In this lecture we would continue with the discussion of the $pn$ junction which is important for the understanding of the working of a diode.
1  *pn* Junction, Contd.

Figure 1: A *pn* junction of two different materials in the open circuit case. The thicknesses of the depletion region are not the same with $x_n$ denoting the thickness of the *n* region, and $x_p$ denoting the thickness of the *p* region (Courtesy of Sedra and Smith).
The first thing that happens when two junctions come into contact is the diffusion phenomenon that gives rise to the formation of the depletion region. The width of this depletion region can be calculated.

If the length of the depletion region in the $p$ region is $x_p$ then the total charge contained in it is given by $qN_Ax_p$. Similarly, if the length of the depletion region in $n$ region is $x_n$, then the total charge contained in it is given by $qN_Dx_n$. By charge neutrality, then

\[ qN_Dx_n = qN_Ax_p \]  

(1.1)

\[ \frac{x_n}{x_p} = \frac{N_A}{N_D} \]  

(1.2)

As shown in the Appendix, the total width of the depletion region can be shown to be

\[ W_D = x_p + x_n = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) V_0} \]  

(1.3)

Assuming that the $p$ region is boron doped, and the $n$ region is phosphorous doped, then as the carriers diffuse across the junction, the phosphorous region becomes positively charged due to the migration of electrons from the ions. The boron region becomes negatively charged due to the migration of electrons into their vacant bonds or holes. Hence, a depletion layer is formed that is not charge-neutral. This non-neutral region produces an electric field, producing a drift current that flows in opposition to the diffusion current. Or the electric field prevents the further diffusion of the carriers across the junction.

To see an example, we consider a silicon $pn$-junction with

\[ N_A = 10^{17}/\text{cm}^3, \quad N_D = 10^{20}/\text{cm}^3 \]

Using the above formula for $V_0$, one obtains

\[ V_0 = \frac{k_B T}{q} \ln \frac{N_D N_A}{n_i^2} \approx 0.979 \text{ V} \]  

(1.4)

One can further calculate the width of the depletion region to be

\[ W_D = x_p + x_n = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) (V_0 + V_R)} \approx 113 \text{ nm} \]  

(1.5)

The $\epsilon_s$ of silicon is assumed to be $11.7 \epsilon_0$.

When the diode is in reverse bias, or $V_D < 0$ V, then the voltage drop $\phi_j$ between the two regions increases, because $\phi_j = V_0 + V_R$ where $V_R = -V_D$. Then

\[ W_D = x_p + x_n = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) (V_0 + V_R)} \]  

(1.6)
The depletion region becomes wider and the current flow becomes smaller.

In the following, \( V_D \) implies the diode biasing voltage. When the diode is in forward bias, or \( V_D > 0 \) V, then the voltage drop \( \phi_j \) across the junction, or between the two regions decreases, because \( \phi_j = V_0 + V_R \). The depletion region becomes smaller and the current flow becomes larger across the \( pn \) junction. When the diode is reverse biased, then \( \phi_j \) becomes larger. In other words,

\[
W_D = x_p + x_n = \sqrt{\frac{2\varepsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) (V_0 + V_R)}
\]  

(1.7)

2 Biasing of the \( pn \) Junction

First, it is worth describing the physical mechanism qualitatively of a diode under different biasing conditions, as shown in Figure 2. In the zero bias case, the diffusion currents are equal and opposite to the drift currents giving rise to zero current flow across the \( pn \) junction. The internal electric field generated precludes the further diffusion of the carriers across the junction. For instance, the hole carriers distribute themselves in the diode according to Boltzmann’s law, which is

\[
p(x) = Ce^{-\frac{q\phi(x)}{k_B T}}
\]

(2.1)

When the voltage is forward biased, it generates an electric field that cancels the original electric field in the transition region, reducing the voltage drop across the \( pn \) junction. In this case, the diffusion current becomes larger than the drift current, for both holes and electrons, giving rise to nonzero current flow across the \( pn \) junction. Since the voltage drop is reduced across the junction, by Boltzmann’s law, the minority carriers in both the \( n \) and \( p \) regions become larger.

When the voltage is reverse biased, the electric field in the transition region is increased giving rise to greatly reduced diffusion currents for both holes and electrons. Hence, what remains is mainly the drift currents across the \( pn \) junction. By Boltzmann’s law, the minority carriers in the \( n \) and \( p \) regions are greatly reduced.
It is noteworthy that the drift currents remain the same for different biasing conditions. The reason is that there are no majority carriers in the depletion region, and hence, even if an electric field exists there, there is no majority current flow. However, minority carriers, both from the $p$ side (which are electrons in this case), and from the $n$ side (which are holes in this case) can wander into the depletion region. The moment they wander into the depletion region, because of their signs, they are swept across the transition region, contributing
to a minuscule total drift current. These minority carriers are low in concentration after all. These minority carriers are generated by EHP (electron-hole pair) generation due to thermal agitation, and they often recombine before they have a chance to drift to the depletion region.

This drift current due to minority carriers is independent of the electric field: the stronger the electric field, the higher the velocity the carriers will be swept across the depletion region, but the wider is the depletion region they have to travel through. The width of the depletion region is proportional the applied electric field or the potential across the \( pn \) junction. Therefore, the drift currents remain small, and is controlled by the EHP generation rate. These currents are also called generation currents.

### 2.1 Carrier Injection

Looking at the hole concentration, its equilibrium concentration on the \( p \) side of the transition region, \( p_{p0} \), is related to its concentration no the \( n \) side of the same region, \( p_{n0} \). Namely,

\[
\frac{p_{p0}}{p_{n0}} = e^{V_0/V_T}
\]  

(2.2)

according to Boltzmann’s law, where \( V_0 \) is the voltage drop across the junction. When the junction is biased, assuming quasi-equilibrium and that Boltzmann’s law still applies, then the ratio of the hole density is now given by

\[
\frac{p(-x_p)}{p(x_n)} = e^{(V_0-V)/V_T}
\]

(2.3)

where \( V \) is the forward biased voltage. In other words, the potential barrier across the \( pn \) junction is now reduced from \( V_0 \) to \( V_0 - V \). Then it is quite easy to show, by dividing (2.2) by (2.3), that

\[
\frac{p(x_n)}{p_{n0}} = e^{V/V_T}, \quad \text{assuming } p(-x_p) = p_{p0}
\]

(2.4)

It means that forward biasing causes a surge of minority carrier in the \( n \) region. Then the excess carrier in the \( n \) region is given by

\[
\Delta p_n = p(x_n) - p_{n0} = p_{n0}(e^{V/V_T} - 1)
\]

(2.5)

Similarly, for the electrons in the \( p \) region,

\[
\Delta n_p = n(-x_p) - n_{p0} = n_{p0}(e^{V/V_T} - 1)
\]

(2.6)

As the deluge of minority carriers are injected into their respective regions, they will diffuse from the edges of the depletion zone. Moreover, they will recombine (electron-hole pair recombination) with the majority carriers of their new host media. As they are annihilated as the minority carriers diffuse, it gives rise to their exponential decay in the \( x \) direction. The majority carriers which
are lost to the EHP recombination can be easily replenished by the drift-diffusion current coming from the right-hand side.

Consequently,
\[ p_n(x) = p_{n0} + p_{n0} \left( e^{V/V_T} - 1 \right) e^{-(x-x_n)/L_p} \] (2.7)

where \( L_p \) is the diffusion length very much dependent on the recombination rate of the EHP (electron-hole pair).

Therefore, a deluge of minority hole carriers in the \( n \) region precipitates their diffusion away from the edge of the depletion region. A concentration gradient develops in the hole concentration giving rise to diffusion current. This diffusion current is given by
\[ J_p(x) = -qD_p \frac{dp_n(x)}{dx} \] (2.8)

Therefore,
\[ J_p(x) = q \left( \frac{D_p}{L_p} \right) p_{n0} \left( e^{V/V_T} - 1 \right) e^{-(x-x_n)/L_p} \] (2.9)

The maximum current is at the edge of the depletion region, \( x = x_n \), giving the diffusion current here to be
\[ J_p(x_n) = q \left( \frac{D_p}{L_p} \right) p_{n0} \left( e^{V/V_T} - 1 \right) \] (2.10)

As this hole current diffuses into the host region on the right, it recombination with electrons has to be augmented by electron currents coming from the right. These currents come as drift-diffusion currents from the majority carriers. Hence, the total current remains the same throughout the host region, a statement of current continuity (or conservation).

Figure 3: A \( p n \) junction with minority carrier distributions in the forward biased mode. The \( p \) region is more heavily doped than the \( n \) region (Courtesy of Sedra and Smith).
Similarly, for the electron injection into the \( p \) region, the resultant diffusion current is

\[
J_n(-x_p) = q \left( \frac{D_n}{L_n} \right) n_p \left( e^{V/V_T} - 1 \right)
\]  
(2.11)

The total current is given by \( I = AJ_{\text{total}} = A(J_p + J_n) \). With the use of the fact that \( n_p = n_i^2/N_D \) and \( n_p = n_i^2/N_A \), one gets

\[
I = Aq n_i^2 \left( \frac{D_p}{L_p N_D} + \frac{D_n}{L_n N_A} \right) \left( e^{V/V_T} - 1 \right) = I_S \left( e^{V/V_T} - 1 \right)
\]  
(2.12)

where

\[
I_S = Aq n_i^2 \left( \frac{D_p}{L_p N_D} + \frac{D_n}{L_n N_A} \right)
\]  
(2.13)

Figure 4: The \( I - V \) characteristic of a \( p \)\( n \) junction diode. The current increases to exponentially large value under forward biased. Under reverse biased, the current is minuscule because it is due to minority carriers (Courtesy of Sedra and Smith).

Some Considerations

In the following, the forward biased diode voltage and current will be denoted as \( V_D \) and \( I_D \), respectively. When a diode is being forward biased, \( V_D > 0 \) V. In this case, the \( E_{\text{ext}} \), the external field, is opposite in sign to the internally built field, or \( E_{\text{built}} \). Then \( I_D \), or the diode current will be larger than zero.

When the diode is reverse biased, \( V_D < 0 \) V, and \( E_{\text{ext}} \) is in the same direction as the internally built field \( E_{\text{built}} \). The voltage drop across the depletion layer
will increase, and the width of the depletion layer, $W_D$ will increase. Then the diode current $I_D \approx 0 \text{ A}$. 

$$ W'_D = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) (V_0 + V_R)} \quad (2.14) $$

When $V_D = 0 \text{ V}$, then $I_D = 0 \text{ A}$. The relation between $I_D$ and $V_D$ can be described by the ideal diode equation, which states that

$$ I_D = I_S \left( e^{\frac{V_D}{V_T}} - 1 \right) \quad (2.15) $$

where $V_T$ is about 25 mV at room temperature.

The reverse saturation current (also called generation current)

$$ I_S = A q n_t^2 \left( \frac{D_p}{L_p N_D} + \frac{D_n}{L_n N_A} \right) \quad (2.16) $$

Usually,

$$ 10^{-18} \text{ A} < I_s < 10^{-12} \text{ A} $$

**Example 1**

$I_S = 0.1 \text{ fA}$

$I_D = 300 \text{ }\mu\text{A}$,  What is $V_D$?  \hspace{1cm} (2.18)

Answer:

$$ I_S \left( e^{\frac{V_D}{V_T}} - 1 \right) \rightarrow V_D = V_T \ln \left( 1 + \frac{I_D}{I_S} \right) \quad (2.19) $$

$$ V_D \approx 0.718 \text{ V} \quad (2.20) $$

**Example 2**

$I_D = 1 \text{ mA}$,  then using $V_D = V_T \ln \left( 1 + \frac{I_D}{I_S} \right) \approx 0.748 \text{ V} \quad (2.21)$

Usually, the turn-on voltage of a diode is $V_{on} \approx 0.6-0.7 \text{ V}$.

**Example 3**

$I_S = 10 \text{ fA}$

$I_D = 300 \text{ }\mu\text{A}$,  What is $V_D$?  \hspace{1cm} (2.23)

Answer:

$$ I_S \left( e^{\frac{V_D}{V_T}} - 1 \right) \rightarrow V_D = V_T \ln \left( 1 + \frac{I_D}{I_S} \right) \quad (2.24) $$

$$ V_D \approx 0.603 \text{ V} \quad (2.25) $$
For the I-V relation of a diode, given by

\[ I_D = I_S \left( e^{\frac{V_D}{kT}} - 1 \right) \]  
(2.26)

it will be considered forward biased when \( V_D \geq 4V_T \). In this case,

\[ I_D \approx I_S e^{\frac{V_D}{kT}} \]  
(2.27)

It is reverse biased when \( V_D \leq 4V_T \).

\[ I_D \approx -I_S \]  
(2.28)

Figure 5: The I - V characteristic of a pn junction diode under reverse bias breakdown (Courtesy of Sedra and Smith).

3 Reverse Breakdown

When \( V_D \to -\infty \), the diode breaks down, and one can then pass a large current through the diode. When the reverse biased voltage is large, the width of the
depletion region increases, and the electric field inside the depletion region also
increases.

In an avalanche breakdown, usually larger than 7 V, the minority carriers in the transition region attain such a high velocity that they break the covalent
bond of the silicon, giving rise to energetic minority carriers. This can be a
cascading effect, giving rise to a huge reverse biased current.

When the reverse biased voltage is greater than 5 V, a Zener breakdown
or Zener effect can occur. This breakdown is due to the tunneling of the
electrons from the conduction band of the n region to the valence band of the
p region. The breakdown voltage can be engineered precisely, and such diodes
can be used for engineering designs.

Appendix A Derivation of the Einstein Relationship

The Einstein relationship is obtained by relating the equilibrium state of a pn
junction to a state in thermal equilibrium, which should obey Boltzmann’s law.

When a junction is in equilibrium, the drift current cancels the diffusion current.

In other words, looking at the hole current alone,

\[ J_p(x) = q \left[ \mu_p p(x) E(x) - D_p \frac{dp(x)}{dx} \right] = 0 \]  

(A.1)

In the above, one can define

\[ E(x) = -\frac{d\phi(x)}{dx} \]

(A.2)

The right-hand side can be rewritten as

\[ -\frac{\mu_p D_p d\phi(x)}{dx} = \frac{1}{p(x)} \frac{dp(x)}{dx} \]

(A.3)

After defining

\[ \frac{q}{k_B T} = \frac{\mu_p}{D_p} \]

(A.4)

the above equation can be integrated to yield

\[ p(x) = Ce^{-q\phi(x)/(kB T)} \]

(A.5)

where \( C \) is an arbitrary constant independent of \( x \). The above is just Boltzmann’s law, and the derivation can be repeated for electron carriers. Moreover, 
(A.4) can be used to derive the equation for the junction voltage.

The above derivation indicates that the diffusion process is in fact a “ther-
malized” process. The fact that carriers diffuse is because they acquire kinetic
energy from the thermal environment. The heat bath supplies energy to the
material giving rise to lattice vibrations. These lattice vibrations in turn transfer kinetic energy to the electrons causing them to diffuse. The diffusion of the charged carriers then gives rise to uneven potential in the environment, yielding internal electric field that stops the diffusion process. The equilibrium of the diffusion current and the drift current is due to “thermal equilibrium”.

Appendix B  Width of the Depletion Region

Figure 6: The depletion region and the approximate charge density, and electric field distribution in this region. (a) The transition region beginning at \( x_{p0} \) and ending at \( x_{n0} \), where \( W = x_{p0} + x_{n0} \). (b) The approximate, simplified charge density within the transition region. (c) The approximate electric field distribution in the same region (Courtesy of Streetman).

Looking at Figure 6, it is quite clear that the peak field \( \mathcal{E}_0 \) is like the field between a parallel plate capacitor. This field is proportional to the surface
charge density on the plate divided by $\epsilon$, the permittivity of the silicon. The surface charge density in this case is $q N_d x_{n0}$ or $q N_a x_{p0}$. These two quantities are equal to each other due to charge neutrality. Therefore,

$$\mathcal{E}_0 = -\frac{q}{\epsilon} N_d x_{n0} = -\frac{q}{\epsilon} N_a x_{p0} \quad (B.1)$$

Moreover, the voltage drop across the $pn$ junction is given by

$$V_0 = -\int_{-x_{p0}}^{x_{n0}} \mathcal{E}(x) dx \quad (B.2)$$

Clearly, this is negative of the area under the curve in Figure 6(c). Then

$$V_0 = -\frac{1}{2} \mathcal{E}_0 W = \frac{1}{2} \frac{q}{\epsilon} N_d x_{n0} W \quad (B.3)$$

Since $N_d x_{n0} = N_a x_{p0}$, and that $W = x_{n0} + x_{p0}$, one gets $x_{n0} = W N_a / (N_a + N_d)$. Therefore, it follows that

$$V_0 = \frac{1}{2} \frac{q}{\epsilon} \frac{N_a N_d}{N_a + N_d} W^2 \quad (B.4)$$

The above equation can be used to derive $W_D$ in (1.7).

\[1\]Here, the math symbols used are commensurate with Figure 6 which is from Streetman’s book.