



PROCESS SAFETY AND THE PHARMACEUTICAL INDUSTRY

SAFE BATCH SCALE-UP OF AN EXOTHERMIC GRIGNARD

AYMAN ALLIAN

PIVOTAL COMMERCIAL SYNTHETIC

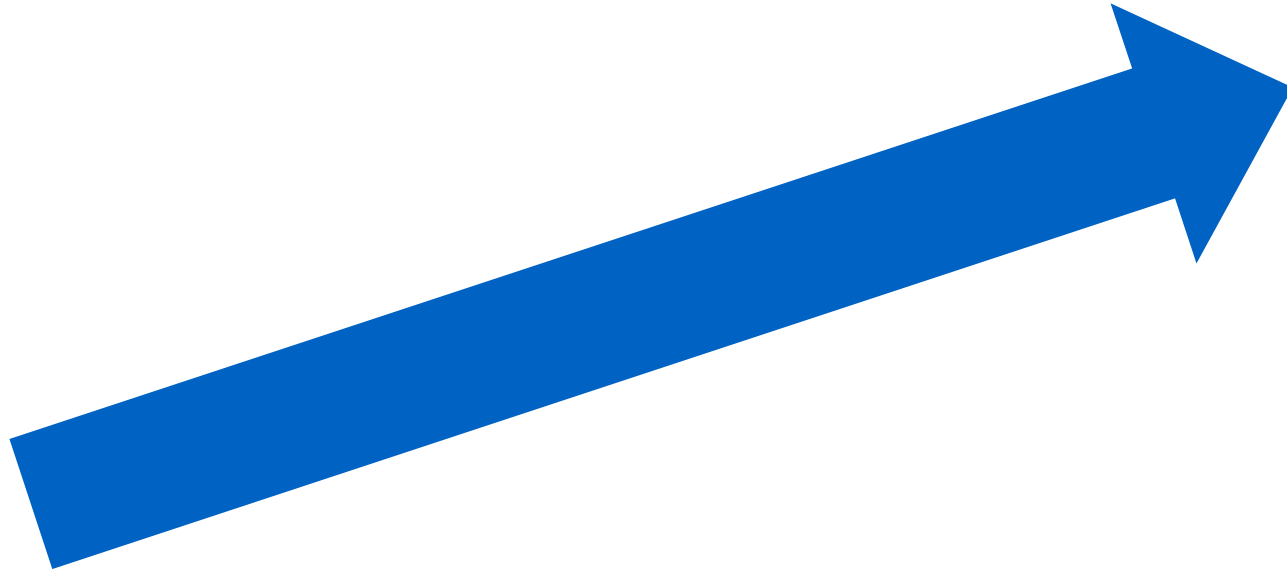
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Pioneering science delivers vital medicines™

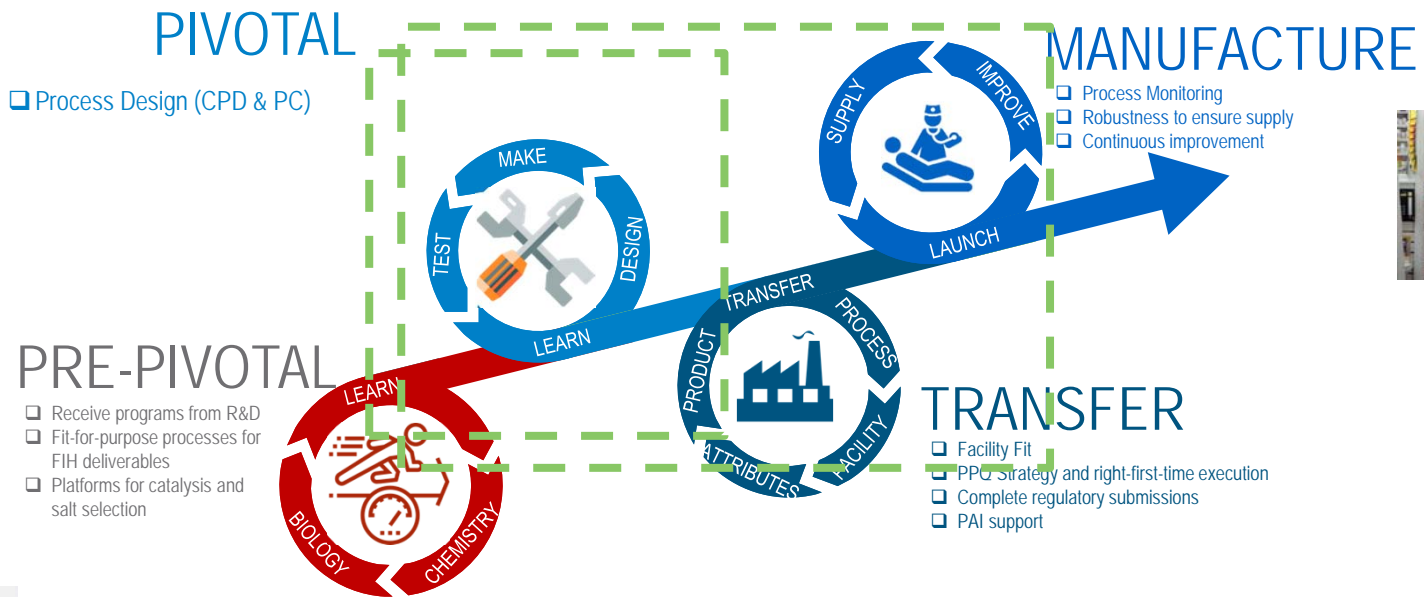
OUTLINES

- **Role of engineers and process chemist**
- **Amgen synthetic portfolio: Opportunities and challenges in the pharma industry**
- **Safety culture at Amgen**
- **Safe Scale-up of an Exothermic Grignard**
 - **Continuous manufacturing**
 - **Leveraging pre-competitive space**
 - **Process safety for CM design**

CHEMICAL ENGINEERING IN PHARMA: ADVANCING COMPLEX MOLECULES FROM DISCOVERY TO COMMERCIALIZATION



CHEMICAL ENGINEERING IN PHARMA: ADVANCING COMPLEX MOLECULES FROM DISCOVERY TO COMMERCIALIZATION



ENGINEERING ROLE



4 mL reactors



100 L reactors



1K to 10K L reactors

- **Chemical Engineering in Pharma: Advancing complex molecules from discovery to commercialization**
 - **Safety**: Process safety testing is our first line of defense against thermal hazard events such as explosions and runaway reactions
 - **Understand design space**: Generate quality product
 - **Consistent performance**: Mass Transfer, Modeling, Continuous Manufacturing

ENGINEERING ROLE



4 mL reactors



100 L reactors

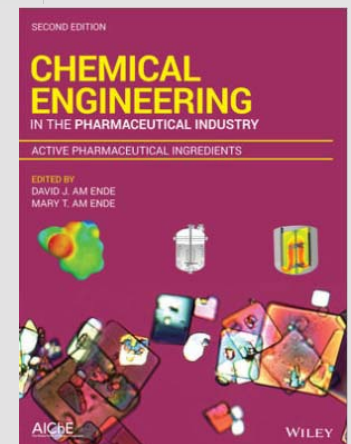


1K to 10K L reactors

David J. am Ende

Engineering: Advancing complex molecules from discovery to commercialization

- Safety: Process safety testing is pharma's first line of defense against thermal hazard events such as explosions and runaway reactions
- Understand design space (PC driven by FMEA, criticality assessment)
- Consistent performance (Mass Transfer, Modeling, Continuous Manufacturing) - **Scale-Up of Mass Transfer-Limited Reactions: Fundamentals and a Case Study**



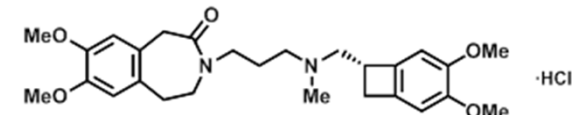
OUTLINES

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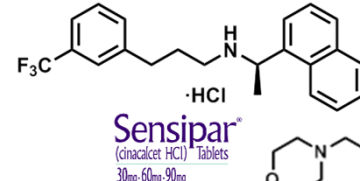
Amgen Synthetic Pipeline

PHASE ONE			PHASE TWO			PHASE THREE		
AMG 119	AMG 160	AMG 176	AMG 714 / PRV-015	BUNCYTO® (binatumomab)	Tezepelumab	AMG 520 / CNP520	ENBREL® (etanercept)	"EVENITY" (romosozumab)
AMG 212	AMG 330	AMG 397				IMLYGIC® (alimogene laherparapivoc)	KYPROLIS® (carfilzomib)	Onmecartin® (meccartin)
AMG 420	AMG 424	AMG 427				Tezepelumab		
AMG 510	AMG 562	AMG 570						
AMG 592	AMG 596	AMG 598						
AMG 673	AMG 701	AMG 757						
AMG 990	AMG 996	AMG 996						
IMLYGIC® (alimogene laherparapivoc)								

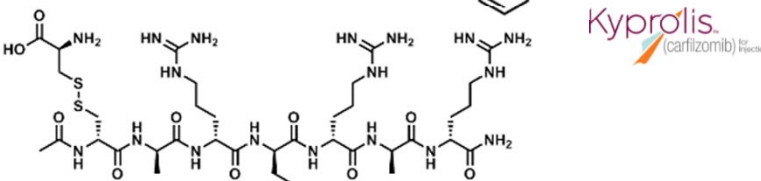
Approved Products



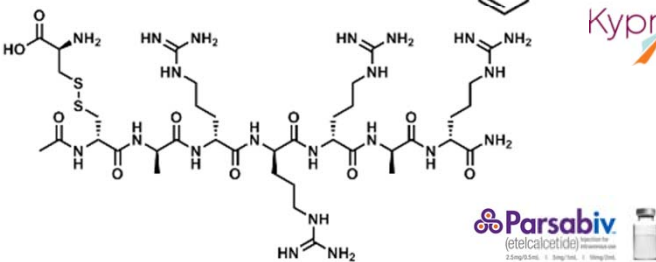
Corlanor
(ivabradine) 5mg tablets



Sensipar
(cinacalcet HCl) Tablets
30mg, 60mg, 90mg

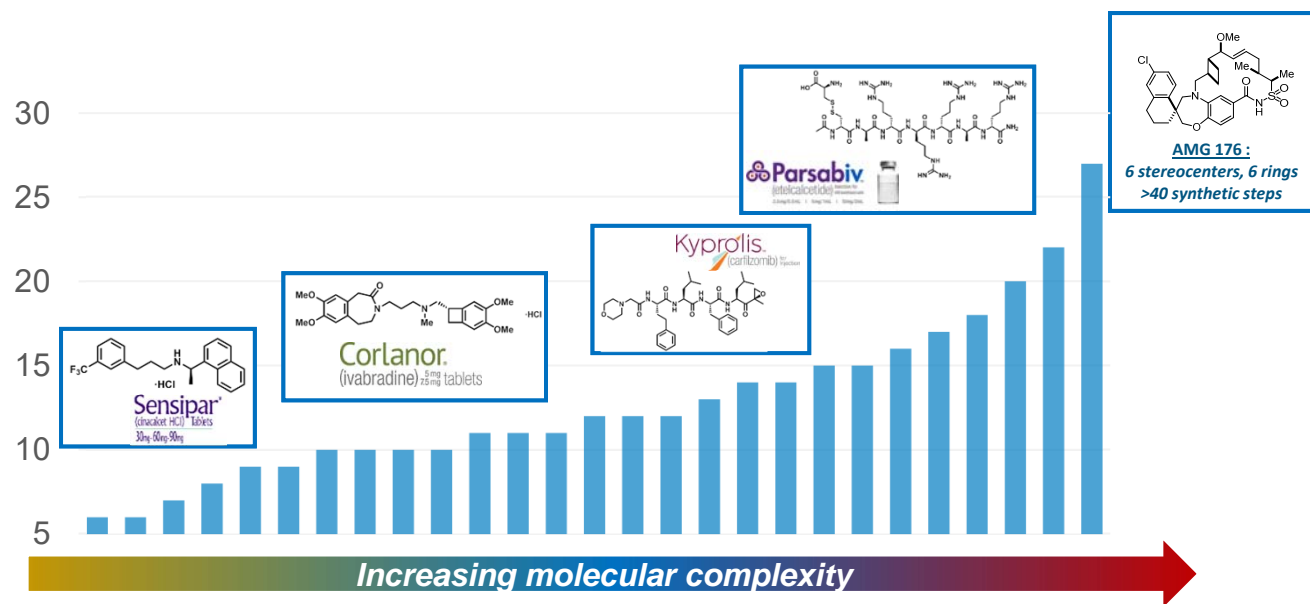


Kyprolis
(carfilzomib) for Injection



Parsabiv
(etelcalcetide) for Injection
2.5mg/10mL, 1.5mg/10mL, 1.5mg/5mL

Increasing molecular complexity and shortened development timelines drive the case for innovation



Complexity Index factors:

- molecular weight
- # of synthetic steps
- # stereogenic centers
- # rings



The future of the small molecule? Increased complexity and biologic pairings, says industry

01 May 2018 By Flora Southey

The many roles of molecular complexity in drug discovery

Oscar Méndez-Lucio and José L. Medina-Franco

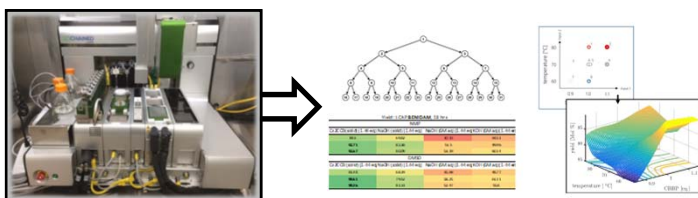
AMGEN

Asset complexity coupled with shrinking timelines.

Representative Platforms to Accelerate Synthetic Process Development

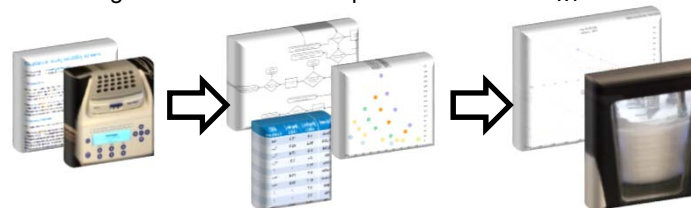
Automated Reaction Development:

- High-throughput and multi-variable reaction screening
- Rich data sets enable development of predictive models



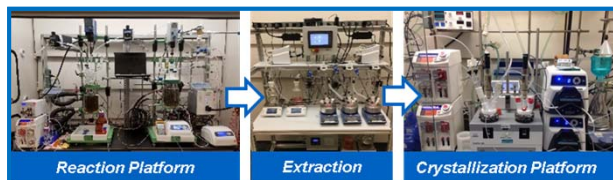
Crystallization - Solubility Modeling:

- Solubility measurements and prediction streamline process design and execution to improve control strategy



Continuous Manufacturing (CM):

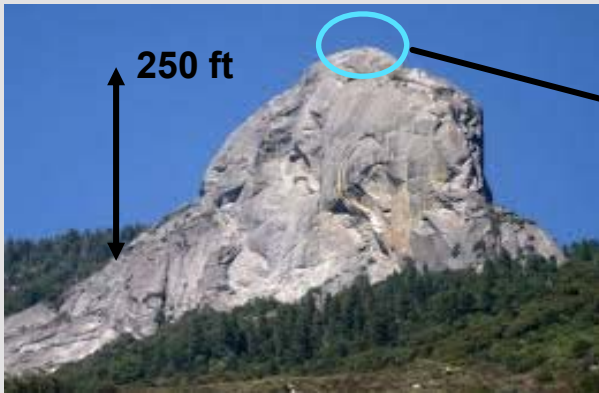
- Reduced manufacturing footprint enables integration of analytics & improved processing safety & control



Outlines

- Role of engineers and process chemist
- Amgen synthetic portfolio: Opportunities and challenges in the pharma industry
- Safety culture at Amgen
- Safe Scale-up of an Exothermic Grignard
 - Continuous manufacturing
 - Leveraging pre-competitive space
 - Process safety for CM design

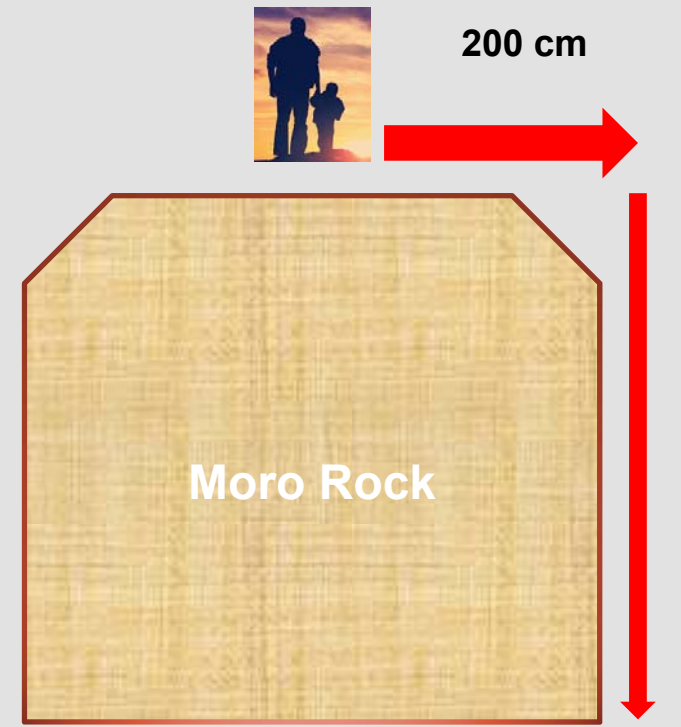
HOW ABOUT PROCESS SAFETY?



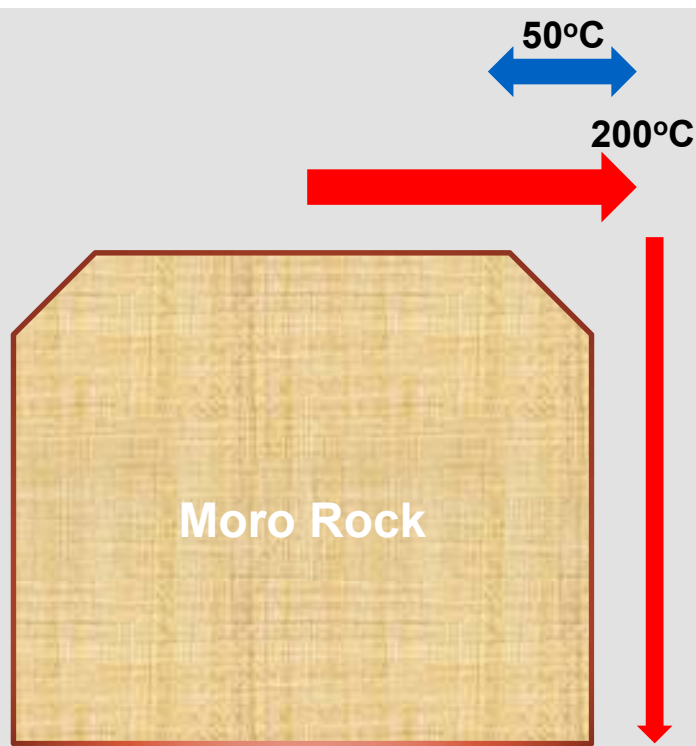
Moro Rock
Sequoia National
park

SAFETY IS ABOUT PROTECTING YOUR LOVED ONES

- **Science tells you**
 - You are 2 m from the edge
 - It is 75 m fall
- **Your 9 year old son tells you, can he take just one step to get a better view.**
- **How close is too close?**



OUR SCIENTIST HANDLE CHEMICALS DAILY BASIS ARE THEY SAFE TO HANDLE?



A chemical reagent violently decomposes at 200°C

- What is the appropriate safety window?

BEIRUT EXPLOSION



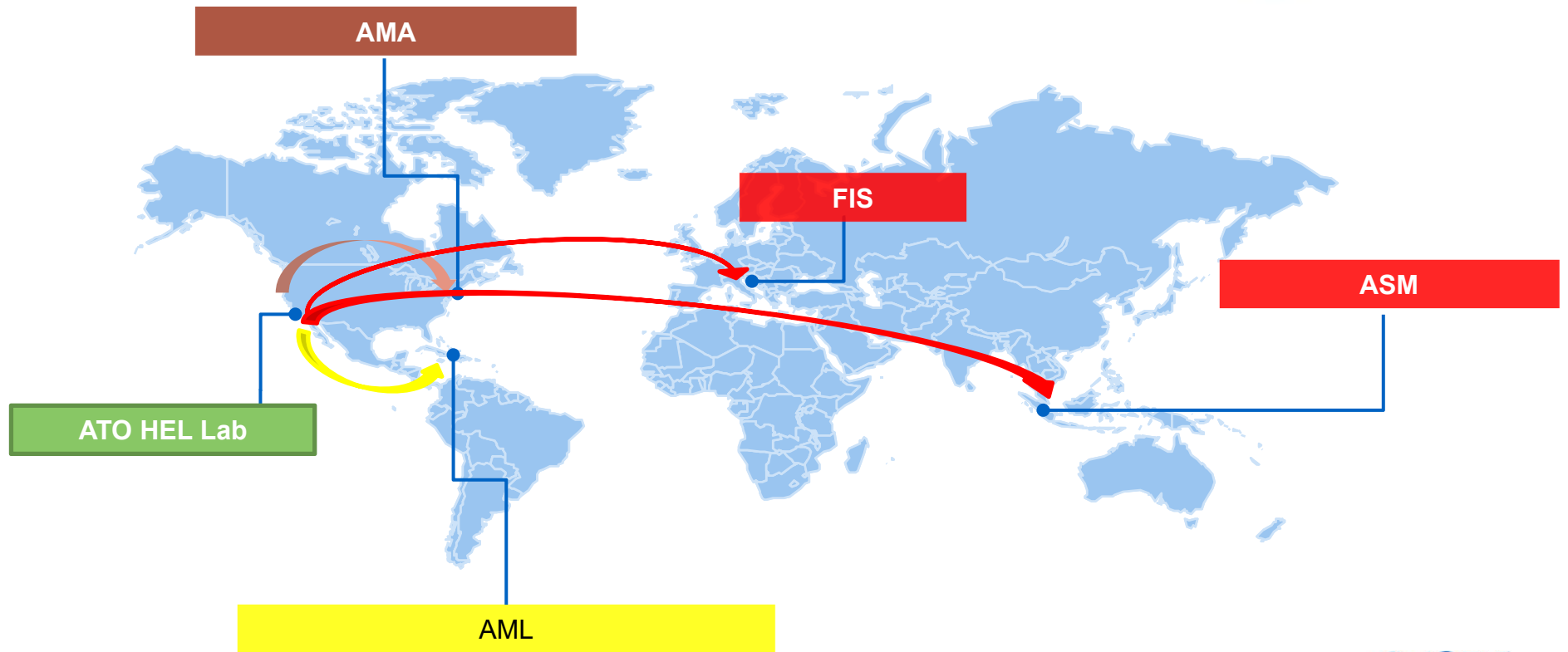
- 2700 tonnes Ammonium nitrate
- Onset temperature is ~200°C
- Magnitude: Heat of decomposition is 700 J/g
- Heat was used to self-heat, temperature will rise to 350 °C!!
- Portion of that energy will be converted to destructive pressure wave.

HIGH-ENERGY MATERIALS IN USE AT AMGEN

Reagent	Onset Temperature	Energy Released
TNT	309 °C	2,510 J/g
Propargyl Alcohol	103 °C	3,675 J/g
HBTU	162 °C	1,004 J/g
TBTU	178 °C	1,208 J/g
HOBt.xH ₂ O	158 °C	1,984 J/g
Amgen T790M intermediate	158 °C	2,370 J/g
Amgen BACE intermediate	123 °C	2,718 J/g

1. Reagents and intermediates that are worse than Ammonium nitrate
2. Close in energy to TNT
3. Have lower onset temperature than TNT

HAZARD EVALUATION LAB LOCATED AT ATO SUPPORT AMGEN SYNTHETIC PORTFOLIO



RISK BASED APPROACH

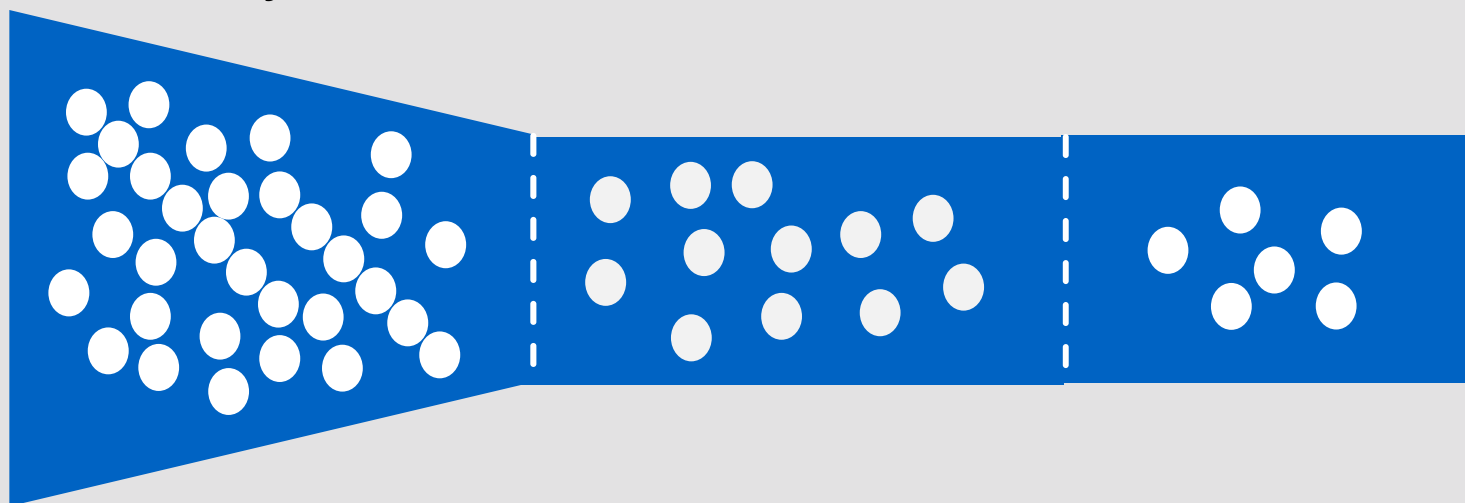


Discovery

Pre-Pivotal

Pivotal-Commercial

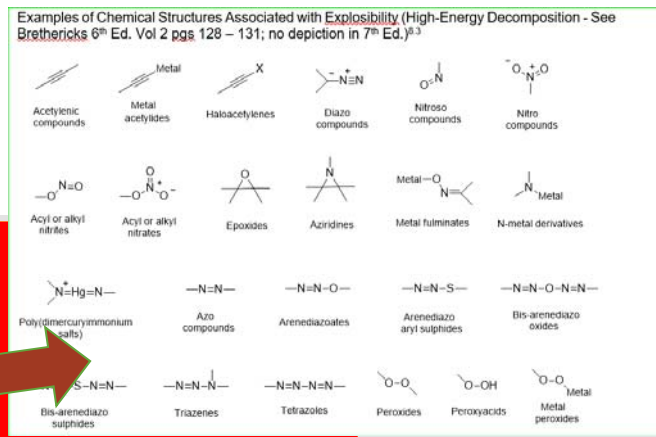
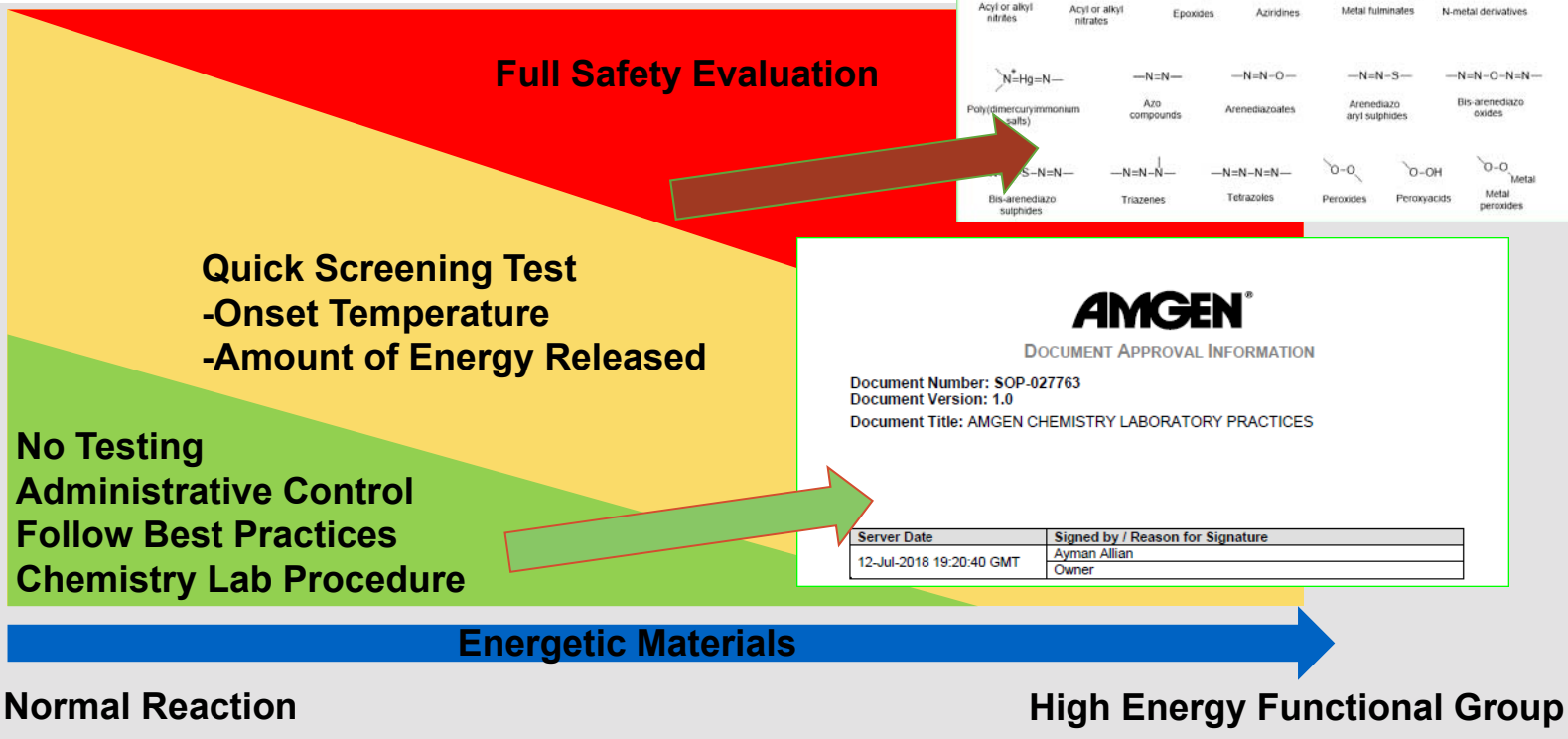
20,000 a year



RISK BASED SAFETY TESTING APPROACH



Scale



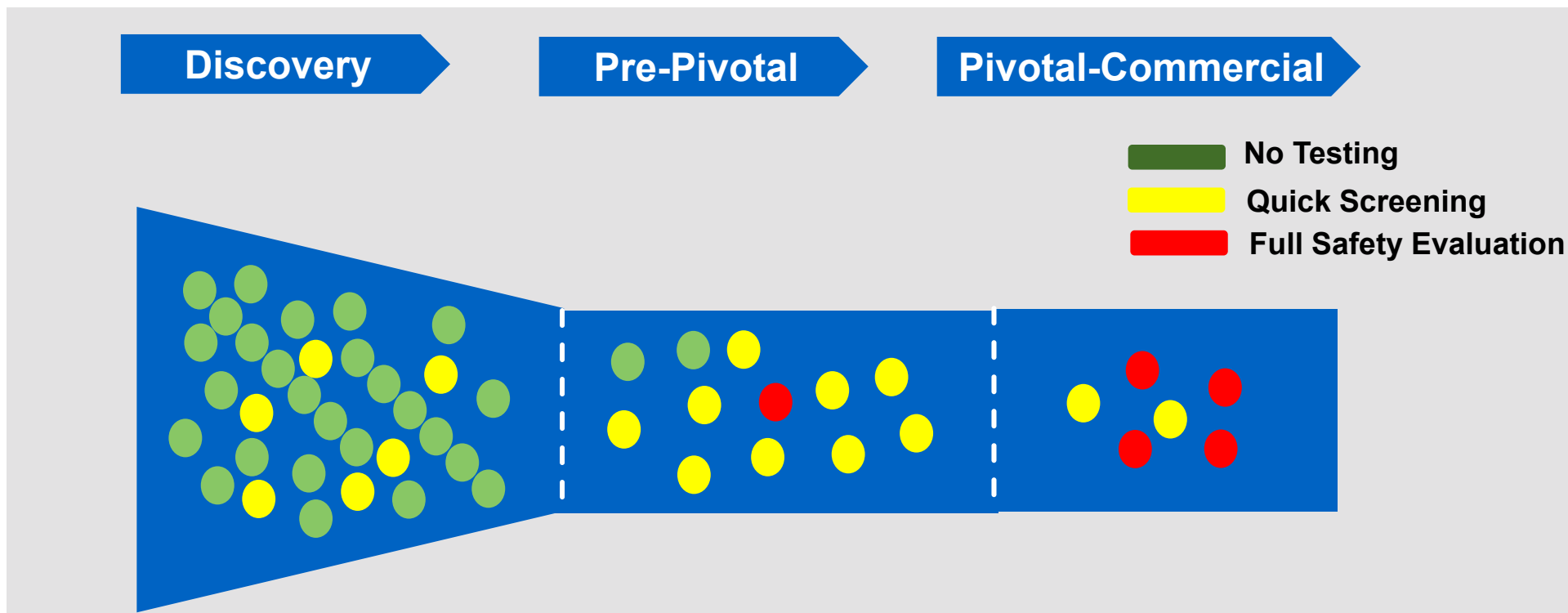
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Server Date	Signed by / Reason for Signature
12-Jul-2018 19:20:40 GMT	Ayman Allian Owner

AFTER RISK ASSESSMENT



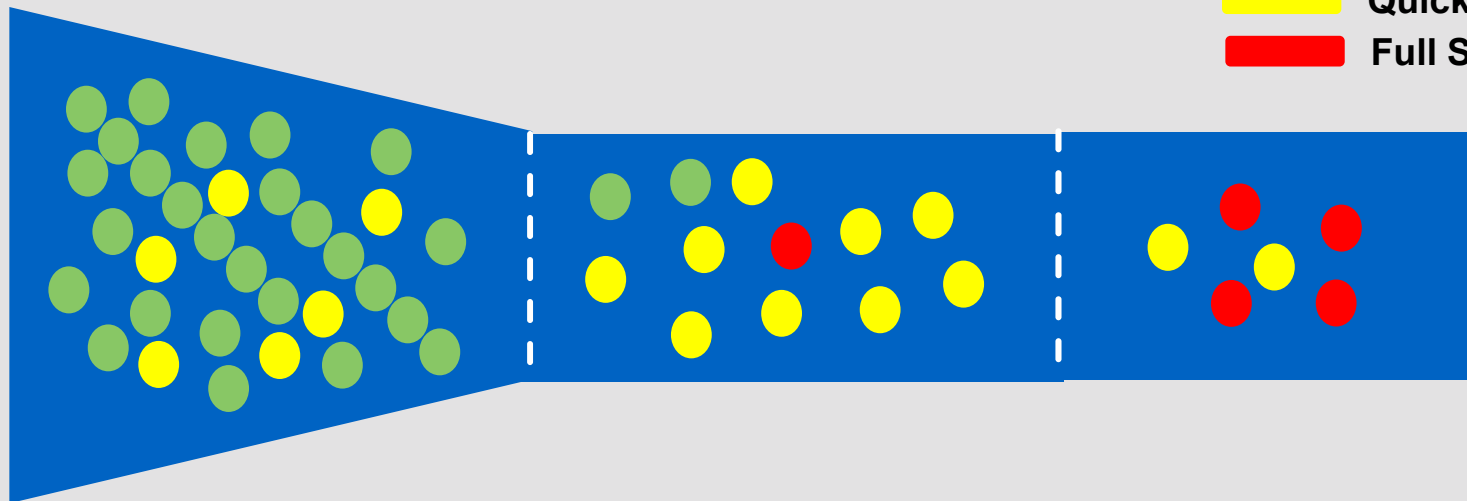
AFTER RISK ASSESSMENT- REVISIT OUR WORK FLOW

Discovery

Pre-Pivotal

Pivotal-Commercial

- No Testing
- Quick Screening
- Full Safety Evaluation



EXTERNAL ENGAGEMENT

**This is just one approach.
How about other pharma companies?
How do they handle this challenge?**

CREATED AND LEAD A GLOBAL THERMAL HAZARD



AMGEN RESOURCES NEEDED TO ESTABLISH THIS TASK

- **Amgen resources, we meet monthly meeting on-line**
 - Spend 1-4 FTE hour a month for the meeting
 - Target a face-to-face meeting once a year. This year was done at Amgen ATO.



Recognition for being the most active and best attended group



A MANUSCRIPT WAS JUST PUBLISHED

Survey 1 – Manuscript in Preparation!

Process Safety in the Pharmaceutical Companies– Part I:

Thermal Hazards Evaluation Processes and Techniques



Ayman D. Allian,^{†} Nisha P. Shah,[‡] Antonio Ferretti,[§] Derek Brown,[†] Stanley P Kolis,[⊥] Jeffery Sperry[!]*

- Won the ACS award allowing free access for non ACS member
- One the most read/downloaded paper in OPRD

OUTLINES

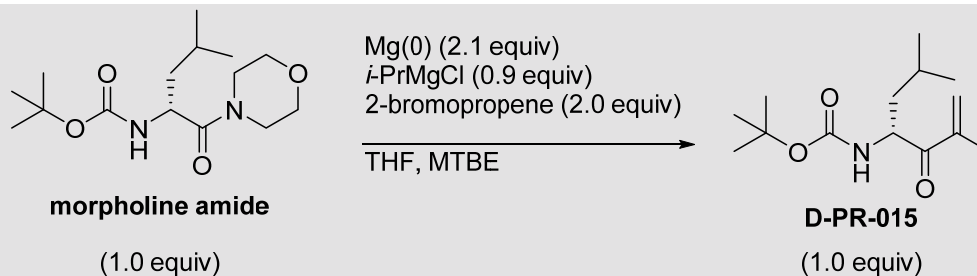
- Role of engineers and process chemist
- Challenges in the pharma industry
- Safety culture at Amgen
- **Safe Scale-up of an Exothermic Grignard using thermal hazard testing and engineering control**
 - Continuous manufacturing
 - Leveraging pre-competitive space
 - Process safety for CM design

CASE STUDY: GRIGNARD REACTION

- Thermal hazard evaluation of every reaction before any scale up in the kilo lab



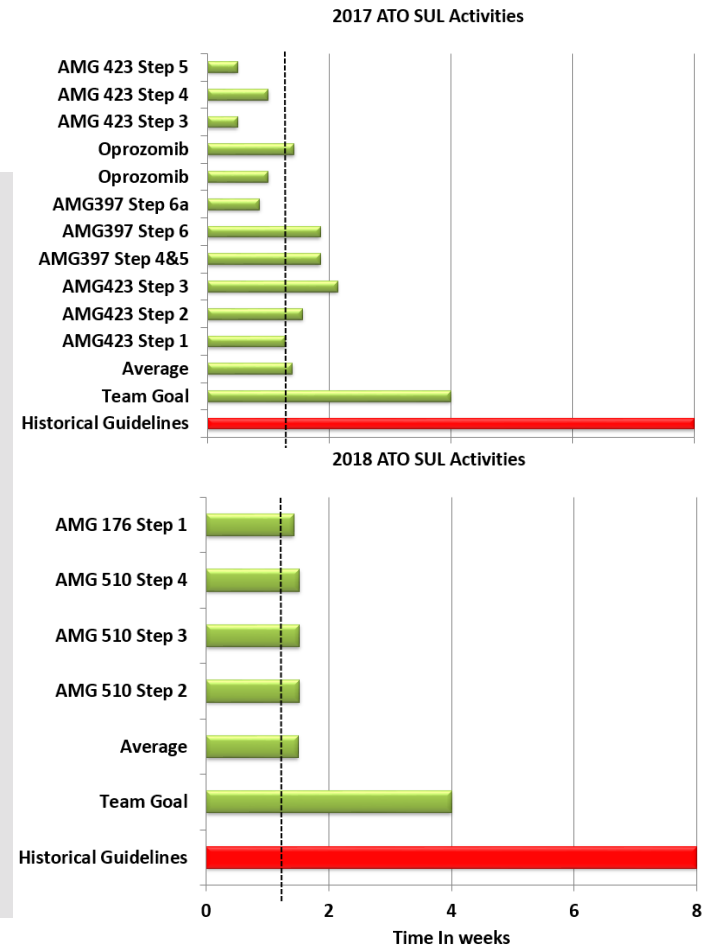
CHEMISTRY



- Inherited from 3rd party through acquisition
- Grignard Reaction
- Intended for Scale up at 2 Kg scale

SAFETY AND COMPLIANCE CULTURE

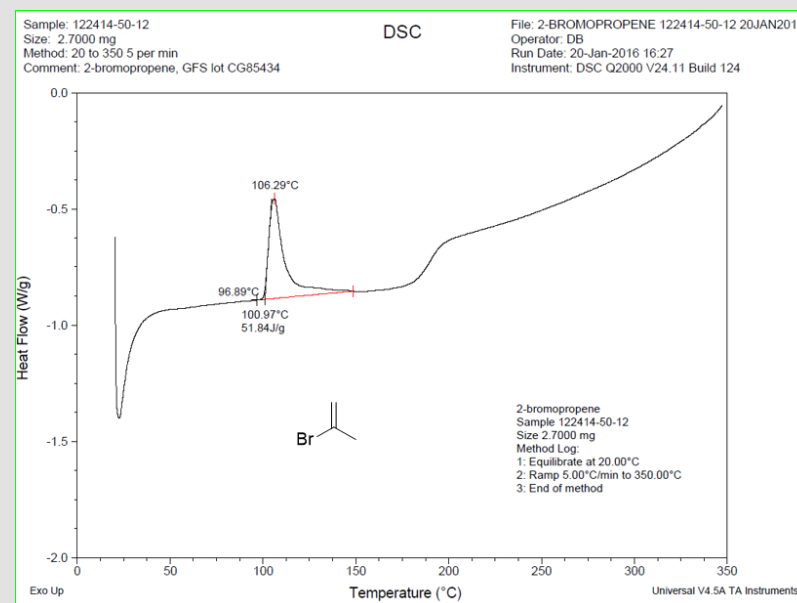
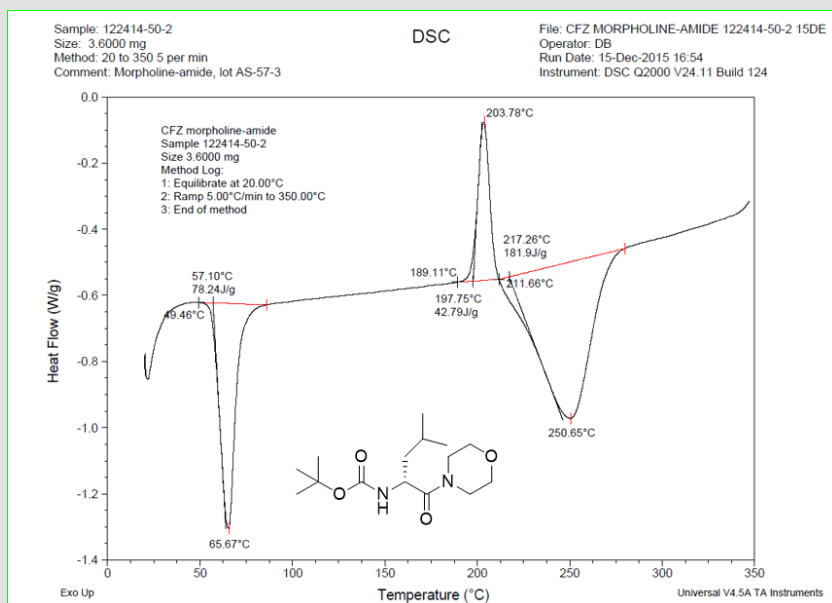
- Successfully executed more than 45 campaigns in the last 5 years
- No safety incident
- Two detailed GMP corporate audits in 2016 and 2019 without any critical findings
- Still reducing turnaround by 50%



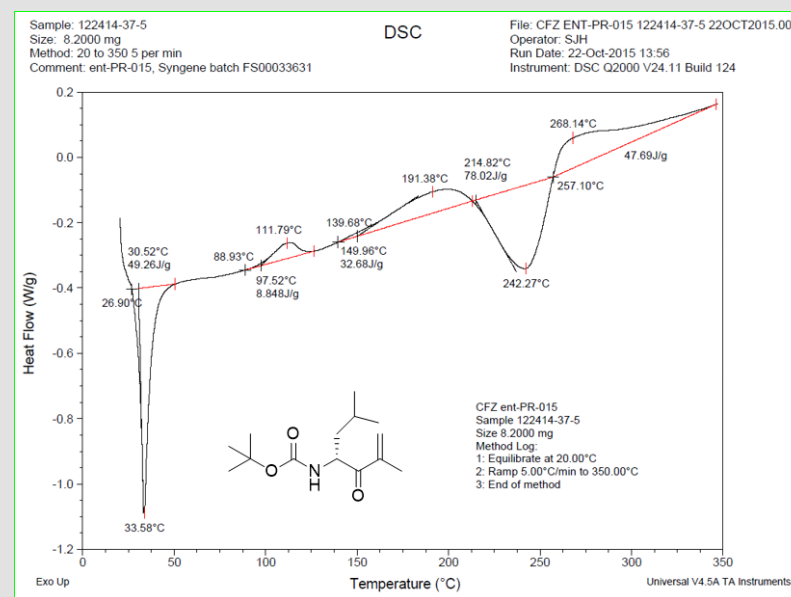
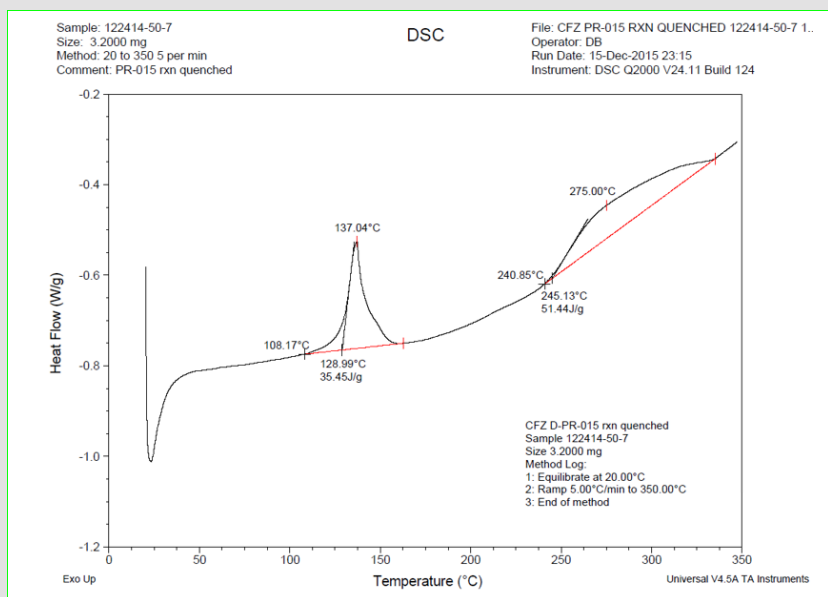
OUR APPROACH TO THERMAL HAZARD

- **Screen all reagents and starting material**
- **Screen isolated product (s)**
- **Screen reaction mixture (quenched solution)**
- **Measure Heat of reaction**

DSC OF ISOLATED STARTING MATERIAL



DSC OF ISOLATED PRODUCT AND QUENCHED REACTION MIXTURE

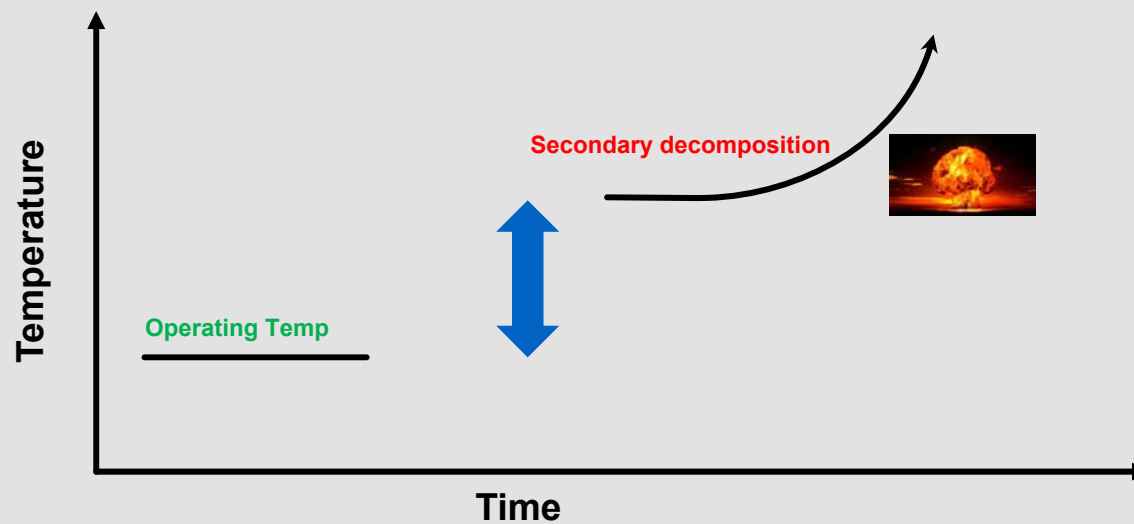


REACTION HAZARD CLASSIFICATION

EXOTHERMIC REACTION BRING ABOUT A VIOLENT DECOMPOSITION?

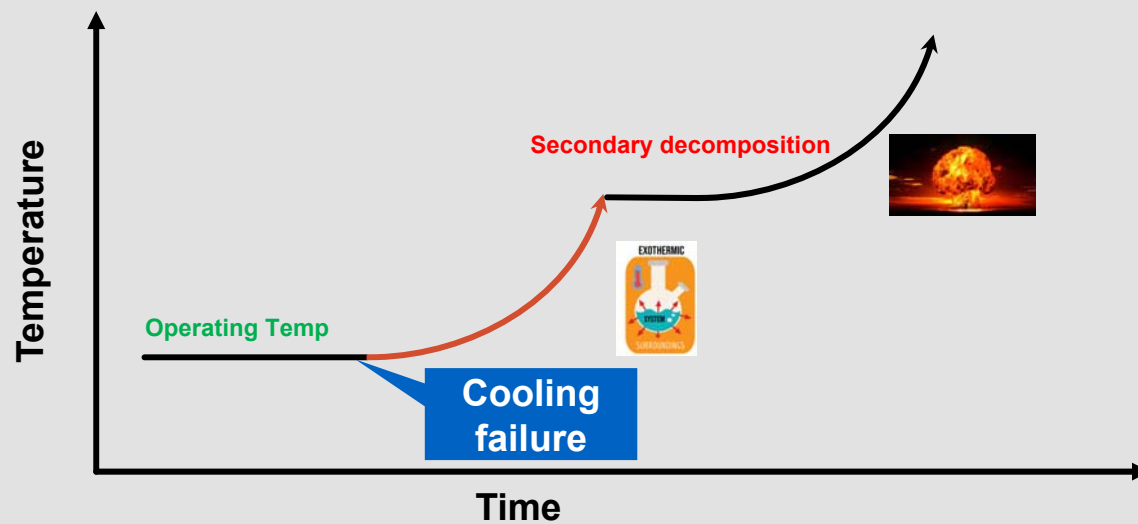


- Operating with a good safety window



EXOTHERMIC REACTION BRING ABOUT A VIOLENT DECOMPOSITION

- Operating with a good safety window
- **Cooling failure for an exothermic reaction**



EXOTHERMIC REACTION BRING ABOUT A VIOLENT DECOMPOSITION

- Operating with a good safety window
- Cooling failure for an exothermic reaction
- Ozonolysis 216°C

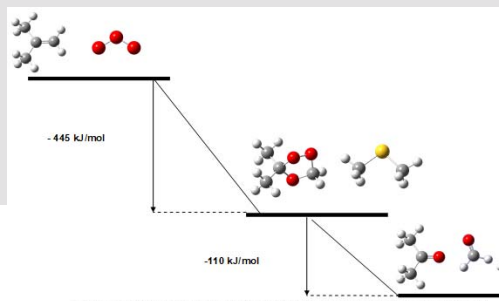
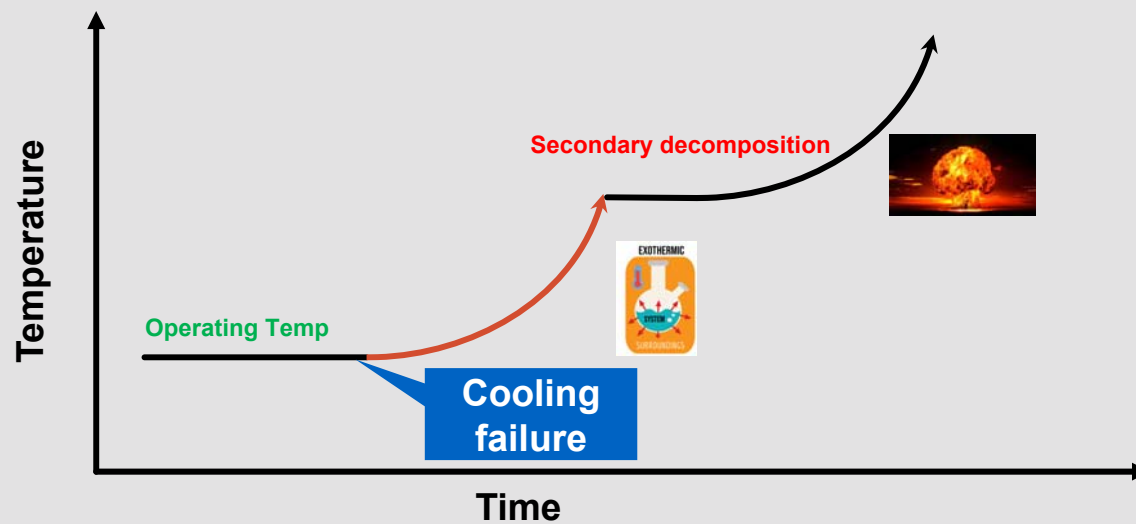
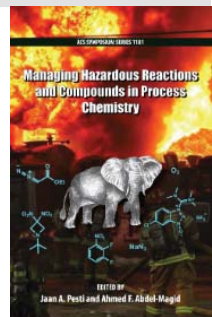
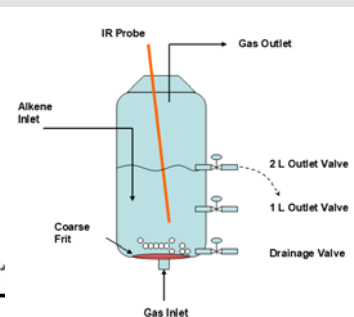
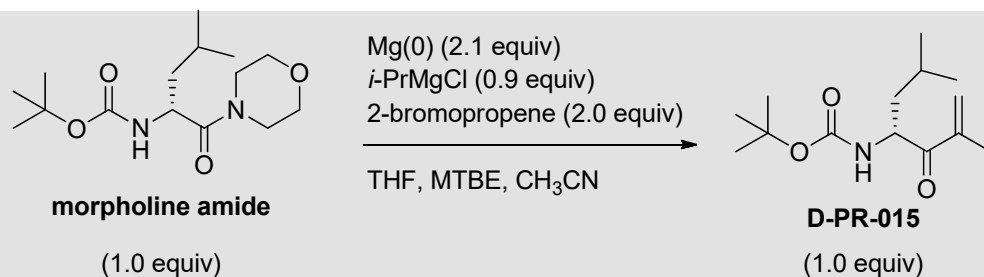


Figure 2. Calculation of Enthalpy of Reaction for Isobutylene Ozonolysis

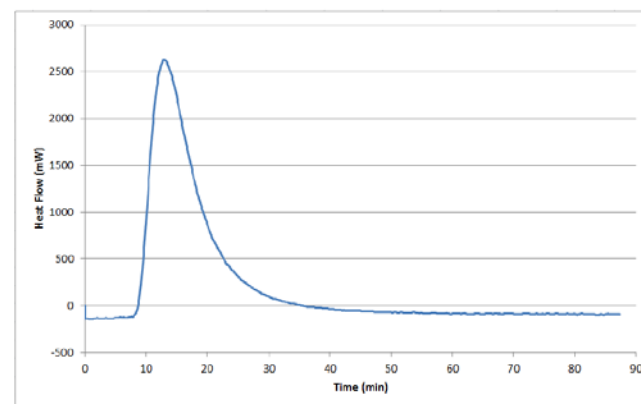


THERMAL HAZARD EVALUATION



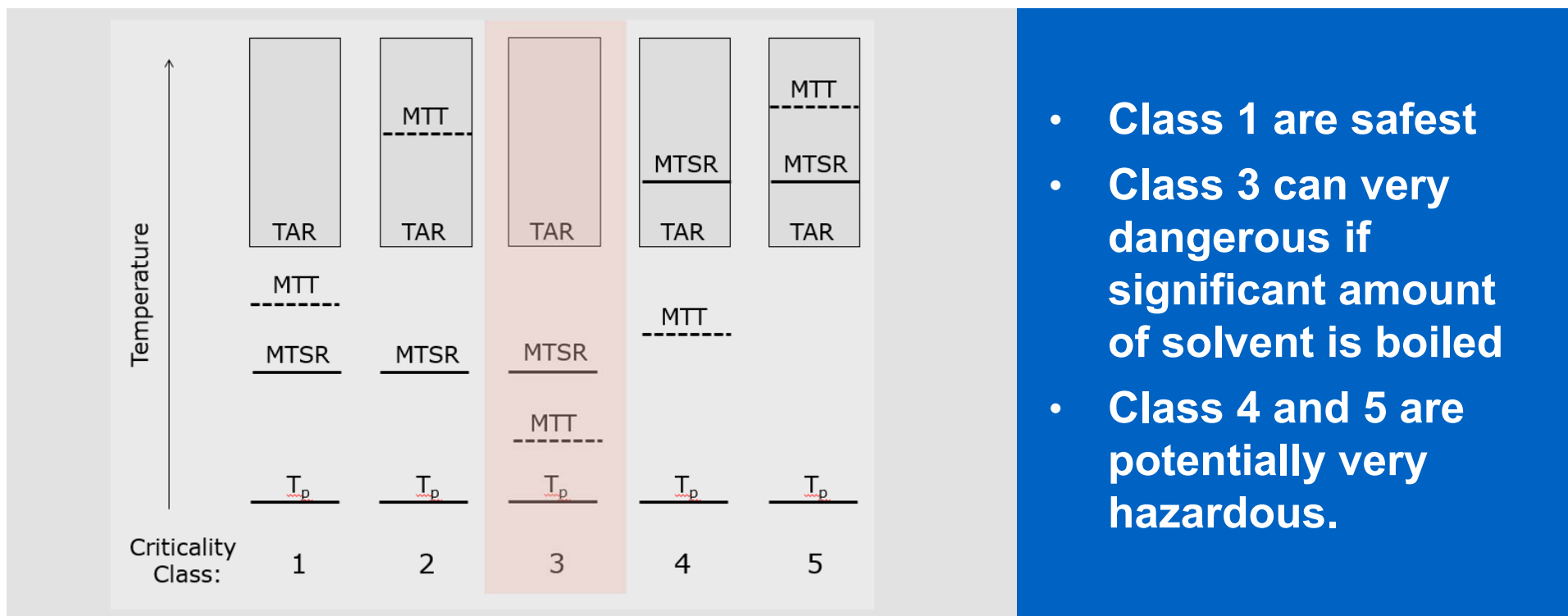
- Heat of reaction measured
 - *i*-PrMgCl addition
 - 2-bromopropene addition
 - Citric acid quench
- Adiabatic temperature rise of 133°C for 2-bromopropene addition.
- MTBE boiling point (55 °C)

OmniCal Data: 2-bromopropene addition



Heat of reaction = -1.490 kJ/0.002 mol = -745 kJ/mol
Adiabatic temperature rise = 133°C
Max heat flow = (2628 + 119) mW/6 mL = 458 W/L
Highest achievable temperature = 45 + 133 = 178°C

STOESSEL CRITICALITY CLASS OF CHEMICAL REACTIONS



- Class 1 are safest
- Class 3 can very dangerous if significant amount of solvent is boiled
- Class 4 and 5 are potentially very hazardous.

CONSEQUENCES OF THE HIGH EXOTHERMIC REACTION

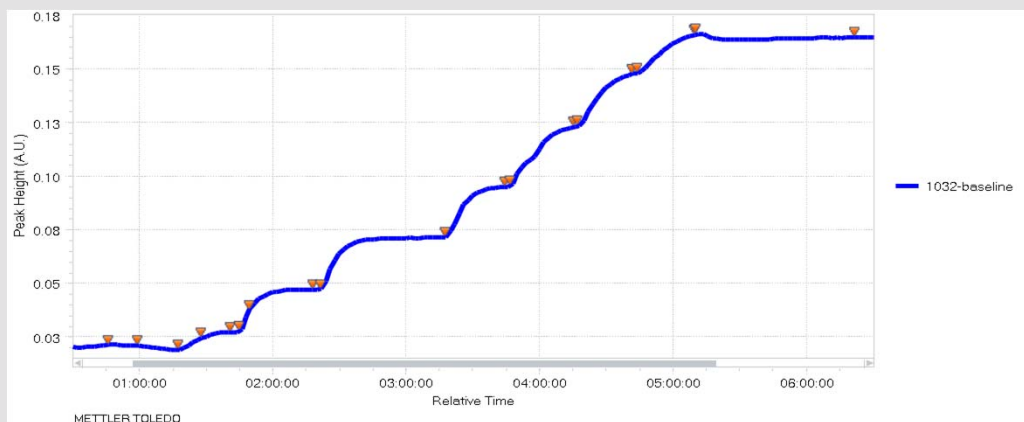
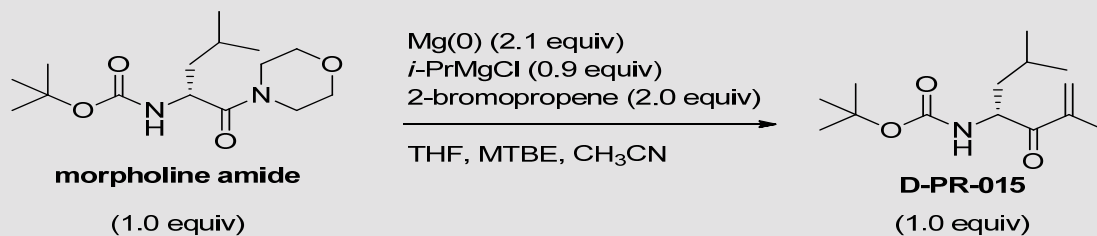
- **Mitigate the risk by physically breaking the charge into 7 charges of 0.2 to 0.3 equivalents each.**
- **Literature showed that despite slow addition of reagent, accidents happened because of lack of understanding of the reaction initiation time.**
 - **There is a need to confirm reaction progress during scale up to ensure safety.**
- **FTIR was used as FIO**

DEPLOYMENT OF IN-SITU IR IN THE GMP FACILITY



Using FTIR to ensure no buildup of energetic intermediates

IN SITU FTIR SPECTRA EXAMPLE

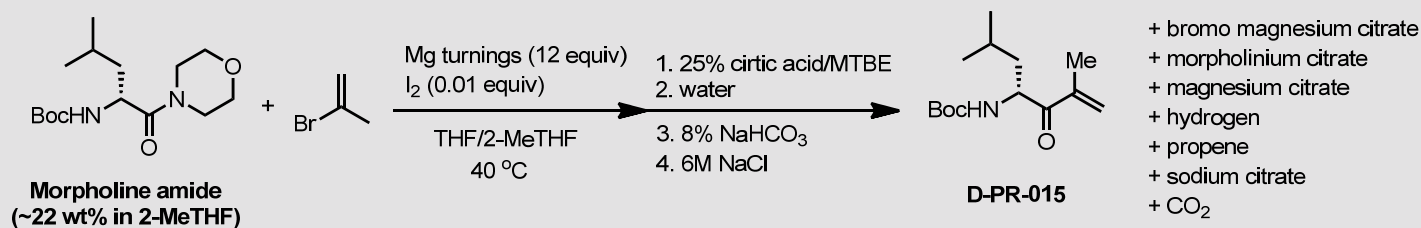


- Campaign utilized in-situ IR spectroscopic data to study these intermediates.
- IR profile consistent with HPLC measurement

CONCLUSION PART1

- **Successful scale up of energetic reaction using thermal hazard evaluation and engineering control**
- **During the run every 0.2 equivalent caused about 5°C rise**
 - Batch sheet ask for $40 \pm 5^\circ\text{C}$, and add over no less than 10 min
 - **Reality**
 - Cool the batch to $\sim 37^\circ\text{C}$, after each addition reactor warmed up to 43°C
 - Took 20 min for each addition
- **From bench to scale up initiation, 3 weeks**
 - Thermal hazard evaluation
 - Equipment readiness and batch sheet writing
 - Raw material ordering

SECOND GENERATION CHEMISTRY



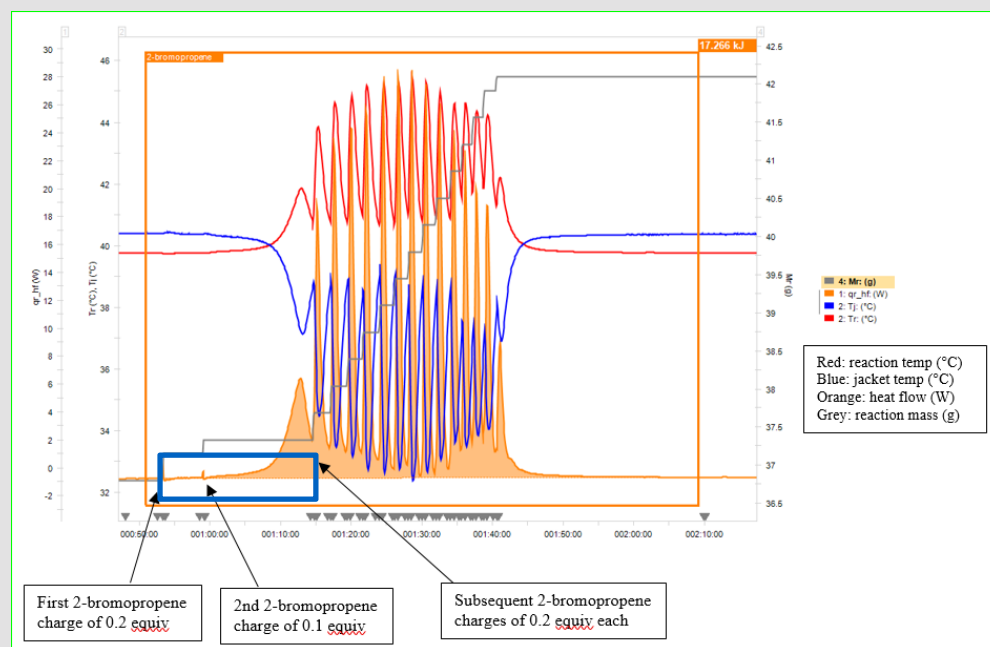
- No longer using i-PrMgCl as a reagent
- Switch to THF/2-MeTHF solvent from MTBE

OUR APPROACH TO THERMAL HAZARD

- **Changes warranted thermal hazard reevaluation**
- **Screen all reagents and starting material**
- **Screen isolated product (s)**
- **Screen reaction mixture (quenched solution)**
- **Measure Heat of reaction**

THERMAL HAZARD EVALUATION

- Adiabatic temperature rise of 203°C.
- Heat flow of 705 W/L
- RC1 equipped with huge thermal sink and have high surface-to-volume ratio
 - Still every 0.2 equivalent resulted in 5°C rise.
- Note initiation time



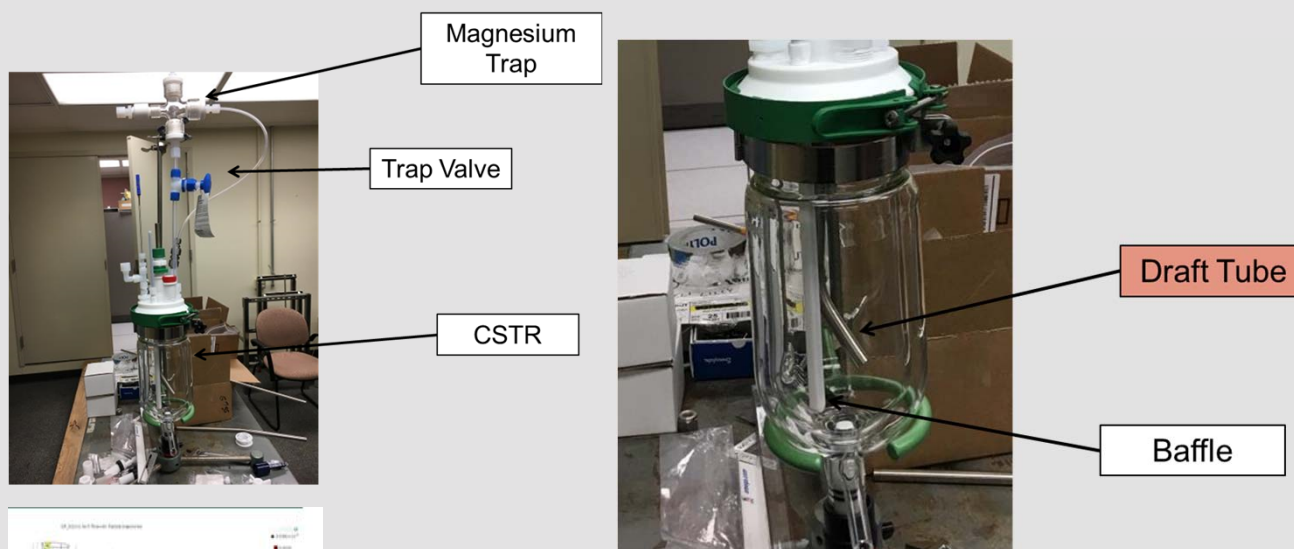
HEAT OF REACTION CONSEQUENCES AT SCALE

- **Even breaking up charges will cause significant temperature rise**
- **Even if product demand increases, reaction must be done in small reactors to keep high surface to volume ratio**
- **Emergence of continuous processing.**

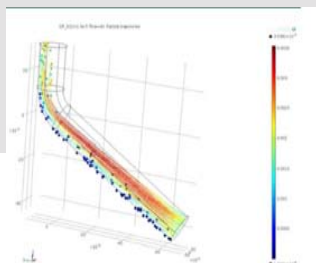
The Evolving State of Continuous Processing in Pharmaceutical API Manufacturing: A Survey of Pharmaceutical Companies and Contract Manufacturing Organizations

J. Christopher McWilliams*, Ayman D. Allian, Suzanne M. Opalka, Scott A. May, Michel Journet, and Timothy M. Braden

ADOPTING LILLY PLATFORM



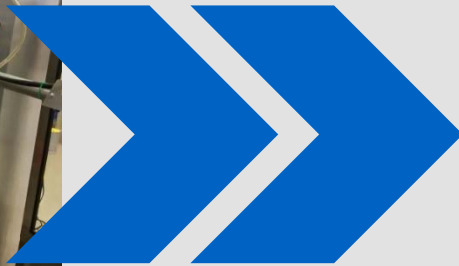
- Periodic addition of magnesium turnings
- Magnesium particles should be trapped



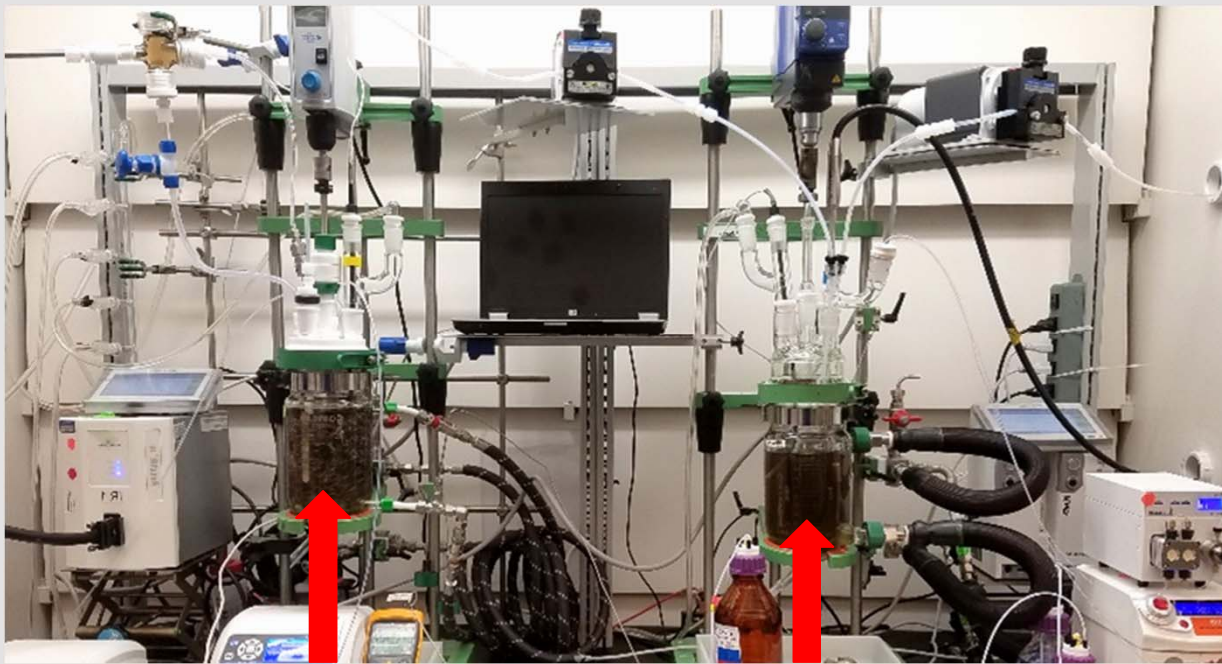
The continuous flow Barbier reaction: an improved environmental alternative to the Grignard reaction?

Michael E. Kopach ^{*a}, Dilwyn J. Roberts ^a, Martin D. Johnson ^a, Jennifer McClary Groh ^a, Jonathan J. Adler ^b, John P. Schafer ^b, Michael E. Kobierski ^a and William G. Trankle ^a

FROM PLANT TO HOOD



PLANT IN THE HOOD



CSTR1

CSTR2

- 1 L reactor
- No solids were observed in the trap
- High throughput
 - 143 g/h (3.6 kg/day)
- Other safety concerns
 - $T_{r1} - T_{j1} = 35-40\text{ }^{\circ}\text{C}$

flow direction

CONCLUSION PART2

- **Successful scale up of a very energetic reaction using continuous manufacturing**
- **Leveraging precompetitive collaboration and adopting Lilly's platform**
- **At 1-L scale, temperature across the jacket is about 40°C**
- **GMP proof of concept was successful**

ACKNOWLEDGEMENTS

Pivotal Scale up, Thermal Hazard and Engineering

- Derek Brown
- John Huckins
- Kyle Nichols
- Holden Kessler
- Matthew Eitner
- Elçin İçten-Gençer

Pivotal-DS Process Chemistry

- Matthew Beaver
- Andrew Parsons
- Jo Anna Robinson
- Albert Shi
- Sheng Cui

DSTE

- Seth Huggins

AS

- Ning Yang
- Akhil Agarwal (co-op)
- Charles Cheng
- Alicia Zeng

MIT

- Gerard Mendez
- Prof. Richard Braatz

Management

- Roger Hart
- Cenk Undey
- Rohini Deshpande

Thank you

Marking Wilfried's official retirement at end 2020

- ❑ Following career of almost 40 years in process safety at Parke Davis, Warner Lambert, Pfizer and Scale-up Systems
- ❑ Purchased the first RC-1 in Germany (!); former chairman of the Mettler RXE Forum
- ❑ Has made extensive use of RC1 data, MTSR, TMR and the criticality classes outlined by Prof Stoessel when using predictive modeling alongside experimental data in process thermal safety
- ❑ Flash talks by Wilfried and collaborators including Flavien Susanne (Sanofi), Yossi Mendel (Teva) and Joe Hannon (Scale-up Systems), with open mic at the end
- ❑ Register at <https://dcresources.scale-up.com/?id=6427>

