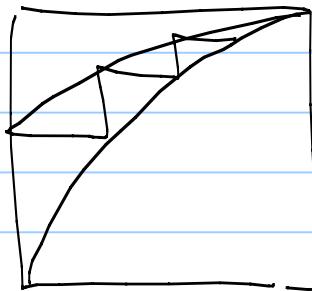


# Lecture 22.

Note Title

4/2/2012

- \* EXIT Chart:
  - ① Trace the mutual information
  - ② check whether there exists an open tunnel



Implication = ① decodability

② # of iterations

③ It is easier to compute

④ The area theorem

the deficiency

$$1 - R - \mathcal{E}_{DE}^* = \left( \sum_k \frac{x_k}{k} \right)^{-1} \text{(area of the open tunnel)}$$

⑤ Curve-fitting by linear programming

when we fix  $p(x)$  & optimize  $\lambda(x)$  to fit  $p(x)$

Var

$$I_{V \rightarrow C} = 1 - (1 - I^{(0)}) \cdot \lambda (1 - I_{C \rightarrow V})$$

Chk

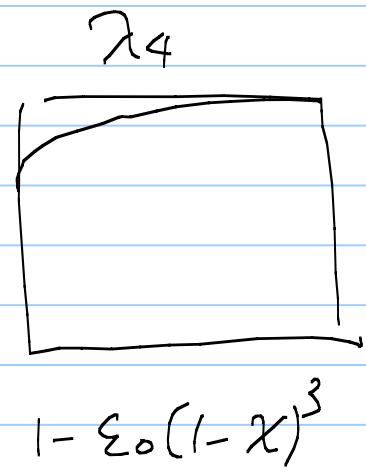
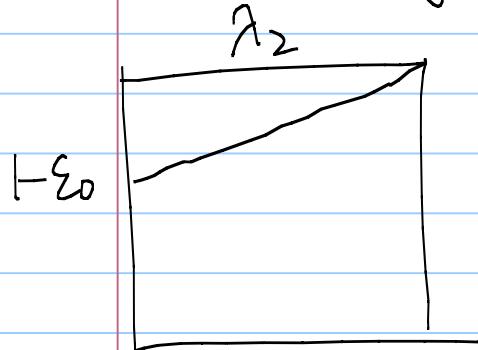
$$I_{C \rightarrow V} = p(I_{V \rightarrow C})$$

$\Rightarrow$  Designing an optimal code thus becomes a curve-fitting problem

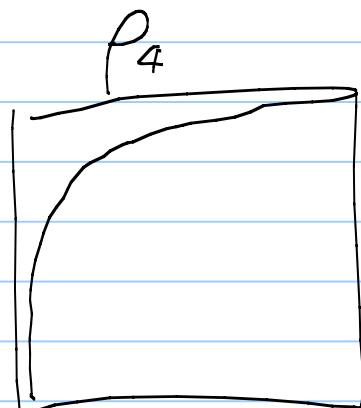
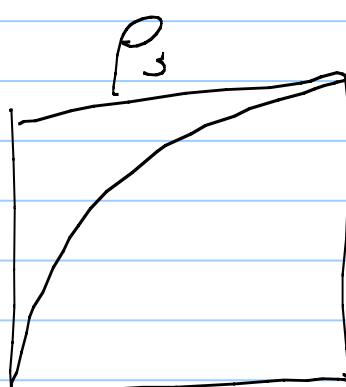
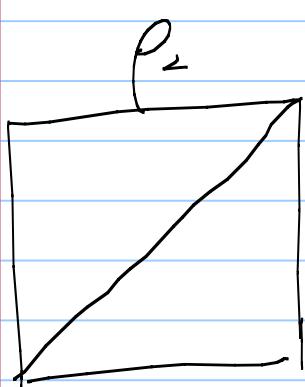
that would like to minimize the the area of the open tunnel.

That is the var curve  $I_{V \rightarrow C} = I - \varepsilon_0 \lambda (I - I_{C \rightarrow V})$

is the weighted average of



the check nod map  $P(I_{V \rightarrow C}) = I_{C \rightarrow V}$



satisfying  $1 - \frac{\sum p_k}{\sum \alpha_k} = R$  is a fixed value

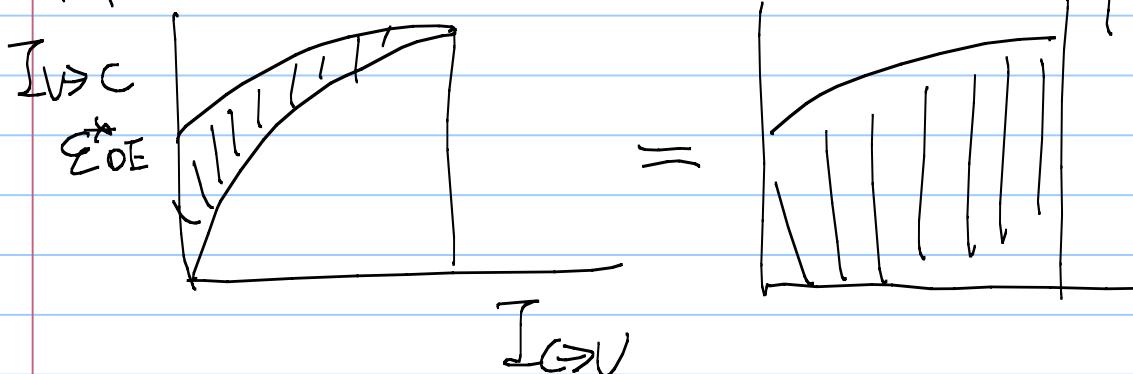
If we fix  $P(X) = P_{dc} X^{dc-1}$ , the check node regular code, then the curve-fitting can be expressed as a linear programming problem with the rate-based linear constraint & the curve-fitting based objective function (or vice versa.) Specifically: the rate condition is linear. All 50pts of the curves correspond to 50 linear inequalities.

Then given  $\Sigma_0$ , we can use an LP solver to search for the existence of  $\Sigma_0$ -achieving

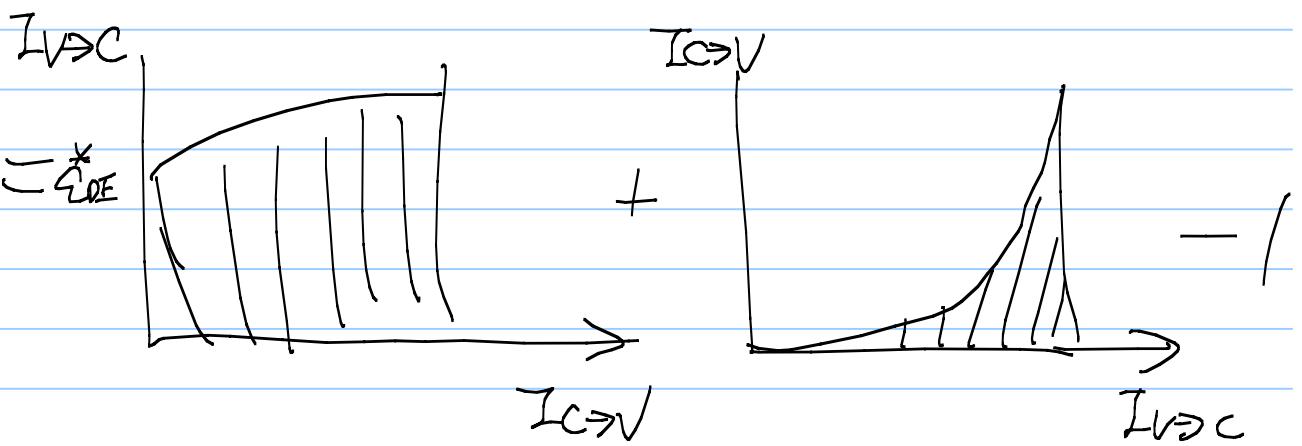
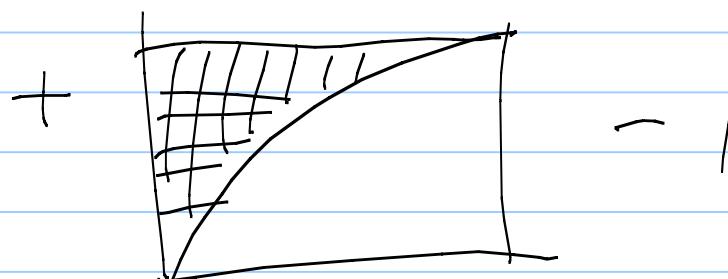
Pf. of the area theorem

VAR

(A, P)



CHK



$$= \int_{x=0}^1 1 - \sum_{DE}^* \sum_{k=2} \gamma_k (1-x)^{k-1} dx$$

$$+ \int_{x=0}^1 \sum_{k=2} \rho_k x^{k-1} dx - 1$$

$$= - \sum_{DE}^* \sum_{k=2} \frac{\gamma_k}{k} + \sum_{k=2} \frac{\rho_k}{k}$$

Comparison

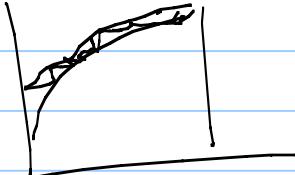
$$1 - \sum_{DE}^* - R = 1 - \sum_{DE}^* - \left( 1 - \frac{\sum \rho_k/k}{\sum \gamma_k/k} \right)$$

$$= \underbrace{- \sum_{DE}^* \sum_{k=2} \frac{\gamma_k}{k}}_{\sum_{k=2} \frac{\gamma_k}{k}} + \sum_{k=2} \frac{\rho_k}{k}$$

\* Perhaps the most important implication of the area theorem is that for a sequence of capacity-approaching codes with

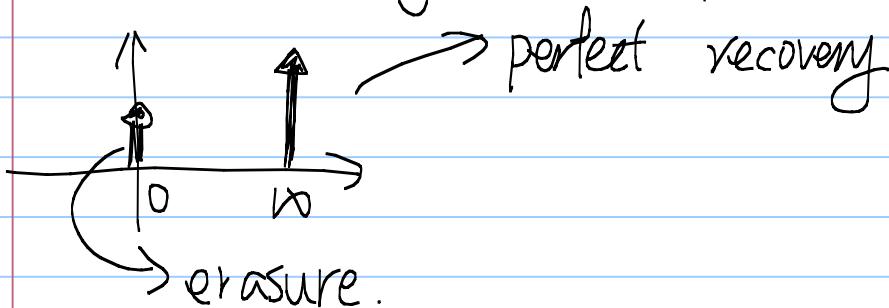
$$\sum_{DE}^* \rightarrow E_{cap} = 1 - R,$$

then the # of required iterations to also tends to  $\infty$ .



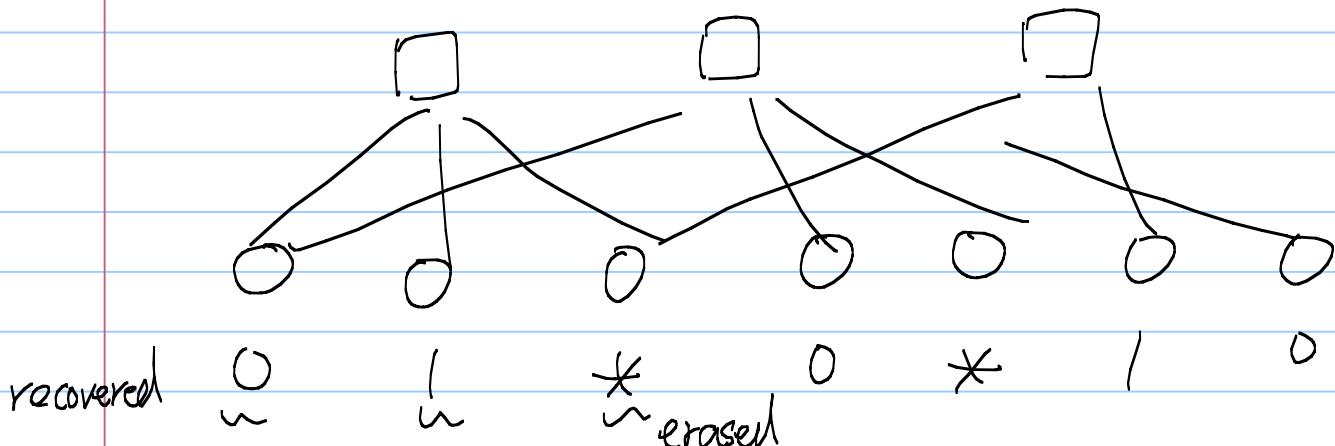
- \* The area theorem is so elegant. What is the intuition behind it?
- \* A closer look at the BEC decoding
  - Some intuition of the area theorem.

- \* BEC decoding is simple. We either have



During implementation, we have the following alternative description of the BEC decoding

- ① For each variable  $\hat{x}_{10}$  that is still



"erased". check its check node neighbors.

If any of them is connected to all "recovered" nodes, except for  $x_{10}$ , then

We can recover the value of  $X_{10}$ .

Continue this process until no erased node can be recovered. This is an efficient suboptimal decoder.

A detour

Comparison: The optimal BEC decoder

Suppose the transmitted codeword is  $\vec{x}$  & assume that the subvectors  $\vec{x}_{\text{recovered}}$  &  $\vec{x}_{\text{erased}}$  correspond to the recovered & erased positions.

$$\vec{x} = \begin{pmatrix} \vec{x}_{\text{recovered}} \\ \vec{x}_{\text{erased}} \end{pmatrix}$$

$$\Rightarrow H \vec{x} = (H_0, H_1) \begin{pmatrix} \vec{x}_{\text{recovered}} \\ \vec{x}_{\text{erased}} \end{pmatrix} = 0$$

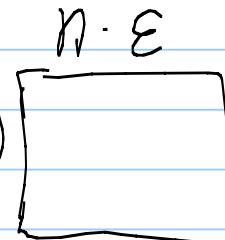
Since the receiver knows the values of  $\vec{x}_{\text{recovered}}$

$$\underbrace{H_1 \vec{x}_{\text{erased}}}_{\text{known}} = -\underbrace{H_0 \vec{x}_{\text{recovered}}}_{\text{known}} \quad \text{where } H = (H_0 \ H_1)$$

The optimal BEC decoder is thus to solve the matrix equation.

If we notice that

$H_i$  is of dimension  $n(1-\epsilon)$



where  $\epsilon$  is the erasure prob

$\Rightarrow$  decodable only when  $1-R \geq \epsilon$

$$\begin{array}{c} \cancel{\Rightarrow 1-\epsilon \geq R} \\ \hline \hline \end{array}$$

cap      rate

\* Compare the optimal BEC decoder w/ the message passing (MP) decoder

$\Rightarrow$  The MP decoder tries to solve the same matrix equation by a laid-back manner:

Find a variable  $x_{i_0}$  in  $\vec{x}_{\text{erased}}$  such that it participates in a parity check equation

the predicted  
value from its  
recovered neighbor

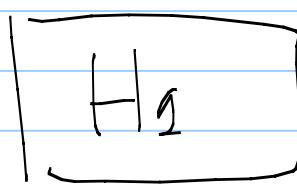
$$\vec{x}_{\text{erased}} = \vec{x}_{\text{recd}}$$
$$H_1 \quad X_{\text{recd}} \quad X_{\text{erased}}$$

Once  $x_{i_0}$  is recovered, move the  $i_0$ -th column of  $H_1$  to  $H_0$ , the RHS of the equation.

⇒ MP decoder is a sequential solver

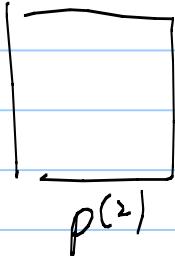
of the matrix equation

Note that the MP decoder can operate in batch. The initial batch of  $x_{i_0}$  moved from  $H_1$  to  $H_0$  contains  $n \times (1 - \varepsilon)$  non-erased bits of the channel



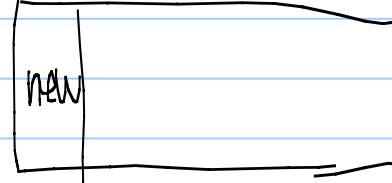
$$X_{\text{erased}} = \begin{bmatrix} \text{new batch} \end{bmatrix} \rightarrow X_{\text{recovered}}$$

↑ initial  
not-erased bits



$$X_{\text{erased}} = \begin{bmatrix} \text{New} \end{bmatrix} \rightarrow X_{\text{recovered}}$$

↑ all  $X_i$   
participating  
in an  
deg-1  
row.



How large is each batch?  $p^{(1)} = p^{(0)} = \epsilon$

Ans: Predicted by DE.

The first batch has  $(1 - p_{\text{node}}^{(1)}) \cdot n$

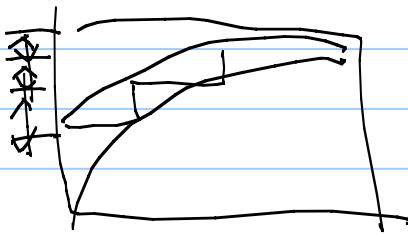
The second batch has  $(1 - p_{\text{node}}^{(2)}) - (1 - p_{\text{node}}^{(1)})$

$$= p_{\text{node}}^{(1)} - p_{\text{node}}^{(2)}$$

where  $p_{\text{edge}}^{(2)} = p^{(0)} \geq (1 - p(1 - p_{\text{edge}}^{(1)}))$

$$\dots \text{third} \dots = p_{\text{node}}^{(3)} - p_{\text{node}}^{(2)}$$

By EXIT



\* This batch-based interpretation tells us many things

①

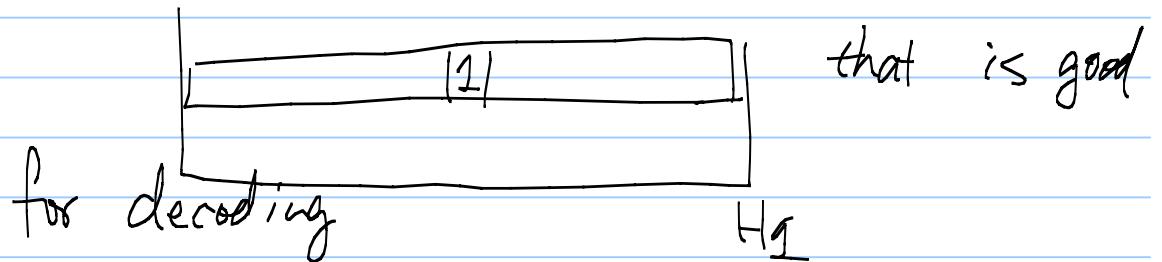
LDPC codes are close to optimal, but still suboptimal.

∴ Consider a regular  $(dv, dc)$  code, & Consider the scenario after the first batch is moved to the RHS.

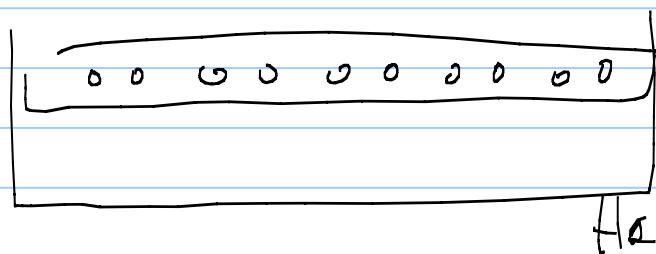
Since each check node equation (each row) has  $dc$  participating var nodes.

$\Rightarrow$  We will have  $\binom{dc}{1}\varepsilon \cdot (1-\varepsilon)^{dc-1}$  of

them that will have the right form



Unfortunately,  $(1-\varepsilon)^{dc}$  of them will have a bad form



$\Rightarrow$  After the first batch

$$n(1-R) \begin{bmatrix} \overset{\Sigma n}{H_1} \\ H_0 \end{bmatrix} \vec{x}_{\text{erased}} = \begin{bmatrix} H_0 \end{bmatrix} \vec{x}_{\text{recovered}}$$

but only  $n(1-R) \times (1 - (1-\varepsilon)^{d_c})$  of them have non-zero entries.

$\Rightarrow$  the effective matrix equation becomes

$$n(1-R)(1 - (1-\varepsilon)^{d_c}) \begin{bmatrix} \overset{\Sigma n}{\downarrow} \\ \uparrow \\ \text{all } 0 \end{bmatrix} \vec{x}_{\text{erased}} = \begin{bmatrix} H_0 \end{bmatrix} \vec{x}_{\text{recovered}}$$

$\Rightarrow$  the decodability (even by an optimal BEC solver)

requires

$$(1-R)(1 - (1-\varepsilon)^{d_c}) \geq \varepsilon$$

\* Theorem: For any irregular LDPC code ensemble with maximum check node degree  $\max d_c$ , then the DE decodable thresholds are strictly bounded away from the capacity.

the # of rows  
effective rows after batch 1st

$$\mathcal{E}_{\text{cap}} = 1 - R > (1 - R) \left( 1 - (1 - \mathcal{E}_{\text{DE}}^*)^{\frac{\max d_c}{\# \text{rows}}} \right)$$

Even when using MAP  $\geq \mathcal{E}_{\text{DE}}^*$

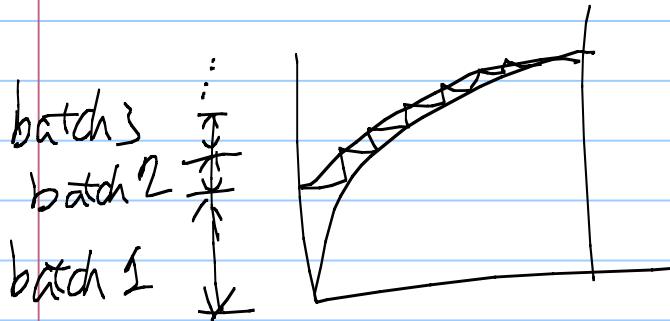
\* Implication: LDPC code is suboptimal for finite  $\max d_c$ . To approach the capacity,  $d_c$  needs to be  $\rightarrow \infty$ .

However, the larger the  $d_c$ , the higher the complexity of each decoding iteration. (when  $d_c = \frac{n}{2}$ , LDPC codes become random linear codes).

\* Fortunately, the gap diminishes exponentially with respect to  $\max d_c$ . Most practical LDPC codes use regular check node degree with  $P(x) = P_K x^{k-1}$  for  $k=6 \sim 10$ .

\* This batch-based argument can be further strengthened.

⇒ To minimize the performance loss, or equivalently the deficiency, we would like to have each batch size being as small as possible, which is equivalent to making only small-step improvement through the EXIT chart.

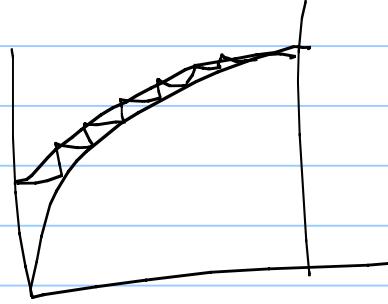


This is thus the essence of the area theorem.

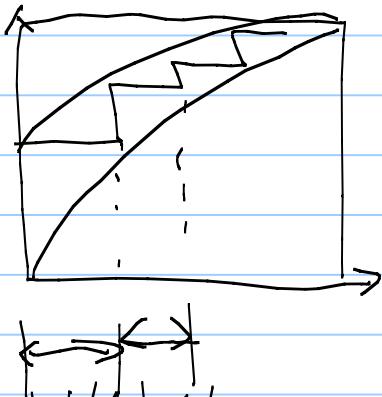
$\Rightarrow$  The improvement of each variable node batch needs to be small. (To avoid creating too many all-zero rows.)

var

batch 3  
batch 2  
batch 1



A similar argument for the check node batch size can also be made.



chk: batch 1 batch 2

The improvement of each check node batch needs to be small, otherwise, we may create too many  $X_i$  that participates in strictly more than one row of deg 1.

$X_{i_0}$

0 0 0 0 0	1	0 0 0 0 0
0 0 0 0 0	1	0 0 0 0 0

$H_1$

Again the valuable check nodes are wasted.

\* EXIT Chart for LDPC + GSN channel.

For any channel other than BECs, EXIT chart is only a good approximation too.

\* EXIT Chart for LDPC codes + GSN contains two main components.

① How to compute the mutual information from a message density traced by DE?

② GSN approximation

For ①, Consider any BI/SO channel.

$$I(X; Y) = D(P_{XY} \| P_X P_Y)$$

$$= E \left( \log_2 \left( \frac{P_{XY}(X, Y)}{P_X(X) P_Y(Y)} \right) \right) \quad \text{by symmetry}$$

$$= E \left( \log_2 \left( \frac{P_{Y|X}(Y|0)}{P_Y(Y)} \right) \mid X=0 \right)$$

$$= E \left( \log_2 \left( \frac{P_{Y|X}(Y|0)}{\frac{1}{2} P_{Y|X}(Y|0) + \frac{1}{2} P_{Y|X}(Y|1)} \right) \mid X=0 \right)$$

$$= E \left( \log_2 \frac{2}{1 + \frac{\Pr(X=Y|1)}{\Pr(X=Y|0)}} \mid X=0 \right)$$

$$= E \left( \log_2 \frac{2}{1 + \bar{e}^m} \mid X=0 \right)$$

$$= \int_{m=-\infty}^{+\infty} \log_2 \frac{2}{1 + \bar{e}^m} dP_m|_{X=0} \quad \text{(1)}$$

↗ the message density traced by DE.  
 ↗ the unit being bits

② Gsn approximation

VAR, EXIT Curve

$$I_{V \rightarrow C} = f_{\text{var}}(I^{(0)}, I_{C \rightarrow V})$$

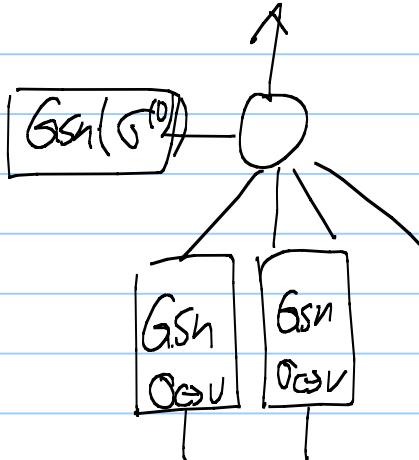
From the given  $I^{(0)}$ ,  $I_{C \rightarrow V}$ , construct

Gsn channel with  $\sigma^{(0)}$ ,  $\sigma_{C \rightarrow V}$  (their message densities are  $\mathcal{N}\left(\frac{2}{(\sigma^{(0)})^2}, \frac{4}{(\sigma^{(0)})^2}\right)$ )

having mutual info

$$I^{(0)}, I_{C \rightarrow V} \text{ as computed from } \mathcal{N}\left(\frac{2}{\sigma_{C \rightarrow V}^2}, \frac{4}{\sigma_{C \rightarrow V}^2}\right)$$

Then use  $G_{SN}(G^{(0)})$  to compute the outgoing density.  $P_{mout}$ .



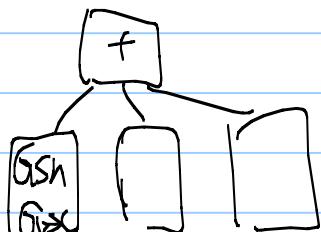
then use  $P_{mout} + \phi$  to compute  $I_{V \rightarrow C}$ . Plot the curve of  $I_{V \rightarrow C}$  versus  $I_{C \rightarrow V}$ .

CHK, EXIT curve

$$I_{C \rightarrow V} = f_{\text{chk}}(I_{V \rightarrow C})$$

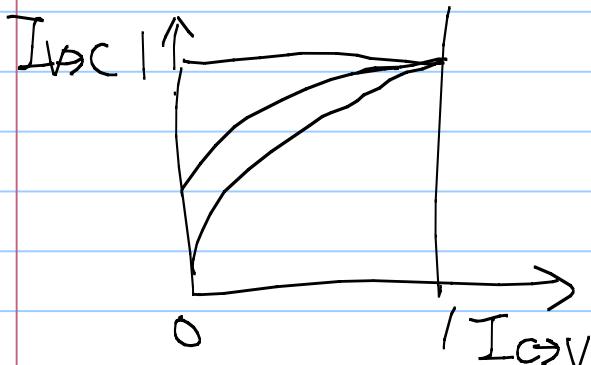
Given any  $I_{V \rightarrow C}$ , construct a  $G_{SN}$  channel with  $O_{V \rightarrow C} \sim \mathcal{N}\left(\frac{2}{O_{V \rightarrow C}^2}, \frac{4}{O_{V \rightarrow C}^2}\right)$  having mutual info  $I_{V \rightarrow C}$  by  $\phi$

Then use  $\phi$  to compute the outgoing density  $dP_{m,out}$ .



Use  $dP_{m,out} + \phi$  to compute  $I_{C \rightarrow V}$ , Plot  $I_{V \rightarrow C}$  versus  $I_{C \rightarrow V}$

We thus have the EXIT chart  
for LDPC codes with general channel  
models.



Assuming the approximation  
is correct, we can  
again use the EXIT

chart to make the same implications as  
we did for BEC.

\* In essence, it is no different  
than a GSN approximation of the density  
by matching the mutual information value

$$I(X;Y) = \int_{m=-\infty}^{\infty} \log \frac{2}{1 + e^{-m}} dP_m|_{X=0}$$