Lagrangian coherent structures in various map representations for application to multi-body gravitational regimes

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Abstract

The Finite-Time Lyapunov Exponent (FTLE) has been demonstrated as an effective metric for revealing distinct, bounded regions within a flow. The dynamical differential equations derived in multi-body gravitational environments model a flow that governs the motion of a spacecraft. Specific features emerge in an FTLE map, denoted Lagrangian Coherent Structures (LCS), that define the extent of regions that bound qualitatively different types of behavior. Consequently, LCS supply effective barriers to transport in a generic system, similar to the notion of invariant manifolds in autonomous systems. Unlike traditional invariant manifolds associated with solutions in an autonomous system, LCS evolve with the flow in time-dependent systems while continuing to bound distinct regions of behavior. Moreover, in general, FTLE values supply information describing the relative sensitivity in the neighborhood of a trajectory. Here, different models and variable representations are used to generate maps of FTLE, and the resulting structures are applied to design and analysis within an astrodynamical context. Application of FTLE and LCS to transfers from LEO to the \( L_1 \) region in the Earth–Moon system are presented and discussed. In an additional example, an FTLE analysis is offered of a few stationkeeping maneuvers from the Earth–Moon mission ARTEMIS (Acceleration, Reconnection, Turbulence and Electrodynamics of the Moon’s Interaction with the Sun).

1. Introduction

While many methodologies can produce viable options for a given application, a more informed process often produces similar results for less effort. In spacecraft trajectory design, simulations often lead to viable first-order solutions but, ultimately, can only yield information that is, at most, as accurate as the underlying model. The addition of factors to increase the accuracy of the model allows for more realistic solutions and, generally, a more representative and informative design space. However, such expanded insight frequently competes with the cost of additional complexity requiring appropriate tools for the analysis.

For astrodynamical design, it is sometimes more appropriate to complete an initial investigation in a model that incorporates the simultaneous gravitational influences of several bodies. For example, transfer design between the Earth and the Moon benefits from simulations that simultaneously incorporate the gravitational influence of both bodies on a spacecraft. Additionally, perturbations from the Sun are significant, and the associated impact can be observed by comparisons. In such scenarios, preliminary results are obtained by modeling in terms of a simplified three- or four-body system. However, these initial results

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eventually require transition to a more realistic model involving, at least, the ephemerides of the gravitating bodies in preparation for applications to actual missions.

Analysis in models of varying levels of fidelity allows access to additional insight, but some useful methodologies must be modified or replaced. To vary fidelity, it is convenient to employ analysis options that apply to both simplified and more complex models. The formulations underlying the Finite-Time Lyapunov Exponent (FTLE) and Lagrangian Coherent Structures (LCS) are applicable in any model where the paths of neighboring trajectories can be simulated. In fact, these methods are particularly useful when only experimental results from advection are available (i.e., when no underlying model can accurately predict the fate of the particles in a flow, but actual trajectories are empirically available).

The focus of this analysis is a demonstration of the applicability and extensibility of FTLE/LCS concepts in various models and representations. Specific application to trajectory design in the Earth–Moon–Sun system illustrates the value of these techniques and validates the tools within a more realistic mission design context. Maps of FTLE values and the LCS that emerge offer an informative view of a particular system at a given time and require only the calculation of a fairly simple quantity.

The subsequent discussion is fairly straightforward. Background with regard to previous efforts and FTLE/LCS theory as well as the models that are employed in this investigation are summarized. Some comments with regard to mapping strategies are offered. The goal of this work is apparent in an application of the theory to trajectory design as well as an example of FTLE as an analysis tool.

2. LCS formulations

2.1. Previous contributions

The concept underlying LCS, as well as the name itself, originates with Haller [12,14] as well as Haller and Yuan [13]. Haller also offers specific criteria to distinguish between structures arising from different effects when identifying LCS [15]. Shadden et al. [29] rigorously establish the fundamental concept that LCS act as transport barriers in the flow by proving that the flux across LCS is negligible. Mathur et al. [24] develop an effective implementation strategy for extracting LCS, and the relevance of LCS methodology in n-dimensional motion is established by Lekien et al. [23]. Variational techniques for computing LCS are explored in Haller [17] as well as Farazmand and Haller [18,19]. Incorporating geodesic theory into the framework of transport barriers establishes a criteria for the convergence of LCS, guiding the selection of the appropriate time interval for the numerical simulation to compute LCS [20].

Given well-established theoretical foundations, the popularity of LCS has quickly expanded and active research continues in multiple disciplines. Simultaneous activities in computer science and visualization are focused on more effective computation and extraction of LCS. Specifically, the work of Garth et al. [8] features adaptive mesh refinement for the calculation of FTLE near structures of interest that delivers an improvement in the time efficiency of various methods for computing LCS. Additional applications of LCS include flow structures in aeronautical weather data, transport in the oceans, computational fluid dynamics, and even human musculoskeletal biomechanics, blood circulation, and airway transport [27].

The application of FTLE and LCS concepts to astrodynamics has received some attention as well. Anderson [1] discusses the application of FTLE over relatively short time spans, denoted by Anderson as the Local Lyapunov Exponent (LLE), to identify sensitive regions along a trajectory. Various authors, including Lara et al. [22], Villac [32], and Villac and Broschart [33], all apply fast Lyapunov chaoticity indicators, a metric similar in form to FTLE, for preliminary spacecraft trajectory design and stability analyses in multi-body environments. In an application more closely associated with this investigation, Gawlik et al. [9] examine LCS in the mixed position–velocity phase space of the planar elliptic restricted three-body problem. Additional efforts to apply FTLE/LCS in the three-body problem within the context of periapse mappings are offered by Short et al. [30]. Pérez et al. [28] also examine the detection of invariant manifolds from LCS in the circular restricted three-body problem.

2.2. Computing the FTLE

While different metrics are employed to identify LCS, the finite-time Lyapunov exponent is generally the most common, where relatively high values of the FTLE indicate LCS. The FTLE essentially measures the stretching between adjacent trajectories over a prescribed time interval. Mathematically, the calculation of the FTLE is fairly straightforward. The flow map, \( \phi_t^\text{i}(x) \), represents the state of the system that has evolved to a final time \( t \) from an initial state \( x \) at time \( t_0 \). The FTLE is computed as the largest normalized eigenvalue of \( \sqrt{d(\phi_t^\text{i}(x)/dx_0)\,d(\phi_t^\text{i}(x)/dx_0)} \), i.e., the matrix spectral norm of the Jacobian with respect to the initial variations (\( ^\text{T} \) indicates the matrix transpose). The Jacobian product, \( (d(\phi_t^\text{i}(x)/dx_0)\,d(\phi_t^\text{i}(x)/dx_0) \), is also denoted the Cauchy–Green (CG) strain tensor, and the separate matrix, \( d(\phi_t^\text{i}(x)/dx_0) \), is the State Transition Matrix (also termed the STM and represented by \( \delta(t,t_0) \) in this analysis) evaluated along the arc at time \( t \). If several adjacent, initial state vectors are separated by small perturbations and subsequently evolved for a prescribed time, the Jacobian is estimated in two dimensions as described by Shadden et al. [29] via finite differencing such as

\[
\frac{d(\phi_t^\text{i}(x)/dx_0)}{i,j} \approx \begin{bmatrix}
\frac{x_{1,1}(t) - x_{1,1}(t)}{y_{1,1}(t) - y_{1,1}(t)} & \frac{x_{1,2}(t) - x_{1,2}(t)}{y_{1,2}(t) - y_{1,2}(t)} \\
\frac{x_{2,1}(t) - x_{2,1}(t)}{y_{2,1}(t) - y_{2,1}(t)} & \frac{x_{2,2}(t) - x_{2,2}(t)}{y_{2,2}(t) - y_{2,2}(t)} \\
\end{bmatrix},
\]

where the indices \( i \) and \( j \) indicate relative initial perturbations in \( x \) and \( y \), respectively. These initial perturbations are defined with a regular grid spacing, however, such a grid spacing is not required.

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1 Here the FTLE refers to the largest finite-time Lyapunov exponent, which is generally principle interest in FTLE/LCS analysis. However, some consideration is also given to the smallest FTLE in the literature [16].
Various schemes exist for calculating the Jacobian as required for computing FTLE values, including numerical integration of the variational equations, direct calculation from a grid of points (as in Eq. (1)) or through the use of an auxiliary grid as described by Farazmand and Haller [19]. Direct computation from a grid of points that covers the domain of the simulation allows for calculating FTLE in systems where variational equations are not available. Selecting an appropriate grid spacing facilitates the identification of LCS consistent with the order of the grid spacing. A mesh that is too fine may exclude some structures. However, an auxiliary grid that brackets each of the primary grid points increases the accuracy of the resulting Jacobian. A heterogeneous approach using both the primary grid as well as an auxiliary grid supplies the most desirable results at the cost of additional computation.

The FTLE ($\lambda$) is computed from an evaluated flow map and its associated derivative. Thus, the expression for the FTLE is

$$\lambda = \frac{1}{T} \ln \lambda_{\text{max}} \left( \sqrt{\frac{d\Phi^i(X)}{dx_0} \frac{d\Phi^j(X)}{dx_0}} \right),$$

with $\lambda_{\text{max}}(t)$ representing the operation that extracts the largest eigenvalue of the operand. The parameter $T = t - t_0$ represents both the truncation time for the FTLE and a means of normalizing the FTLE value.

### 2.3. LCS as ridges in FTLE scalar fields

In practice, an entire field of FTLE values is often computed and displayed on a map or section. In this way, comparisons of FTLE values across a relatively large area are possible. Specifically, regions characteristic of similar FTLE values are identified. A single individual region may appear markedly different, in terms of the FTLE value, from other regions within the field. Bounding these regions are height ridges corresponding to relatively large FTLE values. Such ridges are defined as curves where the FTLE values are maximal with respect to the largest principle curvature. These ridge values are largest with respect to the sides of the ridge but not necessarily along the top of the ridge where they may be greater or less than neighboring ridge values. Height ridges, their significance and computation, are given greater treatment by Eberly et al. [4]. These FTLE ridges represent Lagrangian coherent structures, and act as boundaries in the flow separating regions of fundamentally different qualitative behavior. In autonomous systems, the LCS correspond to invariant manifolds while, in time-dependent flows, the LCS evolve with the flow while continuing to bound distinct regions of behavior.

### 2.4. LCS as streamlines of CG vector fields

While the FTLE is a convenient and relatively well-behaved measure of the stretching between neighboring trajectories, it represents only part of the information available from the Cauchy–Green tensor. Other valuable information is accessible directly from the eigenvalues and eigenvectors of the tensor. In two-dimensional fields, Haller and Beron-Vera [20] establish various vector fields with their associated streamlines that correspond to transport barriers or LCS. Haller and Beron-Vera elaborate on exploiting all of the eigenvalues, eigenvectors and composite eigenvalue–eigenvector fields to identify different types of structures. For example, the particular streamlines that correlate with hyperbolic LCS are available from the eigenvector field consistent with the smallest eigenvalue. The vector field associated with this smallest eigenvalue is a strain field and yields a particular type of streamline, i.e., those denoted as strainlines. The strainlines with the smallest point-wise geodesic deviation are identified as hyperbolic transport barriers. Moreover, a selected tolerance on the value of the geodesic deviation supplies a criterion to identify convergence of the LCS and to calibrate algorithms for detecting such structures.

### 3. System models

The computation of the FTLE is not contingent on any assumptions in the derivation of the system differential equations, and, thus, can be applied for systems modeled with various levels of fidelity. Consequently, generating maps of FTLE in successively more complex models highlights the effects of individual contributions on the flow in the system. As examples, FTLE values in the Circular Restricted Three-Body Problem (CRP), a Restricted Four-Body Problem (4BP) and a Moon–Earth–Sun (MES) point mass ephemeris model are investigated. To generate a scenario to initiate the investigation, a simple Hohmann arc in the Two-Body Problem (2BP) is employed. The associated governing equations as well as other necessary considerations in each model are summarized in this section.

#### 3.1. The two-body model

The equations of motion for a massless body (in this case, the spacecraft) under the influence of a central gravitational field are represented in a rotating frame. Directions are identified by unit vectors: $\hat{r}$ radially outward from the central body to the spacecraft, $\hat{\theta}$ oriented 90° with respect to $\hat{r}$ in the orbit plane and $\hat{h} = \hat{r} \times \hat{\theta}$ consistent with the orbital angular momentum. The equations are expressed as two second-order coupled nonlinear differential equations

$$\ddot{\vec{r}} = \frac{\mu_{2b}}{r^2},$$

$$\ddot{\vec{\theta}} = \frac{2\dot{r}\dot{\theta}}{r},$$

where $r$ is the distance of the spacecraft from the central body in the $\vec{r}$ direction, $\dot{\theta}$ is the angular velocity of the rotating frame with respect to an inertial frame and $\mu_{2b}$ is the central body gravitational parameter.

Despite the nonlinear, coupled nature of the equations, closed-form analytical solutions exist in the form of well-known conic solutions. However, in this investigation, Eqs. (3) and (4) are converted to a system of four first-order nonlinear differential equations suitable for numerical integration.
for convenience and consistency when comparing results between different models.

### 3.2. The restricted three-body model

Space environments of interest can involve multiple gravity fields, thus, it is often necessary to incorporate as many of these gravity fields as possible into the governing models to ensure accurate simulation and to capture the essential features of the dynamical interactions. Models involving more than two bodies, however, offer no analytical solutions, and introduce additional complexities, which may be small but significant. Formulating the problem in terms of three bodies produces a model sufficiently complex to reveal many important characteristics while remaining tractable. However, even the general three-body problem possesses no closed-form solution [3]. Thus, additional simplifications, such as those consistent with the CRP, offer significant insight. The CRP incorporates only the effects of the masses of the two larger primaries (for example, the Earth and the Moon as they evolve on a mutual circular orbit) on a third, much smaller mass, such as a spacecraft.

Beyond this general description, a more careful mathematical definition for the CRP is important. The three bodies that appear in the model are designated as \( P_1, P_2, \) and \( P_3 \)—the body of interest. Position variables, \( x, y, \) and \( z \), describe the position of the third body with respect to the barycenter of the primary system, which also serves as the origin of the rotating and inertial reference frames. The system mass parameter is represented by \( \mu = m_2/(m_1 + m_2) \), a function of the masses of the primary bodies. Additionally, distances between the third body and the two primaries are denoted \( r_{13}, r_{23} \). Specifically, in a coordinate frame that rotates coincident with the circular motion of the primaries, a system of differential equations that describes the motion of the third body incorporates the potential function

\[
U^* = \frac{1}{r_{13}} + \frac{\mu}{r_{23}} + \frac{1}{2}(x^2 + y^2),
\]

and is written

\[
\begin{align*}
\dot{x} &= \frac{\partial U^*}{\partial x} + 2y, \\
\dot{y} &= \frac{\partial U^*}{\partial y} 2\dot{x}, \\
\dot{z} &= \frac{\partial U^*}{\partial z},
\end{align*}
\]

where the first derivatives in \( x \) and \( y \) appear as a result of Coriolis acceleration.

The equations of motion in the restricted problem\(^2\) are consistent with Szebehely [31] where they admit a single integral of the motion. This integral is termed the Jacobi integral and is represented as \( C \) in this analysis

\[
C = 2U^* - v^2,
\]

where \( v^2 = -\dot{x}^2 + y^2 + \dot{z}^2 \), that is, the square of the magnitude of the relative velocity. This integral allows for a reduction of order in the problem, and frequently plays an important role in the definition of maps. The Jacobi integral reveals boundaries on the motion of the third body in the restricted problem. These boundaries are defined when the velocity in Eq. (7) is zero, separating regions of real and imaginary velocities. An example of the Jacobi limiting boundaries, or Zero Velocity Curves (ZVC) in the \( x-y \) plane, is depicted in Fig. 1 along with the two libration points near the second primary (in this case, Saturn at 50× scale in the Sun–Saturn system). These types of boundaries on the motion are intimately associated with the definitions of the maps employed here.

The restricted problem represents a model of sufficient complexity to exhibit regions of both chaotic and relatively ordered behavior. Generally, the focus of an analysis in this model is understanding and exploiting behavior that is associated with the chaotic regions to identify useful trajectory arcs. The CRP model is frequently suitable to yield first-order mission design solutions, but useful information is often difficult to isolate amidst the chaos. Investigation of Lagrangian coherent structures in the CRP supplies additional insight.

### 3.3. The bicircular four-body model

The bicircular four-body problem incorporates the influence of a fourth body as a perturbation to the restricted problem dynamics. The relative geometry of such a system is depicted in Fig. 2, where an initial angle for the fourth body with respect to the CRP rotating \( x \)-axis is denoted \( \theta_0 \). Under this model, a fourth distant gravitating body is placed on a circular orbit relative to the barycenter of the CRP. Consequently, the Newtonian inverse-square gravity of the fourth body acts on the spacecraft in addition to the gravitational effects of the two CRP primaries. The fourth body does not

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\(^2\) CRP and “restricted problem” are used interchangeably here, both refer to the circular restricted three-body problem.
present model, in contrast to Pavlak and Howell, does not position histories supplied by JPL DE405 ephemerides. The model is similar to that employed by Pavlak and Howell [25], a Moon model is similar to that employed by Pavlak and Howell [25], a Moon–Earth–Sun (MES) point mass model with position histories supplied by JPL DE405 ephemerides. The present model, in contrast to Pavlak and Howell, does not include Solar radiation pressure. The governing equations affect the circular Keplerian orbits of the two “local” bodies. In this case, the Sun is added as the fourth body orbiting the Earth–Moon barycenter on a circular orbit at a distance of 1 AU. The equations of motion remain the same as Eqs. (6a)–(6c), but the potential function is now [10]

$$U^* = \frac{1 - \mu}{r_{13}} + \frac{\mu}{r_{23}} + \frac{\mu_2}{r_{31}} + \frac{1}{2}(x^2 + y^2),$$

with $\mu_4 = m_4/(m_1 + m_2)$.

Such a four-body model, while still reflecting significant simplification, introduces an important transition. The presence of the perturbing fourth body results in a non-autonomous system. This change in the nature of the system decreases the applicability of many of the dynamical systems tools that are available in the CRP, while completely removing others. A constant of the motion, and, consequently, a convenient expression for bounds on the motion, is no longer available. Other aspects of the problem now shift with time (e.g., manifold-reminiscent structures associated with nearly periodic solutions still exist, but these structures change with time). These evolving structures are useful to illustrate the extensibility and usefulness of FTLE/LCS analysis.

3.4. A four-body ephemeris model

A partial ephemeris model is employed and is selected as an incremental increase in fidelity from the bicircular model, much like the bicircular model offered an incremental step beyond the restricted problem. These small modifications in models are engineered to highlight the changes resulting from various contributions to the fidelity of the model. This higher-fidelity, four-body ephemeris model is similar to that employed by Pavlak and Howell [25], a Moon–Earth–Sun (MES) point mass model with position histories supplied by JPL DE405 ephemerides. The present model, in contrast to Pavlak and Howell, does not include Solar radiation pressure. The governing equations are the n-body relative equations of motion

$$\ddot{r}_{q3} = \frac{\mu_{2b} + \mu_2 q_3}{r_{q3}^3} + \sum_{j=1}^n \mu_{2b_j} \left( \frac{r_{qj}}{r_{q3}^3} \right),$$

here $\mu_{2b} = Gm$, consistent with the 2BP. The position vector, $r_{qj}$, indicates the position of the $j$th body with respect to the central body, $q$; the subscript 3 is associated with the spacecraft. In this model, states defined in the restricted problem are transitioned to Moon-centered J2000 states via an instantaneous rotating frame defined by ephemerides. This partial ephemeris model naturally involves full six-dimensional states and trajectory propagation is performed in all spatial dimensions. Additionally, computation of the FTLE employs auxiliary “grid” points about each state variable. Thus, in this model, one FTLE computation involves the propagation of 12 perturbations. Notwithstanding these spatial considerations, since the maps are transitioned from the planar lower-fidelity model, their domain remains the same.

These models illustrate the wide applicability of FTLE/LCS analysis to different types of systems. Ultimately, this extensibility indicates that this type of metric and mapping strategy can be employed for a full-ephemeris design and analysis. Such a capability is supported by previous FTLE literature in other fields which describes the FTLE as a tool for directly analyzing empirical flow results (when no underlying dynamical model is defined) [24,27].

4. Maps

Mapping analysis within the context of multi-body regimes has proven to effectively reveal design options that are otherwise difficult to identify (see, for example, [2]). Some advantages of a map based approach include a broader view of the design space as well as a “cleaner” visual that offers easier categorization of the behavior in a specific region. The maps employed in this analysis share many aspects with well-known mapping strategies. A key difference is the quantity visualized with the mapping. Specifically, these maps focus on FTLE values across an area in contrast to the returns to a hyperplane in a traditional Poincaré plot. Another difference is the Lagrangian perspective that is inherent in calculating the FTLE. Traditional maps depict the evolution of the trajectories in terms of their crossings on the map. A map of FTLE is essentially a map reflecting the fate of each trajectory evolving from a set of initial conditions where the focus of the investigation is the stretching downstream at some future time. Several possible mapping strategies are described below.

4.1. State-space maps

Investigations of many systems involve a traditional Poincaré mapping to create a puncture plot that facilitates analysis. Such a map reveals salient information by reducing the dimension of the system and alleviating obscurance. Elements of this Poincaré mapping approach are employed, including the selection of a hyperplane corresponding to some value of a single state variable; a grid is constructed from two other state variables; and, the fourth state is constrained by a system integral (if one exists).
However, rather than creating a return map, the initial grid coordinates (representing an entire state in the planar CRP) are colored by the relative FTLE value. Thus, each of the initial states is characterized.

While there are many possible representations available for observing the behavior in a system, strategies frequently involve the investigation of position–velocity phase spaces. For example, a map can be plotted in terms of a position variable and its associated velocity component. Alternatively, a “mixed” position–velocity phase space (i.e., a position and the alternate velocity component in a four-dimensional state), or quantities derived from combinations of the state also prove useful as mapping coordinates. In the state spaces associated with the models in this analysis, a true Poincaré mapping constructed from both a hyperplane and a constant of the motion, as described previously, is not always available. However, establishing the initial conditions associated with a particular mapping in one model and then adjusting these states for application to the other models is a possibility. For the ease of contrast and comparison between various maps, this analysis includes both position–velocity and position–position Poincaré maps. Specifically, in the CRP, one state variable is assigned a particular value to define the hyperplane, two other state variables are selected on a grid and the fourth is supplied by the Jacobi constant. The initial conditions associated with such a map are then adjusted for use in the higher-fidelity models through state transformations.

4.2. Apse maps

One particular type of map can be constructed using a condition of the state, rather than sampling a state variable, to define the hyperplane. Passage through the closest approach or maximal excursion is employed to construct an apse map. The periapse or apoaplace condition indicates a hyperplane crossing. Two state variables again serve as the map domain. Both the apse conditions and the Jacobi constant are enforced to recover the remaining two state variables. Such an apse map can be displayed in terms of various state variable combinations, but position variables are particularly useful as a more intuitive mapping. An application of this type of map is described by Haapala [11] and Howell et al. [21] among others. FTLE maps defined in specific regions correlate closely with manifold Poincaré apse maps constructed by a direct mapping of the invariant manifolds associated with periodic orbits as they intersect the map. These FTLE maps highlight similar structures and reveal additional information due to their dense nature [30]. An example of this type of map showcasing repelling and attracting manifold structures near the smaller primary in the Sun–Saturn system appears in Fig. 3. In Fig. 3, red structures reflect high values of backward FTLE and the corresponding ridges do, in fact, correlate to the periapse passages of L1 unstable manifold trajectories. Similarly, blue structures are associated with the stable manifold periapses.

While LCS are defined as ridges of FTLE, this is not entirely accurate in the case of a periapse map where iterations occur at different times along each trajectory.

Apse maps are useful in the study of transit orbits as described by Haapala [11].

4.3. Stroboscopic maps

Traditional stroboscopic maps are constructed as Poincaré maps with a hyperplane condition selected as a particular time, for example, the characteristic period of a system. Alternatively, this time condition may be arbitrarily defined. Crossings in this type of map are recorded every T time units, where T is the time condition defined for the hyperplane. Such a stroboscopic map may be more insightful with repeating behavior. A common application of FTLE maps that is consistent with the definition of Lagrangian coherent structures is, effectively, a single iteration of a stroboscopic map. That is, LCS are defined as structures emerging when the flow map is evaluated at the same final time, T, for all initial states. This type of map highlights the stretching of nearby trajectories at a specified later time and allows for more direct comparison across an entire field of FTLE values.

One essential value of the finite-time Lyapunov exponent for predicting behavior is captured by examining large groupings of FTLE values in a region. This approach leads directly to creating maps of FTLE values. Aspects from the various types of mapping strategies can be combined to effectively illustrate the flow in an astrodynamical model. The information that emerges from these maps can then be incorporated into different phases of mission design and analysis.

5. Design and analysis

Maps of the finite-time Lyapunov exponent and the associated structures that emerge are effective in support of trajectory design activities. Since the LCS revealed in CRP FTLE maps coincide with the map crossings of invariant manifolds emanating from periodic orbits, existing manifold-based design strategies directly apply. In more complex scenarios involving more than two gravity fields, invariant manifold structures associated with periodic solutions are no longer available (in the absence of periodic solutions). However, in such regimes, time-evolving barriers still exist.
For a given reference time, these flow-separating structures can be exploited in much the same way as the invariant manifolds in the CRP. The following examples highlight some of the uses of FTLE maps and the associated LCS.

### 5.1. LEO to the map: 2BP

Transfers from LEO to the neighborhood of the cislunar \( (L_1) \) libration point involving manifold and "pseudo-manifold" arcs associated with a periodic (or nearly periodic, in more complex models) orbit about \( L_1 \) in the Earth–Moon system serve as specific examples of LCS applications. Many recent missions have involved revolutions in the vicinity of the libration points for phasing or other considerations. The objective of this example is to identify transfers from FTLE/LCS maps that result in a successful revolution about \( L_1 \) (indicating a potential for insertion into an orbit about \( L_1 \)). A Hohmann-type transfer arc from LEO to the vicinity of the stable branch of a \( L_1 \) Lyapunov orbit manifold-crossing with the \( y \)-axis in the CRP rotating frame is employed to establish this particular scenario. The CRP energy level is selected to be consistent with an \( L_1 \) gateway that is slightly open (as defined by the zero-velocity curves), and an \( L_2 \) gateway that is closed.

The Hohmann transfer in this example is selected simply to result in a final state to compare with initial conditions in a scenario that illuminates the insight available from an FTLE mapping. The transfer arc is a two-body arc that departs a 300 km altitude low Earth orbit at perigee for a maneuver cost of slightly less than 3 km/s. The arc is constructed to specifically reach apogee at the \( y \)-axis crossing in a rotating frame consistent with the CRP (i.e., \( x = 0 \)). The LEO parking orbit (yellow) and the Hohmann arc are illustrated in Fig. 4. In Fig. 4, the magenta and blue arcs represent inertial and rotating views of the Hohmann arc, respectively. The green and red axes are the \( y \)-axis and \( x \)-axis of the barycentric rotating frame. The rotating frame and the inertial frame are aligned such that the two frames coincide when the Hohmann arc reaches \( x = 0 \) as viewed by a rotating observer. Both arcs are included to illustrate a scenario such that a spacecraft might be delivered to a region where invariant manifolds from the periodic orbit at \( L_1 \) in the CRP are known to transit. Thus, the arc is computed using only simple two-body conic analysis—this type of arc could be targeted in the restricted problem, but this is not necessary for its purpose here. The arc allows for a simple estimate of the maneuver cost to depart LEO, and based on the resulting state at the manifold insertion point (i.e., at the \( x = 0 \) crossing) an estimate for a maneuver cost to insert onto a manifold structure.

### 5.2. From the map to \( L_1 \): CRP

Selection of an energy level in the CRP, consistent with an open \( L_1 \) gateway and an \( L_2 \) gateway that is closed, enables the computation of a periodic Lyapunov orbit about \( L_1 \) and the associated invariant manifolds. For the purposes of illustrating the map employed in this example, the \( P_1 \) branch of the stable manifold is depicted in configuration space in Fig. 5. The surface of section in this example ranges from approximately \(-250,000 \text{ km} \) to \(-20,000 \text{ km} \) along the rotating \( y \)-axis and is colored black in Fig. 5. Also included in the figure, for perspective, are the interior ZVC for this energy level (black contour) as well as the \( L_1 \), \( L_4 \) and \( L_5 \) libration points represented as the middle, upper and lower red spheres, respectively. The transfer arc also appears in the rotating frame. The section for analysis is selected such that \( x = 0, (−250,112 < y < −19,239) \) km, \( (−0.9733 < \dot{y} < 0.5635) \) km/s and \( \ddot{x} \) is recovered from \( C = C_{L_1} \). However, many of the visuals are zoomed to \( (−192,394 < y < −76,957.2) \) km, \( (−0.5891 < \dot{y} < 0.1793) \) km/s, to focus on the structures of interest. Introducing the appropriate initial conditions, and allowing them to evolve forward in time for 3.5 nondimensional (nd) time steps (\( ∼15.19 \text{ days} \)) yields FTLE values

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**Fig. 4.** Arcs from LEO to region of interest: \( x = 0 \) (magenta: inertial, blue: rotating). (For interpretation of the references to color in this figure caption, the reader is referred to the web version of this article.)

**Fig. 5.** \( P_1 \) stable manifold branch for \( C = C_{L_1} \).
consistent with Fig. 6. The time parameter for FTLE calculations (3.5 nd) is selected consistent with the amount of time required for the emerging LCS to stabilize as identified by observation. This same time parameter is utilized for results from other models in this example as a basis for comparison. In Fig. 6, as well as others, the FTLE value is indicated by the color bar near the top of the image. Central to Fig. 6 is a curve with relatively higher FTLE values along its edge. This contour is a Lagrangian coherent structure that corresponds to the interior, or $P_1$, branch of the stable manifold that flows into the Lyapunov orbit near $L_1$.

The state along the Hohmann arc as it terminates at $x=0$ is projected onto the map from Fig. 6. While the $x$, $y$ and $\dot{y}$ components lie on the map, the $x$ component from the arc is not constrained. From the position of the projected state on the map, it is observed that velocity adjustments in both components allow for insertion into two possible manifold trajectories. These two potential insertion points lie on the map where a transfer arc possesses the same $y$ value as the manifold contour. These various options appear in Fig. 7.

The state marked in blue represents the projection of the Hohmann arc state on the map. Of the two insertion possibilities on the contour with the same $y$ value, the lower $\dot{y}$ option is selected, marked black in the figure. The two-body Hohmann arc allows for a rough estimate of the maneuver cost to insert onto the manifold arc in the restricted problem. In this scenario, the cost is computed by

$$|\Delta v| \approx \sqrt{(v_{x,m}-v_{x,h})^2 + (v_{y,m}-v_{y,h})^2}$$

where $v_{x,m}$ and $v_{y,m}$ correspond to the manifold and Hohmann arc components, respectively. This maneuver cost, coupled with

$$|\Delta v| \approx 1.373 \text{ km/s} = \sqrt{(1.823-0.451)^2 + (-0.147-0.014)^2}$$

the LEO departure maneuver cost, together $\approx (1.4+3.0)$ km/s, is reasonable given (1) the assumptions in this problem, and (2) the consideration that there is no attempt to determine an optimal solution.

The state selected from the map serves only as an approximation for the associated manifold trajectory since the resolution of the map is significantly larger than the precision required for actual manifold trajectories. Nevertheless, a very slight adjustment to the map state yields manifold-like results. In Figs. 8 and 9, two trajectories selected from the map are plotted along with a state reflecting slight adjustment of the velocity "by hand". The two grid points that bound the adjusted state correspond to $y \approx 0.1546$ nd (trajectory enters $P_2$ region) and $y \approx -0.1561$ nd (trajectory does not enter $P_2$ region). Selecting $y = -0.155$ produces the black trajectory with the desired behavior, that is a revolution about $L_1$ resembling a Lyapunov orbit as is apparent in Fig. 9.

Since the map resolution is relatively large (as compared to the level of accuracy for manifold states), some correction is necessary to generate a more optimal value for an insertion velocity state. However, in general, the map supplies a very good initial guess for a state belonging to a manifold arc that flows into the periodic orbit at $L_1$. This insight is less critical in the CRP since alternate schemes/approaches are available to directly produce manifolds associated with periodic orbits. In contrast, for more complex models, the manifold-like structures are more obscure and information from the map is very useful.

5.3. From the map to $L_1$: 4BP

The power of FTLE analysis lies in the ability to describe the flow in complex models. Transitioning the initial states associated with the map in the CRP into the bicircular four-body problem represents a first step towards using FTLE in a higher-fidelity astrodynamical context. Introducing the perturbing effect of the Sun’s gravity on the CRP dynamics
renders the resulting system non-autonomous. To demonstrate the applicability of the same FTLE tools, several maps are generated with varying initial Sun angles with respect to the Earth–Moon rotating frame. From the rotating frame perspective, the Sun revolves entirely about the Earth–Moon system in one synodic period of the Moon. Thus, the perturbation resulting from the addition of the Sun's gravity periodically repeats. Moreover, the Solar gravity effects on the trajectory arc for some initial angular offset effectively reflect across the origin. For example, an initial offset of \(0.25\pi\) radians for the Sun's position with respect to the rotating \(x\)-axis will induce results that are similar to those that emerge if the initial offset is \(1.25\pi\) radians. Thus, selecting initial offsets between 0 and \(\pi\) radians is sufficient for preliminary analysis.

In an assessment of the bicircular four-body problem over timescales of about 15 days, the Solar gravity does little to alter the structure qualitatively. However, to a small degree, the structures do shrink, grow, or translate along the “long-axis” of the lobe described by the LCS. While these modifications are slight, they are significant since an initial state close to the contour formed by manifold crossings in the CRP would move further away from the corresponding structure in the 4BP. More precisely, the structures are shifted between the two models. This displacement depends on the initial location of the Sun, and changes as the LCS evolves in time.

Comparison between the structures that appear under the CRP versus the 4BP suggests the type of adjustment...
required, based on the initial Sun angle, to place the state on the four-body contour reminiscent of the CRP manifold contour. Depicted in Fig. 14, the difference between the CRP map in Fig. 6 and the 0.25π 4BP map is apparent. The red contour is the LCS from the 4BP while the green contour is the structure from the CRP map. Propagating the initial conditions associated with the state marked in black in Fig. 14 (i.e., the black trajectory from Fig. 9) in the 4BP results in a trajectory that enters the P_2 region as illustrated by the red colored trajectory in Fig. 15.

The CRP arc is included, again in black, for comparison. Finally, selecting an alternative state directly from the map, a four-dimensional state that lies near the 4BP contour (this time marked in red in Fig. 14) produces the red manifold-like trajectory in Fig. 16. Again the CRP arc generated from the same state is plotted for comparison in black.

The comparison between structures in the restricted problem and the bicircular problem demonstrates the use of a procedure for applying FTLE/LCS concepts in different models. The ability to visually identify structures in the 4BP is encouraging since the structures themselves are not as readily calculable from the traditional methods applied to generate manifolds associated with periodic orbits in the autonomous CRP. Additionally, in both cases, the flow behavior is characterized through the relatively simple computation of FTLE values, although many such calculations are required. While the computational overhead to produce large grids of FTLE is not trivial, it can be offset by adaptive methods and parallelization. Investigation of FTLE maps and the underlying structures in the bicircular model provides an incremental step towards applying the tools in even more complex models and
assists in establishing and verifying the conceptual progression between models. Further analysis in a high-
fidelity model solidifies the applicability of these methods in practical scenarios.

5.4. From the map to \( L_1 \): MES

Transition to an ephemeris model, even as in the Moon–
Earth–Sun model described in Section 3.4, immediately introduces several new considerations. The previous models
were developed in a planar four-dimensional state space. Since Solar System bodies move in all three spatial dimen-
sions, the trajectories integrated to evaluate the FTLE must also be evolved spatially (i.e., in all 6 dimensions of the state
space). Fortunately, the formulation of the FTLE still applies directly since the value of interest, the eigenvalue associated
with the direction of largest expansion, can still be calculated. Thus, transitioning from CRP to J2000 states for a
particular epoch supplies initial conditions that are directly integrated using the relative equations of motion defined in
Eq. (9). Moreover, neighboring states integrated forward or backward in time still expand and contract with the flow as
dictated by the dynamics. Thus, representing the FTLE on the same map space continues to apply. The process begins by
transitioning a CRP state, and ends with the resulting FTLE value and the appropriate “coloring” of the initial state on
the map. The structures in the map are now expected to shift for each different initial epoch. In Fig. 17, maps of FTLE values
are represented on the same section that was employed in the previous maps, but zoomed out to the full extent. The
epochs associated with the maps in the sequence progress down the first column and then down the second column.
Initial dates for integrating the field were selected about August 1, 2012 at 00:00:00 UTC. Thus, referencing from the
upper left corner of the figure, the initial epochs range from July 27 through August 5 in the bottom right corner (all
starting at 00:00:00 UTC). The lower left corner in each frame of the figure reflects a region beyond the CRP zero
velocity curves in \( y–y \) space. Thus, this region is empty of FTLE values.

As is apparent from the ephemeris sequence in Fig. 17, there are some dates for which the structures of interest
do not appear and others for which the structures no longer form closed contours on the map. Comparing the
map for a selected ephemeris epoch with the maps constructed in the CRP and the 4BP illustrates the similari-
ties to the maps developed in the previous models. The view in Fig. 18 is consistent with the map in the restricted
problem for \( C = C_{L_1} \), and with the map in the bicircular problem for an initial Solar offset of 0.25\( \pi \), as well as the
resulting ephemeris map for the epoch August 1, 2012 at 00:00:00 UTC. The CRP structures appear in green and the
4BP structures are colored red. The new ephemeris map information appears in blue—the blue levels have been slightly exaggerated for clarity. The position and velocity states of interest are again marked with different colors. As before, the state corresponding to the trajectory from

Fig. 16. 4 BP manifold-like arc. (For interpretation of the references to color in this figure caption, the reader is referred to the web version of this article.)

Fig. 17. July 27–August 5 ephemeris FTLE.
the model for the restricted problem is marked in black and the state associated with the 4BP trajectory is marked red. The state employed in the subsequent ephemeris propagation appears as well, with a blue marking. Taking state vectors directly from the grid near the ephemeris structure and evolving them forward in time produces the tan arcs depicted in Fig. 19. The arc that continues towards \( P_2 \) results from an initial state with \( y = -0.1868 \) nd, while the arc that revolves about \( L_1 \) and presumably returns to the \( P_1 \) region originates from a state with \( y = -0.1883 \) nd. Next, in Fig. 20, a manually adjusted trajectory with initial \( y = -0.18809 \) appears colored in blue.

As was observed when transitioning from the restricted problem to the bicircular problem, the maps from the ephemeris propagations and the associated LCS offer good estimates for (1) the locations for the likely existence of potentially useful, or (2) the existence of any, manifold-like arcs for particular conditions. Moreover, the ephemeris maps supply predictive information that is valuable in a design scenario. The fact that, for a given CRP energy level, ephemeris “manifolds” may not exist for a particular epoch, or that these structures may possess a significantly different qualitative nature is useful. Maps of FTLE values yield significant insight into complex models.

Comparing maps of FTLE across different models supplies significant insight into the flow similarities and differences under the models. Additionally, such a comparison highlights the magnitude of various effects. In the bicircular problem, it is apparent that the gravitational perturbation from the Sun over timescales consistent with this analysis, while significant, does little to alter the qualitative nature of the structures appearing in FTLE maps. In scenarios where non-planar, non-Keplerian gravitational sources are present, it is apparent that the initial epoch can impact the dynamical structure significantly. These types of comparisons can offer dynamical context for design and yield more understanding of the nature of the flow.

5.5. A brief ephemeris analysis example

The FTLE/LCS concepts can not only add insight for design, but also support analysis. Recent ARTEMIS trajectory phases involved significant operations in the vicinity of the Earth–Moon \( L_1 \) and \( L_2 \) libration points. Effective stationkeeping during these phases was important for mission success. The computation of FTLE values adds some context for the ARTEMIS maneuver strategy. Previous analysis by Folta et al. [5,7], as well as Pavlak and Howell [26], demonstrates that the optimal, plane-constrained stationkeeping maneuvers during the Lyapunov phases of the ARTEMIS trajectory correlate strongly with the stable direction recovered from an approximate monodromy matrix \( (M) \) associated with revolutions of the trajectory. The optimal maneuver direction for a stationkeeping cycle aligns with the position projection.
of the stable eigenvector computed from an approximation to the monodromy matrix. The FTLE values in the vicinity of ARTEMIS maneuvers are generally coincident with the stable direction alignment of the constrained optimal maneuvers.

To explore one such stationkeeping maneuver, consider a reconstruction of one revolution along the path of the ARTEMIS P1 spacecraft (not to be confused with the major primary in the CRP). Specifically, the focus is one revolution about $L_2$ in the Earth–Moon system as depicted in Fig. 21. The red horizontal line is the rotating $x$-axis, the red sphere, $L_2$, and the Moon is depicted to scale. The actual spacecraft implemented a maneuver near the $x$-axis between the Moon and $L_2$, as marked with the black $\times$ in the figure, on November 17, 2010 08:45:00 UTC. The direction of motion along this arc is denoted with color; it begins in violet and terminates in red. Thus the trajectory is recovered by evolving forward in time (3.5 nd time steps, $\sim 15.198$ days) from the maneuver point. To serve as a basis for comparison, the uncorrected ARTEMIS P1 state is evolved in an ephemeris system similar to the one described in Section 3.4—the same system employed in the FTLE ephemeris map construction. However, for this example, the central body is the Earth, thus, the system is denoted an Earth–Moon–Sun (EMS) system. Naturally, this EMS model represents a simplification of the higher-fidelity ephemeris model of Folta et al. and Pavlak and Howell. Notwithstanding the planar representation of the trajectory in Fig. 21, significant out-of-plane excursions occur during the plotted stationkeeping cycle.

To generate the stable and unstable directions for the arc in Fig. 21, finite differences are used to generate the forward-time state transition matrix ($\Phi$), in this case, an approximate monodromy matrix ($M$). The maneuver direction is then compared with (1) the stable direction as computed from $M$, and (2) surrounding FTLE values. The directions of the relevant vectors and the FTLE values at various points in the surrounding field appear in Figs. 22–25. Three orthographic projections as well as a 3D view are included in the figures.

In the figures, $x$–$y$–$z$ Cartesian inertial axes appear; in the 3D view in Fig. 25 the axes are colored in darker shades of red, green and blue, respectively. In each of the figures, the position projection of the stable eigendirections from the monodromy matrix are represented by double-headed blue arrows, while the corresponding unstable directions are depicted as double-headed red arrows. The direction of the actual ARTEMIS P1 maneuver is indicated in the figures with a cyan arrow. Each of the directions are scaled in length for visualization. Also plotted in the figures are points of forward time FTLE values colored using a “rainbow” color scale with red denoting the largest magnitude of the values of forward FTLE and blue coloring the lowest values. In the planar figures, the relative FTLE coloring is taken from the top-most slice, for a given projection, on the boundary of the cube (as seen in Fig. 25). The FTLE values are generated by

![Fig. 21. Uncorrected ARTEMIS P1, L_2 segment. (For interpretation of the references to color in this figure caption, the reader is referred to the web version of this article.)](image1)

![Fig. 22. Maneuver and stability directions ($x$–$y$).](image2)

![Fig. 23. Maneuver and stability directions ($x$–$z$).](image3)
stepping away from the maneuver position in steps of 20 km to form a $5 \times 5 \times 5$ point grid of FTLE values spanning a cube of $\pm 40$ km. Each grid point is evolved forward for a time consistent with the forward propagation of the arc in Fig. 21. Thus, a three-dimensional stroboscopic map of FTLE results. Observe that the actual maneuver direction aligns well with the stable direction as described by Folta et al. as well as Pavlak and Howell. Additionally, the optimal maneuver is directed generally in the least sensitive direction (the direction of the blue points) as illustrated by the 3D FTLE grid. That is, the maneuver direction, in this case, orients toward smaller FTLE values consistent with the stable direction.

Repeating the process of comparing the plane-constrained\(^4\) optimal ARTEMIS maneuver directions with surrounding FTLE values reveals a general correspondence similar to that illustrated above. Of 27 selected\(^5\) maneuvers associated with the $L_1/L_2$ phase of the ARTEMIS $P_1$ trajectory, all but 4 orient generally away from higher FTLE values. The directed nature of the analyzed maneuvers is summarized in Table 1.

A few of the maneuvers (marked with an asterisk in the table) orient generally with locally lower values of FTLE rather than the minimum value in the FTLE cube. In these cases, where the maneuver is directed toward locally smaller values, the higher values of FTLE “slice” through the cube between two regions of lower values. In fact, this type of bifurcated FTLE field is observed in Figs. 22–25. Finally, the gravitational influence of the Moon tends to promote stretching, and consequently higher FTLE, in the direction of the Moon. In the cases where the maneuver resolves along the stable direction toward the Moon to satisfy the constrained optimization problem, it necessarily points in the direction of larger FTLE. This is observed in the cases where the maneuver is not oriented toward smaller FTLE. That is, when the maneuver is directed toward larger FTLE values, it is also oriented generally toward the Moon.

The brief analysis of ARTEMIS maneuvers from an FTLE perspective offers a compelling avenue for the application

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\(^4\) Flight maneuvers are constrained to the spin plane and by additional hardware limitations. Consequently, maneuvers may not always align fully with the “best natural” solution [6].

\(^5\) A few of the performed maneuvers during this phase are neglected as not solely stationkeeping maneuvers. For example, maneuvers 12–15 are associated with a transition from $L_2$ to $L_1$ regions.

---

**Table 1**

<table>
<thead>
<tr>
<th>Maneuver</th>
<th>$L_1/L_2$</th>
<th>$\Delta t$ [nd]</th>
<th>Smaller FTLE orientation</th>
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**Fig. 24.** Maneuver and stability directions ($y$–$z$).

**Fig. 25.** Maneuver and stability directions (3D). (For interpretation of the references to color in this figure caption, the reader is referred to the web version of this article.)
of FTLE as a predictive metric. The possibility arises for utilizing FTLE values along with mode analysis to inform stationkeeping strategies. Moreover, the context supplied by the FTLE about maneuver points aid in correlating the inclination for the optimal maneuver to align in a stable direction. Both the LCS example above and the FTLE analysis example here indicate the ability to use these concepts to better understand behavior and to inform trajectory design.

6. Conclusions

The examples offer possible FTLE/LCS-based types of analysis. Maps of FTLE values characterize the overall flow in a system and reveal invariant manifold crossings of solutions in autonomous systems and crossings of manifold-like trajectories in non-autonomous cases. Additionally, information revealed from FTLE values adds some details concerning local stability. The general qualitative nature of FTLE maps supplies useful contextual information and general insight. It is apparent that harnessing the information available from a map of FTLE values and the LCS that emerge in the map represents an effective tool for selecting arcs that evolve in a desirable way. Moreover, these maps are available even when working with a model where the complexities hinder or remove alternate methods. Further study of comparative maps should continue to prove useful, and additional maps in ephemeral spaces will help to identify other structures and aid in mission design.

Relative FTLE values reveal context that can be utilized in corrections and stationkeeping. Results indicate that FTLE values are sensitive to the relative direction of nearby primary bodies. However, the FTLE values also indicate a general preference, when feasible, of maneuver directions aligning in directions of lower sensitivity (as determined by neighboring FTLE) along the stable eigen-direction of the monodromy matrix. Analysis possibilities serve as compelling motivation for additional investigation of FTLE in support of applications like stationkeeping and corrections.

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