Variable Neural Adaptive Robust Control: A Switched System Approach

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Abstract—Variable neural adaptive robust control strategies are proposed for the output tracking control of a class of multi-input multioutput uncertain systems. The controllers incorporate a novel variable-structure radial basis function (RBF) network as the self-organizing approximator for unknown system dynamics. It can determine the network structure online dynamically by adding or removing RBFs according to the tracking performance. The structure variation is systematically considered in the stability analysis of the closed-loop system using a switched system approach with the piecewise quadratic Lyapunov function. The performance of the proposed variable neural adaptive robust controllers is illustrated with simulations.

Index Terms—Adaptive robust control, piecewise quadratic Lyapunov function, self-organizing approximator, uncertain system, variable-structure neural network.

I. INTRODUCTION

ATHEMATICAL models used for controller design often contain uncertainties resulting from unknown system dynamics or disturbances. Several adaptive control strategies, such as adaptive feedback linearization [1], adaptive backstepping [2], nonlinear damping and swapping [3], and switching adaptive control [4], have been proposed for uncertain systems. In particular, a number of adaptive controllers were developed for a class of feedback linearizable nonlinear systems, including both single-input single-output (SISO) systems [5]-[8] and multiinput multioutput (MIMO) systems [9]–[12]. Most of the existing adaptive controllers [5]–[7], [9], [10], [12], [13] are state feedback controllers. However, it is often the case that only the system outputs are available. To overcome this problem, state observers have been utilized in the synthesis of output feedback based controllers. For example, high-gain observers have been employed in [8] and [11]. The advantage of using high-gain observers is that the control problem can be formulated in a standard singular perturbation format, and then the singular perturbation theory can be applied to analyze the closed-loop system stability. Moreover, when the speed of the high-gain observer is sufficiently high,

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the performance of the output feedback controller recovers the performance of the state feedback controller [14].

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Adaptive control can handle not only parametric uncertainties but also dynamical uncertainties. To deal with dynamical uncertainties, different types of fixed-structure neural networks were used for function approximation. In [7] and [9], multilayer feedforward neural network-based adaptive robust control strategies were proposed. Compared with multilayer feedforward neural network, radial basis function (RBF) network [5], [8], [12], [13] is characterized by simpler structure, faster computation time, and superior adaptive performance. To apply fixed-structure neural networks for function approximation, the problem of structure determination has to be solved first. However, in general, it is impossible to know how to select the network parameters of fixed-structure neural networks at the beginning of the controller design process. Usually, they are determined offline by trial and error or using presumptive training data that may or may not be available. The problem of structure determination makes fixed-structure neural networks unsuitable for online applications.

Self-organizing approximation-based adaptive controllers were recently proposed for SISO feedback linearizable uncertain systems in [15]-[18]. In [15], a dynamic-structure RBF network that can grow was developed. In [16], a multilayer feedforward neural network with growing number of neurons was used. In [17], the local approximators based on locally weighted learning are augmented depending on the tracking performance. In [18], the resolution of the wavelet network can gradually increase according to the tracking performance and a dwell time constraint. Although the growing-structure approximation has been better than the fixed-structure approximation, it cannot prune the structures when they become redundant. As illustrated by simulations in [19], in the case when there are different control objectives, the existing RBFs that were added for the current control objective may become redundant for the next one. To alleviate this problem, variablestructure RBF networks that can both grow and shrink were considered in [19] and [20]. Variable-structure RBF networks preserve the advantages of RBF networks and, at the same time, overcome the limitations of fixed- and growingstructure RBF networks. However, the effect of the structure variation was not considered in the stability analysis therein.

In this paper, the output tracking control of a class of MIMO feedback linearizable uncertain systems is considered as in [9]–[12], where adaptive robust control strategies including both state and output feedback controllers

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are developed. The unknown system dynamics are approximated by a novel variable-structure RBF network that is improved from the self-organizing network used in [19] and [21]. The proposed RBF network avoids determining the network parameters offline by self-organizing the network structure online. It can add RBFs to improve the approximation accuracy when the tracking performance is poor. It can also remove RBFs to prevent the network redundancy when the tracking performance is satisfactory. To overcome the problem of fast switching between different structures in [19], a dwell time requirement on the structure variation similarly as in [18] and [22] is imposed. Due to the structure variation of the RBF network, the closed-loop system can be viewed as a switched system. Hence, the piecewise quadratic Lyapunov function [23], [24] is applied in the stability analysis to take into account the structure variation that was not considered in [19].

In summary, this paper has two major contributions: 1) a variable-structure RBF network is proposed as the self-organizing approximator, which can also be incorporated into existing fixed-structure neural network-based adaptive robust controllers, and 2) a switched system approach is proposed to analyze the system stability under variable-structure neural network-based adaptive robust controllers.

The remainder of this paper is organized as follows. The system description and the problem statement are given in Section II. In Section III, the variable-structure RBF network is described. In Section IV, an adaptive robust state feedback controller is developed, and then, in Section V, an adaptive robust output feedback controller is synthesized. In Section VI, the simulation results are included to illustrate the performance of the proposed variable neural adaptive robust controllers. The conclusion is given in Section VII.

II. SYSTEM DESCRIPTION AND PROBLEM STATEMENT

A. System Description

The class of uncertain systems considered herein consists of *p* coupled subsystems modeled by the set of equations

$$y_i^{(n_i)} = f_i(\mathbf{x}) + \sum_{i=1}^p g_{ij}(\mathbf{x})u_j + d_i, \quad i = 1, \dots, p \quad (1)$$

where y_i , u_i , and d_i are the system output, system input and disturbance of the *i*th subsystem, respectively, and $f_i(\mathbf{x})$ and $g_{ij}(\mathbf{x})$ are unknown functions, where

$$\mathbf{x} = \begin{bmatrix} y_1 & \cdots & y_1^{(n_1-1)} & \cdots & y_p & \cdots & y_p^{(n_p-1)} \end{bmatrix}^{\top}$$

is the state vector of the overall system. Let (A_i, b_i) be a canonical controllable pair that represents a chain of n_i integrators, and let $c_i = [1 \ 0 \ \cdots \ 0]_{1 \times n_i}, \ \mathbf{y} = [y_1 \ \cdots \ y_p]^\top, \ \mathbf{u} = [u_1 \ \cdots \ u_p]^\top, \ \mathbf{d} = [d_1 \ \cdots \ d_p]^\top, \ \mathbf{f}(\mathbf{x}) = [f_1(\mathbf{x}) \ \cdots \ f_p(\mathbf{x})]^\top,$ and $\mathbf{G}(\mathbf{x}) = [g_{ij}(\mathbf{x})]_{p \times p}$. Then, the uncertain system (1) can be represented in a compact form as

$$\begin{cases} \dot{x} = Ax + B \left(f(x) + G(x)u + d \right) \\ y = Cx \end{cases} \tag{2}$$

where $\mathbf{A} = \operatorname{diag}[\mathbf{A}_1 \cdots \mathbf{A}_p]$, $\mathbf{B} = \operatorname{diag}[\mathbf{b}_1 \cdots \mathbf{b}_p]$, and $\mathbf{C} = \operatorname{diag}[\mathbf{c}_1 \cdots \mathbf{c}_p]$. The above system is often referred to as a square system because the number of inputs and the number of outputs are the same.

Assumption 1: The function f(x) and G(x) are Lipschitz continuous in x.

Assumption 2: The function G(x) is uniformly definite. Without loss of generality, it is assumed that G(x) is uniformly positive definite with $||G(x)|| \ge g > 0$, where g is a known constant and $||\cdot||$ denotes the Euclidean norm.

Assumption 3: The disturbance d is a lumped term of unmodeled system dynamics and external disturbances. It is Lipschitz continuous in x and piecewise continuous in t. In addition, it is globally bounded, that is, $\|d\| \le d_o$, where d_o is a known positive constant.

It was pointed out in [10] that many physical systems, such as natural Lagrangian systems and circuit systems, can be modeled in the form of (1). The current-controlled three-phase epoxy core linear described in [25] and the planar articulated two-link manipulator given in [26] are both examples.

B. Problem Statement

Our objective is to develop a tracking control strategy such that the ith system output y_i , $i=1,\ldots,p$, tracks a reference signal y_{di} . It is assumed that y_{di} has bounded derivatives up to the n_i th order, that is, $y_{di}^{(n_i)} \in \Omega_{y_{di}}$, where $\Omega_{y_{di}} \subset \mathbb{R}$ is a compact set. Let $\mathbf{y}_d^{(n)} = [y_{d1}^{(n_1)} \cdots y_{dp}^{(n_p)}]^{\top}$. Then, $\mathbf{y}_d^{(n)} \in \Omega_{y_d}$, where $\Omega_{y_d} \subset \mathbb{R}^p$ is a compact set. Define the desired system state vector as $\mathbf{x}_d = [y_{d1} \cdots y_{d1}^{(n_1-1)} \cdots y_{dp} \cdots y_{dp}^{(n_p-1)}]^{\top}$. Then, $\mathbf{x}_d \in \Omega_{x_d}$, where Ω_{x_d} is a compact subset of \mathbb{R}^n with $n = \sum_{i=1}^p n_i$. Let $e_{yi} = y_i - y_{di}$ denote the ith output tracking error. Define the output tracking error as $\mathbf{e}_y = [e_{y1} \cdots e_{yp}]^{\top}$ and the state tracking error as

$$e = x - x_d. (3)$$

Then, $\mathbf{e} = [\mathbf{e}_1^\top \cdots \mathbf{e}_p^\top]^\top$, where $\mathbf{e}_i = [e_{yi} \cdots e_{yi}^{(n_i-1)}]^\top$. It follows from (2) that

$$\dot{e} = Ae + B(f(x) + G(x)u - y_d^{(n)} + d). \tag{4}$$

Our goal is to design the control input u so that the state tracking error can be as small as possible.

Let $\hat{f}(x)$ and $\hat{G}(x)$ be approximations of f(x) and G(x), respectively, where $\hat{G}(x)$ is invertible. Consider the controller of the following form,

$$u = u_a = \hat{G}^{-1}(x)(-\hat{f}(x) + y_d^{(n)} - Ke)$$
 (5)

where $K = \text{diag}[k_1 \cdots k_p]$ is selected such that $A_{mi} = A_i - b_i k_i$ is Hurwitz. Applying the controller defined in (5) to the state tracking error dynamics (4), it gives the following after several manipulations,

$$\dot{e} = A_m e + B \left(f(x) - \hat{f}(x) + \left(G(x) - \hat{G}(x) \right) u_a + d \right)$$
 (6)

where $A_m = \text{diag}[A_{m1} \cdots A_{mp}]$ is Hurwitz. The state tracking error of the closed-loop system driven by u_a will not, in general, converge to zero due to the presence of estimation errors and disturbances. Therefore, a robustifying component

is also required to ensure a desired tracking performance. On the other hand, to guarantee the existence of u_a given by (5), it requires that $\hat{G}(x)$ be always nonsingular. The problem caused by the singularity of $\hat{G}(x)$ generated by neural network is referred to as stabilizability problem in [12]. Therefore, this problem has to be resolved first in the controller design process for (1). In [10] and [11], $\hat{G}(x)$ was assumed to be nonsingular. In [9], restrictive assumptions were imposed on the parameter vectors of the multilayer feedforward networks to guarantee that $\hat{G}(x)$ is invertible. The attempt to solve this problem was first reported in [12] in the design of robust adaptive state feedback controller.

In the following controller designs, a variable-structure RBF network that is described in the next section is first proposed to approximate the unknown function f(x). A constant positive definite matrix G_0 is used instead of the proposed variable-structure RBF network to approximate the unknown function G(x), that is, $\hat{G}(x) = G_0$.

III. VARIABLE-STRUCTURE RBF NETWORK

The variable-structure RBF network used to approximate f(x) over a compact set Ω_x is an improved version of the selforganizing RBF network in [19], which, in turn, was adopted from [21]. The proposed variable-structure RBF network can have N different possible structures, where N is determined by the design parameters discussed later. For each structure, the RBF network consists of n input neurons, M_v hidden neurons, where $v \in \{1, \ldots, N\}$ denotes the scalar index, and p output neurons. The kth output of the RBF network with the vth possible structure can be represented as

$$\hat{f}_{k,v}(\mathbf{x}) = \sum_{j=1}^{M_v} \omega_{kj,v} \xi_{j,v}(\mathbf{x}) = \sum_{j=1}^{M_v} \omega_{kj,v} \prod_{i=1}^n \psi\left(\frac{|x_i - c_{ij,v}|}{\delta_{ij,v}}\right)$$
(7)

where $\omega_{kj,v}$ is the weight from the jth hidden neuron to the kth output neuron and $\xi_{j,v}(x)$ is the RBF for the jth hidden neuron. The parameter $c_{ij,v}$ is the ith coordinate of the center of $\xi_{j,v}(x)$, $\delta_{ij,v}$ is the radius of $\xi_{j,v}(x)$ in the ith coordinate, and $\psi:[0,\infty)\to\mathbb{R}^+$ is the activation function. Let $\mathbf{W}_v=[\boldsymbol{\omega}_{1,v}\cdots\boldsymbol{\omega}_{p,v}]$ with $\boldsymbol{\omega}_{i,v}=[\omega_{i1,v}\cdots\omega_{iM_v,v}]^{\top}$ and $\boldsymbol{\xi}_v(x)=[\xi_{1,v}(x)\cdots\xi_{M_v,v}(x)]^{\top}$. Then

$$\hat{\boldsymbol{f}}_{v}(\boldsymbol{x}) = \boldsymbol{W}_{v}^{\top} \boldsymbol{\xi}_{v}(\boldsymbol{x}).$$

The raised-cosine RBF (RCRBF) proposed in [27] is employed instead of the commonly used Gaussian RBF because the compact support of the RCRBF enables fast and efficient training and output evaluation of the RBF network. The 1-D RCRBF is defined as

$$\psi(x) = \begin{cases} \frac{1}{2} \left(1 + \cos\left(\frac{\pi(x-c)}{\delta}\right) \right) & \text{if } |x-c| \le \delta \\ 0 & \text{if } |x-c| > \delta \end{cases}$$

where c is the center and δ is the radius. The n-dimensional RCRBF can be represented as the product of n 1-D RCRBFs.

In the following sections, a detailed description of the improved variable-structure RBF network is provided. The major improvement over [19] lies in the RBF adding and removing operations. Moreover, a dwell time T_d , which is a

design parameter, is introduced into the structure variation of the RBF network to prevent excessively fast switching between different structures. Under the dwell time requirement, the network has to stay with the current structure for the duration of at least T_d before switching to a different structure.

A. Center Grid

Recall that the unknown function f(x) is approximated over a compact set $\Omega_x \subset \mathbb{R}^n$. Without loss of generality, it is assumed that Ω_x has the form

$$\mathbf{\Omega}_{x} = \left\{ x \in \mathbb{R}^{n} : x_{l} \leq x \leq x_{u} \right\}$$

where the *n*-dimensional vectors $\mathbf{x}_l = [x_{l1} \cdots x_{ln}]^{\mathsf{T}}$ and $\mathbf{x}_u = [x_{u1} \cdots x_{un}]^{\top}$ denote lower and upper bounds of the components of x, respectively. To represent the centers of the RBFs inside the approximation region, an n-dimensional center grid with layer hierarchy is used, where each grid node corresponds to one RBF. This n-dimensional center grid can be mathematically described by $S_1 \times \cdots \times S_n$, where S_i is the location set in the ith coordinate and the symbol \times denotes the Cartesian product. The center grid is initialized inside the approximation region Ω_x with $S_i = \{x_{li}, x_{ui}\},\$ i = 1, ..., n. The 2^n grid nodes of the initial grid are referred to as boundary grid nodes, and cannot be removed. In each coordinate, additional locations can be added, and then may be removed from S_i as the controlled system evolves in time. However, new grid nodes can only be added at positions determined coordinate-wise by potential locations. In each coordinate, the potential locations are equally spaced. The first layer contains two fixed boundary locations. The second layer has only one potential location in the middle of the boundary locations. Then, the potential locations of the subsequent layers are in the middle of the adjacent potential locations of all the previous layers.

B. Adding RBFs

The network can add new RBFs, that is, new grid nodes to improve approximation accuracy, if the following conditions are satisfied:

- 1) the elapsed time since the last operation of either adding or removing is greater than the dwell time T_d ;
- 2) $\|e_y\| > e_{\text{max}}$, where e_{max} is a prespecified design parameter, for a period of time greater than T_d .

First, the nearest neighboring grid node in the center grid to the current input x is located among existing grid nodes, which is denoted by $c_{(\text{nearest})}$. Then, the nearer neighboring grid node denoted by $c_{(\text{nearer})}$ is located, where each element of $c_{(\text{nearer})}$, that is, $c_{i(\text{nearer})}$, is determined such that x_i is between $c_{i(\text{nearest})}$ and $c_{i(\text{nearer})}$. Next, the adding operation is performed for each coordinate independently. In the ith coordinate, if the following conditions are satisfied:

- 3) $|x_i c_{i(\text{nearest})}| > \frac{1}{4} |c_{i(\text{nearest})} c_{i(\text{nearer})}|$;
- 4) $|x_i c_{i(\text{nearest})}| > d_{i(\text{threshold})}$, where $d_{i(\text{threshold})}$ is a design parameter that specifies the minimum grid distance in the *i*th coordinate, and thus determines the number of admissible structures denoted by N.

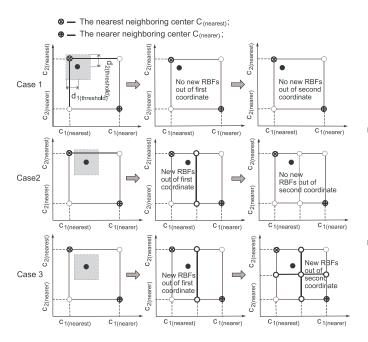


Fig. 1. 2-D examples of adding RBFs.

A new location at the half of the sum of $c_{i(\text{nearest})}$ and $c_{i(\text{nearer})}$ is added into S_i . Otherwise, no new location is added to S_i . The layer of the newly added location is one level higher than the highest layer of the two adjacent existing locations in the same coordinate. The operation of adding RBFs is illustrated with 2-D examples shown in Fig. 1.

Remark 1: The design parameter $e_{\rm max}$ plays an important role in determining whether the RBF network keeps switching between different structures all the time or not. It should be appropriately selected to account for the effects of residual disturbances. If $e_{\rm max}$ is chosen to be very small, the neural network could keep changing its structure by adding more RBFs even though the output tracking error has already been within the acceptable range. In such a case, the neural network will eventually fit to residual disturbances.

C. Removing RBFs

The network can remove some of the existing RBFs, that is, some of the existing grid nodes to prevent network redundancy, if the following conditions are satisfied:

- 1) the elapsed time since the last operation of either adding or removing is greater than the dwell time T_d ;
- 2) $\|\mathbf{e}_y\| \le \rho e_{\text{max}}$, where $\rho \in (0, 1]$, for a period of time greater than T_d .

First, the nearest and the nearer neighboring grid nodes in the center grid, $c_{\text{(nearest)}}$ and $c_{\text{(nearer)}}$, to the current input x are located. Then, the RBF removing operation is implemented for each coordinate independently. In the ith coordinate, if the following conditions are satisfied:

- 3) $c_{i(\text{nearest})} \notin \{x_{li}, x_{ui}\};$
- 4) the location $c_{i(\text{nearest})}$ is in the higher or the same layer as the highest layer of the two neighboring locations in the same coordinate;
- 5) $|x_i c_{i(\text{nearest})}| < \varrho |c_{i(\text{nearest})} c_{i(\text{nearer})}|$, where $\varrho \in (0, 0.5)$,

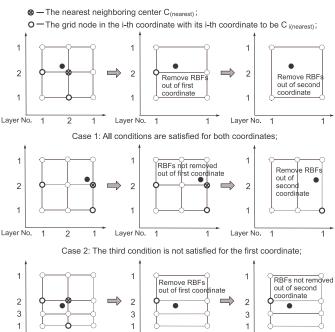


Fig. 2. 2-D examples of removing RBFs.

then the location $c_{i(\text{nearest})}$ is removed from S_i . Otherwise, no location is removed from S_i . 2-D examples of removing RBFs are given in Fig. 2.

Case 3: The fourth condition is not satisfied for the second coordinate;

Remark 2: Before the system reaches the steady state, the transients reflected within e_y will cause the RBF network to add many unnecessary RBFs through. This is the disadvantage of existing variable-structure RBF networks in the literature whose sizes can only grow. It is desirable to have the RBF removing operation that will remove those unnecessary RBFs later in the steady state. Thus, the main feature of the proposed variable-structure RBF network herein is the vital capability of removing RBFs in addition to adding RBFs.

D. Uniform Grid Transformation

The determination of the radius of the RBF is much easier in a uniform grid than in a nonuniform grid because the RBF is radially symmetric with respect to its center. However, the center grid used to locate RBFs is usually nonuniform. In addition, the structure of the center grid changes after each adding or removing operation, which further complicates the problem. To simplify the process of determination of the radius, the one-to-one mapping $z(x) = [z_1(x_1) \cdots z_n(x_n)]^{\top}$, proposed in [27], is used to transform the center grid into a uniform grid. Suppose that the RBF network assumes the vth possible structure after the adding or removing operation and there are $M_{i,v}$ distinct elements in S_i ordered as $c_{i(1)} < \cdots < c_{i(M_{i,v})}$, where $c_{i(k)}$ is the kth element with $c_{i(1)} = x_{li}$ and $c_{i(M_{i,v})} = x_{ui}$. Then, the mapping function $z_i(x_i) : [x_{li}, x_{ui}] \rightarrow [1, M_{i,v}]$ takes the form

$$z_i(x_i) = k + \frac{x_i - c_{i(k)}}{c_{i(k+1)} - c_{i(k)}}, \quad c_{i(k)} \le x_i < c_{i(k+1)}$$
 (8)

which maps $c_{i(k)}$ into the integer k. Thus, the transformation $z(x): \Omega_x \to \mathbb{R}^n$ maps the center grid into a grid with unit

spacing between adjacent grid nodes such that the radius of the RBF can be easily chosen. For the RCRBF, the radius in every coordinate is selected to be equal to one unit, that is, the radius will touch but not extend beyond the neighboring grid nodes in the uniform grid. This particular choice guarantees that for any given input x, the number of nonzero RCRBFs in the uniform grid is at most 2^n .

To simplify the implementation, let the vector $\mathbf{q}_v \in \mathbb{R}^n$ be the index vector of the grid nodes, where $\mathbf{q}_v = [q_{1,v} \cdots q_{n,v}]^{\mathsf{T}}$ with $1 \leq q_{i,v} \leq M_{i,v}$. Then, the scalar index j can be uniquely determined by the index vector \mathbf{q}_v , where

$$j = (q_{n,v} - 1)M_{n-1,v} \cdots M_{2,v} M_{1,v} + \cdots + (q_{3,v} - 1)M_{2,v} M_{1,v} + (q_{2,v} - 1)M_{1,v} + q_{1,v}.$$
(9)

Let $c_{j,v} = [c_{1j,v} \cdots c_{nj,v}]^{\top}$ denote the location of the q_v th grid node in the original grid. Then, the corresponding grid node in the uniform grid is located at $z_{j,v} = z(c_{j,v}) = [q_{1,v} \cdots q_{n,v}]^{\top}$. Using the scalar index j in (9), the output $\hat{f}_{i,v}(x)$ of the variable-structure RCRBF network implemented in the uniform grid can be expressed as

$$\hat{f}_{i,v}(\mathbf{x}) = \sum_{j=1}^{M_v} \omega_{ij,v} \xi_{j,v}(\mathbf{x}) = \sum_{j=1}^{M_v} \omega_{ij,v} \prod_{i=1}^n \psi\left(|z_i(x_i) - q_{i,v}|\right)$$
(10)

where the radius is one unit in each coordinate.

When implementing the output feedback controller, the state vector estimate \hat{x} is used rather than the actual state vector x. It may happen that $\hat{x} \notin \Omega_x$. In such a case, the definition of the transformation (8) is extended as

$$\begin{cases} z_i(\hat{x}_i) = 1 & \text{if } \hat{x}_i < c_{i(1)} \\ z_i(\hat{x}_i) = M_{i,v} & \text{if } \hat{x}_i > c_{i(M_{i,v})} \end{cases}$$
(11)

for i = 1, ..., n. If $\hat{x} \in \Omega_x$, the transformation (8) is used. Therefore, it follows from (8) and (11) that the function z(x) maps the whole n-dimensional space \mathbb{R}^n into the compact set $[1, M_{1,v}] \times [1, M_{2,v}] \times \cdots \times [1, M_{n,v}]$.

IV. STATE FEEDBACK CONTROLLER DEVELOPMENT The proposed adaptive robust state feedback controller is

$$\boldsymbol{u} = \boldsymbol{u}_{a,v} + \boldsymbol{u}_{s,v} \tag{12}$$

where

$$u_{a,v} = G_0^{-1} (-\hat{f}_v(x) + y_d^{(n)} - Ke)$$

with $\hat{\boldsymbol{f}}_v(\boldsymbol{x}) = \boldsymbol{W}_v^\top \boldsymbol{\xi}_v(\boldsymbol{x})$ and $\boldsymbol{u}_{s,v}$ is the robustifying component described later. Note that the architecture of the above controller varies as the structure of the RBF network changes. A particular controller architecture is referred to as a mode. Because there are N possible network structures, the proposed controller has N different modes. Let Ω_{e_0} be a compact set that contains all possible initial state tracking errors and let $c_{e_0} = \max_{\boldsymbol{e} \in \Omega_{e_0}} \frac{1}{2} \boldsymbol{e}^\top \boldsymbol{P}_m \boldsymbol{e}$, where $\boldsymbol{P}_m = \operatorname{diag}[\boldsymbol{P}_{m1} \cdots \boldsymbol{P}_{mp}]$ is the solution to the continuous Lyapunov matrix equation $\boldsymbol{A}_m^\top \boldsymbol{P}_m + \boldsymbol{P}_m \boldsymbol{A}_m = -2 \boldsymbol{Q}_m$ for $\boldsymbol{Q}_m = \operatorname{diag}[\boldsymbol{Q}_{m1} \cdots \boldsymbol{Q}_{mp}]$ with $\boldsymbol{Q}_{mi} = \boldsymbol{Q}_{mi}^\top > 0$.

Definition 1: The compact set Ω_x is defined as

$$\mathbf{\Omega}_{x} = \left\{ x : x = e + x_{d}, e \in \mathbf{\Omega}_{e}, x_{d} \in \mathbf{\Omega}_{x_{d}} \right\}$$

where
$$\Omega_e = \{ \boldsymbol{e} : \frac{1}{2} \boldsymbol{e}^{\top} \boldsymbol{P}_m \boldsymbol{e} \leq c_e \}$$
 with $c_e > c_{e_0}$.

Remark 3: In the literature, it is common to assume that the compact set Ω_x is large enough but not given explicitly. In this paper, the compact set Ω_x above is defined by two known compact sets Ω_{x_d} and Ω_e . The compact set Ω_{x_d} is determined by the range of bounded reference signals and their bounded derivatives up to the n_i th order. On the other hand, the compact set Ω_e is selected to contain the initial tracking error. The control strategies proposed in this paper guarantee that x(t) is confined in the compact set Ω_x .

Definition 2: The compact set $\Omega_{i,p}$ is defined as

$$\mathbf{\Omega}_{i,v} = \{ \boldsymbol{\omega}_{i,v} : \omega_i \leq \omega_{i,v} \leq \overline{\omega}_i, 1 \leq j \leq M_v \}$$

where the lower and the upper bounds $\underline{\omega}_i$ and $\overline{\omega}_i$, i = 1, ..., p, are design parameters.

To proceed, the weight vectors $\omega_{i,v}$ are constrained to reside inside $\Omega_{i,v}$. Let $W_v^* = [\omega_{1,v}^* \cdots \omega_{p,v}^*]$ denote the optimal constant weight matrix corresponding to each possible network structure. This optimal weight matrix is used only in the analytical analysis, and is defined as

$$egin{aligned} oldsymbol{W}_v^* &= rgmin & \max \limits_{oldsymbol{\omega}_{i,v} \in oldsymbol{\Omega}_{i,v}} ig\| f(x) - oldsymbol{W}_v^ op oldsymbol{\xi}_v(x) ig\|. \end{aligned}$$

Let

$$\mathbf{\Phi}_{n} = \mathbf{W}_{n} - \mathbf{W}_{n}^{*}.\tag{13}$$

Then, $\Phi_v = [\phi_{1,v} \cdots \phi_{p,v}]$, where $\phi_{i,v} = \omega_{i,v} - \omega_{i,v}^*$. Let $c = \sum_{i=1}^p c_i$, where

$$c_{i} = \max_{v} \left(\max_{\boldsymbol{\omega}_{i,v}, \boldsymbol{\omega}_{i,v}^{*} \in \boldsymbol{\Omega}_{i,v}} \frac{1}{2\kappa} \boldsymbol{\phi}_{i,v}^{\top} \boldsymbol{\phi}_{i,v} \right)$$
(14)

and where $\kappa > 0$ is a design parameter, and $\max_{v}(\cdot)$ denotes the maximization taken over all the potential structures of the RBF networks. It is obvious that c_i decreases as κ increases. Let $\sigma = \mathbf{B}^{\top} \mathbf{P}_m \mathbf{e}$. The following projection-based weight matrix adaptation law is employed,

$$\dot{\mathbf{W}}_{v} = \operatorname{Proj}\left(\mathbf{W}_{v}, \kappa \boldsymbol{\xi}_{v}(\boldsymbol{x}) \boldsymbol{\sigma}^{\top}\right) \tag{15}$$

where $\operatorname{Proj}(\boldsymbol{W}_{v}, \boldsymbol{\Theta}_{v})$ denotes $\operatorname{Proj}(\omega_{ij,v}, \theta_{ij,v})$, $i = 1, \ldots, p$ and $j = 1, \ldots, M_{v}$. Then, the projection operator as proposed in [28] is used

$$\operatorname{Proj}(\omega_{ij,v}, \theta_{ij,v}) = \begin{cases} 0 & \text{if } \omega_{ij,v} = \underline{\omega}_i \text{ and } \theta_{ij,v} < 0 \\ 0 & \text{if } \omega_{ij,v} = \overline{\omega}_i \text{ and } \theta_{ij,v} > 0 \\ \theta_{ij,v} & \text{otherwise} \end{cases}$$

which guarantees that $\boldsymbol{\omega}_{i,v}(t) \in \boldsymbol{\Omega}_{i,v}$ for $t \geq t_0$ if $\boldsymbol{\omega}_{i,v}(t_0) \in \boldsymbol{\Omega}_{i,v}$. It follows from the definition of the projection operator that $\boldsymbol{\phi}_{i,v}^{\top} \left(\dot{\boldsymbol{\omega}}_{i,v} - \boldsymbol{\theta}_{i,v} \right) \leq 0$, which implies that

$$\frac{1}{\kappa}\operatorname{trace}\left(\mathbf{\Phi}_{v}^{\top}\left(\dot{\mathbf{W}}_{v}-\kappa\boldsymbol{\xi}_{v}(\boldsymbol{x})\boldsymbol{\sigma}^{\top}\right)\right)\leq0.\tag{16}$$

Applying the proposed adaptive robust state feedback controller given by (12) to the state tracking error dynamics (4), it gives the following after several manipulations,

$$\dot{e} = Ae + B\left(f(x) + G(x)u_{a,v} + G(x)u_{s,v} - y_d^{(n)} + d\right)$$

$$= A_m e - B\Phi_v^{\top} \xi_v(x) + B\left(G(x) - G_0\right)u_{a,v} + Bd$$

$$+ BG(x)u_{s,v} + B\left(f(x) - W_v^{*\top} \xi_v(x)\right). \tag{17}$$

As can be seen from (17), the robustifying component $u_{s,v}$ has to counteract the effects of the approximation error as well as the bounded disturbance to force the state tracking error e converge or, at least, be bounded.

Definition 3: The positive constants d_f^* and d_g^* are defined, respectively, as the optimal approximation errors

$$d_f^* = \max_v \left(\max_{oldsymbol{x} \in oldsymbol{\Omega}_x} \left\| f(oldsymbol{x}) - oldsymbol{W}_v^{* op} oldsymbol{\xi}_v(oldsymbol{x})
ight\|
ight)$$

and $d_g^* = \max_{\boldsymbol{x} \in \Omega_x} \|\boldsymbol{G}(\boldsymbol{x}) - \boldsymbol{G}_0\|$. Assumption 4: The optimal approximation errors d_f^* and d_g^* are known nonnegative constants.

The robustifying component $u_{s,v}$ is proposed to be

$$\boldsymbol{u}_{s,v} = \begin{cases} -\frac{k_{s,v}}{g} \frac{\boldsymbol{\sigma}}{\|\boldsymbol{\sigma}\|} & \text{if } \|\boldsymbol{\sigma}\| \ge v \\ -\frac{k_{s,v}}{g} \frac{\boldsymbol{\sigma}}{v} & \text{if } \|\boldsymbol{\sigma}\| < v \end{cases}$$
(18)

where $\nu > 0$ is a design parameter, and $k_{s,\nu} = d_f + d_g \|\boldsymbol{u}_{a,\nu}\| +$ d_o with $d_f \geq d_f^*$ and $d_g \geq d_g^*$.

Remark 4: In the case when the optimal approximation errors d_f^* and d_g^* are not available, if $||f(x)|| \leq \overline{f}$ and $\|G(x)\| \leq \overline{g}$ with known \overline{f} and \overline{g} , one can conservatively choose $d_f = \overline{d}_f$ and $d_g = \overline{d}_g$, where

$$\overline{d}_f = \overline{f} + \max_{v} \left(\max_{\boldsymbol{\omega}_{i,v} \in \boldsymbol{\Omega}_{i,v}, \boldsymbol{x} \in \boldsymbol{\Omega}_x} \| \boldsymbol{W}_v^{\top} \boldsymbol{\xi}_v(\boldsymbol{x}) \| \right) \ge d_f^*$$

and $\overline{d}_g = \overline{g} + ||G_0|| \ge d_g^*$. Therefore, the necessary assumption for the proposed adaptive robust controller design is that the bounds of the unknown functions f(x) and G(x) are known, which is reasonable from a practical point of view and has been commonly used in the literature.

Remark 5: Let the increasing sequence $\{t_i\}_{i=0}^{\infty}$ be a partition of the interval $[t_0, \infty)$ such that $v = v_i$ over $[t_i, t_{i+1})$. During the *i*th time interval $[t_i, t_{i+1})$, the controller \boldsymbol{u} given by (12) and (18) has a fixed architecture. Thus, as discussed in [29], there exists a unique solution $x_{v_i}(t)$ to (2) starting at $x_{v_i}(t_i)$ over $[t_i, t_{i+1})$. On the other hand, the dwell time requirement on each mode has been imposed so that $t_{i+1} - t_i \ge T_d$. Therefore, the solutions $x_{v_i}(t)$ over $[t_i, t_{i+1})$ can be pieced together to establish the existence of a unique solution x(t)to (2) starting at $x(t_0)$ over $[t_0, \infty)$, where $x_{v_0}(t_0) = x(t_0)$ and $\mathbf{x}_{v_{i+1}}(t_{i+1}) = \mathbf{x}_{v_i}(t_{i+1}^-)$. Here, $\mathbf{x}_{v_i}(t_{i+1}^-) = \lim_{t \to t_{i+1}^-} x_{v_i}(t)$.

Now, consider the piecewise quadratic Lyapunov function whenever the proposed controller (12) is in the vth mode

$$V_v = \frac{1}{2} \boldsymbol{e}^{\top} \boldsymbol{P}_m \boldsymbol{e} + \frac{1}{2\kappa} \operatorname{trace} \left(\boldsymbol{\Phi}_v^{\top} \boldsymbol{\Phi}_v \right)$$
 (19)

where e and Φ_v are defined in (3) and (13), respectively. This Lyapunov function has jump discontinuities when the proposed controller switches between different modes. Evaluating the time derivative of V_p on the solutions of (17) and taking into account (16), it gives

$$\dot{V}_{v} = \boldsymbol{e}^{\top} \boldsymbol{P}_{m} \dot{\boldsymbol{e}} + \frac{1}{\kappa} \operatorname{trace} \left(\boldsymbol{\Phi}_{v}^{\top} \dot{\boldsymbol{\Phi}}_{v}\right) \\
= -\boldsymbol{e}^{\top} \boldsymbol{Q}_{m} \boldsymbol{e} + \boldsymbol{\sigma}^{\top} \boldsymbol{G}(\boldsymbol{x}) \boldsymbol{u}_{s,v} + \boldsymbol{\sigma}^{\top} \left(\boldsymbol{G}(\boldsymbol{x}) - \boldsymbol{G}_{0}\right) \boldsymbol{u}_{a,v} \\
+ \boldsymbol{\sigma}^{\top} \left(\boldsymbol{f}(\boldsymbol{x}) - \boldsymbol{W}_{v}^{*\top} \boldsymbol{\xi}_{v}(\boldsymbol{x})\right) + \boldsymbol{\sigma}^{\top} \boldsymbol{d} \\
+ \frac{1}{\kappa} \operatorname{trace} \left(\boldsymbol{\Phi}_{v}^{\top} \dot{\boldsymbol{\Phi}}_{v}\right) - \boldsymbol{\sigma}^{\top} \boldsymbol{\Phi}_{v}^{\top} \boldsymbol{\xi}_{v}(\boldsymbol{x}) \\
\leq -\lambda_{\min}(\boldsymbol{Q}_{m}) \|\boldsymbol{e}\|^{2} + \boldsymbol{\sigma}^{\top} \boldsymbol{G}(\boldsymbol{x}) \boldsymbol{u}_{s,v} \\
+ \left(d_{f}^{*} + d_{g}^{*} \|\boldsymbol{u}_{a,v}\| + d_{o}\right) \|\boldsymbol{\sigma}\| \\
+ \frac{1}{\kappa} \operatorname{trace} \left(\boldsymbol{\Phi}_{v}^{\top} \left(\dot{\boldsymbol{W}}_{v} - \kappa \boldsymbol{\xi}_{v}(\boldsymbol{x}) \boldsymbol{\sigma}^{\top}\right)\right) \\
\leq -\lambda_{\min}(\boldsymbol{Q}_{m}) \|\boldsymbol{e}\|^{2} + k_{s,v}^{*} \|\boldsymbol{\sigma}\| + \boldsymbol{\sigma}^{\top} \boldsymbol{G}(\boldsymbol{x}) \boldsymbol{u}_{s,v} \tag{20}$$

where $k_{s,v}^* = d_f^* + d_g^* \|\mathbf{u}_{a,v}\| + d_o \le k_{s,v}$. To proceed, two cases have to be analyzed. First, if $\|\boldsymbol{\sigma}\| > \nu$, then

$$k_{s,v}^* \| \boldsymbol{\sigma} \| + \boldsymbol{\sigma}^\top \boldsymbol{G}(\boldsymbol{x}) \boldsymbol{u}_{s,v} \le k_{s,v}^* \| \boldsymbol{\sigma} \| - k_{s,v} \| \boldsymbol{\sigma} \| \le 0.$$
 (21)

If, on the other hand, $\|\boldsymbol{\sigma}\| \leq \nu$, then

$$k_{s,v}^{*} \|\sigma\| + \sigma^{\top} G(x) u_{s,v} \leq k_{s,v}^{*} \|\sigma\| - k_{s,v}^{*} \frac{\|\sigma\|^{2}}{v}$$

$$= -k_{s,v}^{*} \left(\frac{\|\sigma\|}{\sqrt{v}} - \frac{\sqrt{v}}{2} \right)^{2} + \frac{k_{s,v}^{*}}{4} v$$

$$\leq \frac{k_{s,v}^{*}}{4} v. \tag{22}$$

Recall that $k_{s,p}^* = d_f^* + d_g^* || \boldsymbol{u}_{a,p} || + d_o$. Let

$$k_s^* = d_f^* + d_g^* \max_{p} \left(\max \| \boldsymbol{u}_{a,p} \| \right) + d_o$$
 (23)

where the inner maximization is taken over $e \in \Omega_e$, $x_d \in \Omega_{x_d}$, $\mathbf{y}_d^{(n)} \in \mathbf{\Omega}_{y_d}$, and $\boldsymbol{\omega}_{i,v} \in \mathbf{\Omega}_{i,v}$. Combining (21) and (22), it gives

$$k_{s,v}^* \| \boldsymbol{\sigma} \| + \boldsymbol{\sigma}^\top \boldsymbol{G}(x) \boldsymbol{u}_{s,v} \le \frac{k_{s,v}^*}{4} v \le \frac{k_s^*}{4} v.$$
 (24)

It follows from (14) that

$$\frac{1}{2\kappa}\operatorname{trace}\left(\boldsymbol{\Phi}_{v}^{\top}\boldsymbol{\Phi}_{v}\right) = \sum_{i=1}^{p} \frac{1}{2\kappa}\boldsymbol{\phi}_{i,v}^{\top}\boldsymbol{\phi}_{i,v} \leq \sum_{i=1}^{p} c_{i}.$$
 (25)

Let $\mu_m = \lambda_{\min}(\mathbf{Q}_m)/\lambda_{\max}(\mathbf{P}_m)$ and $c = \sum_{i=1}^p c_i$. Substituting (24) into (20) and taking into account (25) gives

$$\dot{V}_{v} \leq -\lambda_{\min}(\mathbf{Q}_{m}) \|\mathbf{e}\|^{2} + \frac{k_{s}^{*}}{4} v
\leq -2\mu_{m} \frac{1}{2} \mathbf{e}^{\top} \mathbf{P}_{m} \mathbf{e} + \frac{k_{s}^{*}}{4} v
\leq -2\mu_{m} V_{v} + 2\mu_{m} c + \frac{k_{s}^{*}}{4} v
\leq -\mu_{m} V_{v} - \mu_{m} (V_{v} - 2\bar{c})$$
(26)

where $\bar{c} = c + (k_s^* v)/(8\mu_m)$. Let $t_{0,v}$ and $t_{f,v}$ denote the initial and the final time, respectively, of the period during which the controller is in the vth mode. It follows from (26) that if $V_v(t) \ge 2\bar{c}$ for $t \in [t_{0,v}, t_{f,v}]$, then $V_v(t) \le -\mu_m V_v(t)$, which implies that

$$V_{v}(t) \le \exp(-\mu_{m}(t - t_{0,v}))V_{v}(t_{0,v})$$
 (27)

for $t \in [t_{0,v}, t_{f,v}]$.

Theorem 1: Let t_1 , t_2 , and t_3 be three consecutive switching time instants so that $v=v_1$ for $t\in [t_1,t_2)$ and $v=v_2$ for $t\in [t_2,t_3)$. Suppose that $V_v(t)\geq 2\bar{c}$ for $t\in [t_1,t_3)$. If one of the following two mutually exclusive conditions are satisfied, then $V_{v_2}(t_2)< V_{v_1}(t_1)$ and $V_{v_2}(t_3^-)< V_{v_1}(t_2^-)$.

1) The dwell time T_d of the variable-structure RBF network is selected such that

$$T_d \ge \frac{1}{\mu_m} \ln \left(\frac{3}{2}\right). \tag{28}$$

2) The constants c and v satisfy the following inequality when T_d does not satisfy (28),

$$0 < c < \frac{\exp(\mu_m T_d) - 1}{3 - 2\exp(\mu_m T_d)} \frac{k_s^* v}{4\mu_m}. \tag{29}$$
 Proof: When the controller switches from the v_1 th to the

Proof: When the controller switches from the v_1 th to the v_2 th mode, there exists a jump between $V_{v_2}(t_2)$ and $V_{v_1}(t_2^-)$, which is denoted as

$$\Delta = V_{v_2}(t_2) - V_{v_1}(t_2^-). \tag{30}$$

Because x and x_d are continuous, therefore e is continuous. Hence, it follows from (19) and (25) that

$$\Delta = \frac{1}{2\kappa} \operatorname{trace} \left(\mathbf{\Phi}_{v_2}^{\top}(t_2) \mathbf{\Phi}_{v_2}(t_2) \right) - \frac{1}{2\kappa} \operatorname{trace} \left(\mathbf{\Phi}_{v_1}^{\top}(t_2^{-}) \mathbf{\Phi}_{v_1}(t_2^{-}) \right)$$

and $|\Delta| \le c$. Because $V_{v_2}(t) \ge 2\bar{c}$ for $t \in [t_2, t_3)$, it follows from (27) and (30) that

$$\begin{aligned} V_{v_2}(t_3^-) &\leq \exp(-\mu_m(t_3 - t_2)) V_{v_2}(t_2) \\ &= \exp(-\mu_m(t_3 - t_2)) \left(V_{v_1}(t_2^-) + \Delta \right) \\ &\leq \exp(-\mu_m(t_3 - t_2)) \left(V_{v_1}(t_2^-) + c \right). \end{aligned}$$

Due to the dwell time requirement on the variable-structure RBF network, it is true that $t_3-t_2 \geq T_d$, which implies that $\exp(-\mu_m(t_3-t_2)) \leq \exp(-\mu_m T_d)$. Therefore, $V_{v_2}(t_3^-) \leq \exp(-\mu_m T_d) \left(V_{v_1}(t_2^-) + c\right)$. To obtain $V_{v_2}(t_3^-) < V_{v_1}(t_2^-)$, it is sufficient that

$$\exp(-\mu_m T_d) \left(V_{v_1}(t_2^-) + c \right) < V_{v_1}(t_2^-). \tag{31}$$

Now, two different cases are analyzed.

Case (a): For fixed c and ν , solve (31) for T_d to obtain

$$T_d > \frac{1}{\mu_m} \ln \left(\frac{V_{v_1}(t_2^-) + c}{V_{v_1}(t_2^-)} \right).$$

It is easy to verify that the right-hand side of the above inequality is a decreasing function of $V_{v_1}(t_2^-)$ when $V_{v_1}(t_2^-) \ge 2\bar{c}$. Recall that $\bar{c} = c + k_s^* v / (8\mu_m) > c$. It follows that

$$\ln\left(\frac{V_{v_1}(t_2^-)+c}{V_{v_1}(t_2^-)}\right) \leq \ln\left(\frac{2\bar{c}+c}{2\bar{c}}\right) < \ln\left(\frac{3}{2}\right).$$

Therefore, if T_d satisfies (28), then (31) is satisfied, which implies that $V_{v_2}(t_3^-) < V_{v_1}(t_2^-)$.

Case (b): For each fixed T_d , solve (31) for c to obtain $c < (\exp(\mu_m T_d) - 1) V_{v_1}(t_2^-)$. Note that $V_{v_1}(t_2^-) \ge 2\bar{c}$ and $\bar{c} = c + k_s^* v/(8\mu_m)$. To guarantee the above, it is enough that

$$c < 2\left(\exp(\mu_m T_d) - 1\right) \left(c + \frac{k_s^* \nu}{8\mu_m}\right).$$
 (32)

If T_d does not satisfy (28), that is, $0 < T_d < \ln(3/2)/\mu_m$, it is easy to verify that $2 \exp(\mu_m T_d) < 3$. Then, solving (32) for c, it gives

$$0 < c < \frac{\exp(\mu_m T_d) - 1}{3 - 2\exp(\mu_m T_d)} \frac{k_s^* \nu}{4\mu_m}$$

which guarantees (31) and then $V_{v_2}(t_3^-) < V_{v_1}(t_2^-)$.

On the other hand, because $V_{v_1}(t) \ge 2\bar{c}$ for $t \in [t_1, t_2)$, it follows from (27) that $V_{v_1}(t_2^-) \le \exp(-\mu_m(t_2 - t_1))V_{v_1}(t_1)$, which implies that

$$V_{v_1}(t_1) \ge \exp(\mu_m(t_2 - t_1))V_{v_1}(t_2^-) \ge \exp(\mu_m T_d)V_{v_1}(t_2^-)$$

as $t_2 - t_1 \ge T_d$. Note that, for both conditions given by (28) and (29), $V_{v_1}(t_2^-) > \exp(-\mu_m T_d) (V_{v_1}(t_2^-) + c)$. Then, it follows that

$$V_{v_1}(t_1) \ge \exp(\mu_m T_d) V_{v_1}(t_2^-) > V_{v_1}(t_2^-) + c \ge V_{v_2}(t_2)$$

where $V_{v_2}(t_2) = V_{v_1}(t_2^-) + \Delta$.

In summary, if either one of the conditions (28) or (29) is satisfied, then $V_{v_2}(t_2) < V_{v_1}(t_1)$ and $V_{v_2}(t_3^-) < V_{v_1}(t_2^-)$.

Remark 6: Recall that $c = \sum_{i=1}^{p} c_i$. It follows from (14) that one can decrease c_i , and thus c, by increasing κ . Hence, (29) can always be satisfied by selecting a large κ . However, this may lead to excessively large values of κ , which can adversely impact the adaptation law (15). Thus, choosing the dwell time T_d to satisfy condition (a) is typically the one that can be practically used. Condition (b) is included in Theorem 1 only for theoretical completeness to show that the system stability may still be guaranteed even when condition (a) fails, i.e., with a small T_d .

The following corollary easily follows from Theorem 1.

Corollary 1: If one of the conditions (28) or (29) is satisfied, then $V_{v}(t)$ will visit the interval $[0, 2\bar{c}]$ infinitely often. That is, for any $T \ge t_0$, there exists a time $t \ge T$ such that $V_{v}(t) < 2\bar{c}$.

Theorem 2: For the system (2) driven by the adaptive robust state feedback controller (12) with the robustifying component (18) and the adaptation law (15), suppose that one of the conditions (28) or (29) is satisfied. If $c_e \ge \max \left\{ c_{e_0} + c, 2\bar{c} + c \right\}$, then $e(t) \in \Omega_e$ and $x(t) \in \Omega_x$ for $t \ge t_0$. Moreover, there exists a finite time $T \ge t_0$ such that

$$\frac{1}{2}\boldsymbol{e}^{\top}(t)\boldsymbol{P}_{m}\boldsymbol{e}(t) \leq 2\bar{c} + c \tag{33}$$

for $t \geq T$.

Proof: If T_d satisfies (28) or, otherwise, the constants c and v satisfy (29), it follows from Corollary 1 that $V_v(t)$ will visit the interval $[0, 2\bar{c}]$ infinitely often. Let $T \geq t_0$ be the first time instant such that $V_v(T) \leq 2\bar{c}$. The trajectory of $V_v(t)$ starting at t = T will stay inside the interval $[0, 2\bar{c}]$ until it jumps outside when the controller switches its mode. However, the jump of $V_v(t)$ between different modes satisfies that $|\Delta| \leq c$. Hence, $V_v(t) \leq 2\bar{c} + c$ for $t \geq T$.

On the other hand, it is known that $V_v(t_0) \leq c_{e_0} + c$. If $c_e \geq \max \{c_{e_0} + c, 2\bar{c} + c\}$, then $V_v(t) \leq c_e$ for $t \geq t_0$. It follows from $\frac{1}{2} e^{\top}(t) P_m e(t) \leq V_v(t)$ that $\frac{1}{2} e^{\top}(t) P_m e(t) \leq c_e$, which implies that $e(t) \in \Omega_e$ and $x(t) \in \Omega_x$, for $t \geq t_0$. Moreover, $\frac{1}{2} e^{\top}(t) P_m e(t) \leq 2\bar{c} + c$ for $t \geq T$.

Note that, in the above theorem, the controller could keep switching between different modes all the time. The following result is concerned with a special case when the switching of the controller mode stops after a finite time.

Corollary 2: Suppose that either T_d satisfies (28) or the constants c and v satisfy (29). In addition, suppose that there exists a finite time $T_s \ge t_0$ such that $v = v_s$ for $t \ge T_s$. If $c_e \ge \max\{c_{e_0} + c, 2\bar{c} + c\}$, then $e(t) \in \Omega_e$ and $x(t) \in \Omega_x$ for $t \ge t_0$, and there exists a finite time $T \ge T_s$ such that

$$\frac{1}{2}\boldsymbol{e}^{\top}(t)\boldsymbol{P}_{m}\boldsymbol{e}(t) \leq 2\bar{c} \tag{34}$$

for $t \geq T$.

Proof: If either T_d satisfies (28) or the constants c and v satisfy (29), it follows from Theorem 2 that if $c_e \geq \max\{c_{e_0} + c, 2\bar{c} + c\}$, then $e(t) \in \Omega_e$ and $x(t) \in \Omega_x$ for $t \geq t_0$. If, in addition, $v = v_s$ for $t \geq T_s$, then it follows from (26) that $\dot{V}_{v_s} \leq -\mu_m V_{v_s} - \mu_m (V_{v_s} - 2\bar{c})$ for $t \geq T_s$. Thus, there exists a finite time $T \geq T_s$ such that $V_{v_s} \leq 2\bar{c}$, which implies that $\frac{1}{2}e^{T}(t)P_m e(t) \leq 2\bar{c}$, for $t \geq T$.

Remark 7: It can be seen from (33) and (34) that the tracking performance is directly proportional to the magnitude of c and v. Recall that the magnitude of c is inversely proportional to κ . Therefore, increasing κ and decreasing v would result in better tracking performance.

V. OUTPUT FEEDBACK CONTROLLER CONSTRUCTION

In the development of the adaptive robust state feedback in the previous section, the availability of the system states has been assumed. However, in practical applications, often only the system outputs are available. Thus, it is desirable to develop an adaptive robust output feedback controller. In the following, it is assumed that the system output measurements are of high accuracy. The measurement noise will have a detrimental effect on the performance of the proposed output feedback controller. This is because not only will the adaptive component fit to the measurement noise but also the output tracking accuracy cannot be guaranteed.

A. High-Gain Tracking Error Observer

Let \hat{e}_{yi} be the estimate of the *i*th output tracking error and let $\hat{e}_i = [\hat{e}_{yi} \cdots \hat{e}_{yi}^{(n_i-1)}]^{\top}$. To proceed, apply the following high-gain observer [8], [14],

$$\dot{\hat{\boldsymbol{e}}}_i = \boldsymbol{A}_i \hat{\boldsymbol{e}}_i + \boldsymbol{l}_i \left(e_{vi} - \boldsymbol{c}_i \hat{\boldsymbol{e}}_i \right), \qquad i = 1, \dots, p$$
 (35)

to estimate the tracking error of the ith subsystem e_i . The observer gain l_i is chosen as $l_i = [\alpha_{i1}/\epsilon \cdots \alpha_{in_i}/\epsilon^{n_i}]^{\top}$, where $\epsilon \in (0, 1)$ is a design parameter and α_{ij} , $j = 1, \ldots, n_i$, are selected so that the roots of the characteristic polynomial equation, $s^{n_i} + \alpha_{i1}s^{n_i-1} + \cdots + \alpha_{i(n_i-1)}s + \alpha_{in_i} = 0$, have negative real parts. Let $\hat{e} = [\hat{e}_1^{\top} \cdots \hat{e}_p^{\top}]^{\top}$ and let $L = \text{diag}[l_1 \cdots l_p]$. Then, the high-gain observer (35) can be represented in a compact form as

$$\dot{\hat{e}} = A\hat{e} + L(e_y - C\hat{e}). \tag{36}$$

To facilitate the stability analysis of the closed-loop system, the dynamics of the estimation error are represented in the singularly perturbed form. Let $\boldsymbol{\zeta} = [\boldsymbol{\zeta}_1^\top \cdots \boldsymbol{\zeta}_p^\top]^\top$, where $\boldsymbol{\zeta}_i = [\zeta_{i1} \cdots \zeta_{in_i}]^\top$ with

$$\zeta_{ij} = \frac{e_{yi}^{(j-1)} - \hat{e}_{yi}^{(j-1)}}{\epsilon^{n_i - j}}, \quad j = 1, \dots, n_i.$$
 (37)

It follows from (37) that $\hat{e} = e - D\zeta$, where $D = \text{diag}[D_1 \cdots D_p]$ and $D_i = \text{diag}[\epsilon^{n_i-1} \cdots 1]$. Note that ||D|| = 1. Taking into account (4) and (36) yields

$$\epsilon \dot{\zeta} = A_c \zeta + \epsilon B \left(f(x) + G(x) u - y_d^{(n)} + d \right)$$
 (38)

where $A_c = \text{diag}[A_{c1} \cdots A_{cp}]$ and $A_{ci} = \epsilon D_i^{-1}(A_i - l_i c_i) D_i$ is a Hurwitz matrix. Applying the method from [30] and [31], the following proposition can be proved.

Proposition 1: Suppose that the controller \boldsymbol{u} in (38) is globally bounded. Then, there exists a constant $\epsilon_1^* \in (0, 1)$ such that if $\epsilon \in (0, \epsilon_1^*)$, then $\|\boldsymbol{\zeta}(t)\| \leq \beta \epsilon$ with some $\beta > 0$ for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$, where $T_1(\epsilon)$ is a finite time and $t_0 + T_3$ is the moment when the tracking error $\boldsymbol{e}(t)$ leaves the compact set Ω_e for the first time. Moreover, $\lim_{\epsilon \to 0^+} T_1(\epsilon) = 0$ and $c_{e_1} = \frac{1}{2} \boldsymbol{e}(t_0 + T_1(\epsilon))^{\top} \boldsymbol{P}_m \boldsymbol{e}(t_0 + T_1(\epsilon)) < c_e$.

B. Controller Design

Let $\hat{x} = x_d + \hat{e}$. Substituting \hat{x} and \hat{e} for x and e, respectively, into the controller u in (12) with (18) yields

$$\hat{\boldsymbol{u}} = \hat{\boldsymbol{u}}_{a,v} + \hat{\boldsymbol{u}}_{s,v} \tag{39}$$

with $\hat{\pmb{u}}_{a,v} = \pmb{G}_0^{-1}(-\hat{\pmb{f}}_v(\hat{\pmb{x}}) + \pmb{y}_d^{(n)} - \pmb{K}\hat{\pmb{e}})$ and

$$\hat{\boldsymbol{u}}_{s,v} = \begin{cases} -\frac{\hat{k}_{s,v}}{g} \frac{\hat{\boldsymbol{\sigma}}}{\|\hat{\boldsymbol{\sigma}}\|} & \text{if } \|\hat{\boldsymbol{\sigma}}\| \geq v \\ -\frac{\hat{k}_{s,v}}{g} \frac{\hat{\boldsymbol{\sigma}}}{\mu} & \text{if } \|\hat{\boldsymbol{\sigma}}\| < v \end{cases}$$

where $\hat{k}_{s,v} = d_f + d_g \|\hat{\boldsymbol{u}}_{a,v}\| + d_o$ and $\hat{\boldsymbol{\sigma}} = \boldsymbol{B}^\top \boldsymbol{P}_m \hat{\boldsymbol{e}}$. Accordingly, the adaptation for the weight matrix \boldsymbol{W}_v takes the form

$$\dot{\boldsymbol{W}}_{n} = \operatorname{Proj}\left(\boldsymbol{W}_{n}, \kappa \boldsymbol{\xi}_{n}(\hat{\boldsymbol{x}}) \hat{\boldsymbol{\sigma}}^{\top}\right) \tag{40}$$

which ensures that

$$\frac{1}{\kappa}\operatorname{trace}\left(\mathbf{\Phi}_{v}^{\top}\left(\dot{\mathbf{W}}_{v}-\kappa\boldsymbol{\xi}_{v}(\hat{\mathbf{x}})\hat{\boldsymbol{\sigma}}^{\top}\right)\right)\leq0.\tag{41}$$

Recall that associated with the high-gain observer described by (36), there exist peaking phenomena [31], [32]. This prevents us from directly applying the controller (39) obtained by replacing e with \hat{e} in the state feedback controller (12). To eliminate the peaking phenomena, saturation on the control input (39) is introduced as in [31]. Let $\Omega_{\hat{e}} = \{e : \frac{1}{2}e^{\top}P_me \le c_{\hat{e}}\}$, where $c_{\hat{e}} > c_e$, and let

$$U_i \geq \max_{p} \left(\max \left| u_i(\boldsymbol{e}, \boldsymbol{x}_d, \boldsymbol{y}_{di}^{(n)}, \boldsymbol{\omega}_{i,v}) \right| \right)$$

where u_i is the *i*th element of \boldsymbol{u} defined in (12), and the inner maximization is taken over $\boldsymbol{e} \in \Omega_{\hat{e}}$, $x_d \in \Omega_{x_d}$, $y_{di}^{(n)} \in \Omega_{y_{di}}$, and $\omega_{i,v} \in \Omega_{i,v}$. Then, the proposed adaptive robust output feedback controller takes the form

$$\mathbf{u}^{s} = \left[U_{1} \operatorname{sat} \left(\frac{\hat{u}_{1}}{U_{1}} \right) \cdots U_{p} \operatorname{sat} \left(\frac{\hat{u}_{p}}{U_{p}} \right) \right]^{\top}$$
 (42)

where sat is the saturation function defined as

$$\operatorname{sat}\left(\frac{\hat{u}_i}{U_i}\right) = \max\left\{\min\left\{\frac{\hat{u}_i}{U_i}, 1\right\}, -1\right\}.$$

Note that the control input is globally bounded by construction. Hence, it follows from Proposition 1 that if $\epsilon \in (0, \epsilon_1^*)$, then $e(t) \in \Omega_e$ and $\|\boldsymbol{\zeta}(t)\| \leq \beta \epsilon$ for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. Thus, $\|\boldsymbol{e}(t) - \hat{\boldsymbol{e}}(t)\| \leq \|\boldsymbol{D}\| \|\boldsymbol{\zeta}(t)\| \leq \beta \epsilon$ for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. There exists a constant ϵ_2 such that if $\|\boldsymbol{e}(t) - \hat{\boldsymbol{e}}(t)\| \leq \beta \epsilon_2$, then $\hat{\boldsymbol{e}}(t) \in \Omega_{\hat{\boldsymbol{e}}}$. Let $\epsilon_2^* = \min\{\epsilon_1^*, \epsilon_2\}$. If $\epsilon \in (0, \epsilon_2^*)$, the saturation of the adaptive robust output feedback (42) is not effective, that is, $\boldsymbol{u}^s = \hat{\boldsymbol{u}} = \hat{\boldsymbol{u}}_{a,v} + \hat{\boldsymbol{u}}_{s,v}$ for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. Substituting $\boldsymbol{u}^s = \hat{\boldsymbol{u}}_{a,v} + \hat{\boldsymbol{u}}_{s,v}$ into (4) gives

$$\dot{e} = Ae + B(f(x) + G(x)(\hat{u}_{a,v} + \hat{u}_{s,v}) - y_d^{(n)} + d)
= Ae + B(\hat{f}_v(\hat{x}) + G_0\hat{u}_{a,v} - y_d^{(n)})
+ B(f(x) - \hat{f}_v(\hat{x}) + (G(x) - G_0)\hat{u}_{a,v})
+ B(+G(x)\hat{u}_{s,v} + d)
= A_m e + BK(e - \hat{e}) + BG(x)\hat{u}_{s,v}
+ B(f(x) - \hat{f}_v(\hat{x}) + (G(x) - G_0)\hat{u}_{a,v} + d).$$
(43)

Now, consider the same piecewise quadratic Lyapunov function as in (19) for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$ and $\epsilon \in (0, \epsilon_2^*)$. The time derivative of V_v evaluated on the solutions of (43) takes the following form,

$$\dot{V}_{v} = e^{\top} P_{m} \dot{e} + \frac{1}{\kappa} \operatorname{trace} \left(\Phi_{v}^{\top} \dot{\Phi}_{v} \right)
= e^{\top} P_{m} A_{m} e + \sigma^{\top} K (e - \hat{e})
+ \sigma^{\top} G(x) \hat{u}_{s,v} + \frac{1}{\kappa} \operatorname{trace} \left(\Phi_{v}^{\top} \dot{\Phi}_{v} \right)
+ \sigma^{\top} \left(f(x) - \hat{f}_{v}(\hat{x}) + \left(G(x) - G_{0} \right) \hat{u}_{a,v} + d \right)
= -e^{\top} Q_{m} e + \sigma^{\top} K (e - \hat{e})
+ \hat{\sigma}^{\top} G(x) \hat{u}_{s,v} + \frac{1}{\kappa} \operatorname{trace} \left(\Phi_{v}^{\top} \dot{\Phi}_{v} \right)
+ \hat{\sigma}^{\top} \left(f(x) - \hat{f}_{v}(\hat{x}) + \left(G(x) - G_{0} \right) \hat{u}_{a,v} + d \right)
+ \left(\sigma - \hat{\sigma} \right)^{\top} \left(f(x) - \hat{f}_{v}(\hat{x}) + \left(G(x) - G_{0} \right) \hat{u}_{a,v} \right)
+ \left(\sigma - \hat{\sigma} \right)^{\top} \left(d + G(x) \hat{u}_{s,v} \right)
= -e^{\top} Q_{m} e + \sigma^{\top} K (e - \hat{e}) + \hat{\sigma}^{\top} G(x) \hat{u}_{s,v}
+ \frac{1}{\kappa} \operatorname{trace} \left(\Phi_{v}^{\top} \left(\dot{W}_{v} - \kappa \xi_{v}(\hat{x}) \hat{\sigma}^{\top} \right) \right) + \hat{\sigma}^{\top} d
+ \hat{\sigma}^{\top} \left(f(x) - W_{v}^{*\top} \xi_{v}(\hat{x}) \right) + \hat{\sigma}^{\top} \left(G(x) - G_{0} \right) \hat{u}_{a,v}
+ \left(\sigma - \hat{\sigma} \right)^{\top} \left(f(x) - \hat{f}_{v}(\hat{x}) + \left(G(x) - G_{0} \right) \hat{u}_{a,v} \right)
+ \left(\sigma - \hat{\sigma} \right)^{\top} \left(d + G(x) \hat{u}_{s,v} \right). \tag{44}$$

For $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$, if $\epsilon \in (0, \epsilon_2^*)$, $\|\zeta(t)\| \leq \beta \epsilon$, $\|e(t) - \hat{e}(t)\| \leq \beta \epsilon$, $e(t) \in \Omega_e$, $\hat{e}(t) \in \Omega_{\hat{e}}$, $x_d(t) \in \Omega_{x_d}$, and $y_d^{(n)}(t) \in \Omega_{y_d}$. Hence, $\sigma(t)$, $\hat{\sigma}(t)$, x(t), $\hat{x}(t)$, $\hat{u}_{a,v}(t)$, and $\hat{u}_{s,v}(t)$ are all bounded for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. Thus

$$\|\boldsymbol{\sigma}^{\top}\boldsymbol{K}(\boldsymbol{e} - \hat{\boldsymbol{e}})\| \le r_1 \epsilon \tag{45}$$

and

$$\left\| \frac{\left(\sigma - \hat{\sigma}\right)^{\top} \left(f(x) - \hat{f}_{v}(\hat{x}) + \left(G(x) - G_{0}\right) \hat{u}_{a,v}\right)}{+ \left(\sigma - \hat{\sigma}\right)^{\top} \left(d + G(x) \hat{u}_{s,v}\right)} \right\| \leq r_{2} \epsilon$$

$$(46)$$

for some r_1 , $r_2 > 0$. On the other hand, it follows from the Lipschitz continuity of the RCRBF that

$$\|W_{D}^{*\top} \xi_{D}(x) - W_{D}^{*\top} \xi_{D}(\hat{x})\| \leq L_{D} \|x - \hat{x}\|$$

for some $L_v > 0$. Let $L = \max_v L_v$. Taking into account that $\|\mathbf{x} - \hat{\mathbf{x}}\| = \|\mathbf{e} - \hat{\mathbf{e}}\|$, it gives

$$\|\hat{\boldsymbol{\sigma}}^{\top}(f(\boldsymbol{x}) - \boldsymbol{W}_{v}^{*\top}\boldsymbol{\xi}_{v}(\hat{\boldsymbol{x}}))\|$$

$$\leq \|f(\boldsymbol{x}) - \boldsymbol{W}_{v}^{*\top}\boldsymbol{\xi}_{v}(\boldsymbol{x})\|\|\hat{\boldsymbol{\sigma}}\|$$

$$+ \|\boldsymbol{W}_{v}^{*\top}\boldsymbol{\xi}_{v}(\boldsymbol{x}) - \boldsymbol{W}_{v}^{*\top}\boldsymbol{\xi}_{v}(\hat{\boldsymbol{x}})\|\|\hat{\boldsymbol{\sigma}}\|$$

$$\leq d_{f}^{*}\|\hat{\boldsymbol{\sigma}}\| + L\|\boldsymbol{e} - \hat{\boldsymbol{e}}\|\|\hat{\boldsymbol{\sigma}}\|$$

$$\leq d_{f}^{*}\|\hat{\boldsymbol{\sigma}}\| + r_{3}\epsilon \tag{47}$$

for some $r_3 > 0$. It follows from (41) and (44)–(47) that

$$\dot{V}_{v} \leq -\boldsymbol{e}^{\top} \boldsymbol{Q}_{m} \boldsymbol{e} + \left(d_{f}^{*} + d_{g}^{*} \|\hat{\boldsymbol{u}}_{a,v}\| + d_{o}\right) \|\hat{\boldsymbol{\sigma}}\|
+ \hat{\boldsymbol{\sigma}}^{\top} \boldsymbol{G}(\boldsymbol{x}) \hat{\boldsymbol{u}}_{s,v} + (r_{1} + r_{2} + r_{3}) \epsilon
\leq -\boldsymbol{e}^{\top} \boldsymbol{Q}_{m} \boldsymbol{e} + \hat{k}_{s,v}^{*} \|\hat{\boldsymbol{\sigma}}\| + \hat{\boldsymbol{\sigma}}^{\top} \boldsymbol{G}(\boldsymbol{x}) \hat{\boldsymbol{u}}_{s,v} + r \epsilon$$
(48)

where $r = r_1 + r_2 + r_3$ and $\hat{k}_{s,v}^* = d_f^* + d_g^* ||\hat{\boldsymbol{u}}_{a,v}|| + d_o \leq \hat{k}_{s,v}$. Applying the same arguments that are used to obtain (21) and (22) yields

$$\hat{k}_{s,v}^* \| \hat{\sigma} \| + \hat{\sigma}^\top G(x) \hat{u}_{s,v} \le \frac{\hat{k}_{s,v}^*}{4} v \le \frac{\hat{k}_s^*}{4} v$$
 (49)

with $\hat{k}_s^* = d_f^* + d_g^* \max_v \left(\max \|\hat{\boldsymbol{u}}_{a,v}\| \right) + d_o$, where the inner maximization is taken over $\boldsymbol{x}_d \in \boldsymbol{\Omega}_{x_d}, \, \boldsymbol{y}_d^{(n)} \in \boldsymbol{\Omega}_{y_d}$, and $\hat{\boldsymbol{e}} \in \boldsymbol{\Omega}_{\hat{\boldsymbol{e}}}$. It follows from (48) and (49) that

$$\dot{V}_{v} \leq -\boldsymbol{e}^{\top} \boldsymbol{Q}_{m} \boldsymbol{e} + \frac{\hat{k}_{s}^{*}}{4} \boldsymbol{v} + r \boldsymbol{\epsilon}$$

$$\leq -2 \mu_{m} V_{v} + 2 \mu_{m} c + \frac{\hat{k}_{s}^{*}}{4} \boldsymbol{v} + r \boldsymbol{\epsilon}$$

$$= -\mu_{m} V_{v} - \mu_{m} (V_{v} - 2\hat{c} - r \boldsymbol{\epsilon}) \tag{50}$$

where $\hat{c} = c + (\hat{k}_s^* \nu)/(8\mu_m)$. Recall that $t_{0,v}$ and $t_{f,v}$ denote the initial and the final time, respectively, of the vth mode. It follows from (48) that if $V_v(t) \geq 2\hat{c} + r\epsilon$ for $t \in [t_{0,v}, t_{f,v}] \cap [t_0 + T_1(\epsilon), t_0 + T_3)$, then $\dot{V}_v(t) \leq -\mu_m V_v(t)$, which implies that for $t \in [t_{0,v}, t_{f,v}] \cap [t_0 + T_1(\epsilon), t_0 + T_3)$,

$$V_{v}(t) \le \exp(-\mu_{m}(t - t_{0,v}))V_{v}(t_{0,v}). \tag{51}$$

Replacing $2\bar{c}$ with $2\hat{c} + r\epsilon$ in the proof of Theorem 1, the following corollary can be proved.

Corollary 3: Let t_1 , t_2 , and t_3 be three consecutive switching time instants in the interval $[t_0 + T_1(\epsilon), t_0 + T_3)$ so that $v = v_1$ for $t \in [t_1, t_2)$ and $v = v_2$ for $t \in [t_2, t_3)$. Suppose that $V_v(t)$ satisfies (51) and $V_v(t) \ge 2\hat{c} + r\epsilon$ for $t \in [t_1, t_3)$. If one of the following conditions are satisfied, then $V_{v_2}(t_2) < V_{v_1}(t_1)$ and $V_{v_2}(t_3^-) < V_{v_1}(t_2^-)$.

1) The dwell time T_d of the variable-structure RBF network is selected such that

$$T_d \ge \frac{1}{\mu_m} \ln \left(\frac{3}{2}\right). \tag{52}$$

2) The constants c and v satisfy the following inequality when T_d does not satisfy (52),

$$0 < c < \frac{\exp(\mu_m T_d) - 1}{3 - 2\exp(\mu_m T_d)} \left(\frac{k_s \nu}{4\mu_m} + r\epsilon\right). \tag{53}$$

Theorem 3: For the system (2) driven by the proposed adaptive robust output feedback controller (42) with the adaptation laws (40), suppose that one of the conditions (52) or (53) is satisfied. If $c_e \geq c_{e_1} + c$ and $c_e > 2\hat{c} + c$, there exists a constant $\epsilon^* \in (0,1)$ so that if $\epsilon \in (0,\epsilon^*)$, then $e(t) \in \Omega_e$ and $x(t) \in \Omega_x$ for $t \geq t_0$. Moreover, there exists a finite time $T \geq t_0 + T_1(\epsilon)$ such that

$$\frac{1}{2}\boldsymbol{e}(t)^{\top}\boldsymbol{P}_{m}\boldsymbol{e}(t) \leq 2\hat{c} + r\epsilon + c \tag{54}$$

with some r > 0 for $t \ge T$. In addition, suppose that there exists a finite time $T_s \ge t_0 + T_1(\epsilon)$ such that $v = v_s$ for $t \ge T_s$. Then, there exists a finite time $T \ge T_s$ such that

$$\frac{1}{2}\boldsymbol{e}^{\top}(t)\boldsymbol{P}_{m}\boldsymbol{e}(t) \leq 2\hat{c} + r\epsilon \tag{55}$$

for $t \geq T$.

Proof: Recall that if $\epsilon \in (0, \epsilon_2^*)$, then $\dot{V}_v(t)$ satisfies (50) for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$, where $V(t_0 + T_1(\epsilon)) \le c_{e_1} + c$. If, at the same time, one of the conditions (52) or (53) is satisfied, then it follows from Corollary 3 that

$$V_v(t) \le \max \left\{ V(t_0 + T_1(\epsilon)), 2\hat{c} + r\epsilon + c \right\}$$

$$\le \max \left\{ c_{e_1} + c, 2\hat{c} + r\epsilon + c \right\}$$
 (56)

for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. If $c_e > 2\hat{c} + c$, there exists a constant ϵ_3 so that if $\epsilon \in (0, \epsilon_3)$, then $c_e \ge 2\hat{c} + r\epsilon + c$. Let $\epsilon^* = \min\{\epsilon_2^*, \epsilon_3\}$. If $\epsilon \in (0, \epsilon^*)$ and $c_e \ge c_{e_1} + c$, then $V_v(t) \le c_e$ for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. Because $\frac{1}{2}e(t)^\top P_m e(t) \le V_v(t)$, it is true that $\frac{1}{2}e(t)^\top P_m e(t) \le c_e$, that is, $e \in \Omega_e$ for $t \in [t_0 + T_1(\epsilon), t_0 + T_3)$. Recall that $t_0 + T_3$ is the moment when the tracking error e(t) leaves the compact set Ω_e for the first time. However, it follows from the above that e(t) will never leave the compact set Ω_e , which implies that $T_3 \to \infty$. Because $e(t) \in \Omega_e$ for $t \in [t_0, t_0 + T_1(\epsilon)]$, it is true that $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ for $e(t) \in \Omega_e$ and thus $e(t) \in \Omega_e$ for $e(t) \in \Omega_$

If, in addition, there exists a finite time $T_s \ge t_0 + T_1(\epsilon)$ such that $v = v_s$ for $t \ge T_s$, the arguments in Corollary 2 can be applied to show that there exists a finite time $T \ge T_s$ such that $\frac{1}{2}e^{\top}(t)P_me(t) \le 2\hat{c} + r\epsilon$ for $t \ge T$.

It can be seen from (33), (34), (54), and (55) that the performance of the output feedback controller approaches that of the state feedback controller as ϵ approaches zero.

VI. EXAMPLES

In this section, the effectiveness of the proposed variable neural adaptive robust controllers is first demonstrated on the epoxy core linear motor described in [25] and the planar articulated two-link manipulator given in [26]. Then, the Duffing forced oscillation system as given in [6] is used to illustrate the performance of the proposed variable neural adaptive robust controllers on a time-varying system.

Example 1: In this example, the proposed state feed-back controller is tested on the epoxy core linear motor described in [25]. The design model of this linear motor has the form

$$M\ddot{y} = u - B\dot{y} - A_f S_f(\dot{y}) + \eta.$$

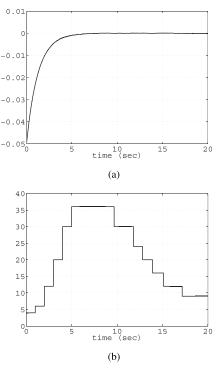


Fig. 3. State feedback controller performance in Example 1. (a) Output tracking error (m). (b) Variation of the number of grid nodes.

Here, M is the mass of the inertia load plus the coil assembly, y denotes the position of the inertia load, u denotes the input voltage to the motor, B is the equivalent viscous friction coefficient, $A_f S_f(\dot{y})$ is a continuous approximation of the discontinuous friction, and

$$\eta = B\dot{y} + A_f S_f(\dot{y}) - F(y, \dot{y}) + F_d$$

represents the lumped disturbance, where $F(y, \dot{y})$ is the lumped effect of friction and ripple forces and F_d represents the external disturbance such as cutting force in machine. The identified system parameters are $M = 0.1 \text{ V/m/s}^2$, B = 0.273 V/m/s, and $A_f = 0.09 \text{ V}$ with

$$S_f(\dot{y}) = \frac{2}{\pi} \arctan(900\dot{y}).$$

Moreover, 0.02 $V/m/s^2 \le M \le 0.12 \text{ V/m/s}^2$, $|u| \le 2 \text{ V}$, and $|\eta| \le 2 \text{ V}$. The linear motor is initially at rest, that is, $y = \dot{y} = 0$.

The reference signal is selected to be $y_d=0.05\cos(4t)$. For this reference signal, the grid boundaries for y and \dot{y} are chosen to be $[-0.1\ 0.1]$ and $[-0.4\ 0.4]$, respectively. The parameters of the controller are selected as $K=[4\ 4]$, $Q_m=0.5I_2$, $\underline{g}=1/0.12$, $G_0=20$, $d_f=30$, $d_g=30$, $d_o=100$, and v=0.001. The remaining design parameters of the variable-structure RCRBF network are selected as $e_{\max}=0.0005$, $\rho=0.2$, $\varrho=0.1$, $d_{\text{threshold}}=[0.01\ 0.01]$, $\underline{\omega}_1=\underline{\omega}_2=-10$, $\overline{\omega}_1=\overline{\omega}_2=10$, $\kappa=100$, and $T_d=1.0$ so that $T_d>\ln(3/2)/\mu_m=0.9252$, where $\mu_m=0.4383$. It can be seen in Fig. 3(a) that the trajectory of the tracking error enters a neighborhood around the origin and stays there thereafter. The maximum output tracking error is bounded by 0.0005. Thus, the proposed variable neural adaptive robust state feedback

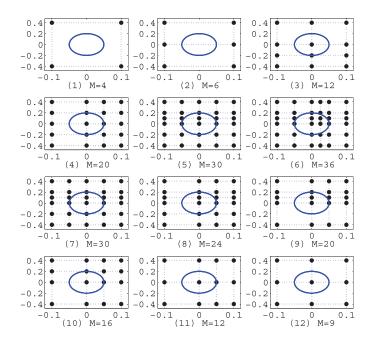


Fig. 4. Variation of the center grid in Example 1, where the ellipses are the phase portraits of the reference signal.

controller performs, as predicted by Theorem 2. The variation of the number of hidden neurons is shown in Fig. 3(b), while the variation of the center grid is shown in Fig. 4. When the tracking performance is poor, the network adds more RBFs to improve the approximation accuracy. When the tracking performance is acceptable, the network removes RBFs to avoid network redundancy.

Example 2: In this example, the proposed controller on a planar articulated two-link manipulator is tested. Let q_i and τ_i denote the angular position and the applied torque of the *i*-th joint, respectively, and let η_i denote the disturbance associated with τ_i . Then, the model of the two-link manipulator, which can be found in [33, p. 394], has the form

$$\begin{bmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{bmatrix} \begin{bmatrix} \ddot{q}_1 \\ \ddot{q}_2 \end{bmatrix} + \begin{bmatrix} -h\dot{q}_2 - h (\dot{q}_1 + \dot{q}_2) \\ h\dot{q}_1 & 0 \end{bmatrix} \begin{bmatrix} \dot{q}_1 \\ \dot{q}_2 \end{bmatrix} = \begin{bmatrix} \tau_1 + \eta_1 \\ \tau_2 + \eta_2 \end{bmatrix}$$
where $H_{12} = \sigma_1 + 2\sigma_2 \cos(\sigma_1) + 2\sigma_2 \sin(\sigma_2)$ $H_{23} = H_{23}$

where $H_{11} = a_1 + 2a_3 \cos(q_2) + 2a_4 \sin(q_2)$, $H_{12} = H_{21} = a_2 + a_3 \cos(q_2) + a_4 \sin(q_2)$, $H_{22} = a_2$, $h = a_3 \sin(q_2) - a_4 \cos(q_2)$ with $a_1 = I_1 + m_1 l_{c1}^2 + I_e + m_e l_{ce}^2 + m_e l_1^2$, $a_2 = I_e + m_e l_{ce}^2$, $a_3 = m_e l_1 l_{ce} \cos(\delta_e)$, and $a_4 = m_e l_1 l_{ce} \sin(\delta_e)$. The same model was also used in [9], [12] but without input disturbances, that is, $\eta_1 = \eta_2 = 0$. The same numerical values as in [9], [12], and [33, p. 396] are used, that is, $m_1 = 1.0$ (kg), $m_e = 2.0$ (kg), $I_1 = 0.12$ (kg·m²), $I_e = 0.25$ (kg·m²), $I_{c1} = 0.5$ (m), $I_{ce} = 0.6$ (m), $I_1 = 1$ (m), and $\delta_e = \pi/6$ (rad). The input disturbances η_1 and η_2 are selected to be the band-limited white noise signals with noise power of 0.2 and 0.05, respectively, and sample time of 0.1. The manipulator is initially at rest, that is, $q_1 = q_2 = \dot{q}_1 = \dot{q}_2 = 0$.

It is assumed that only the system outputs are available. The reference signals were selected as $q_{d1}(t) = \frac{\pi}{6}\cos(2\pi t)$ and $q_{d2}(t) = \frac{\pi}{4}\cos(2\pi t)$. For the above reference signals, the grid

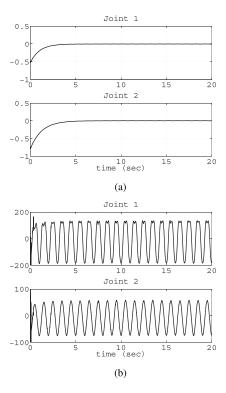


Fig. 5. Output feedback controller performance in Example 2. (a) Output tracking errors (rad). (b) Control inputs $(N \cdot m)$.

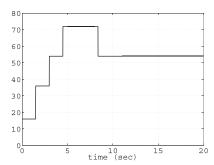


Fig. 6. Variation of the number of grid nodes in Example 2.

boundaries for q_1 , \dot{q}_1 , q_2 , and \dot{q}_2 are chosen to be $[-1.0 \ 1.0]$, $[-4.0 \ 4.0]$, $[-1.0 \ 1.0]$, and $[-5.5 \ 5.5]$, respectively. The parameters of the controller are selected to be $k_1 = [1 \ 2]$, $k_2 = [4 \ 4], \ Q_{m1} = Q_{m2} = 0.5I_2, \ g = 0.1, \ G_0 = 2I_2, \ d_f = d_g = d_o = 5, \ \text{and} \ v = 0.025.$ The remaining design parameters of the variable-structure RCRBF network were selected as $e_{\text{max}} = 0.005$, $\rho = 0.8$, $\varrho = 0.3$, $d_{\text{threshold}} =$ [0.1 0.4 0.1 0.55], $\underline{\omega}_1 = \underline{\omega}_2 = -25$, $\overline{\omega}_1 = \overline{\omega}_2 = 25$, $\kappa = 500$, and $T_d = 1.5$ so that $T_d > \ln(3/2)/\mu_m = 1.3843$, where $\mu_m = 3.4142$. The parameters for the high-gain observer are selected to be $\alpha_{11}=\alpha_{21}=2,\ \alpha_{12}=\alpha_{22}=1,$ and $\epsilon=10^{-4}.$ In addition, $U_1=250$ and $U_2=150.$ It can be seen from Figs. 5 and 6 that the output feedback controller performs as expected. The maximum output tracking errors are bounded by 0.005. Actually, the performance of the output feedback controller is similar to that of the state feedback controller, whose simulation results are not included due to space limitation.

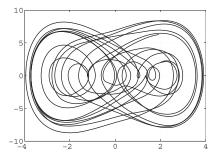


Fig. 7. Phase portrait of the uncontrolled system in Example 3.

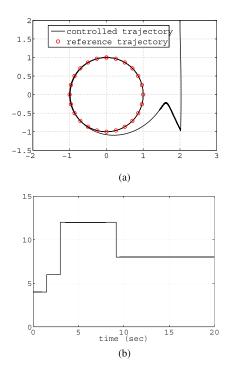


Fig. 8. Output feedback controller performance in Example 3. (a) Phase portrait of the controlled system. (b) Variation of the number of grid nodes.

Example 3: In this simulation, the performance of the proposed output feedback controller is further tested on the Duffing forced oscillation system [6], which has time-varying dynamics modeled by

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -0.1x_2 - x_1^3 + 12\cos(t) + u + d. \end{cases}$$

The initial conditions are selected to be $x_1(0) = x_2(0) = 2$, and the disturbance d is chosen to be the colored noise signal, which is generated by passing the band-limited white noise signal with noise power of 0.2 through a low-pass filter. The phase portrait of the Duffing forced oscillation system with zero input for the time period [0,50] is shown in Fig. 7. The reference signal is selected to be $y_d(t) = \sin(t)$. The grid boundaries for y and \dot{y} are chosen to be $[-2.5 \ 2.5]$ and $[-2.5 \ 2.5]$, respectively. The parameters for the controller are chosen to be $K = [1 \ 2]$, $Q_m = 0.5I_2$, g = 1, $G_0 = 1$, $d_f = 15$, $d_g = 2$, $d_o = 5$, and v = 0.001. The remaining design parameters of the variable-structure RCRBF network are selected as $e_{\text{max}} = 0.0005$, $\rho = 0.2$, $\varrho = 0.1$, $d_{\text{threshold}} = [0.2 \ 0.2]$, $\underline{\omega}_1 = \underline{\omega}_2 = -10$, $\overline{\omega}_1 = \overline{\omega}_2 = 10$, $\kappa = 100$, and $T_d = 100$

1.5 so that $T_d > 1/\mu_m \ln(3/2) = 1.3843$, where $\mu_m = 0.2929$. The parameters for the high-gain observer are $\alpha_1 = 10$, $\alpha_2 = 25$, and $\epsilon = 10^{-3}$. It can be seen from Fig. 8 that the proposed variable neural adaptive robust control strategies are also effective for the output tracking of time-varying systems, such as the Duffing forced oscillation system. In this example, the maximum output tracking error is bounded by 0.002.

VII. CONCLUSION

Variable neural adaptive robust control strategies were proposed for the output tracking control for a class of MIMO uncertain systems. The variable-structure RBF network that can grow or shrink online dynamically according to the tracking performance was proposed for the self-organizing approximation of unknown system dynamics. The piecewise quadratic Lyapunov function was employed in the stability analysis of the closed-loop system to take into account the structure variation of the RBF network. The simulation results illustrate the effectiveness of the proposed variable neural adaptive robust controllers.

The MIMO system considered herein has been often referred to as the square system because the number of inputs and the number of outputs are the same. An open research problem is the design of adaptive robust controllers for the more general class of nonsquare MIMO uncertain systems. For these general systems, the proposed variable-structure RBF network for unknown function approximation can still be applied, and the proposed switched system approach for stability analysis will be modified accordingly.

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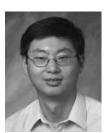
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