_2 S_{in}^2 - f b_3 S_{in}^3)^3 \end{aligned}$$

1st order terms  $\rightarrow b_1 \mathcal{S}'_{in} = a_1 \mathcal{S}'_{in} - a_1 f b_1 \mathcal{S}'_{in}$

$$b_1 = \frac{a_1}{1 + a_1 f}$$

standard feedback equation for a linear system

2nd order terms  $\rightarrow$

$$b_2 \mathcal{S}_{in}^{\prime 2} = -a_1 f b_2 \mathcal{S}_{in}^{\prime 2} + a_2 (1 - f b_1)^2 \mathcal{S}_{in}^{\prime 2}$$

$$b_2 (1 + a_1 f) = a_2 (1 - f b_1)^2 = a_2 \frac{1}{(1 + a_1 f)^2} \rightarrow b_2 = \frac{a_2}{(1 + a_1 f)^3}$$

3rd order terms  $\rightarrow$

$$b_3 \mathcal{S}_{in}^{\prime 3} = -a_1 f b_3 \mathcal{S}_{in}^{\prime 3} - 2a_2 (1 - f b_1) f b_2 \mathcal{S}_{in}^{\prime 3} + a_3 (1 - f b_1)^3 \mathcal{S}_{in}^{\prime 3}$$

$$b_3 (1 + a_1 f) = a_3 \frac{1}{(1 + a_1 f)^3} - 2a_2 \frac{f}{1 + a_1 f} \cdot \frac{a_2}{(1 + a_1 f)^3}$$

$$b_3 = \frac{a_3 (1 + a_1 f) - 2a_2^2 f}{(1 + a_1 f)^5}$$

Now let's look at different distortion coefficients

no feedback  $HD2 = \frac{1}{2} IM2 = \frac{a_2}{2a_1} m_1$

with feedback  $HD2_{feedback} = \frac{b_2}{2b_1} m_1 = \frac{a_1 / (1 + a_1 f)}{2a_2 / (1 + a_1 f)^3} \cdot m_1$

$$HD2_{feedback} = \frac{a_1}{2a_2} m_1 / (1 + a_1 f)^2 = \boxed{\frac{HD2_{no-feedback}}{(1 + a_1 f)^2}} \rightarrow \text{Dramatic reduction of HD2 (IM2) with feedback when measured based on same input power (m1)}$$

if HD2 is measured based on output power amplitude

(since total gain is reduced by  $\frac{1}{1 + a_1 f}$  ,

therefore  $HD2_{feedback} = \frac{HD2_{no-feedback}}{1 + a_1 f}$  at the same output power)

no feedback  $HD3 = \frac{1}{3} IM3 = \frac{1}{4} \frac{a_3}{a_1} m_1^2$

with feedback

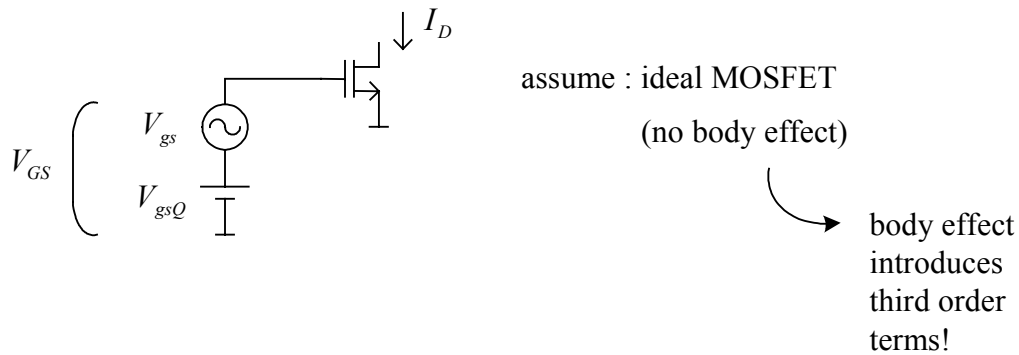
$$HD3_{feedback} = \frac{1}{4} \frac{b_3}{b_1} m_1^2 = \frac{1}{4} \frac{\frac{a_3(1 + a_1 f) - 2a_2^2 f}{(1 + a_1 f)^5}}{\frac{a_1}{1 + a_1 f}} m_1^2$$

$$= \frac{1}{4} \frac{\frac{a_3}{a_1}(1 + a_1 f) - 2\frac{a_2^2}{a_1} f}{(1 + a_1 f)^4} m_1^2$$

you can make  $HD3_{feedback}$  or  $IM3_{feedback} = 0$

by using right amount of feedback!

## Distortion in MOS transistors



$$I_D = \frac{\mu C_{ox}}{2} \frac{W}{L} (V_{GS} - V_{Th})^2$$

$$V_{GS} = V_{gsQ} + V_{gs}$$

$$I_D = \frac{\mu C_{ox}}{2} \frac{W}{L} \left[ (V_{gsQ} - V_{Th})^2 + V_{gs}^2 + 2V_{gs} (V_{gsQ} - V_{Th}) \right]$$

$$I_D = I_{DQ} + \frac{\mu C_{ox}}{2} \frac{W}{L} V_{gs}^2 + \underbrace{\frac{\mu C_{ox}}{L} (V_{gsQ} - V_{Th})}_{g_m} V_{gs}$$

$$I_D = I_{DQ} + g_m V_{gs} + \frac{\mu C_{ox}}{2} \frac{W}{L} V_{gs}^2$$

$$\left. \begin{aligned} a_1 &= g_m = \frac{\mu C_{OX} W}{L} (V_{gsQ} - V_{Th}) \\ a_2 &= \frac{\mu C_{OX} W}{2 L} \\ a_3 &= 0 \end{aligned} \right\} \begin{array}{l} \text{non-linear} \\ \text{coefficients} \\ V_{gs} = \hat{V}_{gs} \cos \omega t \end{array}$$

$$HD2 = \frac{1}{2} IM2 = \frac{a_2}{2a_1} m_1 = \frac{\frac{\mu C_{OX} W}{2 L} \hat{V}_{gs}}{\frac{2\mu C_{OX} W}{L} (V_{gsQ} - V_{Th})} = \frac{\hat{V}_{gs}}{4(V_{gsQ} - V_{Th})}$$

$$\boxed{HD2 = \frac{\hat{V}_{gs}}{4(V_{gsQ} - V_{Th})}} \quad \text{in terms of input signal}$$

in terms of output signal

$$\hat{i}_D = g_m \hat{V}_{gs} = \hat{V}_{gs} \frac{\mu C_{OX} W}{L} (V_{gsQ} - V_{Th})$$

↪

$$\boxed{HD2 = \frac{1}{8} \frac{\hat{i}_D}{I_{DQ}}}$$

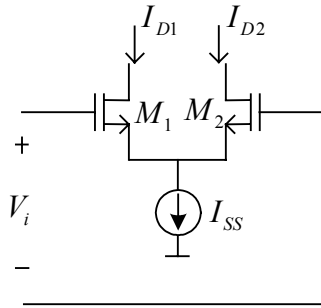
→ ideal MOSFET does not show

\* gain compression

\* IM3, HD3 as long as it is in saturation!



## MOS Differential Pair



$$I_{out} = I_{D1} - I_{D2}$$

\* Assumptions :

- M1 & M2 identical
- ignore body effect
- ideal MOS

$$I_D = \frac{k'}{2} \left( \frac{W}{L} \right) [V_{gs} - V_{Th}]^2 \quad (k' = \mu C_{ox})$$

$$\left. \begin{aligned} V_{gs1} &= V_{Th} + \sqrt{\frac{2I_{D1}}{k'(W/L)}} \\ V_{gs2} &= V_{Th} + \sqrt{\frac{2I_{D2}}{k'(W/L)}} \end{aligned} \right\} V_i = V_{gs1} - V_{gs2} = \frac{\sqrt{I_{D1}} - \sqrt{I_{D2}}}{\sqrt{\frac{k'W}{2L}}} \quad (1)$$

on the other hand  $I_{D1} + I_{D2} = I_{SS} \quad (2)$

(1), (2)  $\longrightarrow$  find  $I_{D1}, I_{D2}, V_S, V_i$

$$\longrightarrow I_{out} = I_{D1} - I_{D2} = \frac{k'W}{2L} V_i \sqrt{\frac{4I_{SS}}{k'(W/L)} - V_i^2}$$

$$I_{out} = \sqrt{k' \frac{W}{L} I_{SS}} V_i \left( 1 - \frac{k'(W/L)}{4I_{SS}} V_i^2 \right)^{1/2}$$

use power expansion  $(1+x)^j \approx 1 + jx + \frac{j^2 x^2}{2!} + \dots$

$$\rightarrow I_{out} = \sqrt{\frac{k' W I_{SS}}{L}} \left( V_i - \frac{k'(W/L)}{8I_{SS}} V_i^3 - V_i^5 \dots \right)$$

$$I_{out} = a_1 V_i + a_2 V_i^2 + a_3 V_i^3 + \dots$$

$$\left. \begin{aligned} a_1 &= \sqrt{\frac{k' W I_{SS}}{L}} \\ a_2 &= 0 \\ a_3 &= \frac{-k' W}{2L} \sqrt{\frac{k' W}{2L \cdot I_{SS}}} \\ a_4 &= 0 \end{aligned} \right\} \rightarrow \begin{aligned} HD2 &= \frac{1}{2} IM2 = 0 \\ HD3 &= \frac{1}{3} IM3 = \frac{1}{4} \frac{a_3}{a_1} m_1^2 \end{aligned}$$

$$HD3 = \frac{-k' W / L}{8\sqrt{2} I_{SS}} |\hat{V}_i|^2$$

$$\text{when } \hat{V}_i = 0 \rightarrow I_{D1} = I_{D2} = \frac{I_{SS}}{2} = \frac{k'}{2} W / L [V_{gsQ} - V_{Th}]^2$$

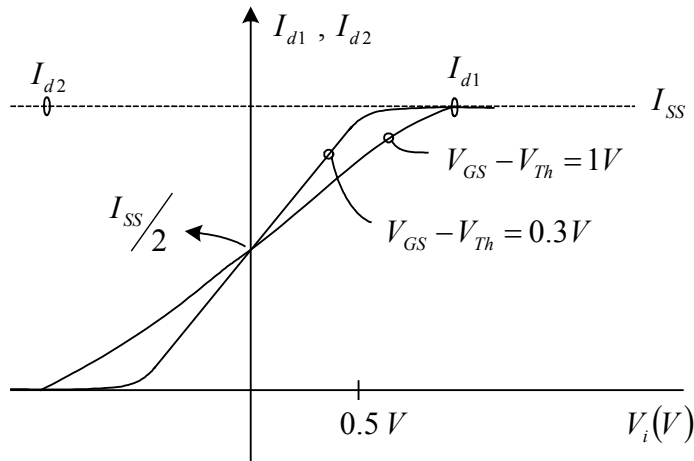
$$\rightarrow I_{SS} = \frac{k' W}{L} [V_{gsQ} - V_{Th}]^2$$

$$\rightarrow HD3 = -\frac{1}{8\sqrt{2}} \left( \frac{\hat{V}_i}{V_{gsQ} - V_{Th}} \right)^2$$

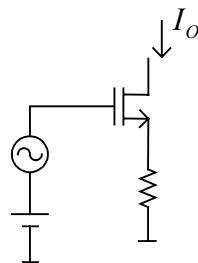
example : for  $HD3 = -40\text{ dB}$ , what is the amplitude

of input signal , Assume  $V_{gsQ} - V_{Th} \sim 1\text{ Volt}$

$$HD3 = -40\text{ dB} = -0.01 \rightarrow \hat{V}_i = 0.34\text{ Volt}$$



### MOSFET with Rs



$$I_O = I_{DQ} + i_d$$

bias                      signal

$$+ \text{ feedback} \rightarrow g_m R_S = f$$

**\* ignoring body effect**

$$i_d = b_1 V_{gs} + b_2 V_{gs}^2 + b_3 V_{gs}^3 + \dots$$

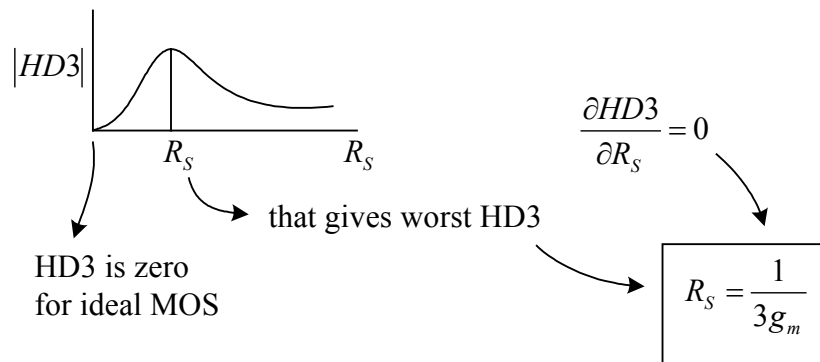
$$b_1 = \frac{a_1}{1 + a_1 f} = \boxed{\frac{g_m}{1 + g_m R_s}}$$

$$b_2 = \frac{a_2}{(1 + a_1 f)^3} = \boxed{\frac{\mu C_{ox} W/L}{2} \times \frac{1}{(1 + g_m R_s)^3}}$$

$$b_3 = \frac{0}{\cancel{a_3}(1 + a_1 f) - 2a_2^2 f} = \frac{-2a_2^2 f}{(1 + a_1 f)^5} = \boxed{\frac{-2\left(\frac{\mu C_{ox} W/L}{2}\right)^2 R_s}{(1 + g_m R_s)^5}}$$

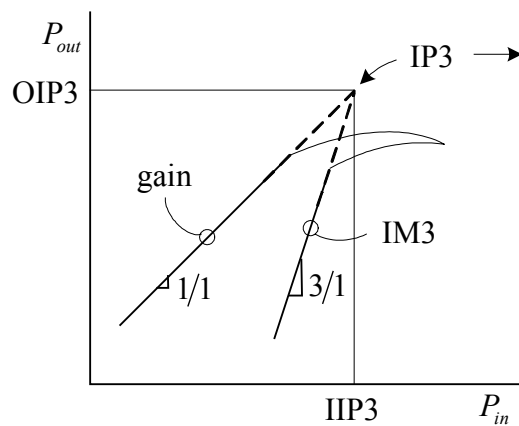
$$\begin{aligned} HD3 &= \frac{1}{4} \frac{b_3}{b_1} |\hat{V}_{gs}|^2 \\ &= \frac{1}{4} \frac{-2\left(\frac{\mu C_{ox} W/L}{2}\right)^2 R_s / (1 + g_m R_s)^5}{\cancel{g_m / (1 + g_m R_s)}} |\hat{V}_{gs}|^2 \end{aligned}$$

$$HD3 = -\frac{1}{2} \frac{\left(\frac{\mu C_{ox} W/L}{2}\right) g_m R_s |\hat{V}_{gs}|^2}{(1 + g_m R_s)^4}$$



$R_s$  helps reducing HD2 but worsens HD3 !

### Intercept Point



\* IP3 is typically  
10 ~ 15 dB higher than  
1 dB compression point