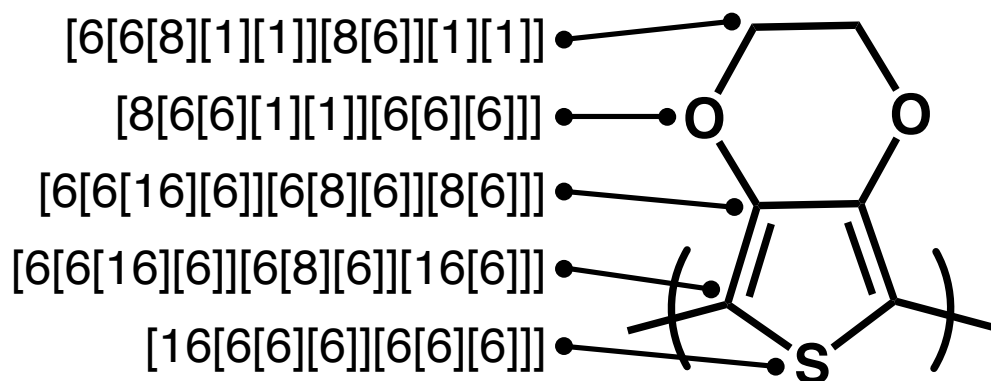


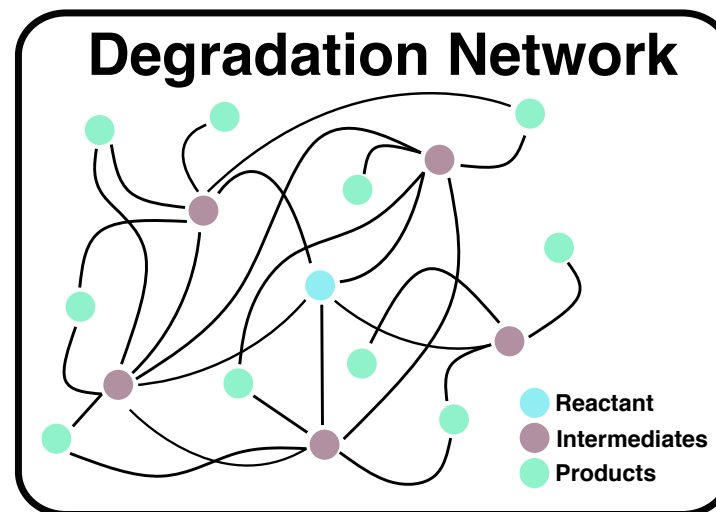
# Quantum Chemical Prediction of Molecular Thermodynamics to Assess Reaction Safety and Scale-up

**A new component theory:**



**$\Delta H_f$ ,  $S^\circ$ ,  $C_v$**

**Predicting Decomposition Pathways:**



**Qiyuan Zhao**  
(4<sup>th</sup> year PhD student)

**Brett M. Savoie**

Charles Davidson Assistant Professor of Chemical Engineering

12/7/21, P2SAC Fall Meeting

# Challenges of Contemporary Group Theories

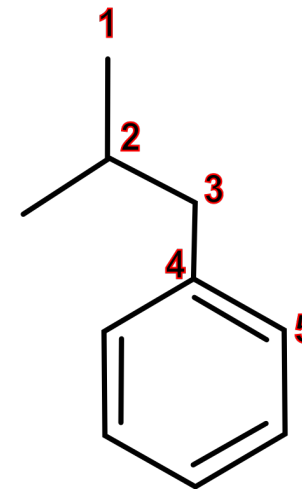
## Benson Group Theory:

- The idea is to decompose molecular properties ( $\Delta H_f$ ,  $S^\circ$ ,  $C_v$ ) as the sum of “group” contributions.
- Group contributions are calculated based on trusted experimental or computational data, and transferability is assumed.

## Problems we want to address:

- **Specificity:** the definition of a “group” has never been formalized and inconsistent granularity is applied.
- **Provenance:** inconsistent thermodynamic data is available/used to determine group contributions.
- **Extensibility:** because of the provenance and specificity problems, it isn't possible to develop new groups in a consistent way.

From Anslyn and  
Dougherty's  
Textbook



1) C -(C)(H) <sub>3</sub> .....	2(-10.20)
2) C -(C) <sub>3</sub> (H) .....	-1.90
3) C -(C <sub>B</sub> )(C)(H) <sub>2</sub> .....	-4.86
4) C <sub>B</sub> -(C) .....	5.51
5) C <sub>B</sub> -(H) .....	5(3.30)

---

-5.15 kcal/mole  
(-21.6 kJ/mole)

Experimental  $\Delta H_f$ : -5.15 +/- 0.34 kcal/mol

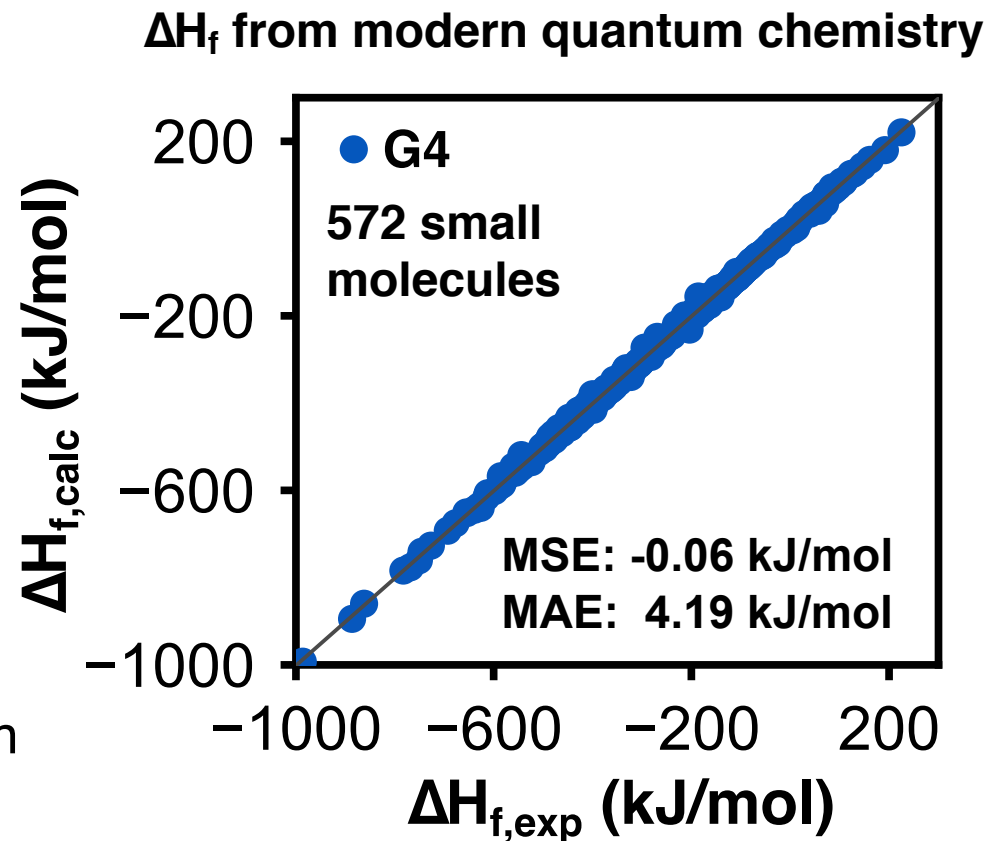
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Zhao, Q.; Savoie, B. M.; Enthalpy of Formation Prediction via a fully Self-Consistent Component Increment Theory. *J. Chem. Info. Model.* **2020**, 60, 2199-2207

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- Group
- on trust
- data, a

## Prob

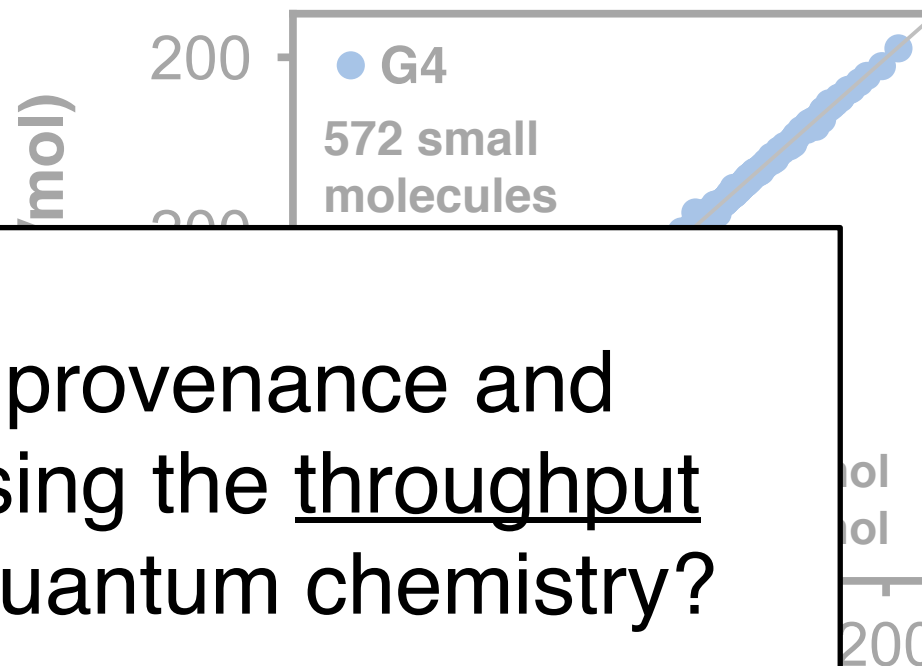
- Spec
- formal

Can we circumvent the provenance and extensibility challenges using the throughput and accuracy of modern quantum chemistry?

- **Provenance:** inconsistent thermodynamic data is available/used to determine group contributions.

- **Extensibility:** because of the provenance and specificity problems, it isn't possible to develop new groups in a consistent way.

$\Delta H_f$  from modern quantum chemistry



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# TAFFI Component Increment Theory (TCIT)

## The fundamental idea

- Systematize component-definitions and model compound selection with rigorous graph-based typing.

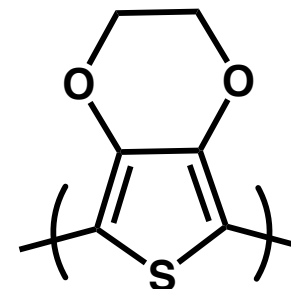
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**P2SAC  
Publications**

TCIT is a component theory  
(2-bond specific)



Topology **A**utomated  
Force **F**ield  
Interactions



graph/structure  
equivalence



S	0	1	0	0	1	0	0	0	0	0	0	0	0
C	1	0	1	0	0	0	0	0	0	0	0	0	0
C	0	1	0	1	0	1	0	0	0	0	0	0	0
C	0	0	1	0	1	0	0	0	0	0	1	0	0
C	1	0	0	1	0	0	0	0	0	0	0	0	0
O	0	0	1	0	0	0	1	0	0	0	0	0	0
C	0	0	0	0	0	1	0	1	1	1	0	0	0
C	0	0	0	0	0	0	1	0	0	0	1	1	1
H	0	0	0	0	0	0	1	0	0	0	0	0	0
H	0	0	0	0	0	0	1	0	0	0	0	0	0
O	0	0	0	1	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0

Adjacency matrix for PEDOT monomer

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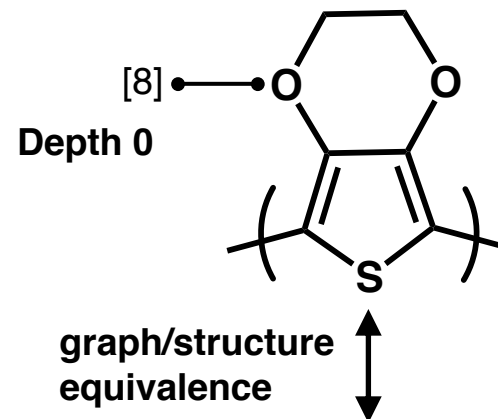
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Topology Automated  
Force Field Interactions



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C	0	0	1	0	1	0	0	0	0	0	1	0	0
C	1	0	0	1	0	0	0	0	0	0	0	0	0
O	0	0	1	0	0	0	1	0	0	0	0	0	0
C	0	0	0	0	0	1	0	1	1	1	0	0	0
C	0	0	0	0	0	0	1	0	0	0	1	1	1
H	0	0	0	0	0	0	1	0	0	0	0	0	0
H	0	0	0	0	0	0	1	0	0	0	0	0	0
O	0	0	0	1	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0

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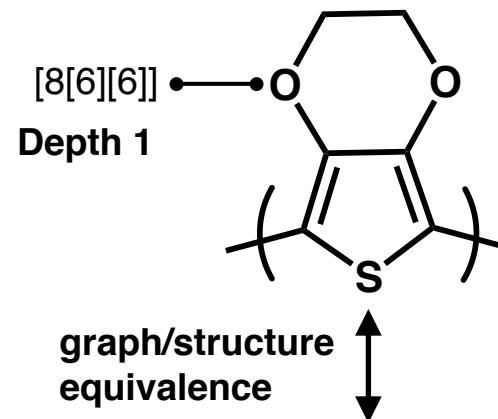
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O	0	0	1	0	0	0	1	0	0	0	0	0	0
C	0	0	0	0	0	1	0	1	1	1	0	0	0
C	0	0	0	0	0	0	1	0	0	0	1	1	1
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H	0	0	0	0	0	0	1	0	0	0	0	0	0
O	0	0	0	1	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0
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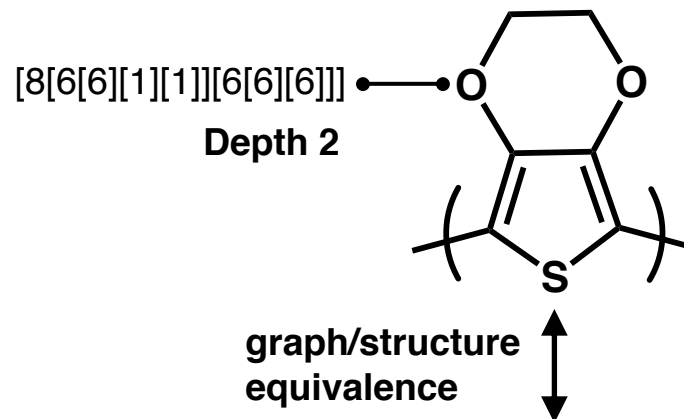
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C	1	0	0	1	0	0	0	0	0	0	0	0	0
O	0	0	1	0	0	0	1	0	0	0	0	0	0
C	0	0	0	0	0	1	0	1	1	1	0	0	0
C	0	0	0	0	0	0	1	0	0	0	1	1	1
H	0	0	0	0	0	0	1	0	0	0	0	0	0
H	0	0	0	0	0	0	1	0	0	0	0	0	0
O	0	0	0	1	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0
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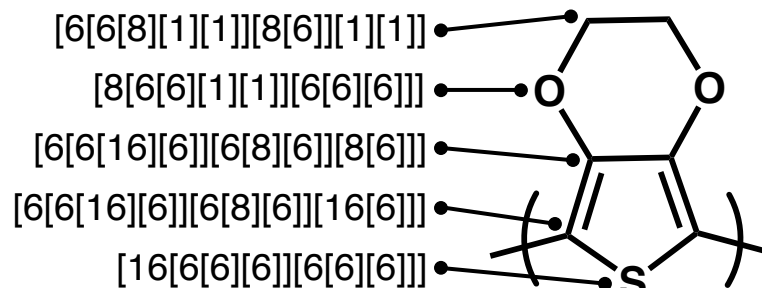
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**P2SAC  
Publications**

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Force Field Interactions



S	0	1	0	0	1	0	0	0	0	0	0	0	0
C	1	0	1	0	0	0	0	0	0	0	0	0	0
C	0	1	0	1	0	1	0	0	0	0	0	0	0
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O	0	0	1	0	0	0	1	0	0	0	0	0	0
C	0	0	0	0	0	1	0	1	1	1	0	0	0
C	0	0	0	0	0	0	1	0	0	0	1	1	1
H	0	0	0	0	0	0	1	0	0	0	0	0	0
H	0	0	0	0	0	0	1	0	0	0	0	0	0
O	0	0	0	1	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0

Adjacency matrix for PEDOT monomer

# TAFFI Component Increment Theory (TCIT)

## The fundamental idea

- Systematize component-definitions and model compound selection with rigorous graph-based typing.
- Two-bond specificity should improve both the accuracy and transferability of the resulting components.
- Parameterizing a component model **would not be feasible with only experimental data.**

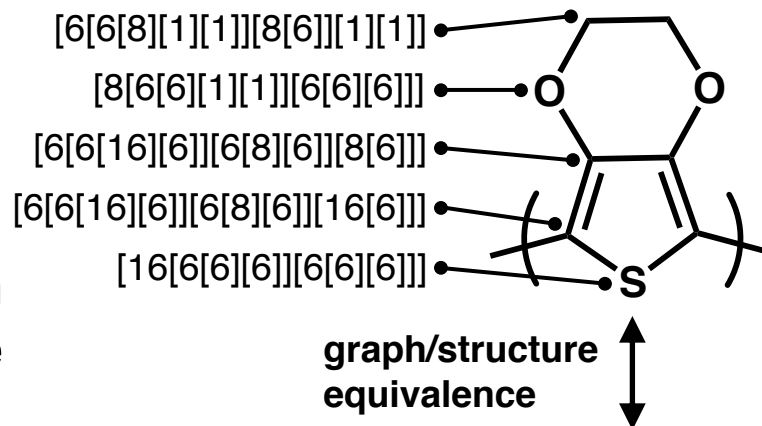
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**P2SAC  
Publications**

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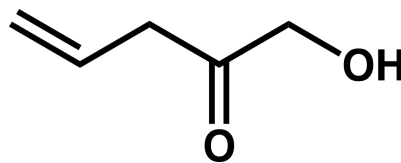
Topology Automated  
Force Field Interactions



S	0	1	0	0	1	0	0	0	0	0	0	0	0
C	1	0	1	0	0	0	0	0	0	0	0	0	0
C	0	1	0	1	0	1	0	0	0	0	0	0	0
C	0	0	1	0	1	0	0	0	0	0	1	0	0
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C	0	0	0	0	0	0	1	0	0	0	1	1	1
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H	0	0	0	0	0	0	1	0	0	0	0	0	0
O	0	0	0	1	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0
H	0	0	0	0	0	0	0	1	0	0	0	0	0

Adjacency matrix for PEDOT monomer

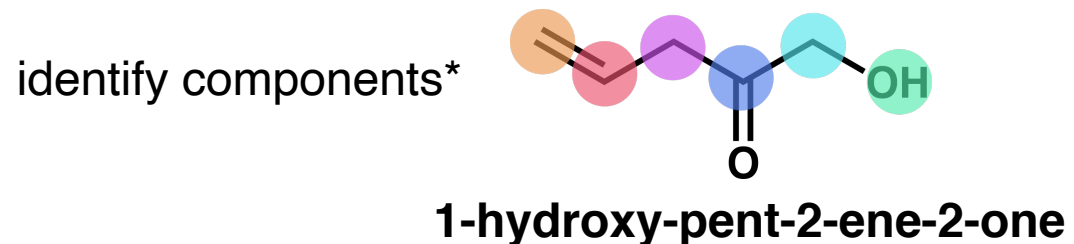
# Graphical Decomposition of Model Compounds



1-hydroxy-pent-2-ene-2-one

**How will we select  
molecules for  
parameterizing TCIT  
components?**

# Graphical Decomposition of Model Compounds

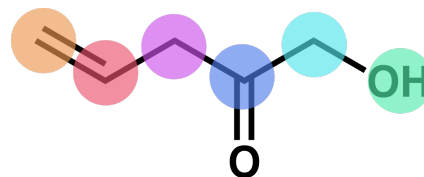


**How will we select  
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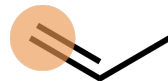
# Graphical Decomposition of Model Compounds

identify components\*



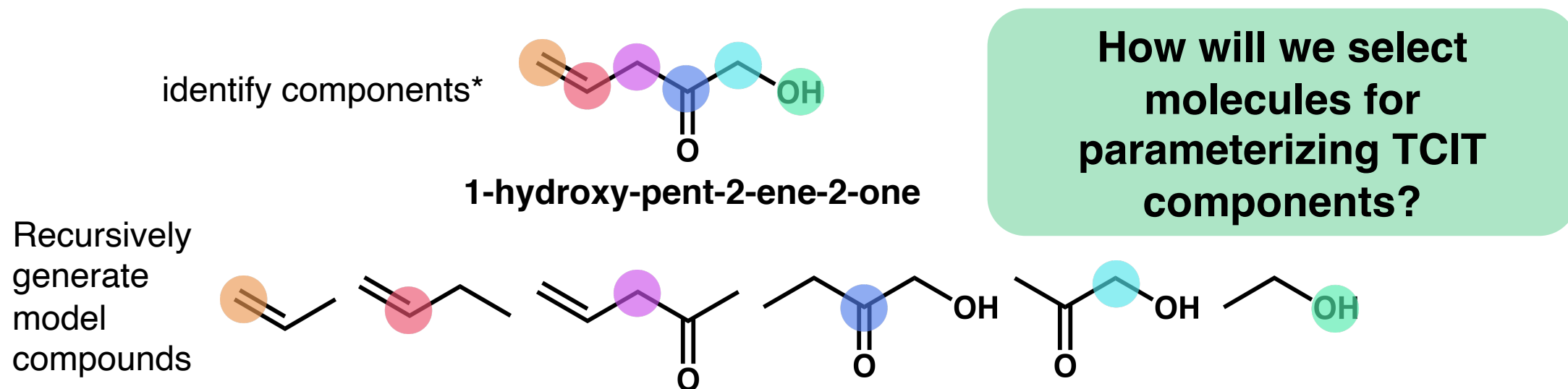
1-hydroxy-pent-2-ene-2-one

Recursively  
generate  
model  
compounds

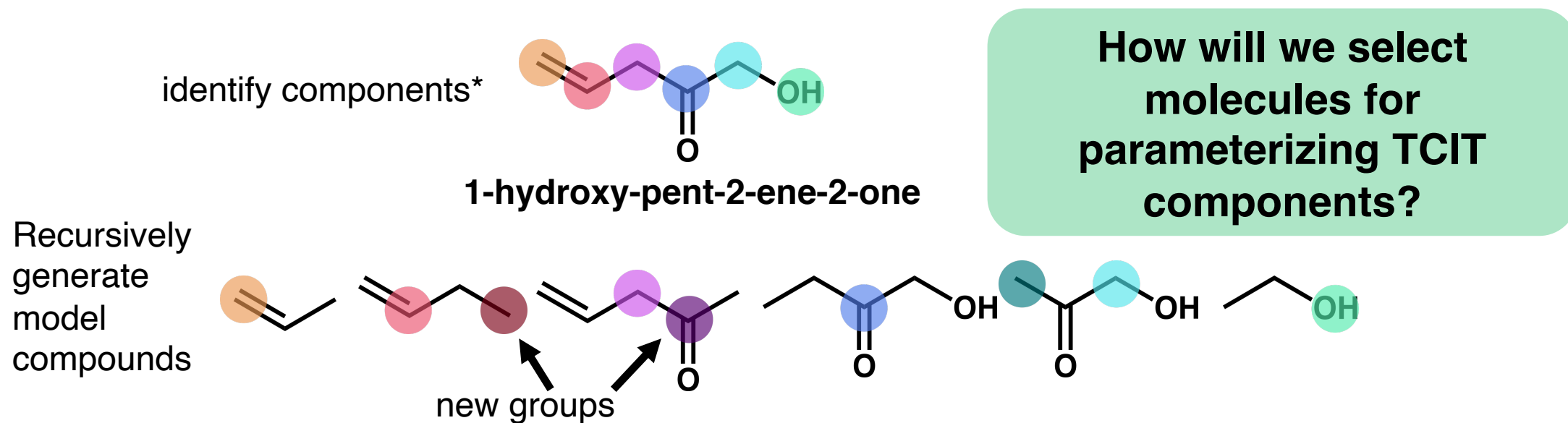


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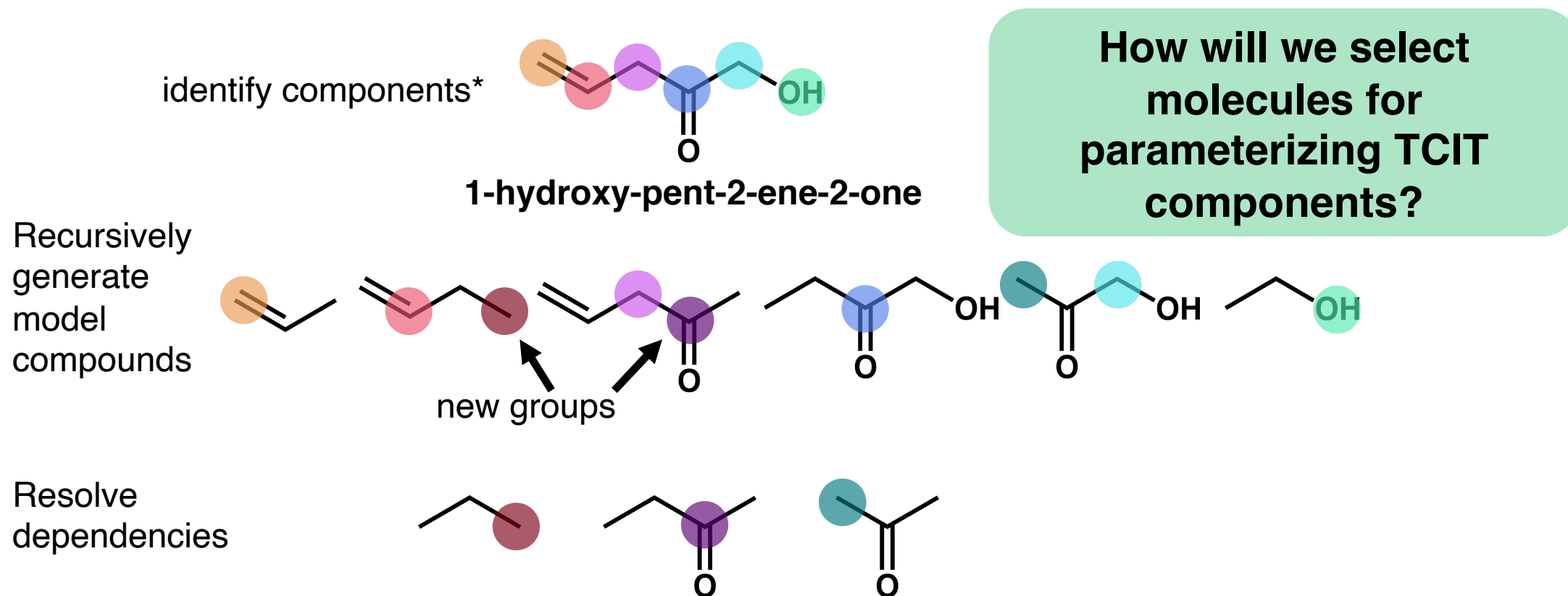
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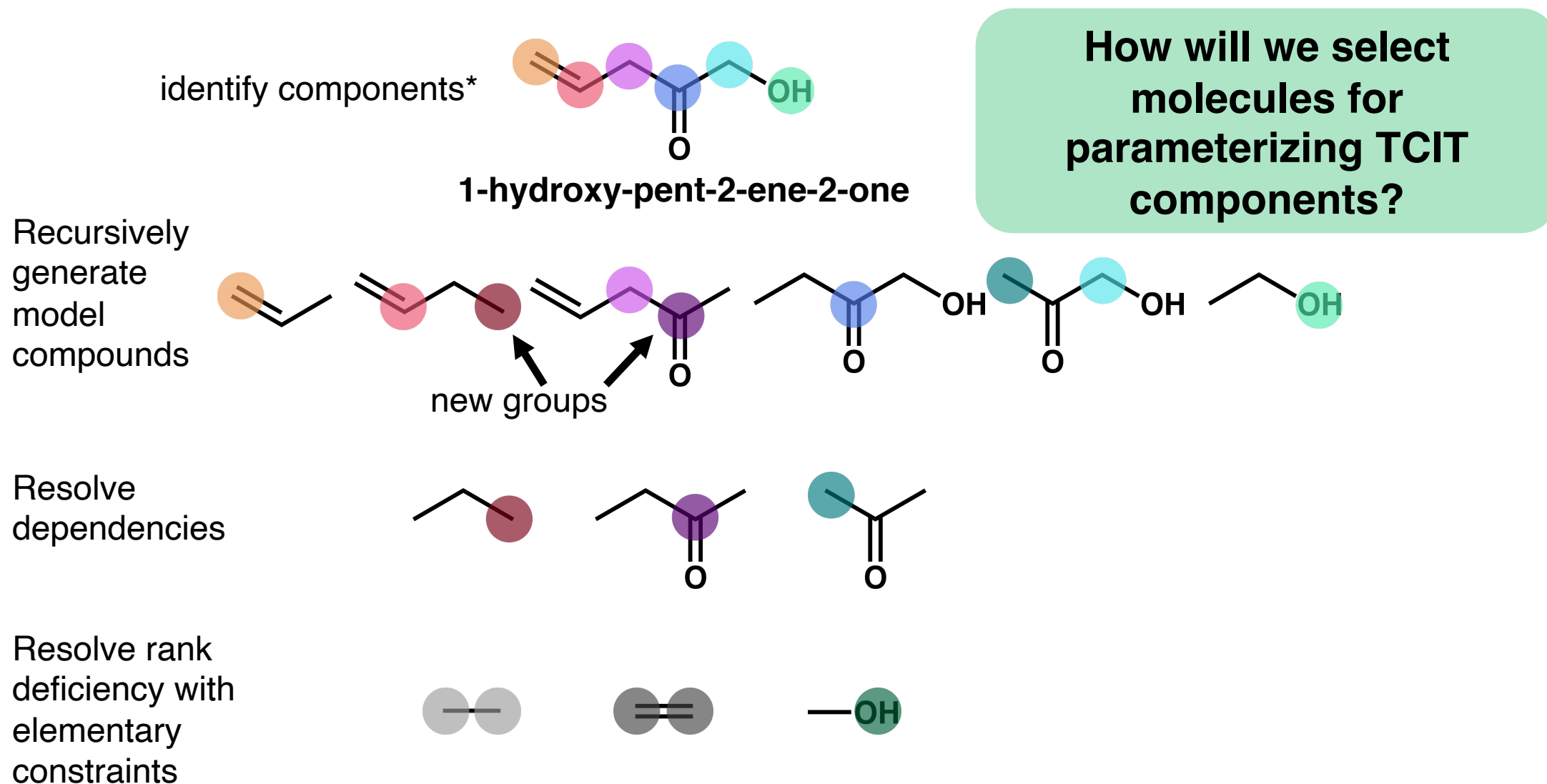
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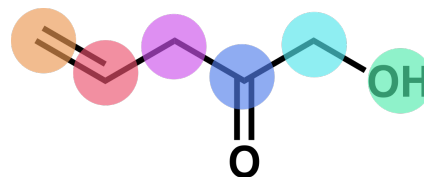


# Graphical Decomposition of Model Compounds



# Graphical Decomposition of Model Compounds

Prediction target:



1-hydroxy-pent-2-ene-2-one

$$\Delta H_{f,G4} = -259.9 \text{ kJ/mol}$$

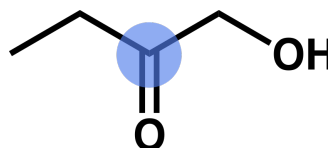
$$\Delta H_{f,TCIT} = -259.3 \text{ kJ/mol}$$

no experimental data

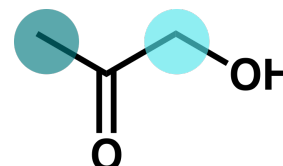
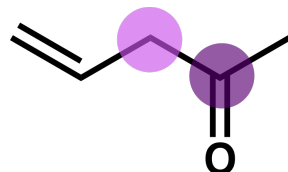
Topologically  
sort  
dependency  
graph

(Automatically  
handled by  
TCIT software)

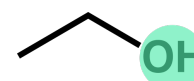
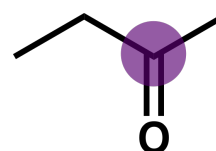
Gen 4:



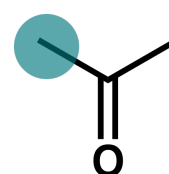
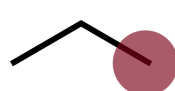
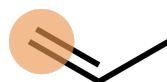
Gen 3:



Gen 2:



Gen 1:



Gen 0:



Model compounds  
are small enough to  
perform the highest  
quality quantum  
chemistry  
calculations (G4  
throughout)

# Graphical Decomposition of Model Compounds

## **Have we solved the specificity problem?**

All components are unique out to a graph depth of two,  
no exceptions.

## **Have we solved the provenance problem?**

All  $\Delta H_f$  data is calculated at the G4 composite level,  
no exceptions.

## **Have we solved the extensibility problem?**

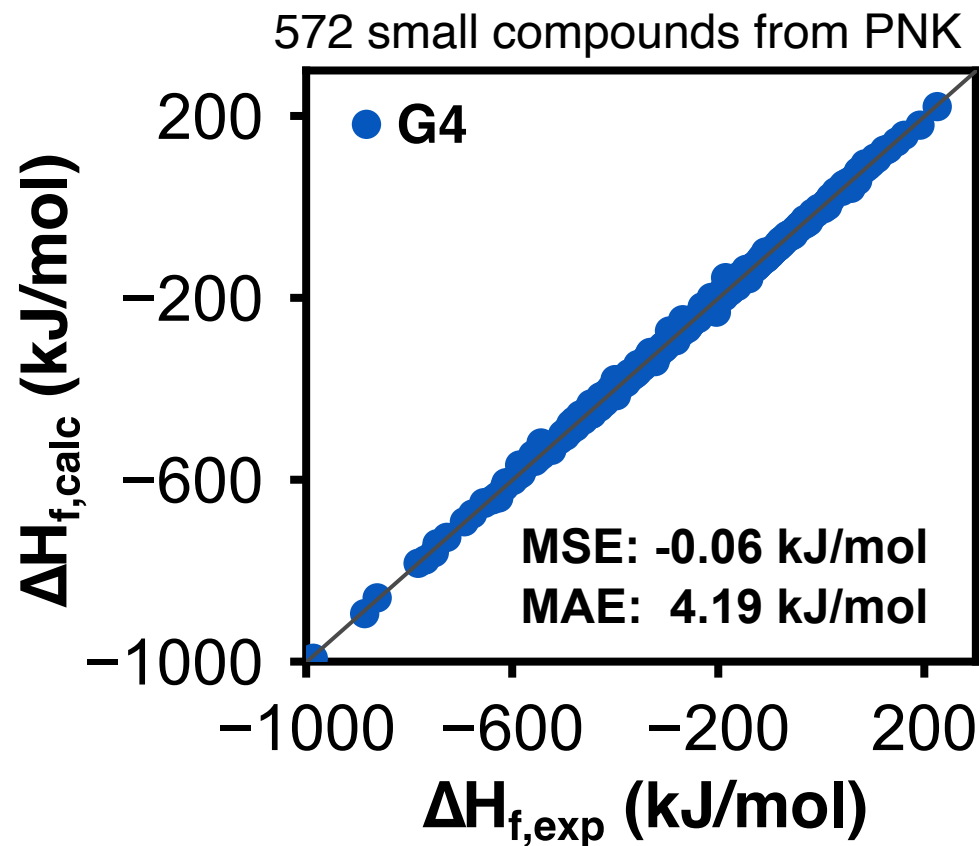
Model compounds exist for all conceivable components,  
no exceptions.

# Benchmarking $\Delta H_{f,gas}$ Predictions Against the PNK Dataset

- Initial benchmarking set consists of ~1100 **linear** C,H, and O containing compounds from PNK<sup>1</sup>

(1) J. B. Pedley, R. D. Naylor, S. P. Kirby "Thermochemical Data of Organic Compounds" 2<sup>nd</sup> ed. 1986

- PNK is a core dataset for fitting Benson groups
- ~600 PNK compounds are small enough for G4 calculations and comparison with experiment.



Zhao, Q.; Savoie, B. M.; Enthalpy of Formation Prediction via a Fully Self-Consistent Component Increment Theory. *J. Chem. Info. Model.* **2020**, 60, 2199-2207

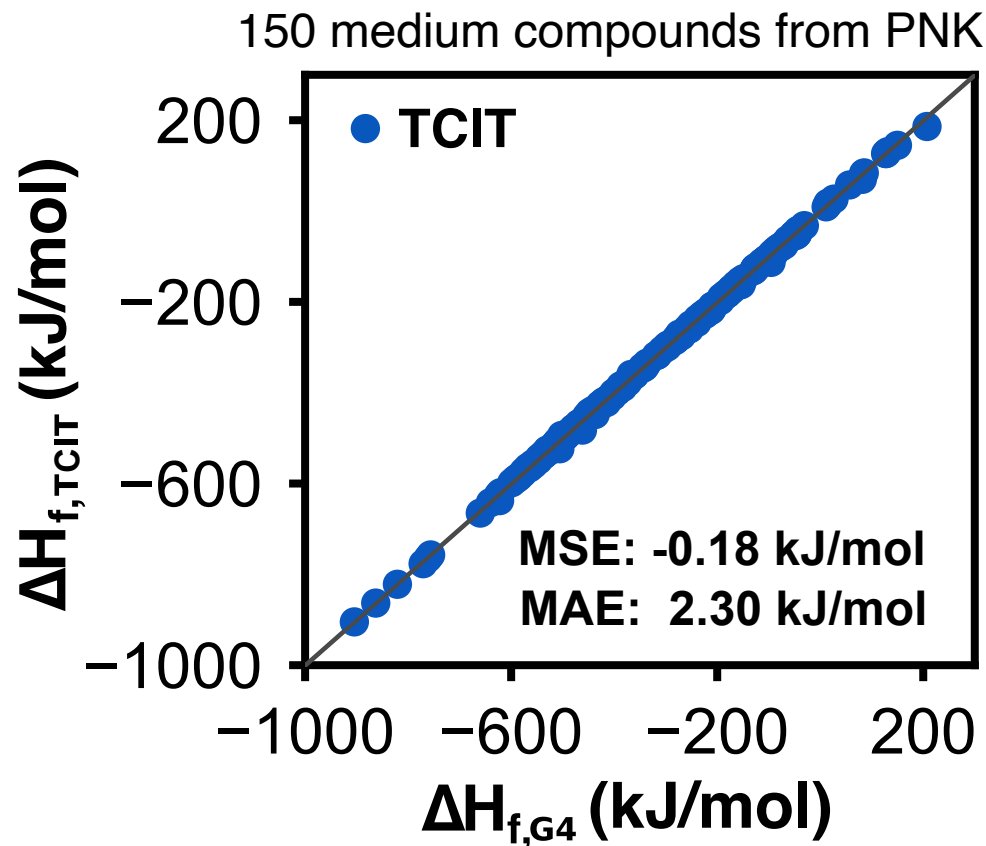


# Benchmarking $\Delta H_{f,gas}$ Predictions Against the PNK Dataset

- Initial benchmarking set consists of ~1100 **linear** C,H, and O containing compounds from PNK<sup>1</sup>

(1) J. B. Pedley, R. D. Naylor, S. P. Kirby "Thermochemical Data of Organic Compounds" 2<sup>nd</sup> ed. 1986

- PNK is a core dataset for fitting Benson groups
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- ~150 PNK compounds are large enough for direct G4 calculation and comparison with TCIT.



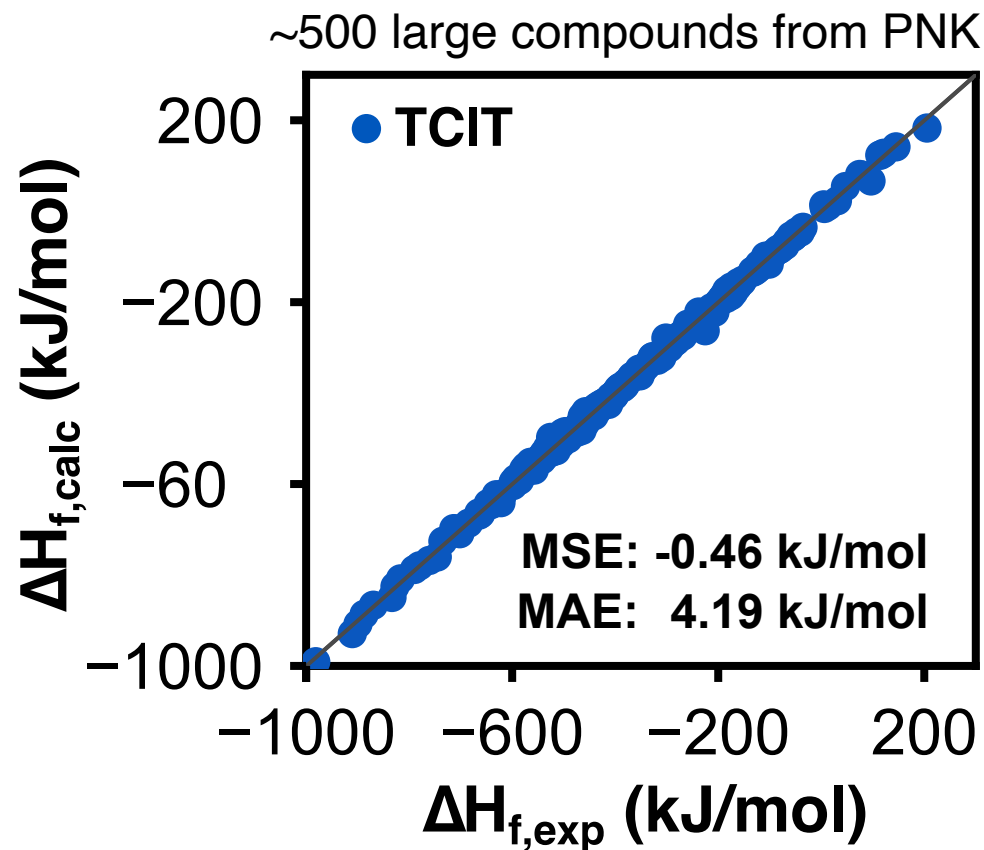
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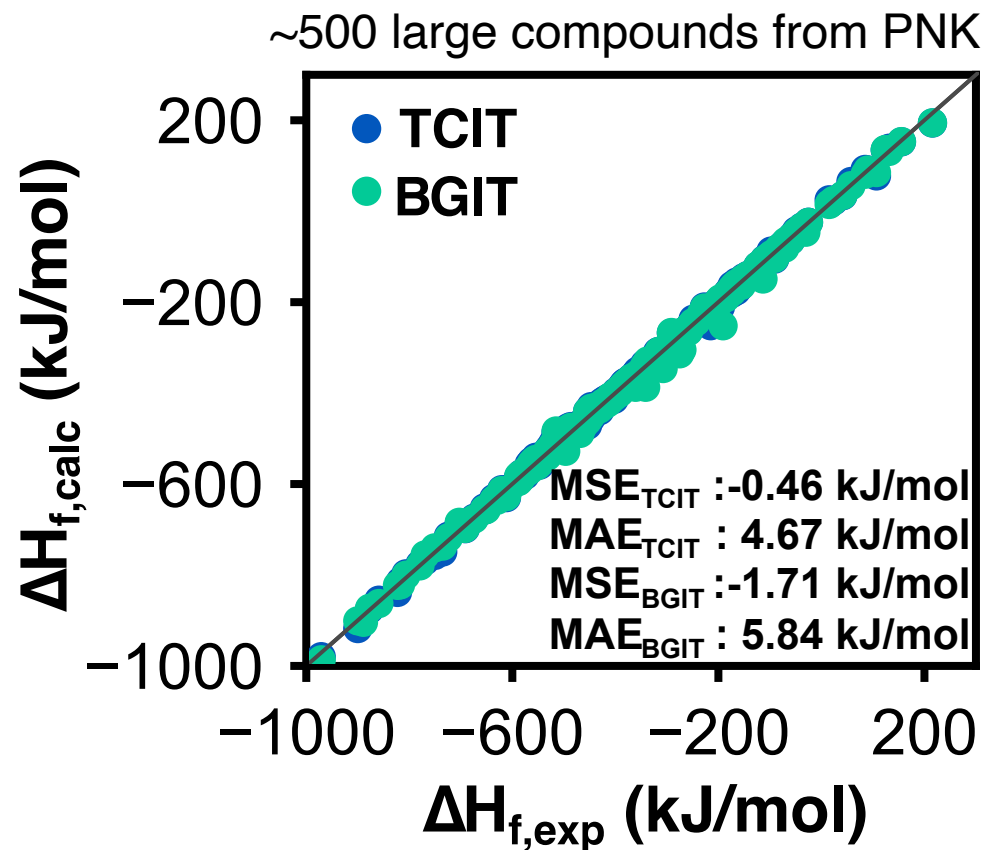
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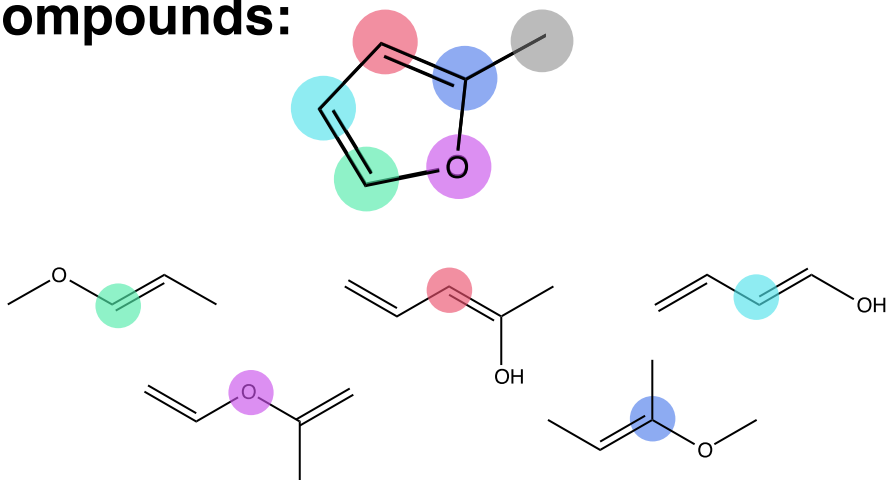
Zhao, Q.; Savoie, B. M.; Enthalpy of Formation Prediction via a Fully Self-Consistent Component Increment Theory. *J. Chem. Info. Model.* **2020**, 60, 2199-2207

**TCIT shows comparable performance to BGIT/CHETAH but is derived exclusively from extensible G4 data.**

# Extension to Ring-Containing Molecules

- Ring-containing molecules have additional strain and/or conjugation corrections that exacerbate the extensibility issues of Benson Theory.
- In TCIT we are addressing this through chemically specific ring corrections that account for differences in substitution pattern and topology:

## 1. Decompose ring into acyclic model compounds:

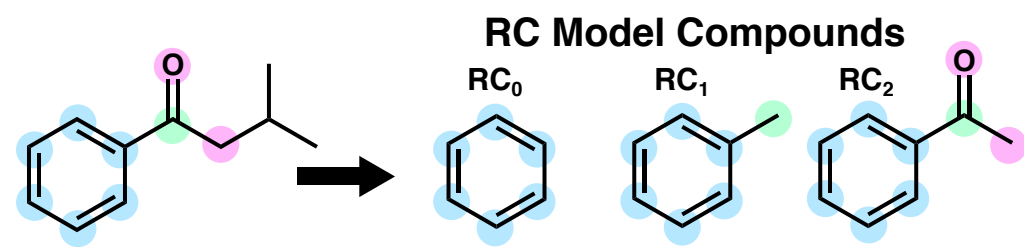


## 2. Add ring correction (RC) to final prediction:

$$RC = H_f(\text{ring}) - H_f(\text{red}) - H_f(\text{cyan}) - H_f(\text{green}) - H_f(\text{purple}) - H_f(\text{blue}) - H_f(\text{grey})$$

**Technical Developments**

**RC Model Compounds**



○ : Depth 0    ● : Depth 1    ● : Depth 2

**Method 1:** Use RC<sub>1</sub> based model parameterized to G4 data.

**Method 2:** Use graph-NN to predict RC<sub>0</sub>-RC<sub>2</sub>

# Benchmarking Ring-Correction Performance

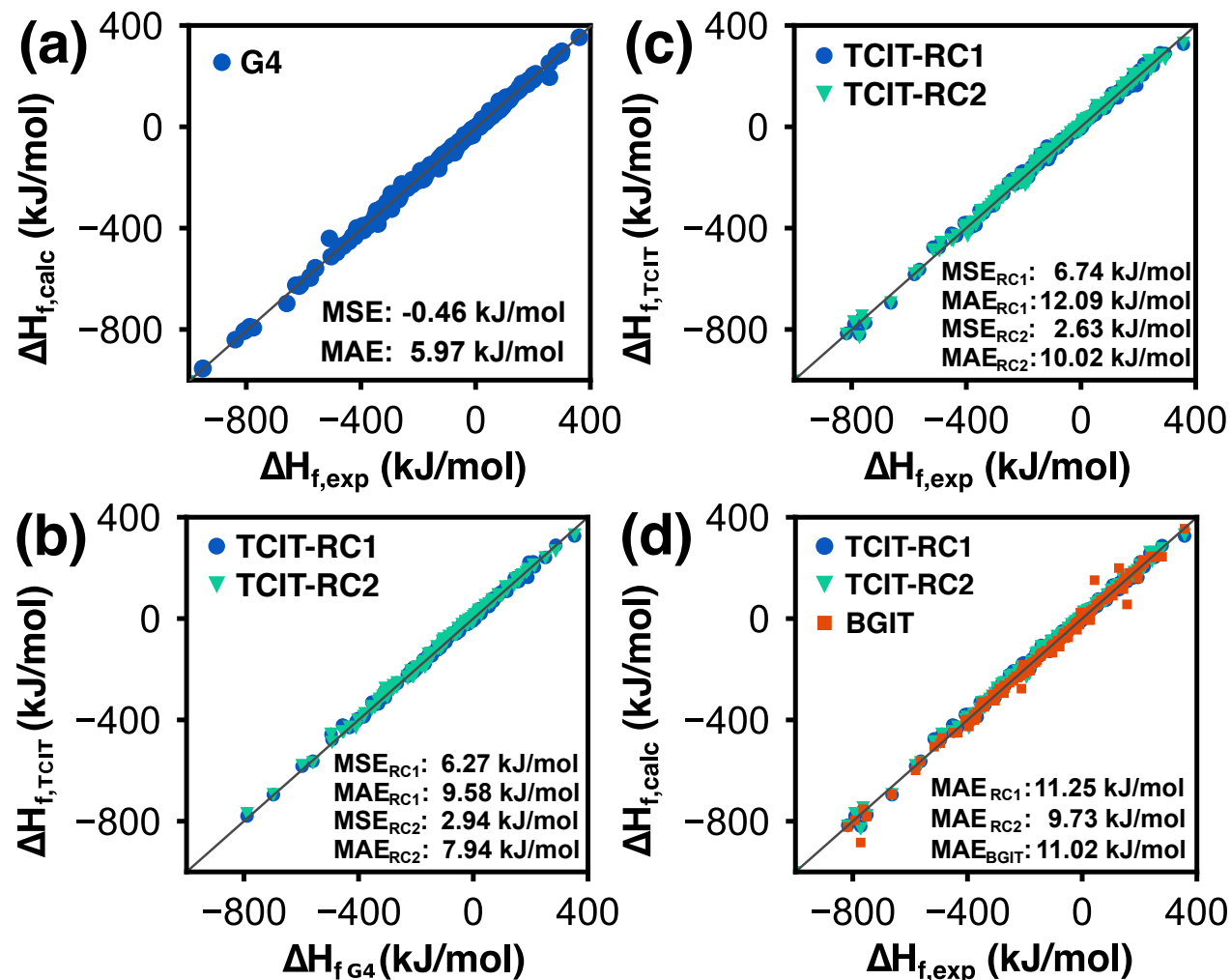
**(a)** G4 errors are marginally larger for ring-containing compounds but still very accurate

**(b)** The neural-network based ring-correction exhibits excellent reproduction of the G4 predictions (MSE:  $\sim 3$  kJ/mol; MAE:  $\sim 8$  kJ/mol).

**(c)** TCIT is completely transferable to new testing compounds that are experimentally characterized. Errors are consistent with G4 comparison

**(d)** The TCIT-R2 model outperforms BGIT on the large molecule benchmark while being extensible. Significantly, these compounds are within BGIT's training data.

$\sim 120$  ring-containing compounds from PNK (excluding training)



**BGIT cannot make predictions for  $\sim 2\%$  of PNK compounds**

# TCIT Extension to Other Properties and Phases

**Condensed Phases:** The condensed-phase and gas-phase standard enthalpies of formation differ by the heats of sublimation and vaporization<sup>[1]</sup>:

$$\Delta_f H_{(s)}^\circ = \Delta_f H_{(g)}^\circ - \Delta_{\text{sub}} H^\circ$$

$$\Delta_f H_{(\ell)}^\circ = \Delta_f H_{(g)}^\circ - \Delta_{\text{vap}} H^\circ$$

We have implemented group contribution models for heat of vaporization<sup>[2]</sup> and sublimation<sup>[3]</sup>, respectively. The group assignments and group values associated with these models have been automated within the context of TCIT.

**Standard Molar Entropy ( $S^\circ$ ) and heat capacity ( $C_v$ ):** The molar entropies and constant volume heat capacities are accessible from quantum chemistry using the harmonic oscillator approximation for the molecular partition function and corrections based on the number of rotatable bonds ( $N_{\text{rot}}$ ) and molecular symmetry:

$$S^\circ = \langle S_{\text{harm}}^\circ \rangle + RN_{\text{rot}} + R \log \sigma \quad C_v = \langle C_{v,\text{harm}} \rangle + \alpha N_{\text{rot}} + \beta$$

[1] Murray, J.S., Brinck, T. and Politzer, P., **1996**. *Chemical physics*, 204, 289-299.

[2] Pankow, J.F. and Asher, W.E., **2008**. *Atmospheric Chemistry and Physics*.

[3] Bagheri, M.; Gandomi, A. H.; Golbraikh, A. **2012**, *Thermochim. Acta*, 543, 96–106

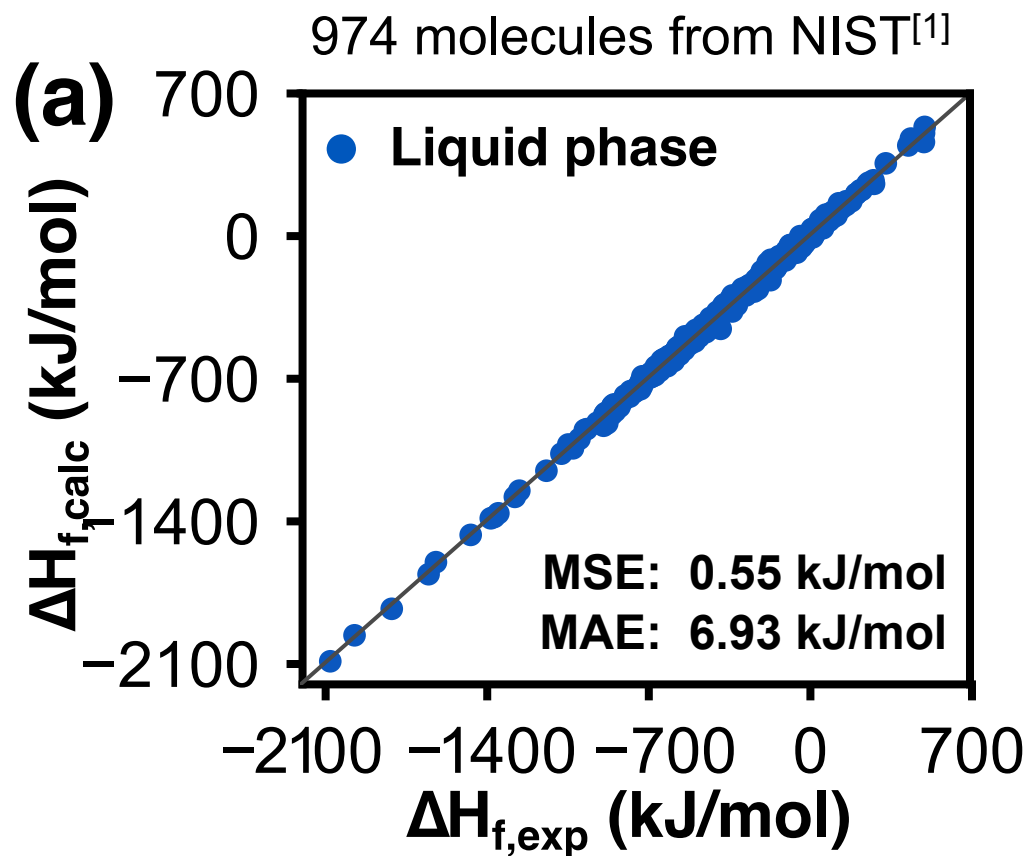
<.> Indicates conformational averaging

R: ideal gas constant

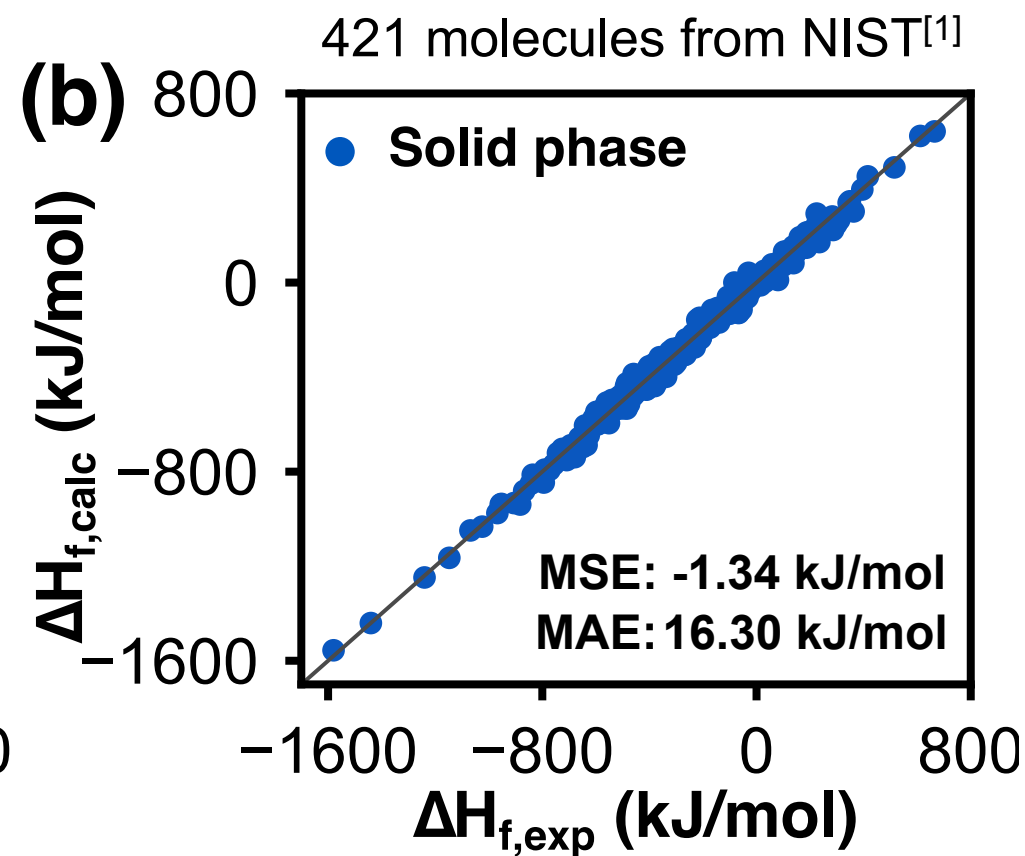
$\sigma$ : symmetry number

$\alpha$ ,  $\beta$ : regressed constants

# Benchmarking Condensed Phase $\Delta H_f$ Predictions



- Testing set includes both linear and cyclic compounds with number of heavy atoms varying from 1 to 30.



- Low MSE indicates no systematic bias, larger absolute errors result from the quality of the  $\Delta H_{vap}$  and  $\Delta H_{sub}$  models.

# Benchmarking TCIT $S^\circ$ and $C_v$ Predictions

(a) G4/TCIT  $S^\circ$  comparison for 314 medium sized molecules.

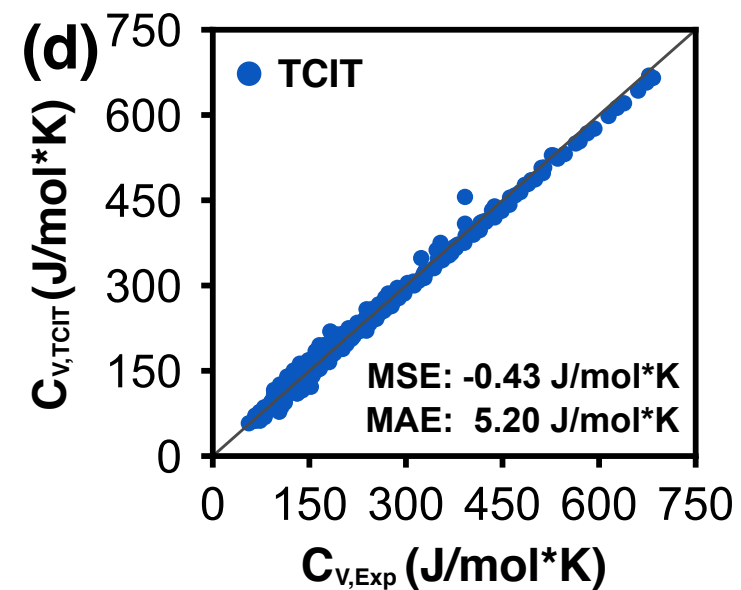
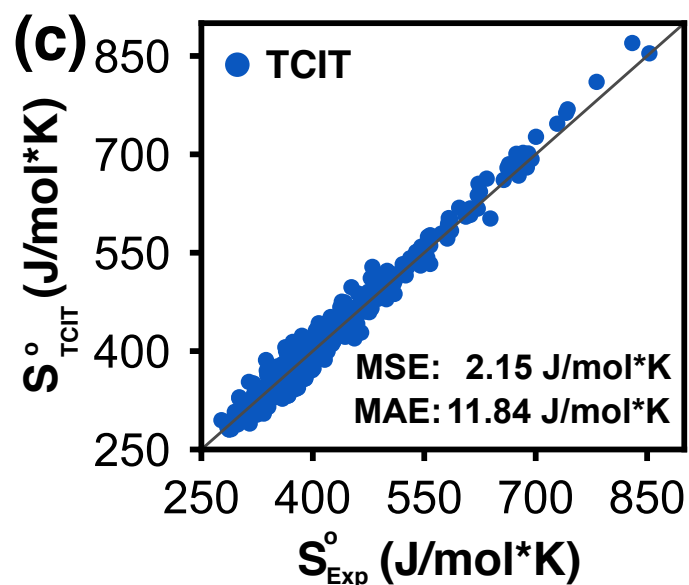
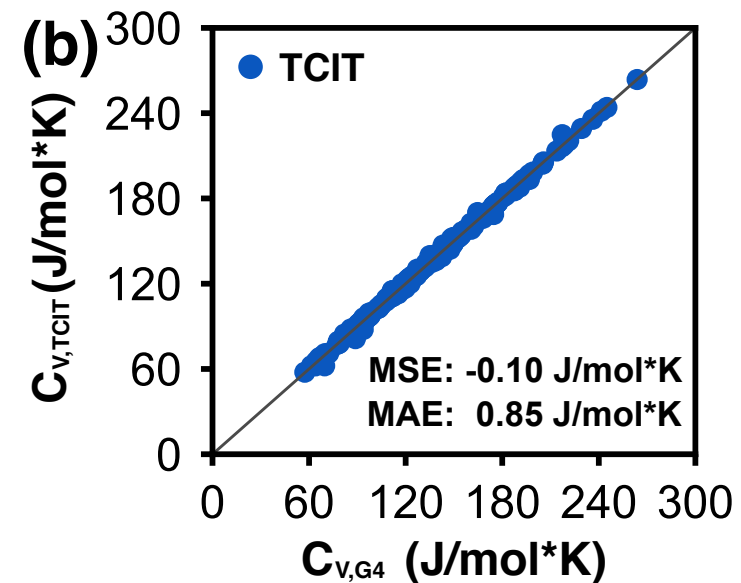
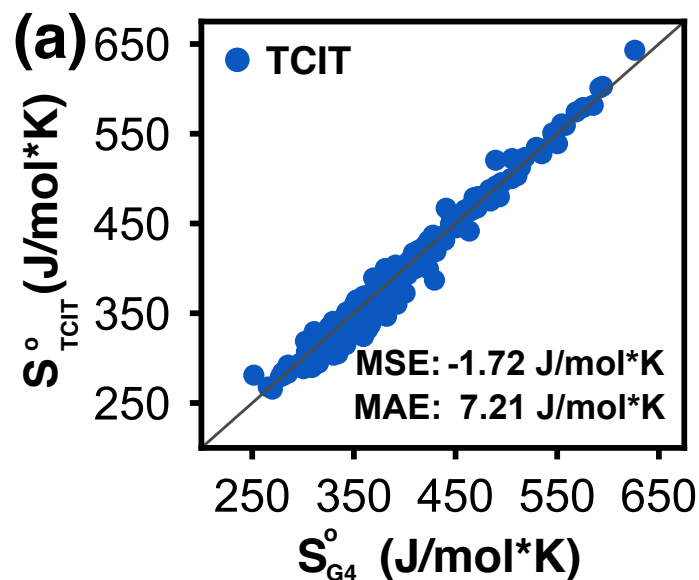
(b) G4/TCIT  $S^\circ$  comparison for 314 medium sized molecules.

(c) TCIT  $S^\circ$  comparison for 439 large molecules from NIST<sup>[1]</sup>

(d) TCIT heat capacity comparison for 904 large molecules from NIST<sup>[1]</sup>

- The TCIT errors are consistent with error propagation of G4:exp and TCIT:G4 errors.

**TCIT now supports  $S^\circ$  and  $C_v$  predictions with accuracies comparable to G4 model chemistry.**

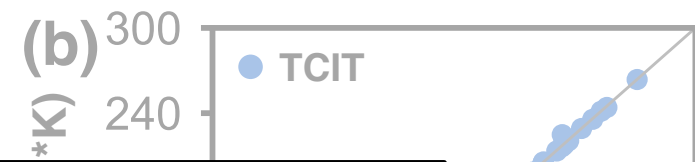
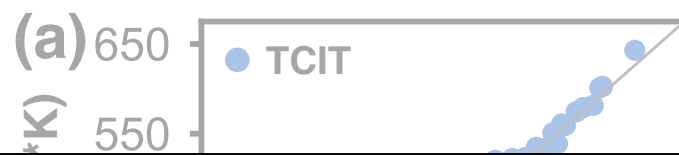


[1] Linstrom, P.J. and Mallard, W.G., 2001. *Journal of Chemical & Engineering Data*, 46(5), pp.1059-1063.



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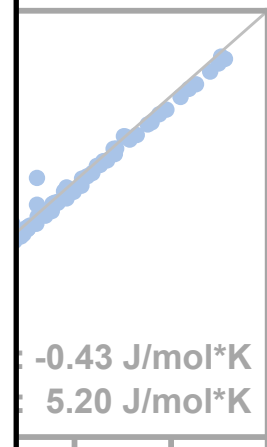
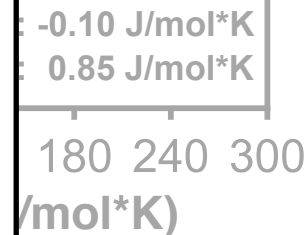
(c) TCIT  $S^\circ$  comparison for 314 medium sized molecules.

(d) TCIT  $S^\circ$  comparison for 904 large molecules.

• The TCIT error for  $S^\circ$  is comparable to G4 model chemistry.

P2SAC funding over the past 2.5 years has allowed us to establish a component increment theory that addresses the major gaps in Benson group theory.

**All of the promised functionality from that original proposal has now been delivered.**



TCIT predictions with accuracies comparable to G4 model chemistry.

$S^\circ_{\text{Exp}}$  (J/mol\*K)

$C_{v,\text{Exp}}$  (J/mol\*K)

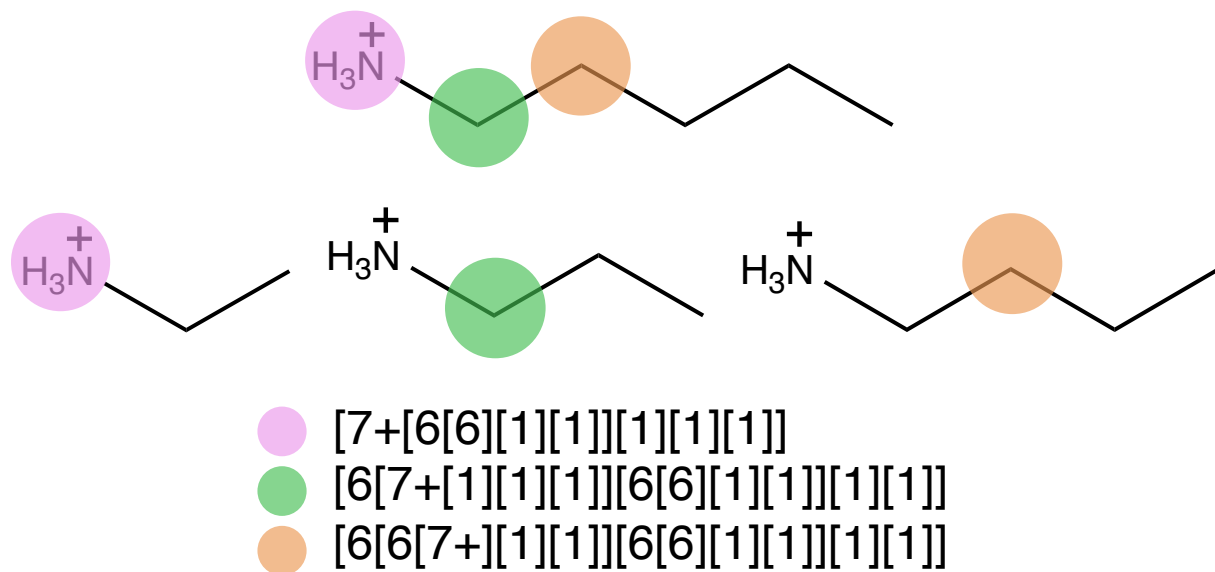
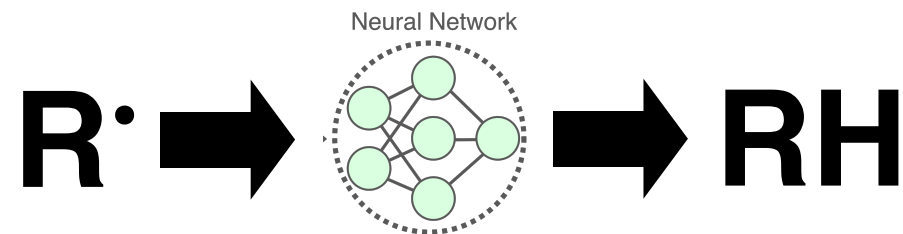
# Extending TCIT to Radicals and Ions

Ray Mentzer, Katherine Young, and the Pharma P2SAC Subgroup have spent the semester trying to break TCIT against a range of new functional groups and reactions.

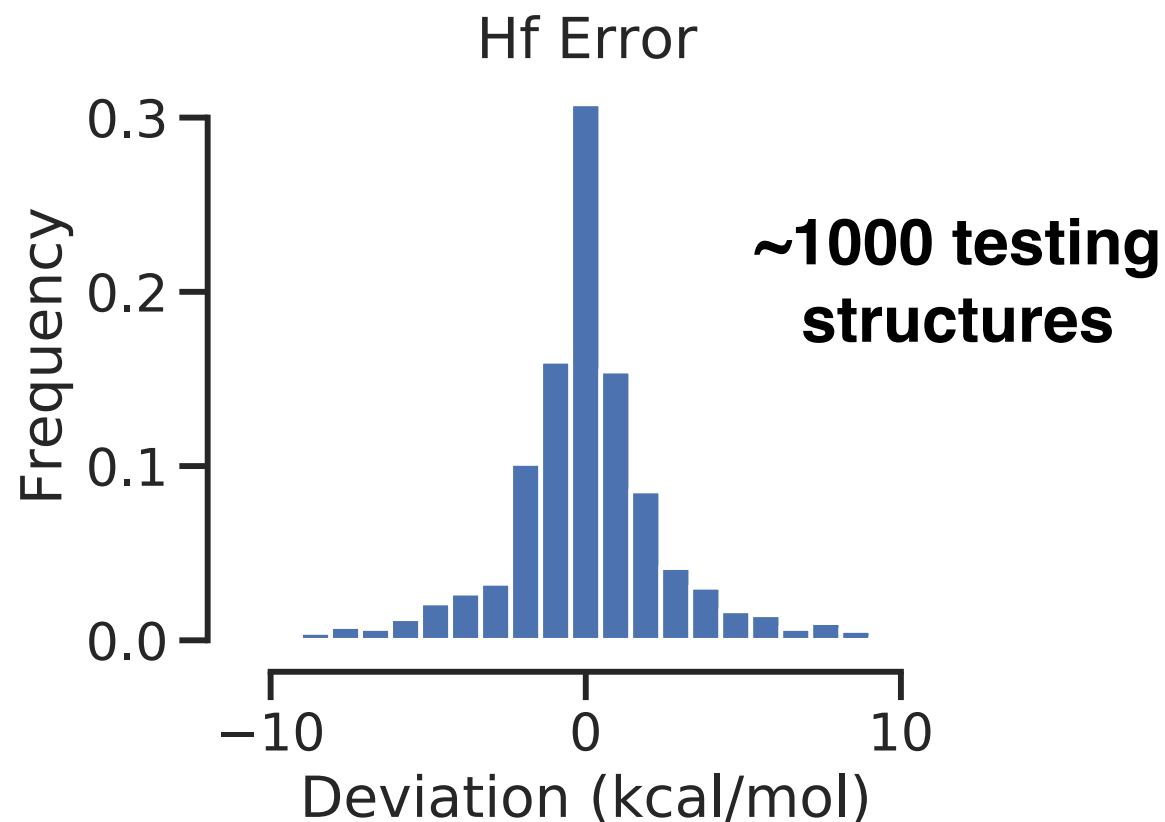
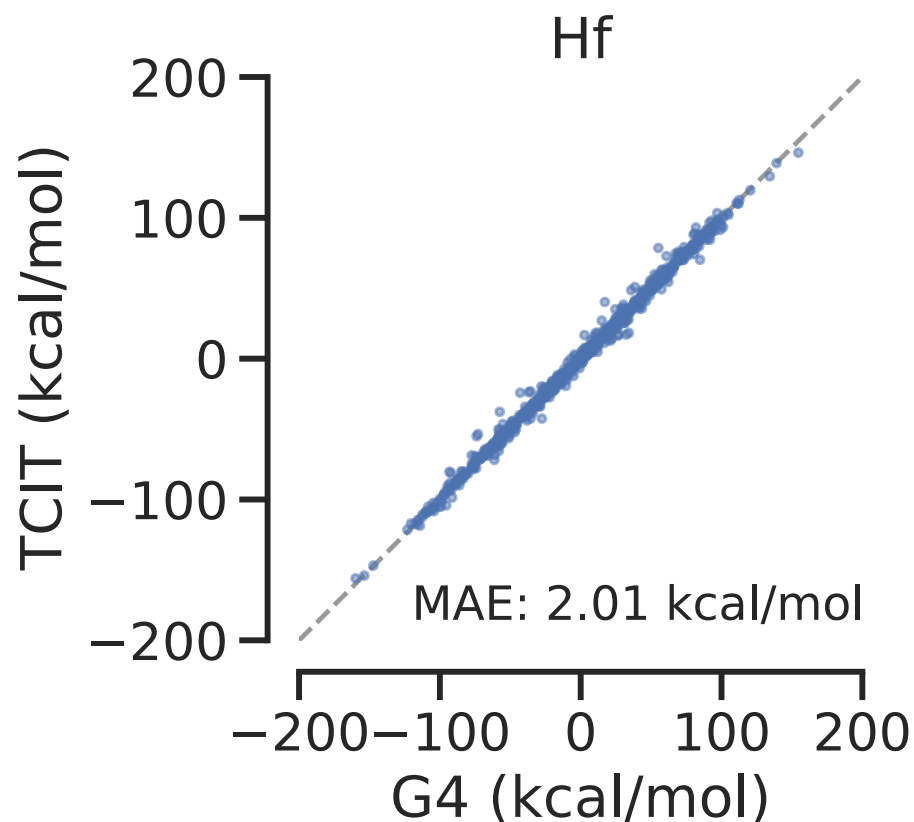
**A recurring question is when will TCIT support predictions on radicals and ions?**

**For radicals** we've tested adding a hydrogen-bond increment for a closed-shell analog that TCIT can already predict.

**For ions** we've implemented component typing and model compound generation that respects formal charges. Thus, predictions will proceed as for neutral species.

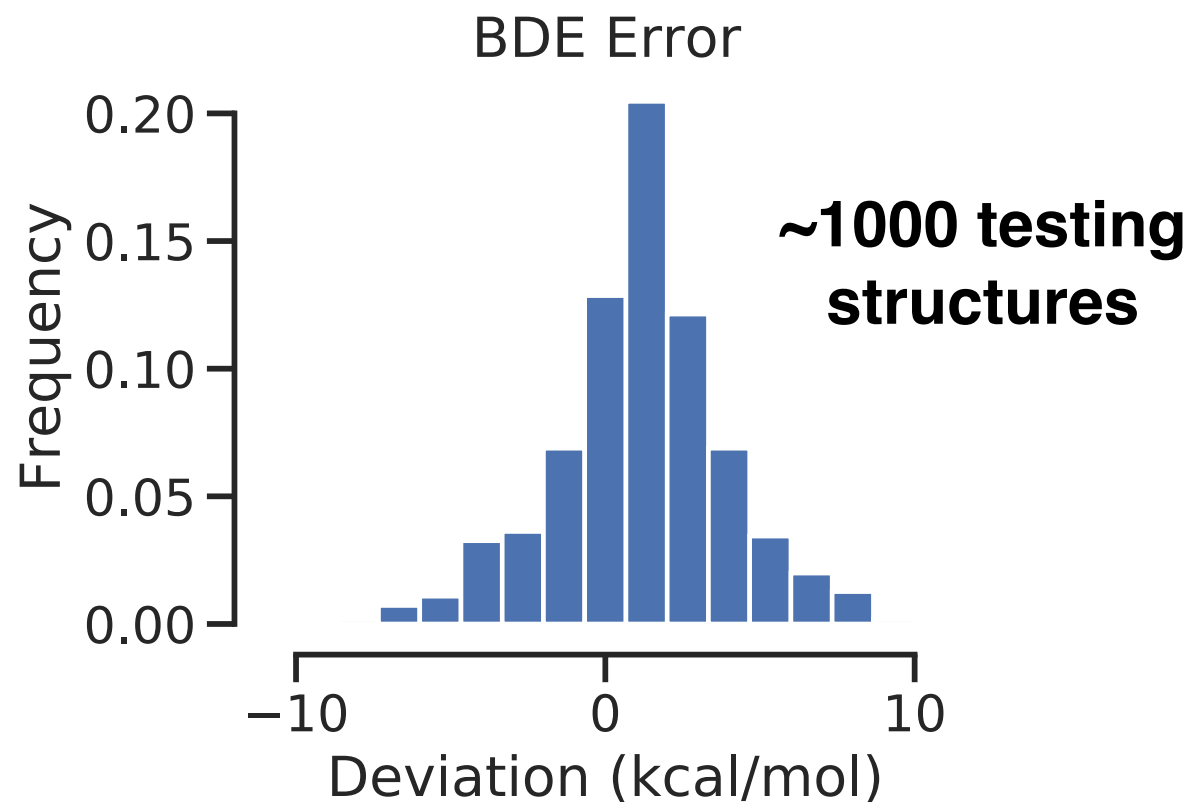
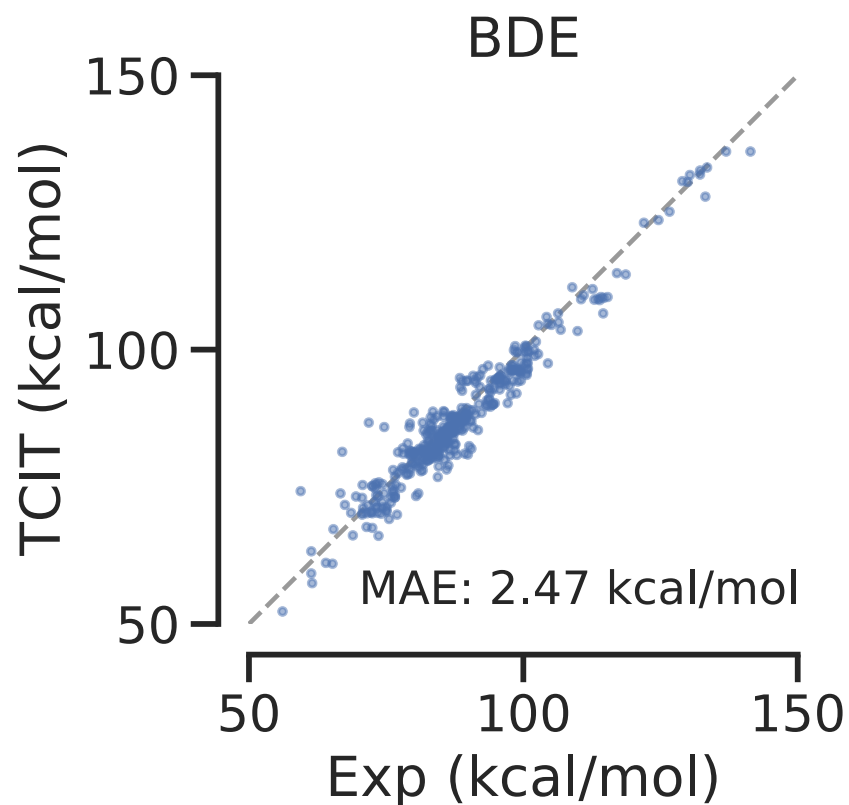


# Preliminary TCIT Radical Benchmarks ( $\Delta H_f$ )



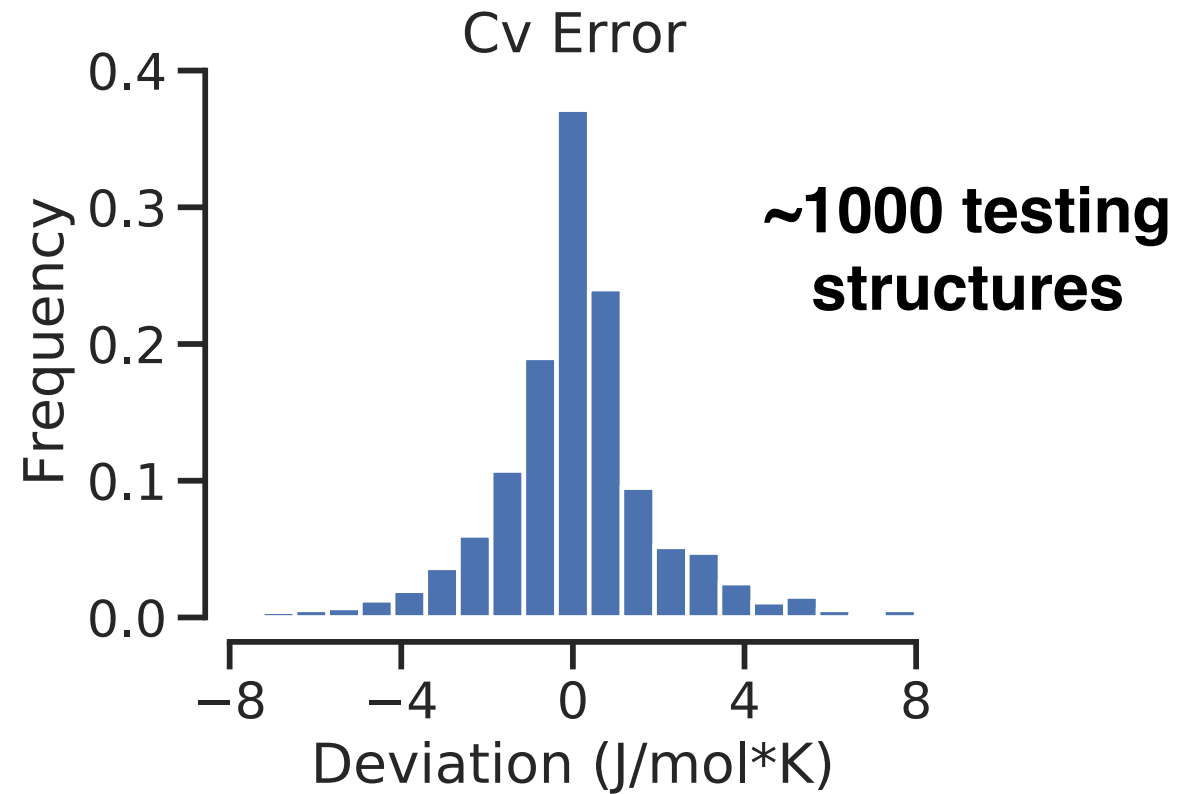
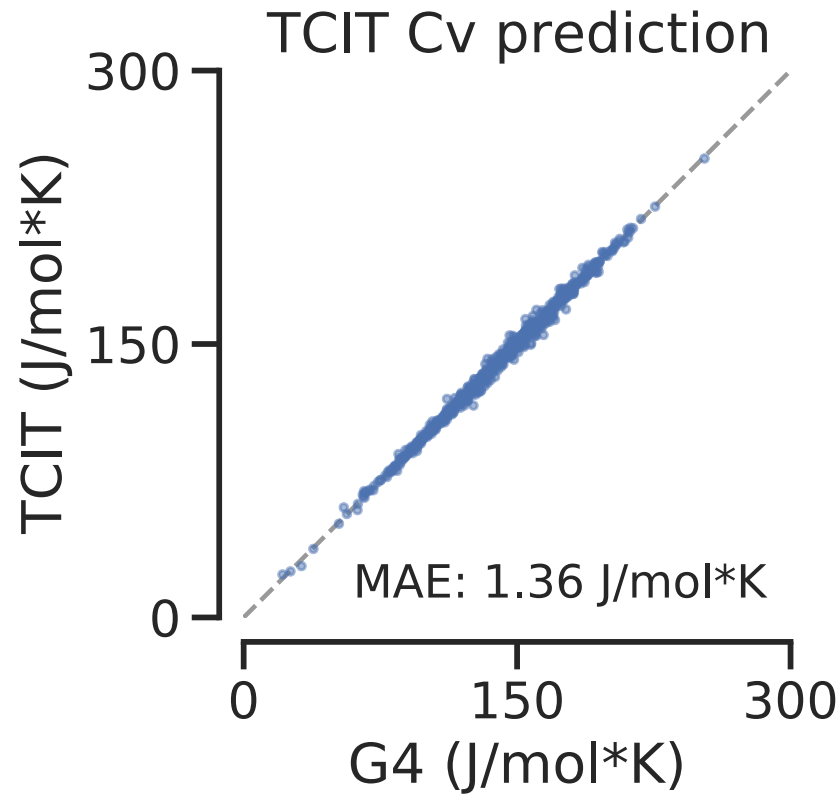
- In this case, there simply isn't much experimental data available, so most of our validations are occurring at the G4 level (some experimental comparisons are presented later).
- $\Delta H_f$  errors are consistent with previous benchmark for closed-shell species.

# Preliminary TCIT Radical Benchmarks (BDE)



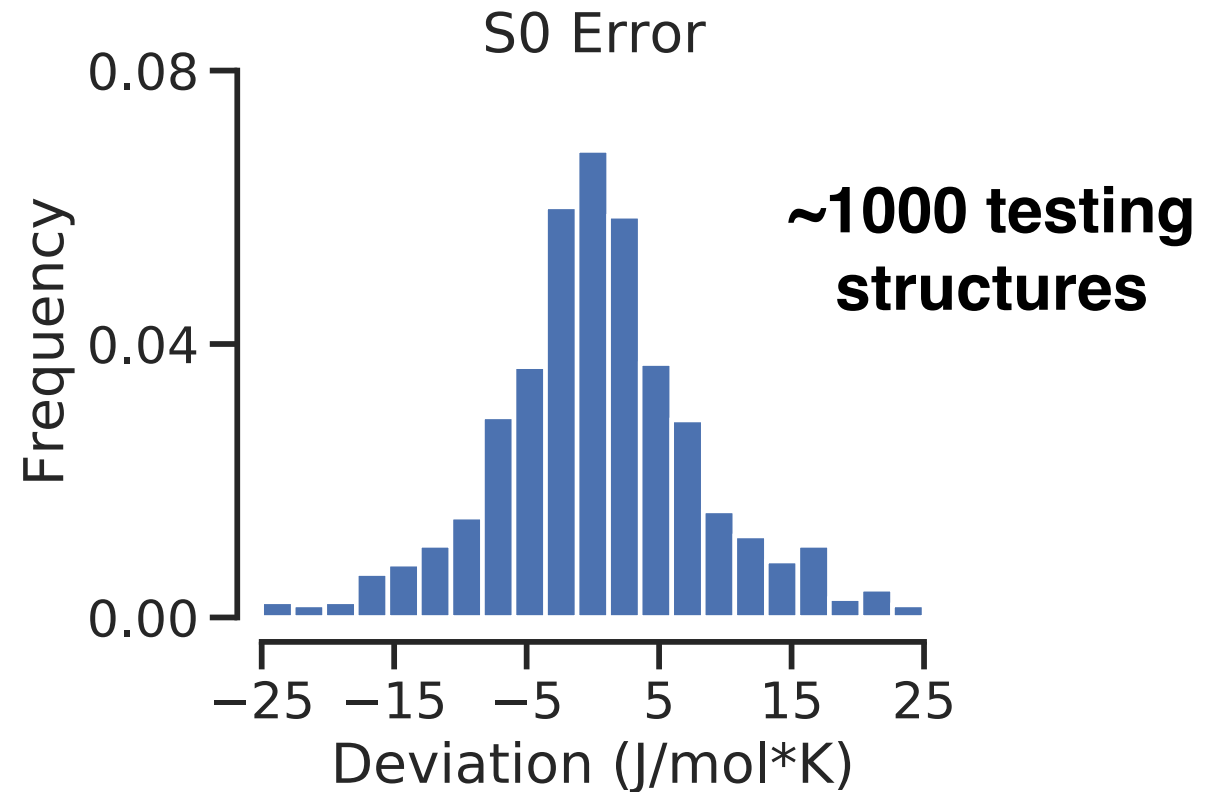
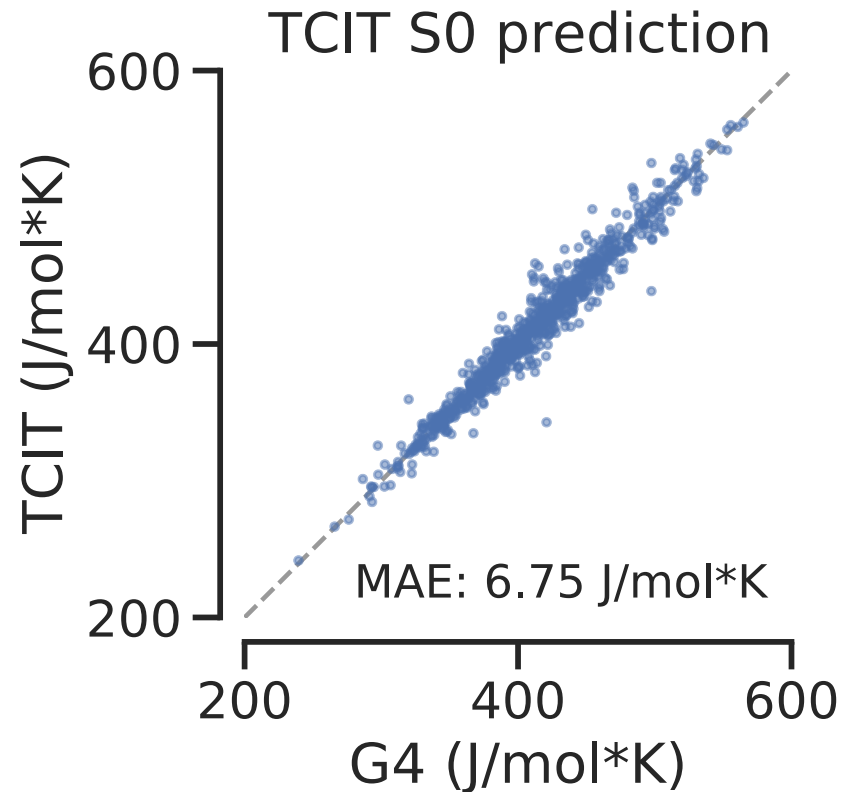
- The bond-dissociation energy (BDE) is another test that is relevant to radicals.
- Errors are consistent with error propagation (**Note:** BDE is calculated as the enthalpy difference between the neutral and two radicals generated by the scission)

# Preliminary TCIT Radical Benchmarks ( $C_v$ )



- Similar accuracy compared with closed-shell species.

# Preliminary TCIT Radical Benchmarks ( $S^{\circ}$ )

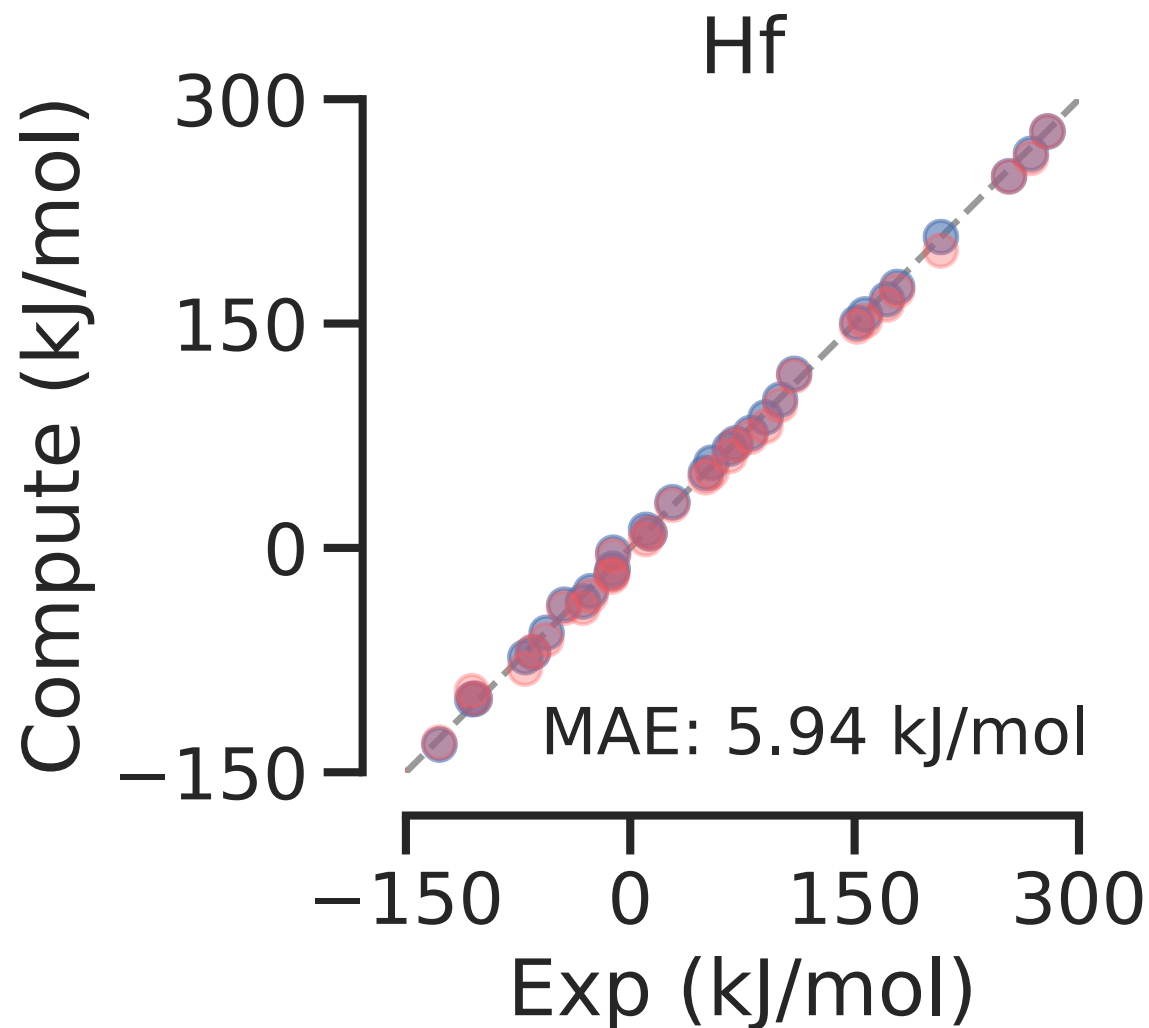


- Similar accuracy compared with closed-shell species.

# Preliminary TCIT Radical Benchmarks ( $\Delta H_f$ exp)

**~40 experimental values have been cobbled together from NIST and ATCT testing structures**

- Preliminary validation is looking promising, with similar overall performance for TCIT on radical and closed-shell species.
- This is a big victory for TCIT, since the limited experimental data for radical species makes CHETAH predictions impossible in many cases.



# The Reaction Prediction Problem

**A → B** : When we know the reactants and products, mature quantum chemistry tools exist to characterize transition states and establish pathways

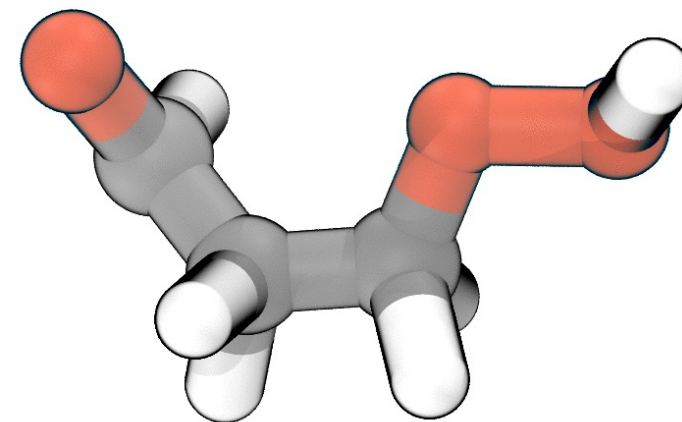
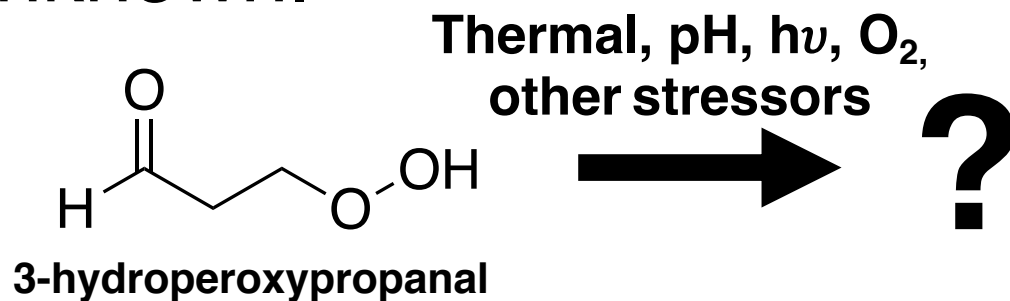
**A → ?** : For degradation reactions, plausible reactions are often unknown.



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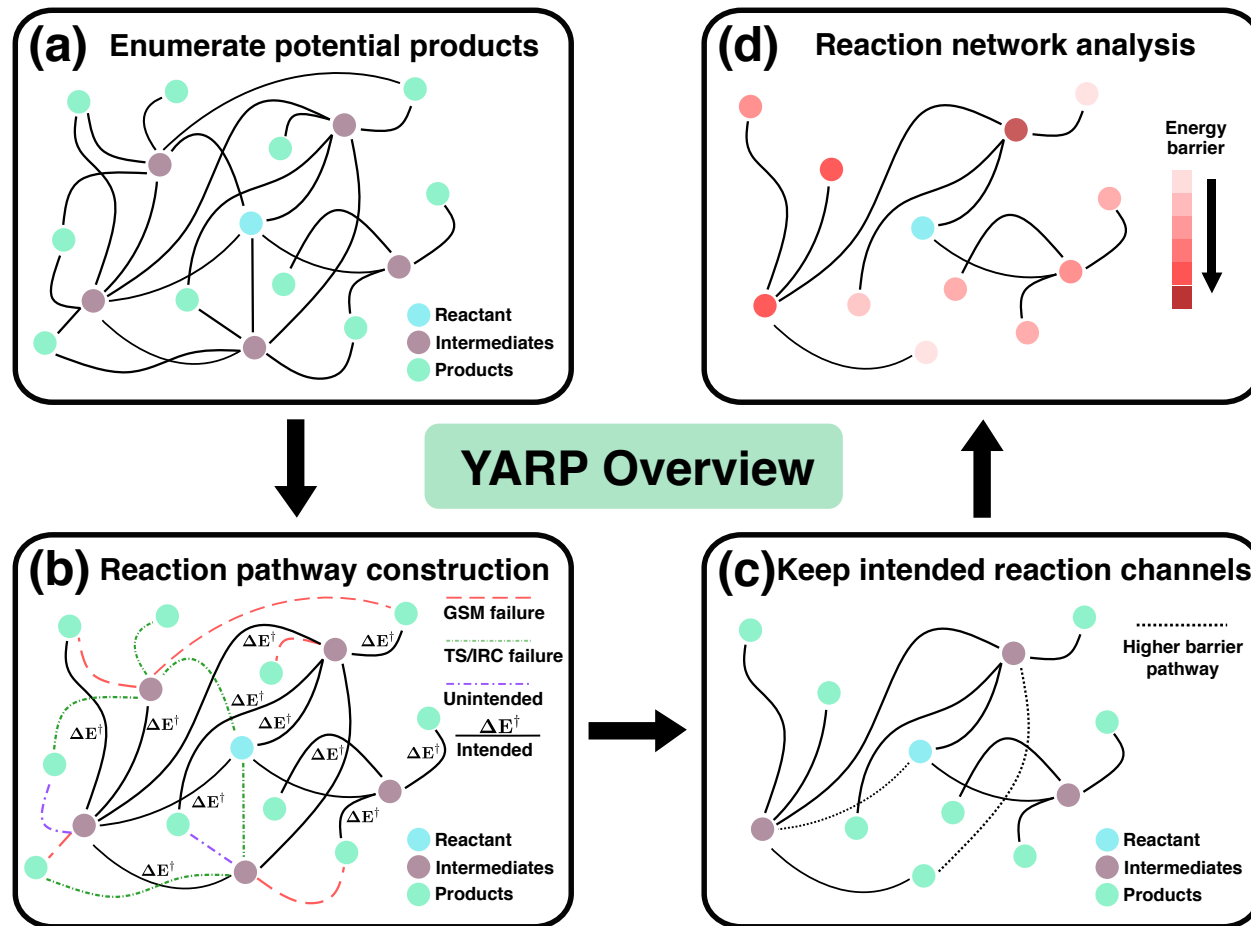


# Yet Another Reaction Program (YARP)

Idea: Turn the  $A \rightarrow ?$  problem into tractable (and parallelizable)  $A \rightarrow B$  problems.

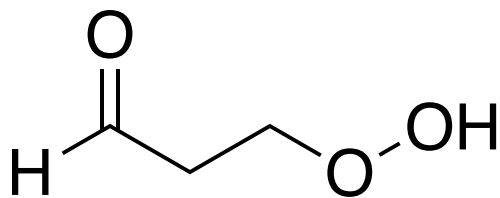
## Observations:

- **Product enumeration is easier than reaction enumeration.**
- Transition state algorithms for  $A \rightarrow B$  problems are mature. Let the TS algorithm identify physical reactions.
- Recent developments in semi-empirical quantum chemistry (and ML) can be leveraged here.



Qiyuan, Z.; Savoie, B. M. "Simultaneously Improving Reaction Coverage and Computational Cost in Automated Reaction Prediction Tasks." *Nature Computational Science* **2021**, 1, 479-490. (**P2SAC Publication!**)

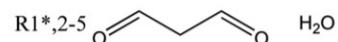
# Testing YARP on a Unimolecular Decomposition Problem



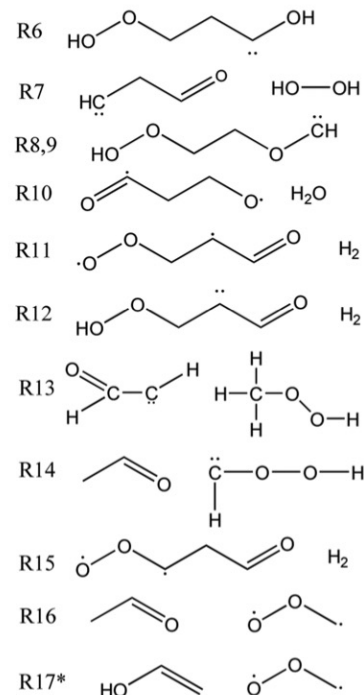
The 3-hydroperoxypropanal reaction network out to b4f4 was recently published as a benchmark for 5 reaction discovery methods.

Grambow, C. A, Suleimanov, Y. V. et al. *J. Am. Chem. Soc.* **2018**, 140 (3), 1035–1048.

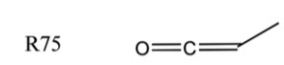
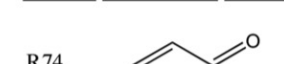
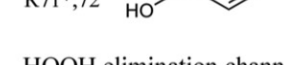
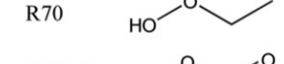
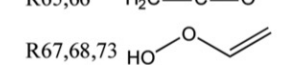
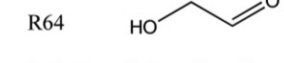
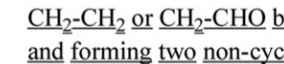
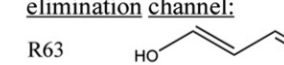
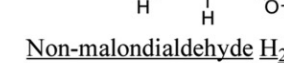
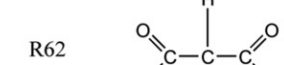
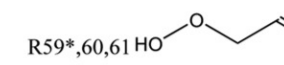
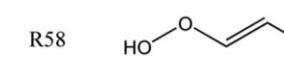
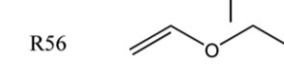
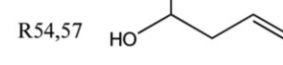
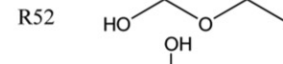
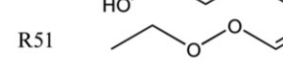
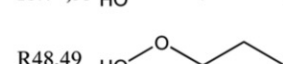
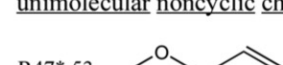
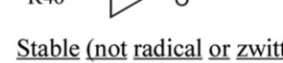
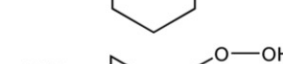
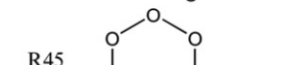
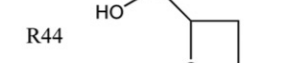
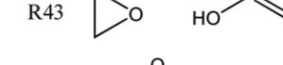
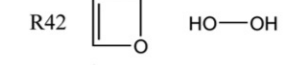
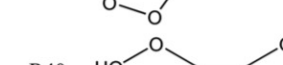
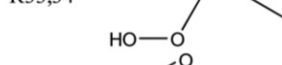
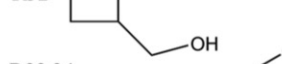
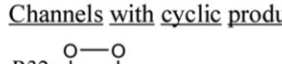
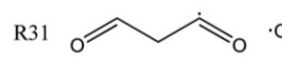
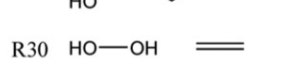
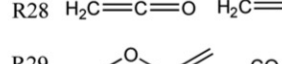
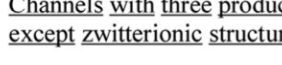
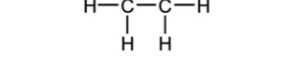
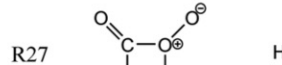
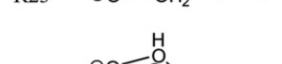
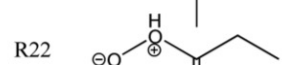
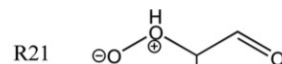
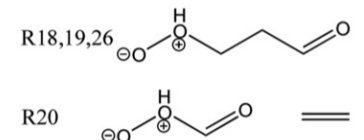
H<sub>2</sub>O ± malondialdehyde channels:



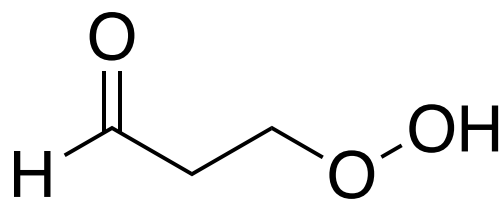
Biradical products including carbenes and the Criegee intermediates:



Zwitterionic structures:

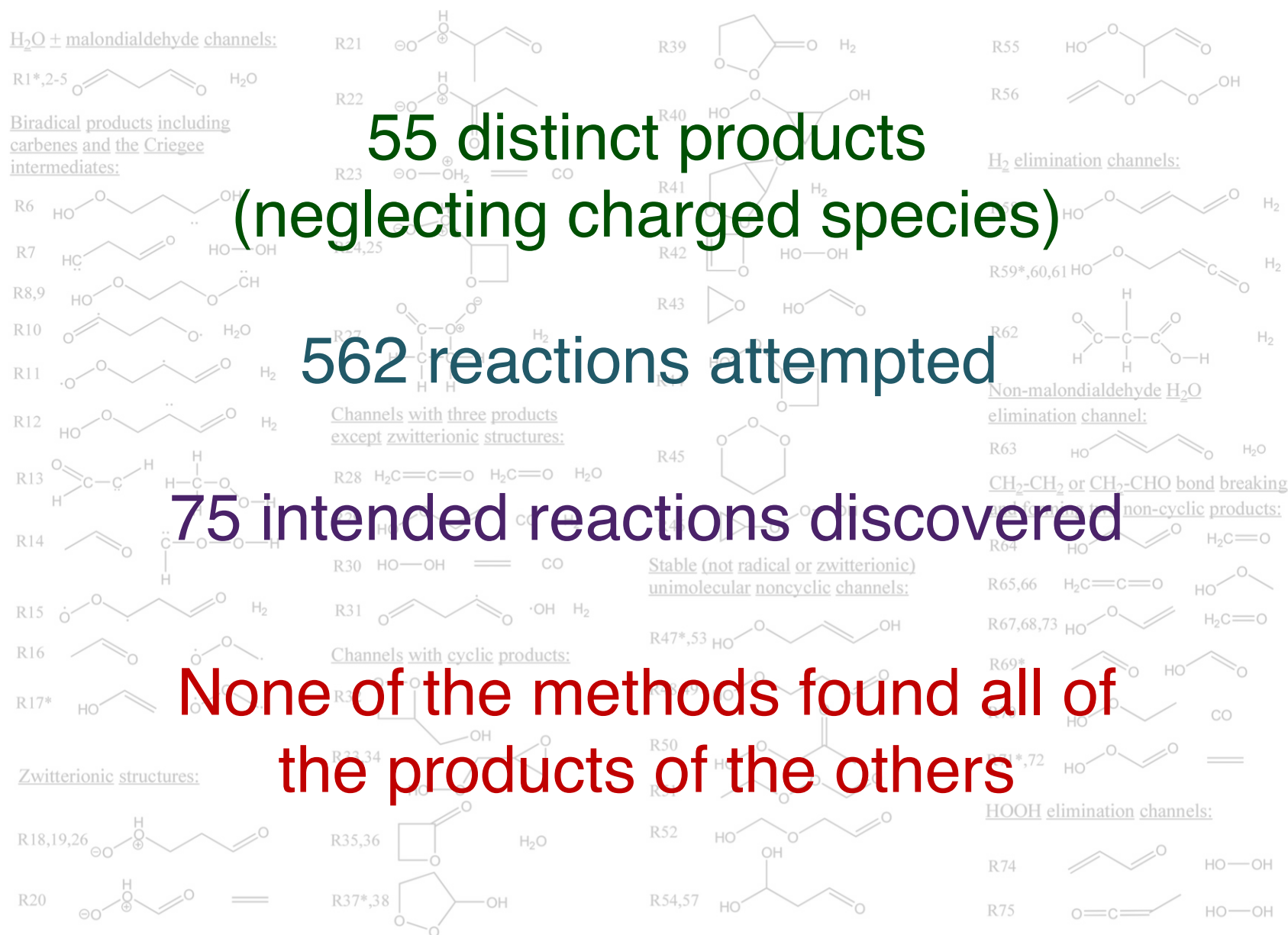


# Testing YARP on a Unimolecular Decomposition Problem



The 3-hydroperoxypropanal reaction network out to b4f4 was recently published as a benchmark for 5 reaction discovery methods.

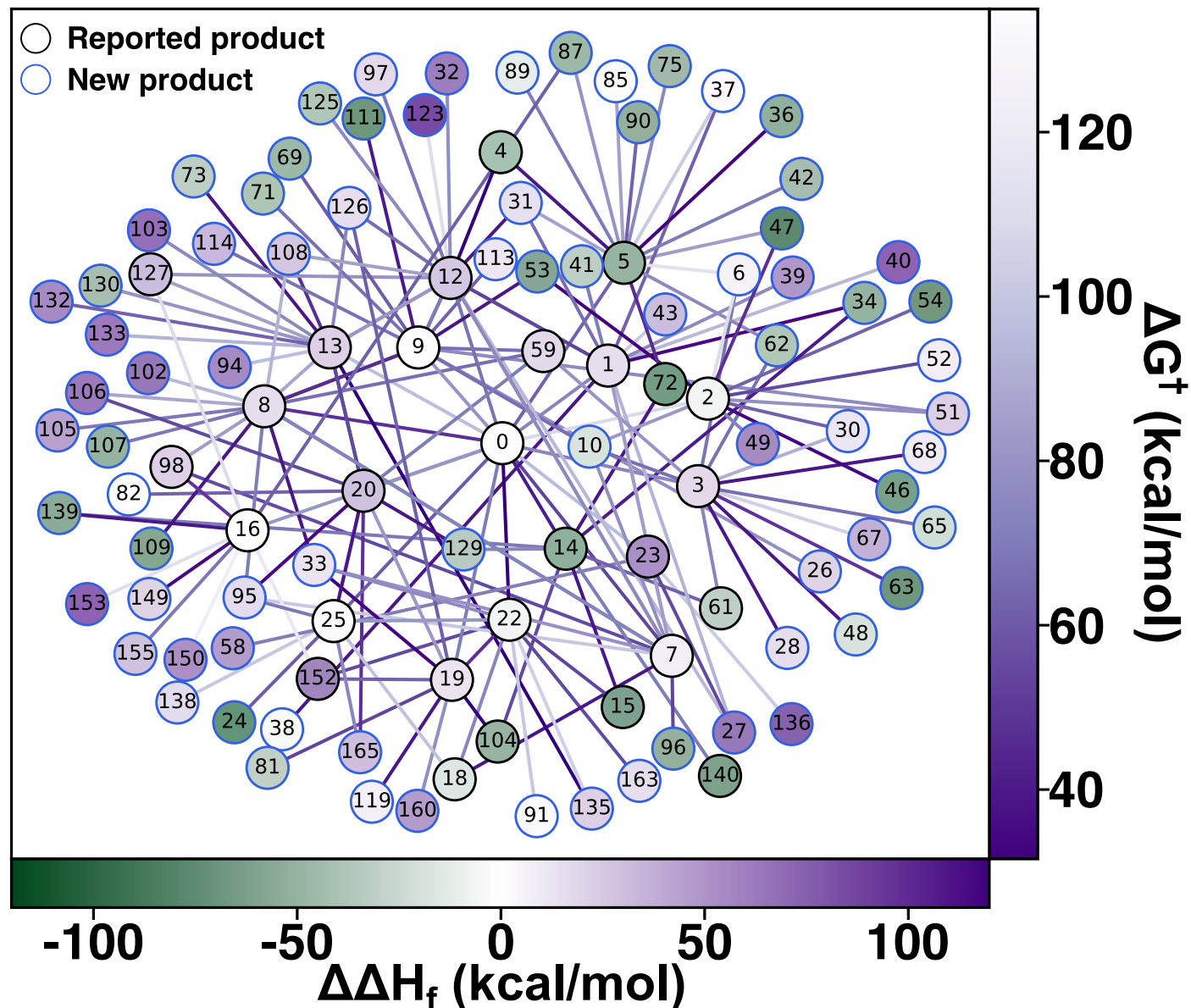
Grambow, C. A, Suleimanov, Y. V. et al. *J. Am. Chem. Soc.* **2018**, 140 (3), 1035–1048.



# 3-Hydroperoxypropanal - Reaction Network

We used YARP to recursively elucidate the 3-hydroperoxypropanal unimolecular thermal degradation network for comparison with Grambow et al.

YARP finds **all known products** of this thermal decomposition network, as well as new products (77), and new reactions (157).

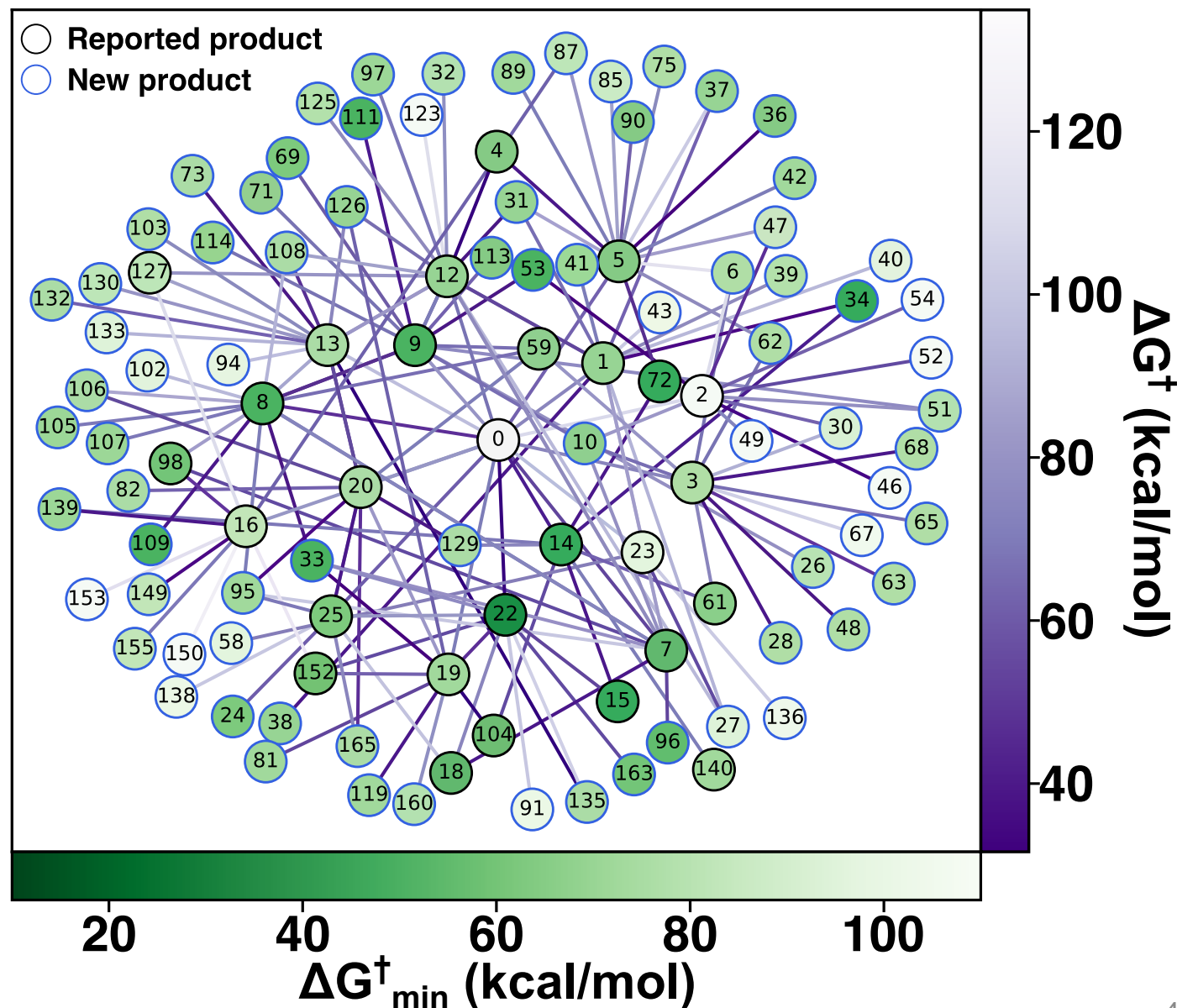




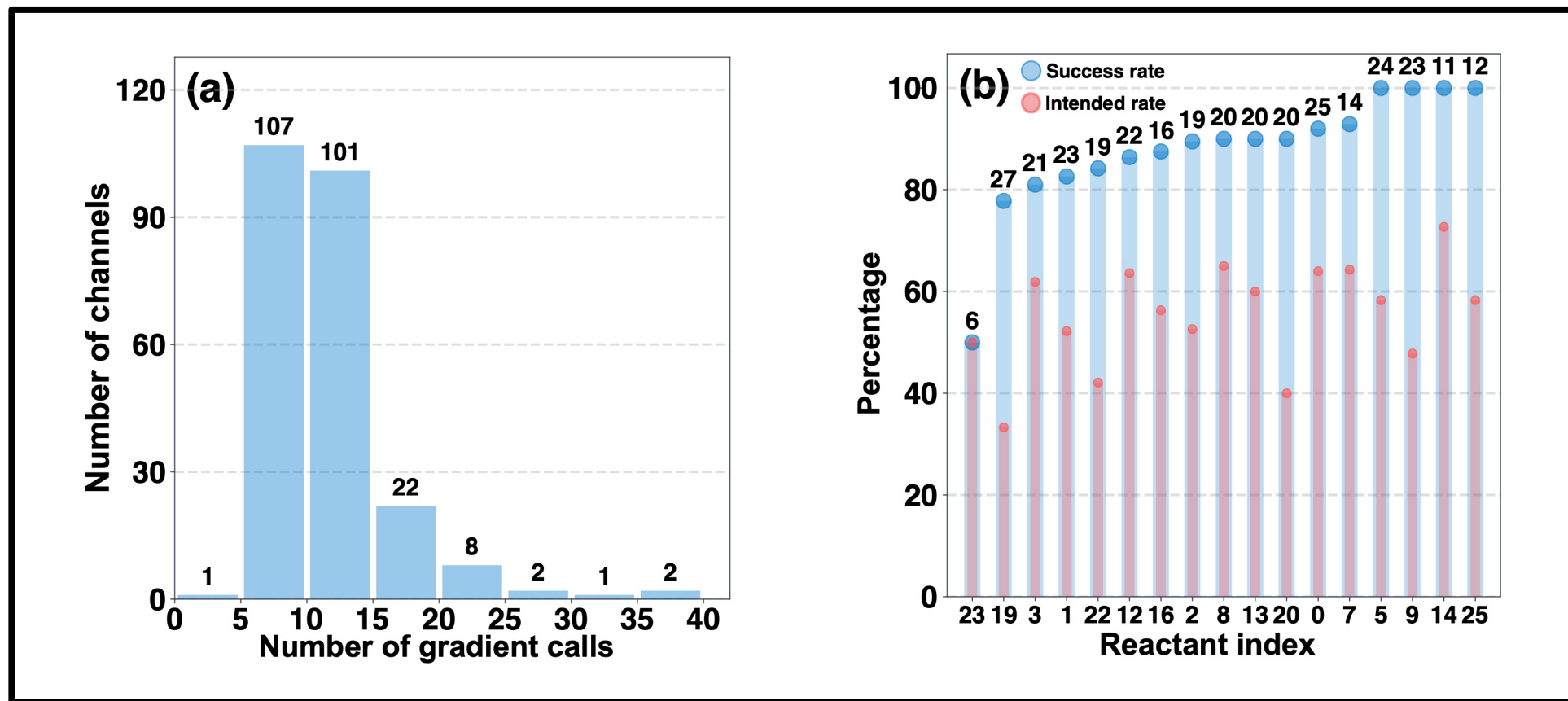
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# Predicting More (Reactions) with Less (Cost)

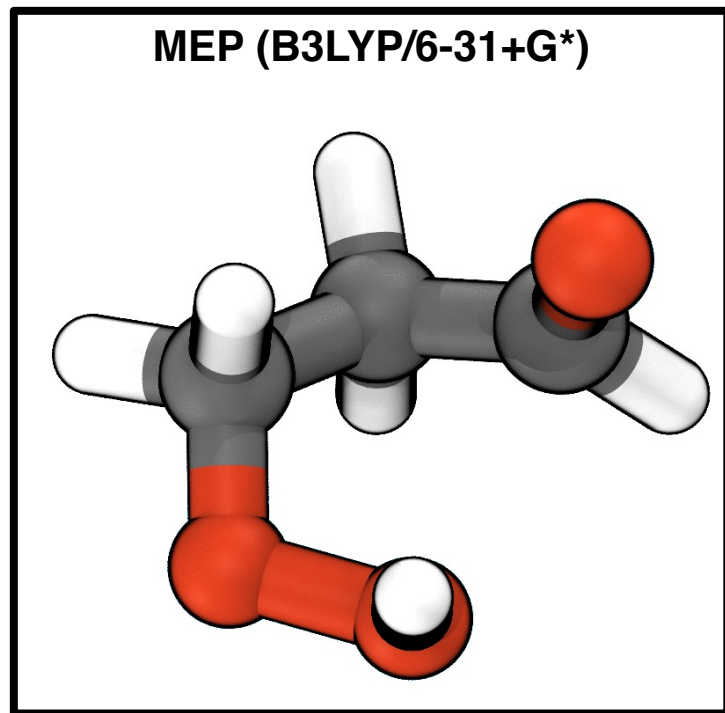


- Constructing the whole network required **8364** DFT gradient calls for YARP compared with **756,227** for the earlier benchmark (**100-fold reduction**)
- Average success and intended rates for YARP are **81.4%** and **41.1%**, respectively, compared with **38%** and **4%**, in the earlier benchmark.

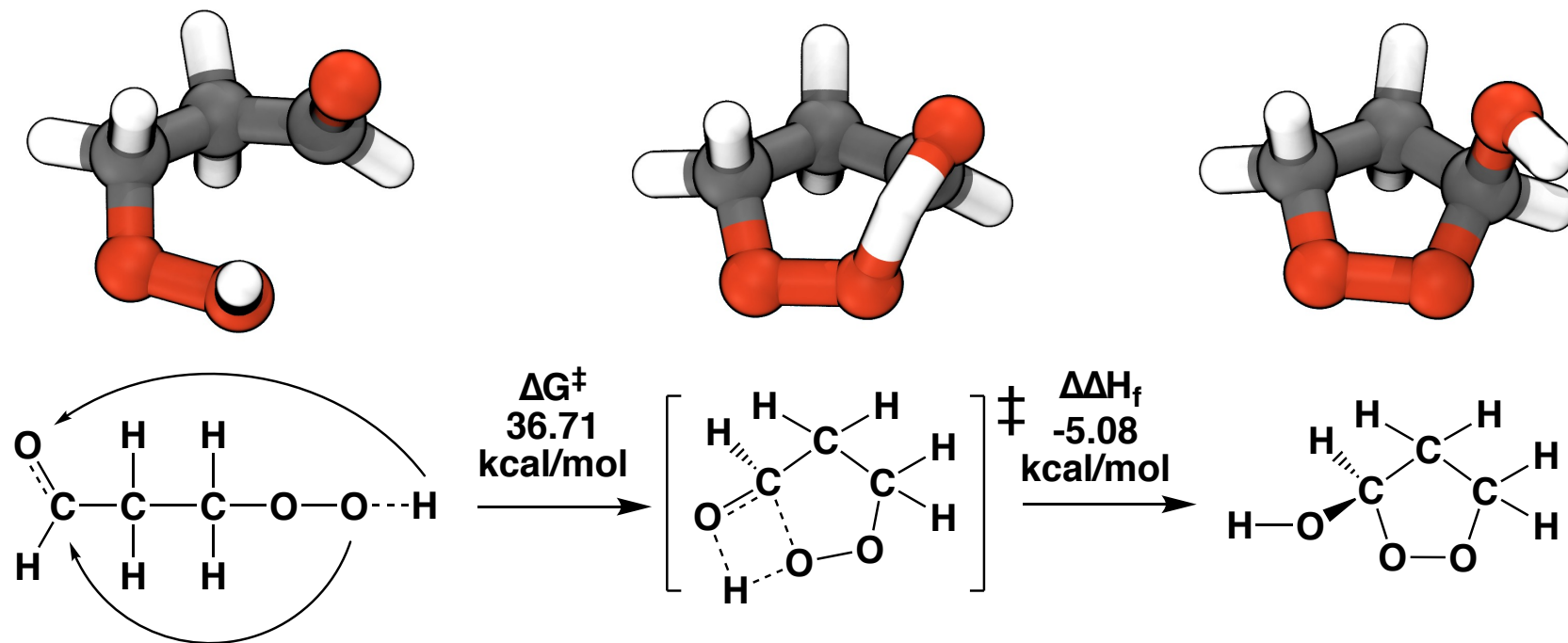
# What Happens First?

Jensen, R. K.; Korcek, S.; Mahoney, L. R.; Zinbo, M. *JACS* **1979**, 101, 7574

## The Korcek Mechanism



According to YARP, this is the lowest barrier degradation product.



Validated 30 years later by Green and Truhlar:

Jalan, A.; Alecu, I. M.; Meana-Pañeda, R.; Aguilera-Iparraguirre, J.; Yang, K. R.; Merchant, S. S.; Truhlar, D. G.; Green, W. H. *JACS* **2013**, 135 (30), 11100–11114.



# Outlook

The throughput enabled by YARP creates many new opportunities:

- (i) Broader reaction discovery → Lowe Dataset as a discovery testbed
- (ii) Generating positive and negative exemplary reaction datasets
- (iii) Exploring deeper networks (e.g., materials degradation, catalysis)

**In the process safety space**, it seems like predicting kinetics in addition to thermochemistry could be useful.

# Outlook and Acknowledgements

**Qiyuan Zhao** performed all of the work.

## Project Accomplishments:

- Implemented a fully-consistent 2-bond (i.e., component) increment theory based on G4 data
- Automated model compound generation and fitting algorithms.
- Built a database infrastructure for reusing calculations and parameter fitting.
- Developed a ring-correction for TCIT to improve performance on conjugated and non-benzene structures.
- Extended TCIT to condensed phases and new thermodynamic properties and radicals.



- P2SAC for funding.
- Ray Mentzer (Purdue)
- Katherine Young (Purdue UG)