# Mechanistic modelling of PSA dynamics shows potential for personalised prediction of radiation therapy outcome

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#### Abstract

External beam radiation therapy is a widespread treatment for prostate cancer. The ensuing patient follow-up is based on the evolution of the Prostate Specific Antigen (PSA). Serum levels of PSA decay due to the radiation-induced death of tumour cells and cancer recurrence usually manifests as a rising PSA. The current definition of biochemical relapse requires that PSA reaches nadir and starts increasing, what delays the use of further treatments. Also, these methods do not account for the post-radiation tumour dynamics that may contain early information on cancer recurrence. Here, we develop three mechanistic models of post-radiation PSA evolution. Our models render superior fits of PSA data in a patient cohort and provide a biological justification for the most common empirical formulation of PSA dynamics. We also found three model-based prognostic variables: the proliferation rate of the survival fraction, the ratio of radiation-induced cell death rate to the survival proliferation rate, and the time to PSA nadir since treatment termination. We argue that these markers may enable the early identification of biochemical relapse, which would permit physicians to subsequently adapt patient monitoring to optimise the detection and treatment of cancer recurrence.

Subject areas: Biomathematics.

Keywords: prostate cancer, prostate specific antigen (PSA) dynamics, external beam radiation therapy, mathematical oncology.

## 1 Introduction

Prostate cancer (PCa) is a major health burden among ageing men worldwide [1]. External beam radiation therapy (EBRT) is a feasible treatment for patients of all ages and PCa risk groups [2–4]. In EBRT, radiation is delivered from an outside beam aiming at disrupting the DNA in the tumour cells' nuclei, which forces them to undergo programmed-cell death due to excessive DNA damage accumulated from both radiation and the previous genetic alterations that generate and support PCa [5]. EBRT requires a precise planning of the radiation dose quantity, distribution over the prostate organ, and temporal delivery [2, 3]. Classical EBRT plans deliver a

total dose of 74 to 80 Gy in 2 Gy fractions. Moderately hypofractionated plans (60 to 66 Gy delivered in 3 Gy fractions) are also used after recent clinical trials that have shown that they are non-inferior to conventional EBRT [3, 6]. Neoadjuvant and adjuvant androgen deprivation therapy (ADT) may improve EBRT performance, but can also provoke bothersome side effects (e.g., low libido, impotence, anemia, osteoporosis, depression). Hence, combination of EBRT with ADT is only recommended for intermediate-risk PCa (4 to 6 mo) and mandatory for high-risk tumours (2 to 3 yr) [3]. Local recurrence after EBRT can be managed with radical prostatectomy, cryoablation, brachytherapy, and high-intensity focused ultrasound, while patients with advanced PCa are usually prescribed ADT, chemotherapy, or a combination of both [2, 3].

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Patient monitoring after conclusion of EBRT largely relies on Prostate Specific Antigen (PSA) levels [2, 3], which is a common biomarker whose levels in blood tend to rise during PCa [2, 3]. Radiation-induced tumour cell death causes PSA to decrease after EBRT, so a continued rise in PSA may be indicative of PCa recurrence due to thriving cancerous cells surviving radiation therapy. However, PSA may also be affected by natural background fluctuations (e.g., diet, lifestyle), a continuous smooth increase due to prostate enlargement caused by benign prostatic hyperplasia (BPH), and sudden rises due to ceasing ADT or to the so-called PSA bounce (a transient rise of at least 0.1 to 0.5 ng/mL usually within 24 mo after EBRT [7, 8]). Therefore, physicians require robust criteria to identify when a rise in PSA corresponds to a PCa recurrence. Initially, biochemical relapse after EBRT was defined as three consecutive rises of PSA after the minimum post-EBRT PSA value registered for a given patient (PSA nadir) [9]. Currently, a superior criterion defines biochemical relapse as an increase larger than 2 ng/mL over PSA nadir [10], which correlates better with clinical recurrence and patient survival. The former three-point rule is still used as a warning sign in patient monitoring.

However, these criteria of biochemical relapse detection require PSA to reach a minima and start increasing, which may result in delays in the application of further treatments. Also this relapse measure does not inform about the expected PCa prognosis. The definition of early markers of PCa recurrence and malignancy would enable physicians to successfully control the disease with an appropriate salvage treatment. This is the purpose of multiple studies aimed at analysing PSA dynamics after EBRT. A high value of PSA nadir, a short time to reach PSA nadir after EBRT termination, and short PSA doubling time (or high PSA velocity) during biochemical relapse have been correlated with metastatic disease and reduced patient survival [8, 11–14]. While these studies focus on long-term PSA dynamics, only a few investigations have focused on analysing the PSA evolution shortly after EBRT conclusion. A rising PSA trend, high PSA levels, or a rapid PSA decline shortly after EBRT have been linked with poorer prognosis and patient survival [15–17]. To gain further insight, post-EBRT PSA dynamics has also been quantitatively described by fitting mathematical formulas to PSA longitudinal data in different patient cohorts [18–23]. PSA decline after EBRT in cured patients is usually described with an exponential decay (possibly added to a constant or a slowly increasing linear term accounting for benign growth), while a biexponential formula best represents the PSA decrease and posterior rise in biochemically-relapsing patients [18–21]. This biexponential formula has also been leveraged in all cases, such that parameterisation using PSA data for cured patients will cause the rising branch to vanish [22, 23]. Still, the choice of the mathematical formula in the vast majority of quantitative studies on PSA dynamics only relies on the empirical observation of PSA temporal trends following EBRT and does not account for the underlying tumour dynamics, which is ultimately regulating the PCa recurrence.

Here, we present a patient-specific mathematical formulation of PSA dynamics based on biological mechanisms describing tumour response to radiation. Mechanistic mathematical modelling of cancer and response to treatments have improved the understanding of tumour growth and can assist physicians in clinical decision-making on a personalised basis [24–29]. Some mechanistic modelling studies have explored the connection between tumour and PSA dynamics in untreated PCa growth [30–33], under hormonal therapy [34–38], and after radical prostatectomy [39, 40]. Radiation effects is a rich topic in the literature of computational modelling of cancer [25–28, 41–45]. Several mathematical models have been proposed to describe the cytotoxic effect of radiation

on tumour cells, but the linear-quadratic model is arguably the most used formulation [25–27, 41–43, 46, 47]. However, the linear-quadratic model inherently assumes a relatively fast response to radiotherapy and hence this paradigm works better in rapidly growing tumours (e.g.: glioblastoma multiforme). For slowly growing tumours, such as low-grade glioma or PCa, the late response to radiation requires to account for repopulation of tumour cells, i.e., the underlying tumour dynamics [28, 48, 49]. Although previous modelling efforts have explored alternative formulations of radiation effects on PCa [48–51], mechanistic mathematical descriptions of the complete evolution of prostatic tumour growth and PSA after the delivery of radiotherapy are lacking. Our mathematical formulation addresses this challenge with minimal assumptions on radiation effects. By coupling serum PSA to the evolution of irreversibly damaged tumour cells due to radiation and the survival fraction, we analytically derive explicit formulas for PSA dynamics featuring different hypotheses on the radiotherapy plan. We show that these models provide superior fits of PSA data in a patient cohort and we identify potential model-based markers of biochemical relapse. Finally, we discuss our models and results in light of previous studies in the literature.

### 2 Methods

#### 2.1 Patients

Anonymised patient data were obtained from Centro Oncológico de Galicia (COG, A Coruña, Spain). Ethical approval was obtained from Comité Autonómico de Ética da Investigación de Galicia (Santiago de Compostela, Spain). Informed consent was not required for the patient data used in this study.

A total of 1588 men diagnosed with localised PCa confirmed at COG (stage T1 to T2, Gleason score < 8) and treated with EBRT in this institution between 2009 and 2015 were considered for inclusion in the study. Inclusion criteria were: first-line treatment of EBRT delivered only at COG and more than 2 years of PSA monitoring with at least 5 PSA values after conclusion of EBRT. Exclusion criteria were: a previous neoplasic disease prior to PCa, any other treatment for PCa (e.g., ADT, radical prostatectomy, radiotherapy, chemotherapy), and EBRT without radical intent.

A total of 71 patients satisfied the inclusion criteria and did not qualify for any of the exclusion criteria. The following information was then collected for each patient: age at EBRT, summary of relevant clinical history, digital rectal examination reports, PSA at diagnosis  $(P_d)$ , biopsy reports, Gleason score, imaging reports, TNM stage, the original EBRT plan, dates of EBRT initiation and ending, and history of PSA data.

EBRT was either conventional (64 patients, 2 Gy/dose) or hypofractionated (7 patients, 3 Gy/dose). In both cases, the original EBRT plan consisted of series of five daily doses delivered on weekdays followed by two days of rest during the weekend. For simplicity, in this preliminary study we pooled all patients together without differentiating radiation plans. Seven patients experienced biochemical relapse (either three consecutive increasing values of PSA or an increase of more than 2 ng/mL over PSA nadir), of which four had reported evidence of PCa recurrence. We will refer as cured patients to those patients who did not show biochemical recurrence after EBRT. Table 1 summarises the characteristics of the patient cohort. Additionally, 43 cured patients and 3 biochemically-relapsing patients had T1 cancer, whereas 21 cured patients and 4 biochemically-relapsing patients had T2 cancer.

Table 1. Characteristics of the patient cohort. IQR: interquartile range.

Characteristic	A	All patients (n	=71)	Cured patients $(n=64)$			Relapsing patients $(n=7)$		
	Median	IQR	Range	Median	IQR	Range	Median	IQR	Range
Clinical									
$P_d (\text{ng/mL})$	6.8	(4.9, 9.1)	(0.6, 25.4)	6.6	(4.9, 8.9)	(0.6, 18.9)	10.1	(5.6,14.7)	(3.8, 25.4)
Gleason score	6	(6, 7)	(4, 7)	6	(6, 7)	(4, 7)	6	(6, 7)	(6, 7)
Age at EBRT (yr)	76	(73, 78)	(63, 82)	76	(73, 78)	(63, 82)	74	(71, 78)	(68, 80)
Radiation									
Total dose (Gy)	76	(74, 76)	(60, 78)	76	(74, 76)	(60, 78)	76	(76, 76)	(76, 78)
Doses	38	(37, 38)	(20, 39)	38	(37, 38)	(20, 39)	38	(38, 38)	(38, 39)
EBRT duration (mo)	1.9	(1.8, 2.1)	(0.9, 3.9)	1.9	(1.8, 2.1)	(0.9, 3.9)	2.0	(2.0, 3.5)	(1.9, 3.7)
PSA history									
Number of PSA value	s								
Total	9	(7, 10)	(6, 15)	9	(8, 10)	(6, 15)	8	(7, 9)	(6, 10)
Pre-EBRT	1	(1, 2)	(1, 7)	1	(1, 2)	(1, 7)	1	(1, 2)	(1, 2)
Post-EBRT	7	(6, 8)	(5, 12)	7	(6, 8)	(5, 12)	6	(5, 8)	(5, 9)
Follow-up time (mo)									
Total	56.8	(51.7, 59.4)	(38.3, 69.5)	56.7	(51.4, 59.3)	(40.8, 69.5)	58.2	(54.3, 61.1)	(38.3,66.4)
Pre-EBRT	8.9	(6.3, 13.6)	(2.2, 27.8)	9.0	(6.3, 13.6)	(2.2, 27.8)	8.9	(7.4, 15.2)	(5.3, 20.8)
Post-EBRT	43.4	(36.9, 47.8)	(27.9, 59.7)	42.7	(37.0, 48.5)	(27.9, 59.7)	43.5	(37.6, 46.7)	(29.2, 53.6)

#### 2.2 Mathematical models

### 2.2.1 General formulation

Serum PSA P(t) is generally assumed to be proportional to the prostatic tumor mass and it is known to approximately follow an exponential trend in time [2, 3, 30–32]. Hence, if we denote the number of tumour cells by N(t), then

$$P(t) = \rho N(t) = \rho N_0 e^{\frac{t}{\tau_n}} = P_0 e^{\frac{t}{\tau_n}},$$
 (1)

where  $\rho$  is a proportionality constant,  $\tau_n$  is the characteristic time of net proliferation, and  $N_0 = N(t_0)$  and  $P_0 = P(t_0)$  are the population of tumour cells and serum PSA at a time  $t_0$ , respectively.

EBRT for PCa consists of  $n_d$  radiation doses delivered at times  $\{t_i\}_{i=1,\dots,n_d}$ . We will assume that all doses are equal, which applies to our patient cohort. After the delivery of the k-th radiation dose at time  $t_k$ , we assume that a fraction of tumour cells  $\tilde{D}_k(t)$  is irreversibly damaged and undergoes cell death after a characteristic time  $\tau_d$ , while the remainder fraction of tumour cells  $S_k(t)$  survives and continues to grow with a characteristic time of net proliferation  $\tau_s$ .

The dynamics of  $\hat{D}_k(t)$  and  $S_k(t)$  are given by the following set of ordinary differential equations,

$$\frac{dS_k}{dt} = \frac{S_k}{\tau_s}, \quad S_k(t_k) = R_d S_{k-1}(t_k), \tag{2a}$$

$$\frac{d\tilde{D}_k}{dt} = -\frac{\tilde{D}_k}{\tau_d}, \quad \tilde{D}_k(t_k) = (1 - R_d)S_{k-1}(t_k), \quad (2b)$$

for each interval  $t_k \leq t < t_{k+1}$  and where  $S_0(t_1) = N(t_1) = N_0 e^{t_1/\tau_n}$ ,  $\tilde{D}_0(t_1) = 0$ , and  $R_d$  is the dose-dependent fraction of surviving cells after the delivery of the k-th radiation. We do not assume any specific formulation for  $R_d$ , such as in most literature of computational modeling of radiation effects [25–27, 41–43, 46, 47]. Instead, we directly compute  $R_d$  from PSA data, making the model more flexible and easier to parametrise. As each patient always receives the same dose per session, it suffices to compute one value of  $R_d$  per patient. The solutions to Eqs. (2) are

$$S_k(t) = R_d S_{k-1}(t_k) e^{\frac{t-t_k}{\tau_s}},$$
 (3a)

$$\tilde{D}_k(t) = (1 - R_d)S_{k-1}(t_k)e^{-\frac{t - t_k}{\tau_d}},$$
 (3b)

for  $t_k \leq t < t_{k+1}$ .

Let  $D_k(t)$  be the accumulated population of irreversibly damaged tumour cells due to the radiation doses already delivered for  $t_k \leq t < t_{k+1}$ . Its dynamics satisfies the equation

$$D_k(t) = D_{k-1}(t) + \tilde{D}_k(t), \tag{4}$$

where  $D_0(t) = 0$ . Then, the population of total cancerous cells after the k-th radiation dose  $N_k(t)$  and the corresponding serum PSA concentration  $P_k(t)$  can be computed as

$$N_k(t) = S_k(t) + D_k(t), \tag{5a}$$

$$P_k(t) = \rho N_k(t) = \rho (S_k(t) + D_k(t)),$$
 (5b)

where

$$S_k(t) = R_d S_{k-1}(t_k) e^{\frac{t-t_k}{\tau_s}},$$
 (6a)

$$D_k(t) = D_{k-1}(t) + (1 - R_d)S_{k-1}(t_k)e^{-\frac{t - t_k}{\tau_d}},$$
 (6b)

for  $t_k \leq t < t_{k+1}$ .

Using Eqs. (6) recursively stepwise from the first radiation dose, we obtain the following explicit formulas for the population of proliferative and damaged tumour cells

$$S_k(t) = R_d^k N_0 \theta_1 e^{\frac{t}{\tau_s}},\tag{7a}$$

$$D_k(t) = (1 - R_d) \left( \sum_{i=1}^k R_d^{i-1} e^{(t_i - t_1) \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)} \right) N_0 \theta_1 \theta_2 e^{-\frac{t}{\tau_d}},$$
(7b)

for  $t_k \leq t < t_{k+1}$  and where  $\theta_1 = e^{t_1 \left(\frac{1}{\tau_n} - \frac{1}{\tau_s}\right)}$  and  $\theta_2 = e^{t_1 \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)}$ . Hence,

$$P_{k}(t) = P_{0}\theta_{1} \left[ R_{d}^{k} e^{\frac{t}{\tau_{s}}} + \left( 1 - R_{d} \right) \left( \sum_{i=1}^{k} R_{d}^{i-1} e^{(t_{i} - t_{1}) \left( \frac{1}{\tau_{s}} + \frac{1}{\tau_{d}} \right)} \right) \theta_{2} e^{-\frac{t}{\tau_{d}}} \right], \quad (8)$$

for  $t_k \leq t < t_{k+1}$  and where we have used that  $P_0 = \rho N_0$ .

#### 2.2.2 Periodic dose model

In the particular case in which the radiation doses are equispaced in time,  $t_k = t_1 + (k-1)\tau_r$ . Then, Eq. (7b) simplifies to

$$D_k(t) = (1 - R_d) \frac{1 - R_d^k e^{k\tau_r \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)}}{1 - R_d e^{\tau_r \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)}} N_0 \theta_1 \theta_2 e^{-\frac{t}{\tau_d}}, \quad (9)$$

and hence we may rewrite Eq. (8) as

$$P_{k}(t) = P_{0}\theta_{1} \left[ R_{d}^{k} e^{\frac{t}{\tau_{s}}} + \left( 1 - R_{d} \right) \frac{1 - R_{d}^{k} e^{k\tau_{r} \left( \frac{1}{\tau_{s}} + \frac{1}{\tau_{d}} \right)}}{1 - R_{d} e^{\tau_{r} \left( \frac{1}{\tau_{s}} + \frac{1}{\tau_{d}} \right)}} \theta_{2} e^{-\frac{t}{\tau_{d}}} \right]. \quad (10)$$

for  $t_k \leq t < t_{k+1}$  (see details in Annex S2).

#### 2.2.3 Single dose model

Alternatively, we may assume that the whole radiation treatment is delivered at a certain time  $t_D$ . Then, S(t) and D(t) are given by

$$S(t) = R_D N_0 \theta_1 e^{\frac{t}{\tau_s}},\tag{11a}$$

$$D(t) = (1 - R_D) N_0 \theta_1 \theta_2 e^{-\frac{t}{\tau_d}},$$
 (11b)

where  $R_D$  is the fraction of surviving cells after the total treatment dose,  $\theta_1 = e^{t_D \left(\frac{1}{\tau_n} - \frac{1}{\tau_s}\right)}$  and  $\theta_2 = e^{t_D \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)}$ . By using Eqs. (5) we get

$$P(t) = P_0 \theta_1 \left[ R_D e^{\frac{t}{\tau_s}} + (1 - R_D) \theta_2 e^{-\frac{t}{\tau_d}} \right].$$
 (12)

# 2.2.4 Non-dimensional parameters and prediction of PSA nadir

After the completion of radiotherapy, i.e., for  $t > t_{n_d}$ , the evolution of PSA will be given by Eq. (8), which for simplicity we will denote by P(t):

$$P(t) = P_0 \theta_1 \left[ R_d^{n_d} e^{\frac{t}{\tau_s}} + \left( 1 - R_d \right) \left( \sum_{i=1}^{n_d} R_d^{i-1} e^{(t_i - t_1) \left( \frac{1}{\tau_s} + \frac{1}{\tau_d} \right)} \right) \theta_2 e^{-\frac{t}{\tau_d}} \right]. \quad (13)$$

Let us define the non-dimensional counterparts of P and time t respectively as  $\widehat{P} = P/(P_0 R_d^{n_d} \theta_1)$  and  $\widehat{t} = t/\tau_d$ . Then, we may rewrite Eq. (13) in non-dimensional form as

$$\widehat{P}(\widehat{t}) = e^{\frac{\tau_d}{\tau_s}\widehat{t}} + \frac{(1 - R_d)}{R_d^{n_d}} \left( \sum_{i=1}^{n_d} R_d^{i-1} e^{(\widehat{t_i} - \widehat{t_1})(\frac{1}{\tau_s} + \frac{1}{\tau_d})} \right) \theta_2 e^{-\widehat{t}}$$

$$= e^{\beta \widehat{t}} + \alpha \theta_2 e^{-\widehat{t}}, \quad (14)$$

where we have introduced two non-dimensional parameters

$$\alpha = \frac{(1 - R_d)}{R_d^{n_d}} \left( \sum_{i=1}^{n_d} R_d^{i-1} e^{(\hat{t_i} - \hat{t_1})(\frac{1}{\tau_s} + \frac{1}{\tau_d})} \right).$$
 (15a)

$$\beta = \frac{\tau_d}{\tau_s}.\tag{15b}$$

While  $\alpha$  may represent the efficacy of the radiation plan,  $\beta$  controls the dynamics of the tumour cell populations and PSA after radiation (see Section 4.1). Thus, these parameters may hold predictive value, which will assess in this work.

Following a similar procedure we may also obtain the expressions of  $\alpha$  and  $\beta$  for both the periodic dose model

$$\alpha = \frac{(1 - R_d)}{R_d^{n_d}} \frac{1 - R_d^{n_d} e^{n_d \tau_r \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)}}{1 - R_d e^{\tau_r \left(\frac{1}{\tau_s} + \frac{1}{\tau_d}\right)}}, \quad \beta = \frac{\tau_d}{\tau_s}, \quad (16)$$

and the single dose model:

$$\alpha = \frac{(1 - R_D)}{R_D}, \quad \beta = \frac{\tau_d}{\tau_s}.$$
 (17)

Additionally, the derivative of Eq. (14) with respect to  $\hat{t}$  provides the non-dimensional PSA velocity:

$$\widehat{v_P}(\hat{t}) = \frac{d\widehat{P}(\hat{t})}{d\hat{t}} = \beta e^{\beta \hat{t}} - \alpha \theta_2 e^{-\hat{t}}.$$
 (18)

According to their definition  $\alpha$ ,  $\theta_2$ , and  $\beta$ , are positive quantities. When  $\alpha\theta_2/\beta > 1$ , then  $\widehat{P}$  decreases for at least some time after radiotherapy. Then, we can compute the time to PSA nadir,  $t_n$ , by solving  $\widehat{v_P}(\widehat{t_n}) = 0$  for  $\widehat{t_n}$  and substituting the definition of  $\theta_2$  (see Section 2.2.1), yielding

$$t_n = t_1 + \tau_d \frac{\ln\left(\alpha/\beta\right)}{1+\beta} \tag{19}$$

Hence, the time to PSA nadir  $P_n$  since the completion of EBRT at time  $t_{n_d}$  is given by  $\Delta t_n = t_n - t_{n_d}$ .

# 2.2.5 Model selection for analysis and further assumptions

Radiation plans may experience delays due to treatment sideeffects, holidays, machine routine maintenance, or machine failures. The reported values of EBRT duration in Table 1 suggest that these interruptions were common in our patient cohort. In addition, the information about EBRT in our patient dataset consists of the dates of treatment initiation and termination, the radiation dose, and the number of doses. This input information is not compatible with an accurate use of our general model (Section 2.2.1), which would require the exact dates of EBRT sessions. Thus, in this work we will focus our analysis on the periodic dose model (Section 2.2.2) and the single dose model (Section 2.2.3). The possible difference in results between both models, if any, would be related to treatment duration effects. Annex S1, Table S2, and Fig. S1 show that the periodic dose model is virtually equivalent to the general formulation, and we will analyse the single dose model as a feasible simplification of both the general and periodic dose models.

We will further assume that EBRT does not change the proliferation rate of surviving cells, so that  $\tau_n = \tau_s$  and  $\theta_1 = 1$ . This assumption is common in the literature [26–28], contributes to the simplicity of our models, and facilitates parameterisation, especially in those patients with a limited number of PSA values before EBRT. Additionally, we choose  $t_0 = 0$  and we will assume that  $t_D$  is the date of EBRT initiation in the single dose model.

# 2.3 Statistical methods

We leveraged nonlinear least squares using the trust-region method to estimate the parameters of our models in a patient-specific manner. Table 2 shows the initial values for the algorithm, the lower bounds, and the upper bounds used to fit the single and the periodic dose models for each patient. We assessed the goodness of fit with the sum of squared errors (SSE), the  $R^2$ , the adjusted  $R^2$  with respect to the degrees-of-freedom in error  $(\hat{R}^2)$ , and the root mean squared error (RMSE).

We used the Wilcoxon rank-sum test (WRST) to identify potential markers of biochemical relapse by analysing

**Table 2.** Initial values and bounds for models' parameters. P(1) is the first PSA value available for each patient.

Parameter	Initial value	Lower bound	Upper bound
$P_0 \text{ (ng/mL)}$	P(1)	0	50
$R_d$	0.9	0	1
$R_D$	$0.9^{n_d}$	0	1
$\tau_s \; (\text{mo})$	50	0.5	500
$\tau_d \; (\mathrm{mo})$	2	0.5	500

whether model parameters, non-dimensional parameters, PSA nadir, and time to PSA nadir since EBRT completion differed between cured and biochemically-relapsing patients. We also tested the goodness-of-fit statistics to analyse whether the estimation of PSA dynamics was more accurate in either patient subgroup. Additionally, we compared the values of model parameters and model-derived quantities obtained with each PSA dynamics formulation. We defined  $R = R_d^{n_d}$ in the periodic dose model and  $R = R_D$  in the single dose model to compare the values of  $R_d$  and  $R_D$  respectively. This study was performed both globally by using the Wilcoxon rank-sum test and patient-wise by using the Wilcoxon signedrank test. We used the same tests to compare the goodnessof-fit statistics produced by each mathematical model, and hence to determine whether one of them provided a superior fit. The level of significance was set at 5% for all statistical tests. Table S1 summarises all the quantities of interest for statistical analysis.

We constructed the receiver operating characteristic (ROC) curves of the quantities that changed significantly between cured and biochemically-relapsing patients to assess their ability to classify patients in either group. We iteratively varied a threshold for each of these quantities independently across the whole range of values provided by each model. Threshold stepping was determined as the difference between the maximum and the minimum value divided by 1000. For each threshold, we computed sensitivity, specificity and accuracy. We also computed the area under the ROC curve (AUC) by using the trapezoidal rule and the optimal performance point by using Youden's index.

Calculations were performed in MATLAB (Release R2017b, The Mathworks, Inc., Natick, Massachusetts, US). Parameter estimation was performed with the Curve Fitting Toolbox. Statistical tests were run with the Statistics and Machine Learning Toolbox. We also computed the 95% confidence bounds for each model fit with the Curve Fitting Toolbox.

# 3 Results

## 3.1 Model fitting

The periodic dose model and the single dose model succeeded in fitting individual patient PSA data. Fig. 1 portrays the results for both models corresponding to two cured patients and two patients with biochemical relapse. Table 3 shows that model fitting was extraordinarily precise with both PSA dynamics models for the vast majority of patients. We observed that superior fitting results were obtained when several PSA data were distributed in an approximately even manner right before and after EBRT (see Fig. S2). Conversely, few pre-EBRT PSA values or few post-EBRT PSA measurements close to treatment termination could hinder the accurate reproduction of PSA dynamics (see Fig. S3). High fluctuations in PSA data always worsened the goodness of fit (see Fig. S4).

We did not identify any significant difference between the goodness-of-fit statistics for cured and biochemicallyrelapsing patients with any of the two PSA dynamics models in two-sided Wilcoxon rank-sum tests (see Table 3). Nevertheless, we observed that our models tended to reproduce PSA dynamics with slightly superior accuracy for the cured patients of this cohort (see Table 3). The goodness-of-fit statistics of each model did not globally differ neither in the whole cohort nor in any patient subgroup according to two-sided Wilcoxon rank-sum tests (see Table 4). However, two-sided Wilcoxon signed-rank tests identified significant differences in the accuracy of the fit obtained with each model for each patient (see Table 4). Corresponding one-sided Wilcoxon signed rank tests showed that the single dose model produced lower SSE  $(p = 1.62 \cdot 10^{-4})$  and RMSE  $(p = 2.20 \cdot 10^{-4})$ , as well as higher  $R^2$   $(p = 1.08 \cdot 10^{-4})$  and  $\hat{R}^2$   $(p = 1.19 \cdot 10^{-4})$ . We observed the same results for the subgroup of cured patients (see Table 4), where one-sided tests also demonstrated that the single dose model rendered lower SSE ( $p = 3.62 \cdot 10^{-4}$ ) and RMSE  $(p = 4.72 \cdot 10^{-4})$  as well as higher  $R^2$   $(p = 2.32 \cdot 10^{-4})$  and  $\hat{R}^2$   $(p = 2.38 \cdot 10^{-4})$ . No model was found to provide a significantly superior accuracy in the subgroup of biochemicallyrelapsing patients (see Table 4).

# 3.2 Model-based predictors of biochemical relapse

The values of the parameters  $P_0$ ,  $R_d$  or  $R_D$ ,  $\tau_s$ , and  $\tau_d$  obtained with the periodic dose model and the single dose model are summarized in Table 5. We also used them to compute each model's non-dimensional parameters  $(\alpha, \beta)$ , PSA nadir  $(P_n)$ , and time to PSA nadir since EBRT termination  $(\Delta t_n)$ for each patient, also reported in Table 5.  $P_0$  was typically close to the first PSA value available for each patient, but it was not necessarily coincident (see Fig. 1). The estimation of  $R_d$ ,  $R_D$ , and  $\tau_d$  provided values inside the parametric domain defined in Table 2 for the vast majority of patients. However, we obtained  $\tau_s \approx 500$  (upper bound) for many patients, especially with the periodic dose model. This situation only occurred for cured patients, for whom larger values of  $\tau_s$  are expected. Indeed, both large  $\tau_s$  and very small remnant proliferative tumour cell populations after EBRT lead to similar results, i.e., no tumour regrowth for the time scales studied leads to some uncertainty in the parameter values. However, this fact did not compromise the accuracy of the model fitting to the data (see Table 3 and Fig. S5).

For the periodic dose model, two-sided Wilcoxon ranksum tests identified  $\tau_s$ ,  $\beta$ , and  $\Delta t_n$  to be significantly different between cured and biochemically-relapsing patients (see Table 5). The matching one-sided tests revealed that biochemically-relapsing patients had smaller  $\tau_s$  (p = 4.39.  $10^{-4}$ ), larger  $\beta$  ( $p = 6.17 \cdot 10^{-4}$ ), and shorter  $\Delta t_n$  (p =0.0123). For the single-dose model, we also found  $\tau_s$ ,  $\beta$ , and  $\Delta t_n$  to significantly differ between cured and biochemicallyrelapsing patients in two-sided Wilcoxon rank-sum tests (see Table 5). Again, the corresponding one-sided tests showed that biochemically-relapsing patients exhibited shorter  $\tau_s$  $(p = 4.70 \cdot 10^{-4})$ , higher  $\beta$   $(p = 8.61 \cdot 10^{-4})$ , and smaller  $\Delta t_n$  (p = 0.0111). Fig. 2 depicts the boxplots corresponding to the values of  $\tau_s$ ,  $\beta$ , and  $\Delta t_n$  obtained with the periodic dose model and the single dose model for the whole cohort, cured patients, and biochemically-relapsing patients. These boxplots show how  $\tau_s$ ,  $\beta$ , and  $\Delta t_n$  cluster around different values in cured and biochemically-relapsing patients. Among the other quantities of interest, the non-dimensional parameter  $\alpha$  was close to the significance threshold for both models, as well as  $R_D$  and  $P_n$  in the single dose model.

Except for  $P_0$ , the two-sided Wilcoxon signed-rank tests showed that the values of the remainder parameters, the nondimensional parameters, the PSA nadir, and the time to PSA nadir obtained with either PSA dynamics model for each

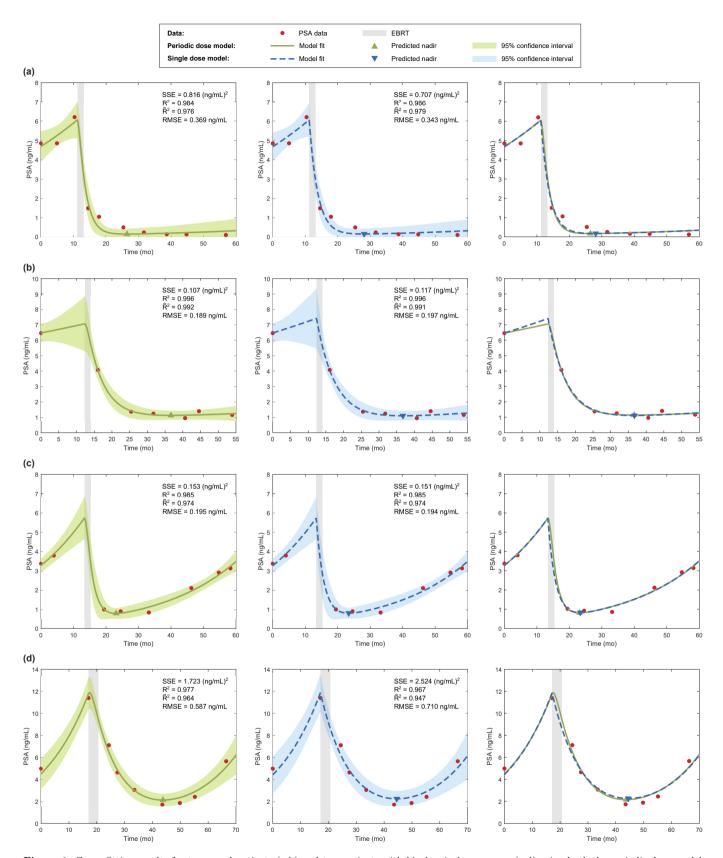


Figure 1. Curve fitting results for two cured patients (a,b) and two patients with biochemical recurrence (c,d) using both the periodic dose model (solid green line) and the single dose model (dashed blue line). For each patient, each row shows respectively the fit provided by the periodic dose model, the fit obtained with the single dose model, and a comparison of the fits computed with either model. The shaded areas along the model fits in the first two subfigures of each row depict the corresponding 95% confidence interval of the model fit. PSA values are depicted as red bullets and the duration of EBRT is shaded in light gray.

Table 3. Goodness-of-fit statistics in the patient cohort. The last column provides the p-values of the two-sided Wilcoxon rank sum tests (WRST) searching for significant differences in these statistics between the subgroups of cured and biochemically-relapsing patients. The level of significance was set at p < 0.05. IQR: interquartile range.

Statistic	All patients $(n=71)$			Cured patients $(n=64)$			Relapsing patients $(n=7)$			WRST
	Median	IQR	Range	Median	IQR	Range	Median	IQR	Range	$\overline{p}$
Periodic dose model										
$SSE (ng/mL)^2$	0.15	(0.06, 0.81)	(0.00, 68.23)	0.15	(0.05, 0.68)	(0.00, 68.23)	1.27	(0.16, 1.69)	(0.04, 6.79)	0.121
$R^2$	1.00	(0.98, 1.00)	(0.69, 1.00)	1.00	(0.98, 1.00)	(0.69, 1.00)	0.99	(0.98, 1.00)	(0.98, 1.00)	0.401
$\hat{R}^2$	0.99	(0.97, 1.00)	(0.51, 1.00)	0.99	(0.98, 1.00)	(0.51, 1.00)	0.98	(0.97, 0.99)	(0.96, 1.00)	0.293
RMSE (ng/mL)	0.19	(0.12, 0.42)	(0.01, 3.72)	0.18	(0.12, 0.38)	(0.01, 3.72)	0.50	(0.21, 0.82)	(0.14, 1.06)	0.063
Single dose model										
$SSE (ng/mL)^2$	0.15	(0.04, 0.70)	(0.00, 68.24)	0.14	(0.04, 0.57)	(0.00, 68.24)	1.19	(0.16, 2.24)	(0.04, 6.09)	0.112
$R^2$	1.00	(0.99, 1.00)	(0.70, 1.00)	1.00	(0.99, 1.00)	(0.70, 1.00)	0.99	(0.99, 1.00)	(0.97, 1.00)	0.284
$\hat{R}^2$	0.99	(0.98, 1.00)	(0.52, 1.00)	0.99	(0.98, 1.00)	(0.52, 1.00)	0.98	(0.97, 0.99)	(0.95, 1.00)	0.228
RMSE (ng/mL)	0.19	(0.11, 0.37)	(0.01, 3.72)	0.17	(0.09, 0.35)	(0.01, 3.72)	0.49	(0.21, 0.81)	(0.14, 1.01)	0.063

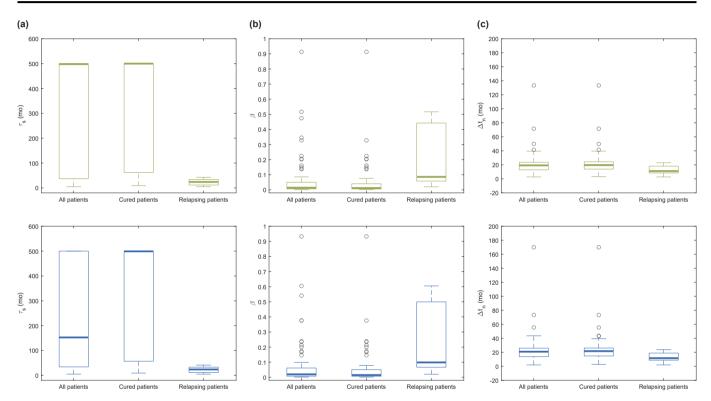


Figure 2. Boxplots of the potential patient classifiers identified in the statistical analysis: (a) the characteristic time of tumour cell proliferation  $\tau_s$ , (b) non-dimensional parameter  $\beta = \tau_d/\tau_s$ , and (c) the time to PSA nadir since EBRT termination  $\Delta t_n$ . The first and the second row correspond to the results obtained with the periodic dose model (green) and the single dose model (blue), respectively. Outliers are depicted as hollow gray circles.

patient were significantly different within the whole cohort and the subgroup of cured patients (see Table 6). Corresponding one-sided tests in the whole cohort revealed that the single dose model provided smaller R ( $p < 1 \cdot 10^{-6}$ ), the single dose model provided smaller H ( $p < 1 \cdot 10^{-5}$ ),  $\tau_s$  ( $p = 5.47 \cdot 10^{-5}$ ),  $\alpha$  ( $p < 1 \cdot 10^{-6}$ ), and  $P_n$  (p = 0.004) as well as larger  $\tau_d$  ( $p < 1 \cdot 10^{-6}$ ),  $\beta$  ( $p < 1 \cdot 10^{-6}$ ), and  $\Delta t_n$  ( $p < 1 \cdot 10^{-6}$ ). Within the subgroup of cured patients, one-sided Wilcoxon signed-rank tests also revealed that the single dose model produced lower values of R ( $p < 1 \cdot 10^{-6}$ ),  $\tau_s$   $(p = 2.23 \cdot 10^{-5})$ ,  $\alpha$   $(p < 1 \cdot 10^{-6})$ , and  $P_n$   $(p = 1.58 \cdot 10^{-4})$  as well as larger values of  $\tau_d$   $(p < 1 \cdot 10^{-6})$ ,  $\beta$   $(p < 1 \cdot 10^{-6})$ , and  $\Delta t_n$  ( $p < 1 \cdot 10^{-6}$ ). Within the subgroup of biochemicallyrelapsing patients, only R,  $\tau_d$ ,  $\beta$ , and  $\alpha$  were found to significantly vary with either model for each patient in two-sided Wilcoxon signed-rank tests (see Table 6). Corresponding onesided tests showed that the single dose model produced lower values of R (p = 0.023) and  $\alpha$  (p = 0.008) as well as larger values of  $\tau_d$  (p=0.016) and  $\beta$  (p=0.016). However, the global comparison of the values provided by either model using twosided Wilcoxon rank-sum tests did not find any significant difference neither within the whole cohort nor within any of

the patient subgroups (see Table 6).

# 3.3 ROC curves

Fig. 3 shows the ROC curves for the three quantities that were significantly different between the groups of cured and biochemically-relapsing patients:  $\tau_s$ ,  $\beta$ , and  $\Delta t_n$ . The AUC and optimal performance point obtained for each quantity and model are shown in Table 7. The two ROC curves for each classifier were very similar and provided comparable AUC values and optimal points of performance, especially for  $\tau_s$ . This suggests the insensitivity in the accuracy of these classifiers with respect to the choice of mathematical model to fit PSA data.

The shape of the ROC curves, the AUC, and the balance between optimal sensitivity and specificity showed that  $\tau_s$  and  $\beta$  rendered almost equally outstanding results and that both performed better than  $\Delta t_n$ , which only showed a fairly satisfactory behaviour. Parameter  $\tau_s$  provided the highest AUC and optimal sensitivity. While  $\beta$  provided a slightly lower AUC than  $\tau_s$ , it also showed a better trade-off between

**Table 4.** p-values obtained for the two-sided statistical tests to search for significant differences in goodness of fit between the periodic dose and single dose models. Results are shown for the whole cohort and for the subgroups of cured and biochemically-relapsing patients. The significance level was set at p < 0.05. Significant p-values are bold-faced.

	Patients							
Statistic	All	Cured	Relapsing					
	(n=71)	(n=64)	(n=7)					
Wilcoxon sig	ned-rank tests							
SSE	$3.20 \cdot 10^{-4}$	$7.15 \cdot 10^{-4}$	0.297					
$R^2$	$2.14 \cdot 10^{-4}$	$4.58\cdot10^{-4}$	0.297					
$\hat{R}^2$	$\boldsymbol{2.35\cdot 10^{-4}}$	$4.70\cdot10^{-4}$	0.297					
RMSE	$4.35\cdot10^{-4}$	$9.32\cdot10^{-4}$	0.297					
Wilcoxon rai	nk-sum tests							
SSE	0.642	0.618	0.805					
$R^2$	0.689	0.715	0.620					
$\hat{R}^2$	0.680	0.673	0.710					
RMSE	0.665	0.629	0.805					

sensitivity and specificity at optimal performance point.  $\Delta t_n$  was found to provide the highest optimal specificity, but the corresponding optimal sensitivity and AUC were remarkably lower with respect to those of  $\tau_s$  and  $\beta$ . All potential classifiers showed similar accuracy at optimal performance point. However, as the prevalence of biochemical relapse was low in our cohort (7 out of 71 cases), the accuracy of classifiers was largely driven by the specificity, almost regardless of sensitivity (see Table 7). Hence,  $\Delta t_n$  was also found to provide a slightly higher accuracy at optimal performance point.

# 4 Discussion

PSA dynamics is used routinely in patient follow-up after EBRT. The time evolution of this biomarker reflects the underlying tumour response to radiation, hence providing a means to detect PCa recurrence. Previous research efforts to quantitatively describe PSA dynamics found that a biexponential function best fitted PSA data from both cured and biochemically-relapsing patients [18–23]. In this work, we present mathematical models describing the biological mechanisms that justify the suitability of such formulation to describe post-EBRT PSA dynamics. Our models always lead to an explicit biexponential formula of PSA dynamics relying on the coupled dynamics of the radiation-induced irreversibly damaged tumour cell fraction and the surviving tumour cell population. Consequently, we provided a biophysical meaning for the parameters appearing in the empirical biexponential formulations.

# 4.1 A robust formulation of PSA dynamics offering new insights in radiation effects on PCa

The two models studied here were based on the same biological assumptions with the only difference of the periodic dose model accounting for the details of the treatment course and the simpler single dose model based on the simplifying assumption of all the fractions being given in a single equivalent dose. Both models achieved a highly remarkable accuracy in the fitting of patient's PSA longitudinal data in our cohort (see Table 3). We observed that even a limited amount of PSA data can provide an excellent fit with both models as long as (1) sufficient PSA values are evenly distributed closely around EBRT and (2) they do not exhibit large fluctuations (see Figs. S2-S4). Despite its apparent simplicity, our results show that the single-dose model suffices to accurately describe PSA dynamics before and after EBRT, even

providing superior fittings than the more complex periodic dose model (see tables 3 and 4). This also means that the single dose model is an excellent surrogate for the general model in Eq. (8), which is virtually equivalent to the periodic dose model (see Fig. S1 and Table S2). This extraordinary balance between simplicity and accuracy is an appealing feature that facilitates forthcoming research on PSA dynamics and its actual clinical use.

By formally analysing our models, we found that the evolution of PSA after EBRT is characterised by only two nondimensional parameters:  $\alpha$  and  $\beta$  (see Section 2.2.4). The non-dimensional parameter  $\alpha$  controls the magnitude of PSA decay due to EBRT, i.e., the amount of PSA eliminated due to the death of tumour cells caused by radiation. Large values of  $\alpha$  are related to low values of  $R_d$  (Eqs. (15a) and (16)) or  $R_D$  (Eq. (17)) what means that radiation successfully eliminates tumour cells. Thus,  $\alpha$  accounts for the efficacy of EBRT. The non-dimensional parameter  $\beta$  is the ratio of the characteristic time of radiation-induced cell death to the characteristic time of cell proliferation, i.e.,  $\beta$  controls the coupled dynamics of the irreversibly damaged and surviving cell fractions that ultimately translates into the observable temporal trends of PSA after EBRT. As  $\tau_d < \tau_s$  (see Table 5), larger values of  $\beta$  indicate post-radiation tumour dynamics to be mostly driven by proliferation of the surviving fraction, while lower values of  $\beta$  point out towards a dominance of radiation-induced tumour cell death.

Interestingly, the efficacy of EBRT was better in biochemically-relapsing patients, who showed larger  $\alpha$  and lower surviving fractions  $(R_d \text{ or } R_D)$  than cured patients, even though these observations were statistically not significant (see Table 5). A dramatic decay of PSA following EBRT has also been linked to PCa recurrence in the literature [17]. We observed that biochemically-relapsing patients showed smaller  $\tau_s$  (see table 5), i.e., tumours proliferated faster, which may explain this counterintuitive phenomenon: programmed cell death is triggered before cell division in case of major genetic damage [5], so fast proliferation accelerates the elimination of tumour cells affected by radiation, which translates in a dramatic decrease in total tumour cell number and thus PSA. This mechanism has also been proposed to explain the poorer prognosis of diffuse low-grade glioma patients who experience a rapid tumour volume decrease following radiotherapy using both a clinical and mathematical approach [28, 52]. As  $\alpha$  was not significantly different between biochemicallyrelapsing and cured patients, the latter may also experience a steep PSA decay after EBRT. Hence, we require a larger cohort to validate this mechanism in PCa.

# 4.2 Potential patient classifiers based on tumour dynamics and identified through PSA dynamics

This study resulted in three classifiers that showed great potential to identify biochemically-relapsing patients: a short characteristic time of tumour cell proliferation  $\tau_s$ , a large non-dimensional parameter  $\beta$ , and an early time to PSA nadir since EBRT termination  $\Delta t_n$  (see Tables 5 and 7). Indeed, both  $\beta$  and  $\Delta t_n$  are inherently controlled by  $\tau_s$ . As  $\tau_d$  does not vary much between cured and relapsing patients, large  $\beta$  values are also a consequence of a small  $\tau_s$  (see Section 4.1). Then, large  $\beta$  promotes an early PSA nadir (see Eq. (19)), which correlates with PCa recurrence and worse survival rates [12, 13]. The additional dependence of  $\Delta t_n$  on  $\alpha$ , which does not significantly vary between cured and biochemically-relapsing patients, might explain the comparatively worse performance of  $\Delta t_n$  as a patient classifier in ROC analysis with respect to  $\tau_s$  and  $\beta$ .

We believe that  $\tau_s$  holds a promising, robust prognostic

Table 5. Distribution of model parameters, non-dimensional parameters, PSA nadir, and time to PSA nadir since EBRT termination obtained with the periodic dose and the single dose models. The last column provides the p-values of the two-sided Wilcoxon rank sum tests searching for significant differences in these statistics between the subgroups of cured and biochemically-relapsing patients. The level of significance was set at p < 0.05. Significant p-values were highlighted in bold font. IQR: interquartile range.

Quantity	I	All patients (	n=71)	Cı	Cured patients $(n=64)$			Relapsing patients $(n=7)$		
qualities	Median	IQR	Range	Median	IQR	Range	Median	IQR	Range	$\overline{p}$
Periodic dose	model									
$P_0 (\text{ng/mL})$	5.9	(4.7, 9.0)	(0.5, 25.3)	5.9	(4.7, 8.7)	(0.5, 24.6)	10.1	(4.9, 12.5)	(3.3, 25.3)	0.213
$R_d$	0.92	(0.89, 0.93)	(0.50, 0.96)	0.92	(0.89, 0.93)	(0.50, 0.96)	0.90	(0.84, 0.92)	(0.81, 0.94)	0.251
$\tau_d \; (\mathrm{mo})$	2.9	(1.9, 3.9)	(0.5, 13.7)	2.9	(1.9, 4.0)	(0.5, 13.7)	2.4	(2.1, 3.1)	(0.5, 9.2)	0.623
$\tau_s \; (\mathrm{mo})$	498.0	(37.4, 500)	(5.0, 500)	500	(62.1, 500)	(9.2, 500)	23.9	(11.5, 33.9)	(5.0, 42.5)	$8.77\cdot10^{-4}$
$\beta \ (\cdot 10^{-2})$	1.42	(0.60, 5.00)	(0.14, 91.38)	1.18	(0.57, 4.03)	(0.14, 91.38)	8.58	(5.77, 44.20)	(2.02, 51.65)	$1.23\cdot10^{-3}$
$\alpha \ (\cdot 10^1)$	2.78	(1.51, 6.87)	$(0.55, \infty)$	2.67	(1.49, 6.50)	$(0.55, \infty)$	6.92	(2.63, 227.72)	(1.50, 401.43)	0.099
$P_n \text{ (ng/mL)}$	0.4	(0.2, 0.6)	(0.0, 2.7)	0.4	(0.2, 0.6)	(0.0, 2.7)	0.4	(0.4, 0.8)	(0.2, 2.2)	0.193
$\Delta t_n \; (\text{mo})$	19.2	(13.0, 23.6)	(2.7, 133.4)	19.7	(13.9.24.4)	(3.2, 133.4)	11.1	(8.4, 18.1)	(2.7, 23.1)	0.025
Single dose m	odel									
$P_0 (\text{ng/mL})$	5.9	(4.7, 9.0)	(0.5, 25.4)	5.9	(4.7, 8.7)	(0.5, 24.6)	10.1	(4.9, 12.5)	(3.3, 25.4)	0.213
$R_D \ (\cdot 10^{-2})$	4.5	(1.6, 7.0)	(0.0, 18.9)	4.5	(1.7, 7.0)	(0.0, 18.9)	1.6	(0.3, 4.9)	(0.0, 8.6)	0.130
$\tau_d \; (\mathrm{mo})$	3.2	(2.1, 4.5)	(0.5, 13.7)	3.3	(2.1, 4.7)	(0.5, 13.7)	2.7	(2.4, 3.4)	(0.5, 10.5)	0.569
$\tau_s \; (\mathrm{mo})$	152.6	(34.3, 500)	(5.0, 500)	499.0	(56.7, 500)	(9.2, 500)	23.8	(11.4, 32.9)	(5.0, 41.3)	$9.40\cdot10^{-4}$
$\beta \ (\cdot 10^{-2})$	1.96	(0.74, 6.18)	(0.14, 93.25)	1.50	(0.68, 5.05)	(0.14, 93.25)	9.85	(6.65, 49.96)	(2.02, 60.50)	$1.72\cdot10^{-3}$
$\alpha \ (\cdot 10^1)$	2.13	(1.34, 6.19)	$(0.43, \infty)$	2.12	(1.32, 5.79)	$(0.43, \infty)$	5.97	(2.24, 158.15)	(1.06, 351.03)	0.130
$P_n \text{ (ng/mL)}$	0.4	(0.2, 0.6)	(0.0, 2.6)	0.3	(0.2, 0.6)	(0.0, 2.6)	0.4	(0.4, 0.8)	(0.2, 2.3)	0.135
$\Delta t_n \; (\text{mo})$	20.9	(13.8, 25.9)	(2.0, 170.0)	21.7	(14.9, 26.2)	(2.8, 170.0)	11.6	(8.7, 18.9)	(2.0, 23.8)	<b>0.022</b>

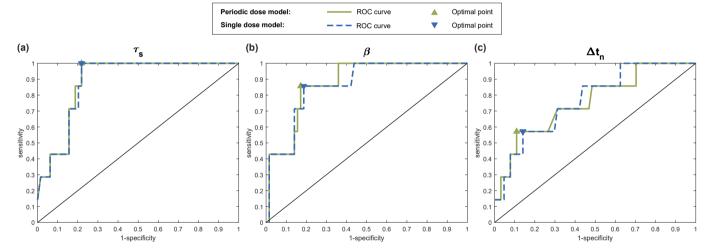


Figure 3. ROC curves for the different patient classifiers identified in the statistical analysis: (a) the characteristic time of tumour cell proliferation  $\tau_s$ , (b) the non-dimensional parameter  $\beta = \tau_d/\tau_s$ , and (c) the time to PSA nadir since EBRT termination  $\Delta t_n$ .

value for PCa both before and after EBRT. An elevated tumour cell proliferation rate (i.e., short  $\tau_s$ ) has been correlated with increased aggressiveness of PCa in terms of a high Gleason Score [53], which is a crucial clinical variable in clinical management of PCa that has been linked to a higher probability of PCa local recurrence and distant metastases [2, 11, 12]. While Gleason Score is normally determined from histopathological assessment of biopsy samples,  $\tau_s$  would enable to noninvasively monitor Gleason Score and to justify further biopsies when model estimations suggest a more aggressive cancer than the baseline, diagnostic biopsy. Moreover, the PSA doubling times and velocity on the rising branch in biochemically-relapsing patients can be approximated as  $DT \approx \tau_s \ln 2$  and  $v_P \approx \frac{P}{\tau_s}$  for all models in Section 2.2. Hence, small values of  $\tau_s$  would render short doubling times and high velocities of PSA increase, which have been associated to poor prognosis in PCa recurrence [8, 11, 12, 14]. Our estimation of  $\tau_s$  in biochemically-relapsing patients (see table 5) agrees with previously reported tumour doubling times [54], PSA relapsing doubling times [11, 14], and time to PSA nadir since EBRT termination [13]. Parameter  $\tau_s$  also enables to estimate pretreatment PSA doubling times and velocity, whose prognostic value is controversial [55]. Our model provides a robust and systematic procedure to estimate these dynamic variables, which may facilitate the assessment of their role as PCa prognostic markers.

#### 4.3 Limitations and future developments

Our study presents several limitations. The patient cohort featured a limited number of patients experiencing biochemical relapse, which makes it difficult to accurately identify and assess patient classifiers. Our results need to be tested in larger independent cohorts, in which we could also explore the correlations between common PCa clinical characteristics and model parameters, non-dimensional parameters, and PSA nadir estimation. While our models were rather robust against PSA fluctuations, a larger cohort would also contribute to reduce their effect on statistical analysis. We could further reduce the impact of these fluctuations by using robust nonlinear least-square methods, which associate a weight to each PSA value that tends to zero as it deviates from the average trend. Furthermore, we are using biochemical relapse as a surrogate for PCa recurrence. Ideally, our PSA dynamics models should be tested to identify clinically-confirmed PCa

Table 6. p-values obtained for the two-sided statistical tests to search for significant differences in model parameters, non-dimensional parameters, PSA nadir, and time to PSA nadir since EBRT completion between the periodic dose and single dose models. Results are shown for the whole cohort and for the subgroups of cured and biochemically-relapsing patients. The significance level was set at p < 0.05. Significant p-values are boldfaced.

		Patients		
Quantity	All	Cured	Relapsing (n=7)	
	(n=71)	(n=64)		
Wilcoxon sig	ned-rank tests			
$P_0$	0.563	0.288	0.297	
R	$< 1\cdot 10^{-6}$	$< 1\cdot 10^{-6}$	0.047	
$ au_d$	$< 1\cdot 10^{-6}$	$< 1\cdot 10^{-6}$	0.031	
$ au_s$	$1.08\cdot10^{-5}$	$4.39\cdot10^{-5}$	0.078	
$\beta$	$< 1\cdot 10^{-6}$	$< 1\cdot 10^{-6}$	0.031	
$\alpha$	$< 1\cdot 10^{-6}$	$1.12\cdot 10^{-6}$	0.016	
$P_n$	$8.12 \cdot 10^{-3}$	$3.13\cdot 10^{-4}$	0.078	
$\Delta t_n$	$< 1\cdot 10^{-6}$	$< 1\cdot 10^{-6}$	0.109	
Wilcoxon ran	nk-sum tests			
$P_0$	1.000	0.994	0.902	
R	0.677	0.673	0.805	
$ au_d$	0.170	0.180	0.456	
$ au_s$	0.633	0.585	0.902	
$\beta$	0.281	0.238	0.710	
$\alpha$	0.308	0.320	0.710	
$P_n$	0.941	0.918	0.805	
$\Delta t_n$	0.372	0.359	0.805	

recurrence after EBRT. We plan to specifically update our cohort with patients for whom such evidence is available to conduct further research with our PSA formulations. Hence, we could also characterise local recurrence and distant metastases using model-based markers.

Despite our methods could only approximate  $\tau_s \approx 500$  (upper bound) for some cured patients, we believe that this is a minor limitation for four reasons: (1) large  $\tau_s$  is expected in cured patients, so  $\tau_s = 500$  mo might be an acceptable approximation; (2) model fitting was not compromised (see Fig. S5); (3)  $\tau_s$  plays a little role in post-EBRT dynamics of cured patients; and (4)  $\tau_s = 500$  produces small  $\beta$  and large  $\Delta t_n$ , contributing to classify the patient as cured. Multiple pre-EBRT PSA values, robust nonlinear least-squares fitting, and problem non-dimensionalisation could facilitate the accurate estimation of  $\tau_s$  with our models.

We assumed that the proliferation rate of tumour cells did not vary after EBRT, i.e.,  $\tau_n \approx \tau_s$ . While this is a common assumption [26–28], recent studies suggest that radiation may also affect tumour proliferation [27, 56]. To explore this phenomenon in PCa patients undergoing EBRT, we would need to estimate both  $\tau_n$  and  $\tau_s$ , which requires multiple PSA data both before and after EBRT. Additionally, our models do not differentiate between the PSA produced by PCa and BPH. We could add a term to Eqs. (1) and (6b) to include the BPH contribution  $P_{BPH}(t)$  to the tumourgenerated PSA levels, i.e.,  $P(t) = \rho N(t) + P_{BPH}(t)$  and  $P(t) = \rho(S(t) + D(t)) + P_{BPH}(t)$ . For times t < 10 years, we may approximate  $P_{BPH}(t)$  with a linear term or another exponential [32, 57]. This simple model update would enable a more accurate determination of  $\tau_s$  and model fitting. We also plan to explore alternative radiobiological definitions for  $R_d$  and  $R_D$  to refine the modelling of radiation effects [25– 27, 41–43, 46–49]. By introducing an explicit dependence of  $R_d$  and  $R_D$  on the radiation dose one could pursue more sophisticated optimal EBRT plans, e.g., with varying unitary doses, as studied by other authors for low-grade gliomas [58].

PSA is currently the cornerstone of clinical decision-making during follow-up after local radical radiotherapy for

Table 7. Analysis of ROC curves.

Measure	Classifier					
Moderatio	$ au_s$	β	$\Delta t_n$			
Periodic dose model						
AUC	0.887	0.875	0.759			
Optimal point						
Threshold	42.6  mo	$5.15 \cdot 10^{-2}$	11.2 mo			
Specificity	78.1%	82.8%	89.1%			
Sensitivity	100%	85.7%	57.1%			
Accuracy	80.3%	83.1%	85.9%			
Single dose model						
AUC	0.885	0.865	0.768			
Optimal point						
Threshold	41.6  mo	$6.19 \cdot 10^{-2}$	11.8 mo			
Specificity	78.1%	81.3%	85.9%			
Sensitivity	100%	85.7%	57.1%			
Accuracy	80.3%	81.7%	83.1%			

PCa, so we focused our models on this biomarker. Emerging urine and blood tests are showing a promising performance in PCa diagnosis (e.g.: PCA3, prostate health index, four kallikrein panel) and they may complement or even substitute PSA in the future [3, 59]. However, these tests are not recommended yet for routine screening due to the limited and sometimes inconsistent reported data. Once these biomarkers become routine, our model could be coupled with their dynamics to explore their joint performance to identify biochemically-relapsing patients. Circulating tumor cells have also been shown to contribute to the diagnosis of advanced PCa, but these tumours would not be managed with radical EBRT, the focus of our work.

Personalised volumetric data of prostate and tumour could further refine the estimation of PSA production by both benign and malignant tissue [30, 31]. Multiparametric magnetic resonance is an emerging imaging technique that provides a wealth of anatomic data and is increasingly used to diagnose and monitor mild PCa during active surveillance protocols. In this context, the underlying tumour dynamics model could be refined, e.g., by using a phase-field or Fisher-Kolmogorov model and linking the variable identifying tumour growth with PSA production [27, 30]. Initial tumour geometry and parameter selection can then be determined by combining longitudinal PSA and imaging data [24–27, 30]. However, tumour volume is not measured in routine monitoring of patients after radiotherapy and longitudinal imaging follow-up for each patient would be required besides the standard PSA data. Thus, extending our models to include volumetric data will inevitably require a specific research monitoring protocol featuring an adequate image acquisition plan.

# 4.4 Towards patient-specific PSA monitoring plans and early detection of PCa recurrence

Our mathematical models can help in the early identification of biochemically-relapsing patients. This requires a good parameter identification, for which we recommend to collect at least 3 pre-EBRT PSA values and not less than 4 post-EBRT PSA values. This would translate in measuring PSA every 3-6 months before and after EBRT, which is compatible with current clinical guidelines. This recommendation stems from the results of this study, but we plan to determine the minimal data that enables an optimal prediction of PSA dynamics with our models in forthcoming studies. Likewise, we also plan to compare observed PSA data with simulated PSA trends corresponding to alternative treatment plans, which may help to determine the window of curability and best timing for EBRT.

These initial PSA data would allow a first evaluation of the patient's risk of relapse. Later, as further PSA data are gathered, the physician can update the prognostic variables to provide more accurate patient-specific predictions. Moreover, the predicted PSA dynamics can suggest an adequate frequency of new PSA tests to accurately parameterise our models, for instance, with shorter time intervals to precisely capture the decay following EBRT, confirm the date of nadir, and characterise a potential rising branch in relapsing patients, or longer time intervals to confirm the plateau or benign linear growth in cured patients. Hence, physicians could design a personalised PSA monitoring plan adapted to the unique PSA dynamics of each patient and informed by the underlying tumour evolution, instead of the fixed conventional recommendations currently provided by clinical guidelines.

#### 5 Conclusions

We have developed a two-population dynamical mathematical model including the subpopulation of remnant proliferative cells after treatment plus those irreversibly damaged by radiotherapy. The model provided a mechanistic explanation for the bi-exponential behaviour observed previously in PSA longitudinal data of PCa patients after EBRT. Several versions of the model were found to describe correctly the data, including those accounting for the time details of the radiotherapy plan. However, the simplest model version accounting for the treatment as a single effective dose could describe the longitudinal PSA data accurately.

The model parameters were used to define several prognostic biomarkers that were able to predict tumour relapse. Although replication of this study in a larger independent cohort is necessary, our results suggest that these simple quantities accounting for the tumour dynamics could be used in combination with other factors to identify higher-risk patients and define personalised monitoring strategies.

#### **Ethics**

Anonymised patient data were obtained from Centro Oncológico de Galicia (COG, A Coruña, Spain). Ethical approval was obtained from Comité Autonómico de Ética da Investigación de Galicia (Santiago de Compostela, Spain). Informed consent was not required for the patient data used in this study.

# Data accessibility

This work did not generate data other than those presented in the article and the Supplementary Materials.

# Competing interests

We have no competing interests.

### Authors' contributions

G.L., V.P.M.G, L.A.P.R., A.M., A.R., and H.G. conceived and designed research. G.L., V.M.P.G., A.R., and H.G. developed the mathematical models and defined the analytical methods. G.L. performed the computations and created the displays. G.L., V.P.M.G, L.A.P.R., A.M., A.R., and H.G. structured and analysed the results. G.L., V.P.M.G, L.A.P.R., A.M., A.R., and H.G. participated in the preparation and editing of the manuscript.

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