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**Three-Dimensional Flow Visualization and Vorticity Dynamics in  
Revolving Wings**

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24

## Abstract

25 We investigated the three-dimensional vorticity dynamics of the flows generated by revolving  
26 wings using a volumetric 3-component velocimetry (V3V) system. The three-dimensional  
27 velocity and vorticity fields were represented with respect to the base axes of rotating  
28 Cartesian reference frames, and the second invariant of the velocity gradient was evaluated  
29 and used as a criterion to identify two core vortex structures. The first structure was a  
30 composite of leading, trailing and tip-edge vortices attached to the wing edges, whereas the  
31 second structure was a strong tip vortex tilted from leading-edge vortices and shed into the  
32 wake together with the vorticity generated at the tip edge. Using the fundamental vorticity  
33 equation, we evaluated the convection, stretching and tilting of vorticity in the rotating wing  
34 frame to understand the generation and evolution of vorticity. Based on these data, we  
35 propose that the vorticity generated at the leading edge is carried away by strong tangential  
36 flow into the wake and travels downwards with the induced downwash. The convection by  
37 spanwise flow is comparatively negligible. The three-dimensional flow in the wake also  
38 exhibits considerable vortex tilting and stretching. Together these data underscore the  
39 complex and interconnected vortical structures and dynamics generated by revolving wings.

40

41

## 1 Introduction

42 The flapping wings of insects operate at high angles of attack and generate strong  
43 unsteady aerodynamic and three-dimensional phenomena (Maxworthy 1981; Willmott et al.  
44 1997; Sane 2003; Kim and Gharib 2010). Unlike conventional fixed wings which stall at high  
45 angles of attack due to instability of the vortex structures on the wing, insect wings in  
46 flapping or revolving motions are able to generate high forces and stable flows in a sustained  
47 manner throughout the duration of their motion. Recently, several studies have focused on the  
48 mechanisms that underlie the high force generation and stable vortices on flapping/revolving  
49 wings (Willmott et al. 1997; Birch and Dickinson 2001; Lentink and Dickinson 2009b).

50 Together these studies show that the stable attachment of a prominent leading-edge  
51 vortex (LEV) significantly enhances the lift production as compared to conventional  
52 translating wings (Ellington et al. 1996; VandenBerg and Ellington 1997; Usherwood and  
53 Ellington 2002; Birch et al. 2004). However, the mechanisms underlying the stability of the  
54 LEV have been the subject of some debate prompting researchers to use diverse experimental  
55 and theoretical approaches to address this question (e.g., Ellington et al. 1996, Birch and  
56 Dickinson 2001, Minotti, 2005, Shyy and Liu 2007). Using smoke flow visualization,  
57 Ellington and coworkers (Ellington et al. 1996) demonstrated the presence of spanwise flow  
58 within the core of a spiral LEV generated by a flapping wing at  $Re \sim 3000$ , similar to that  
59 proposed by Maxworthy (1981). They proposed that, similar to the axial flow in the vortex  
60 core of Delta wings, the spanwise transport of momentum out of the LEV was critical in  
61 keeping the LEV small but stable in flapping wings. Numerical investigations of this flow by  
62 Liu and Kawachi (1998) and Lan and Sun (2001) further detailed these phenomena. On the  
63 analytical front, Minotti (2005) used inviscid potential theory to derive a theoretical  
64 framework that demonstrated a balance between the vorticity generated by the leading edge  
65 and that transported by spanwise flow. To test the hypothesis that spanwise transport of  
66 vorticity mediated by an axial flow keeps it small and stable, Birch and coworkers (Birch and  
67 Dickinson 2001; Birch et al. 2004) placed orthogonal plates along the wing span to limit the  
68 span wise flow at  $Re \sim 200$ . They found that even under these conditions, the wing continued  
69 to generate a stable LEV. To explain this discrepancy, Birch and Dickinson proposed that  
70 strong downward flow induced by the flapping wings limits the growth of the LEV (Birch  
71 and Dickinson 2001). These results were in agreement with computational fluid dynamics-  
72 based simulations of flows under similar conditions (Shyy and Liu 2007).

73 To experimentally test the hypothesis that spanwise flow contributes to stabilization  
74 of the leading edge vortex, Beem et.al. (2012) used swept and translating, rather than  
75 revolving, wings to generate spanwise flows but did not observe significant differences in the  
76 time required for break-off and downstream convection of the vortex as compared to wings of  
77 lower sweep angles which generate less spanwise flow. Specifically, for cases of low sweep

78 angles, they observed the tip vortex and the LEV as being unconnected structures with a  
79 pronounced gap region. Reminiscent of the Birch and Dickinson (2001) study, the flow  
80 induced by the tip vortex caused a pronounced downwash that prevented flow separation near  
81 the tip. For large sweep angles however, the LEV and tip vortices were more connected and  
82 inter-dependent. However, they did notice significant differences in the flow topologies of the  
83 LEV and tip vortices. These results indicated that in the swept wing case, spanwise flow may  
84 not have much influence on the LEV stabilization and attachment. To what extent do these  
85 observations apply to flapping wings? Recently, using dynamically-scaled robotic wings,  
86 Lentink and Dickinson (2009 a,b) showed that LEV stability is determined by their Rossby  
87 numbers (a ratio of inertial force to rotational accelerations, Lentink and Dickinson, 2009b),  
88 rather than Reynolds numbers (a ratio of inertial to viscous forces) which only affect the LEV  
89 integrity (Fig. 5 in Lentink and Dickinson 2009b). Using 3D flow visualization, Kim and  
90 Gharib (2010) showed that spanwise flow is widely distributed in the wake, and suggested  
91 that its generation may be attributed to the vorticity tilted from the LEV.

92 It is evident from the above-described research that force and flow generation by  
93 flapping wings is distinctly three-dimensional in nature, and thus traditional DPIV which can  
94 only image a plane at a time is limited in its ability to rigorously quantify such flows.  
95 Developments in the area of three-dimensional particle tracking (e.g. Troolin and Longmire  
96 2009; Pereira et al. 2000; Kim and Gharib 2010; Flammang et al. 2011) provide the means to  
97 address the above questions relating to flows around flapping wings. Here, we used a  
98 technique called volumetric 3-component velocimetry (V3V) to quantify the three-  
99 dimensional flows around wings revolving at high angles of attack. From the velocity and  
100 vorticity fields, we identified the vortex structure from the second invariant of the velocity  
101 gradient. By calculating different terms of the vorticity equation, we also quantified the  
102 components due to vortex tilting/stretching and convection and thus account for the various  
103 terms underlying the balance of leading-edge vorticity.

104

## 105 2 Material and methods

### 106 2.1 Experimental setup and procedure

107 All experiments reported here were conducted with a dynamically scaled mechanical  
108 wing, which was inspired by nature to reproduce the flow and study the aerodynamics in  
109 natural fliers (similar setups are described in Sane, 2001, DiLeo 2007). The wing, which was  
110 capable of two-degrees-of-freedom rotations about vertical and wing longitudinal axes, was  
111 used to produce the revolving motion at a constant angular speed ( $\Omega = 55 \text{ degs s}^{-1}$ ). The angle  
112 of attack (AOA) was fixed at  $45^\circ$ . Both degrees of freedom were driven by DC motors  
113 (Maxon Motor AG, Sachseln, Switzerland). The motion control system used here has been  
114 previously detailed in Zhao et al. (2009). The constant angular velocity with fixed angle of  
115 attack (AOA) meant that time-dependent effects due to wing acceleration such as added mass  
116 could be ignored as they were negligible (Dickinson et al. 1999; Sane and Dickinson 2002).

117 The wing and the gearbox were immersed in the center of a tank ( $61 \times 61 \times 305 \text{ cm}$   
118 width  $\times$  height  $\times$  length) filled with mineral oil (kinematic viscosity  $\approx 8 \text{ cSt}$  at  $20^\circ\text{C}$ , density  
119  $\approx 850 \text{ kg m}^3$ ). A rectangular wing platform was used with a length of  $8 \text{ cm}$  (from wing tip to  
120 center of rotation) and aspect ratio of 7 (two times wing length/mean chord length). The wing  
121 was made from a transparent polymer sheet with uniform thickness of  $0.53 \text{ mm}$ , which  
122 remained rigid during the experiments. The wing was located approximately 3 wing lengths  
123 away from the wall of the tank and therefore any wall effects were negligible according to  
124 Sane (2011).

125 The Reynolds number in this study (rectangular wing) was 220 using:

126

$$127 \quad \overline{Re} = \frac{4\pi R^2}{v(AR)T} \quad (1)$$

128

129 where the characteristic velocity is the wing tip velocity ( $\frac{2\pi}{T}R$ ) and the characteristic length is

130 the wing mean chord length ( $c = \frac{2R}{AR}$ ),  $R$  is the wing length,  $AR$  is wing aspect ratio,  $T$  is

131 period of one full revolution (6.5 s), and  $\nu$  is the kinematic viscosity of the fluid.

## 132 2.2 Volumetric 3-component velocimetry process

133 We used a flow measurement technique, known as volumetric 3-component  
134 velocimetry (V3V; TSI Inc., Shoreview, MN, USA), first described by Periera et al. (2000),  
135 to investigate the three-dimensional flow structure around revolving wings. A similar system  
136 has been used in other studies (e.g., Flammang et al. 2011). A schematic of the experimental  
137 setup can be seen in Fig. 1. We used air bubbles pumped out of a porous ceramic filter as  
138 seeding particles (Similar methods were used Birch and Dickinson 2001, and Zhao et al.,  
139 2011). Experiments were conducted after large bubbles rose to the surface leaving behind  
140 only small bubbles with an average size of 20-50 microns. Using Stokes law, this corresponds  
141 to a rise velocity of air bubbles in mineral oil of less than 0.17 mm/s (for more description,  
142 refer to Zhao et al., 2011). Pairs of sequential images were taken simultaneously by three 4  
143 megapixel digital cameras synchronized with an Nd:YAG pulse laser illuminating the air  
144 bubbles inside the measurement volume.

145 The fixed coordinate frame  $(\hat{X}, \hat{Y}, \hat{Z})$  is attached to the measurement volume defined  
146 by the V3V system (Fig. 2a, b). The measurement volume, formed by the intersection of the  
147 field of view of the three cameras, was  $14 \times 14 \times 10 \text{ cm}^3$  along the  $\hat{X}$ ,  $\hat{Y}$  and  $\hat{Z}$  directions.  
148 This volume was sufficient to allow the entire wing to remain within the camera view over a  
149  $100^\circ$  rotation. The axis of rotation was positioned at 2cm distance from the back plane of the  
150 measurement volume (Fig. 2b) to ensure that there were no laser reflections from the shaft  
151 and gearbox. A total of 10 frames, phase-locked to the wing angular position ( $\theta$ ), were  
152 captured, allowing consistent captures of a sequence of 10 frames equally spaced at constant  
153  $\Delta\theta=10^\circ$ , for a total span of  $100^\circ$ . In this study, we focus only on the steady flow structures of  
154 the revolving wing. Hence, in each experiment the image capturing was triggered after one  
155 full revolution of the wing to reduce the transient phenomena due to the wing accelerating  
156 from rest (Fig. 3). The influence of the vorticity wake from the 1<sup>st</sup> revolution is considered  
157 negligible on the flow in the 2<sup>nd</sup> revolution. The 10 frames showed identical flow structure

158 with minimal variations, by which we could conservatively assume the flow to have settled  
159 into a stable mode. However, the wake generated by the wing was not fully within the  
160 volume for some early frames; therefore, to better demonstrate the wake in the center of the  
161 volume, results from the 8<sup>th</sup> frame are shown.

162 Each velocity field was calculated from an *ensemble-average* of 10 separate images  
163 captured during 10 runs with identical wing motions. The particle detection, particle tracking,  
164 and velocity field interpolation were carried out using InsightV3V software (TSI Inc.,  
165 Shoreview, MN, USA). The software interpolated (using Gaussian weighting based on vector  
166 distance from the grid node) the randomly distributed velocity vectors obtained from the  
167 particle tracking algorithm into a  $45 \times 45 \times 31$  rectangular mesh grid ( $\Delta x = \Delta y = \Delta z =$   
168  $3.15\text{mm}$ ) for the three components of velocity at each frame.

169 The uncertainty in the instantaneous velocity fields came primarily from spatial  
170 uncertainty pertaining to accurately identifying the exact location of the particle centroids.  
171 Temporal uncertainty is negligible in comparison since the jitter in the laser pulse timing is  
172 10ns, and the timing resolution of the synchronizer is 1ns. Spatial uncertainty results from  
173 mean-bias and RMS errors and has been shown by Pereira and Gharib (2002) to be on the  
174 order of 1% for the streamwise and spanwise velocity components and 4% for the vertical  
175 component.

## 176 2.3 Data analysis

177 The velocity fields thus obtained were analyzed using custom MATLAB codes (The  
178 Mathworks, Natick, MA, USA). Because the wing revolved around a fixed axis, all the  
179 quantities were calculated with respect to the base axes of a set of rotating Cartesian frames  
180  $(\hat{\mathbf{e}}_t, \hat{\mathbf{e}}_y, \hat{\mathbf{e}}_r)$ , rather than a single fixed Cartesian coordinate frame  $(\hat{\mathbf{X}}, \hat{\mathbf{Y}}, \hat{\mathbf{Z}})$  (Fig.4). The  
181 tangential  $(\hat{\mathbf{e}}_t)$  and radial  $(\hat{\mathbf{e}}_r)$  axes in the rotating Cartesian coordinate frames depend on the  
182 azimuthal angle  $(\phi)$  of the fluid particle being analyzed  $(\phi, \text{Fig. 4})$  with the vertical axis  $(\hat{\mathbf{e}}_y)$   
183 kept parallel to wing rotation axis  $(\hat{\mathbf{Y}})$ . Note that both the fixed  $(\hat{\mathbf{X}}, \hat{\mathbf{Y}}, \hat{\mathbf{Z}})$  and rotating  
184  $(\hat{\mathbf{e}}_t, \hat{\mathbf{e}}_y, \hat{\mathbf{e}}_r)$  reference frames were independent of the wing position.

185 In the fixed Cartesian reference system, the radial vorticity generated by the wing at a  
 186 given wing position may get confounded with the tangential vorticity at another wing  
 187 position. This can be avoided by converting the coordinate system to a rotating Cartesian  
 188 coordinate frame. The original Cartesian mesh grid and velocity field output from V3V  
 189 Insight software were converted into base vectors in the rotating Cartesian coordinate frames  
 190 using the rotation matrix,  $J(\phi)$ , such that the velocity components in rotating Cartesian I ( $u_t$ ,  
 191  $u_y$ ,  $u_r$ ) and fixed Cartesian frame ( $u_x$ ,  $u_y$ ,  $u_z$ ) are related by:

$$192 \mathbf{u}(t, y, r) = \begin{pmatrix} u_t \\ u_y \\ u_r \end{pmatrix} = J \mathbf{u}(x, y, z) = J \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix} = \begin{pmatrix} \sin(\phi) u_x - \cos(\phi) u_z \\ u_y \\ \cos(\phi) u_x + \sin(\phi) u_z \end{pmatrix}. \quad (2)$$

194  
 195 The same relation also applies to other quantities (e.g., vorticity, vortex tilting and stretching).

196 We calculated the velocity/vorticity gradient tensor with respect to the base vectors in  
 197 the rotating Cartesian frame. Using chain rule, the gradient tensor in rotating and fixed  
 198 Cartesian frames are related by

$$199 \nabla_{(t,y,r)} \mathbf{u}(t, y, r) = J \nabla_{(t,y,r)} \mathbf{u}(x, y, z) = J \nabla_{(x,y,z)} \mathbf{u}(x, y, z) J^T \quad (3)$$

200  
 201 where  $\nabla_{(t,y,r)}$  and  $\nabla_{(x,y,z)}$  represent the gradient operation in rotating and fixed Cartesian  
 202 frames, respectively.  $\nabla_{(t,y,r)} \mathbf{u}(t, y, r)$  is the velocity gradient tensor in rotating Cartesian  
 203 frame, which is (we neglect the subscript in the rest of paper for convenience):

$$204 \nabla \mathbf{u}(t, y, r) = \begin{pmatrix} \frac{\partial u_t}{\partial t} & \frac{\partial u_t}{\partial y} & \frac{\partial u_t}{\partial r} \\ \frac{\partial u_y}{\partial t} & \frac{\partial u_y}{\partial y} & \frac{\partial u_y}{\partial r} \\ \frac{\partial u_r}{\partial t} & \frac{\partial u_r}{\partial y} & \frac{\partial u_r}{\partial r} \end{pmatrix} \quad (4)$$

205  
 206  
 207  
 208 The above relation also applies to the vorticity gradient  $\nabla \boldsymbol{\omega}$ . The wing orientation was  
 209 determined by tracking four vertices of the wing platform and estimating their spatial



210 locations using the calibration process developed for the particle identification.

211 The velocity field, vorticity distribution and vortex structure of the flow were  
212 presented by plotting the isosurface for each component of the corresponding quantity  
213 separately. Vorticity magnitude isosurfaces were plotted with three different colors (RGB:  
214 red, green and blue) indicating the magnitude of positive (red) and negative (blue)  
215 components of radial vorticity and negative (green) component of tangential vorticity. Thus,  
216 this technique offers clear visualization of both vorticity magnitude and direction within a  
217 single isosurface plot. All of the other components (e.g., vertical vorticity) were represented  
218 by black coloring.

219 The vortex core structure was evaluated by calculating the second invariant of the  
220 velocity gradient, or Q value, calculated using (Jeong and Hussain 1995):

221

$$222 \quad Q = -\frac{1}{2}(\lambda_1 + \lambda_2 + \lambda_3) \quad (5)$$

223

224 where  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  are the eigenvalues of  $S^2$  and  $\Omega^2$ , where  $S$  and  $\Omega$  are the symmetric  
225 ( $\frac{1}{2}(\nabla\mathbf{u} + \nabla\mathbf{u}^T)$ ) and antisymmetric ( $\frac{1}{2}(\nabla\mathbf{u} - \nabla\mathbf{u}^T)$ ) part of velocity gradient tensor  $\nabla\mathbf{u}$ .

226 Results were non-dimensionalized using the following characteristic values: velocity  
227 by wing tip velocity ( $\Omega R$ ), vorticity by wing rotation vorticity ( $2\Omega$ ) and time by half period of  
228 one wing revolution ( $\pi/\Omega$ ). All dimensionless quantities are denoted by superscript  $+$ .

229

## 230 2.4 Vorticity equation in rotating frame

231 The standard Navier-Stokes equation for an incompressible fluid may be given by the  
232 following pair of equations:

233

$$234 \quad \frac{D\mathbf{u}}{D\tau} = -\nabla p + \nu \nabla^2 \mathbf{u} \quad (6)$$

$$235 \quad \nabla \cdot \mathbf{u} = 0, \quad (7)$$

236

237 where  $\mathbf{u}$  velocity vector,  $\tau$  is time,  $p$  is pressure,  $\nu$  is kinematic viscosity. The vorticity  
238 equation can be derived by taking a curl of (6), which eliminates the pressure term to give,

239

$$240 \quad \frac{\partial \boldsymbol{\omega}}{\partial \tau} = \nabla \times (\mathbf{u} \times \boldsymbol{\omega}) + \nu \nabla^2 \boldsymbol{\omega}, \quad (8)$$

241

242 which upon expansion gives,

243

$$244 \quad \frac{\partial \boldsymbol{\omega}}{\partial \tau} = (\boldsymbol{\omega} \cdot \nabla) \mathbf{u} - (\mathbf{u} \cdot \nabla) \boldsymbol{\omega} + (\nabla \cdot \boldsymbol{\omega}) \mathbf{u} - (\nabla \cdot \mathbf{u}) \boldsymbol{\omega} + \nu \nabla^2 \boldsymbol{\omega} \quad (9)$$

245

246 In this equation,  $\nabla \cdot \mathbf{u} = 0$  due to the incompressibility condition and  $\nabla \cdot \boldsymbol{\omega} = 0$  because it is  
247 a divergence of a curl of velocity. Rearranging the remaining terms, we get

248

$$249 \quad \frac{D \boldsymbol{\omega}}{D \tau} = \frac{\partial \boldsymbol{\omega}}{\partial \tau} + (\mathbf{u} \cdot \nabla) \boldsymbol{\omega} = (\boldsymbol{\omega} \cdot \nabla) \mathbf{u} + \nu \nabla^2 \boldsymbol{\omega} \quad (10)$$

250

251 In a rotational Cartesian frame,

252

$$253 \quad \boldsymbol{\omega} = \boldsymbol{\omega}' + 2\boldsymbol{\Omega}$$

$$254 \quad \mathbf{u} = \mathbf{u}' + \boldsymbol{\Omega} \times \mathbf{r}$$

$$255 \quad \frac{D \boldsymbol{\omega}}{D \tau} = \frac{D \boldsymbol{\omega}'}{D \tau} + \boldsymbol{\Omega} \times \boldsymbol{\omega} \quad (11)$$

$$256 \quad \nabla^2 \boldsymbol{\omega} = \nabla'^2 \boldsymbol{\omega}'$$

$$257 \quad \nabla = \nabla'$$

258

259 where the superscript ' denotes the relative quantities observed in the rotating frame,  $\mathbf{r}$ , is the  
260 radial vector with the length from the fluid element to the axis of rotation. Note that  $\frac{D \boldsymbol{\omega}}{D \tau}$  and

261  $\frac{D \boldsymbol{\omega}'}{D \tau}$  are the absolute and relative rate of change of vorticity ( $\boldsymbol{\omega}$ ) observed in fixed and rotating

262 frames, respectively. Also, it can be shown that  $\boldsymbol{\Omega} \times \boldsymbol{\omega}$  is equal to  $(\boldsymbol{\omega} \cdot \nabla)(\boldsymbol{\Omega} \times \mathbf{r})$ . Thus, the  
 263 vorticity equation in the rotating frame may be written as

264

$$265 \quad \frac{D\boldsymbol{\omega}'}{D\tau} = \frac{\partial\boldsymbol{\omega}'}{\partial\tau} + (\mathbf{u}' \cdot \nabla)\boldsymbol{\omega}' = [(\boldsymbol{\omega}' + 2\boldsymbol{\Omega}) \cdot \nabla]\mathbf{u}' + \nu\nabla^2\boldsymbol{\omega}', \quad (12)$$

266

267 where the rate of vorticity change in the rotational frame ( $\dot{\boldsymbol{\omega}}' = \frac{\partial\boldsymbol{\omega}'}{\partial\tau}$ ) is equal to the  
 268 summation of vorticity convection  $(-(\mathbf{u}' \cdot \nabla)\boldsymbol{\omega}')$ , vortex tilting and stretching  $((\boldsymbol{\omega} \cdot \nabla)\mathbf{u}')$  and  
 269 vorticity diffusion  $(\nu\nabla^2\boldsymbol{\omega}')$ . We then separated tilting and stretching components by

270

$$271 \quad (\boldsymbol{\omega} \cdot \nabla)\mathbf{u}' = [(\boldsymbol{\omega} \cdot \nabla)\mathbf{u}']_{\perp} + [(\boldsymbol{\omega} \cdot \nabla)\mathbf{u}']_{\parallel}, \quad (13)$$

272

273 where  $[(\boldsymbol{\omega} \cdot \nabla)\mathbf{u}]_{\perp}$  is the tilting component and  $[(\boldsymbol{\omega} \cdot \nabla)\mathbf{u}]_{\parallel}$  is the stretching component, and  
 274 subscript  $\perp$  and  $\parallel$  denote the projections perpendicular and parallel to the direction of  
 275 vorticity. Note that,  $(\boldsymbol{\omega} \cdot \nabla)\mathbf{u}'$  includes the vortex tilting and stretching due to wing rotation  
 276  $2\boldsymbol{\Omega}$  and relative vorticity  $\boldsymbol{\omega}'$ .

277 Next, we looked specifically at the radial component, which describes the leading-  
 278 edge (and trailing-edge) vortices generated by the revolving motion.

279

$$280 \quad \dot{\omega}'_r = (\boldsymbol{\omega} \cdot \nabla)u'_r - (\mathbf{u}' \cdot \nabla)\omega'_r + \nu\nabla^2\omega'_r, \quad (14)$$

281

282 where  $u'_r = u_r$  and  $\omega'_r = \omega_r$ . The convection term can be expanded as

283

$$284 \quad (\mathbf{u}' \cdot \nabla)\omega'_r = \frac{\partial\omega'_r}{\partial t}u'_t + \frac{\partial\omega'_r}{\partial y}u'_y + \frac{\partial\omega'_r}{\partial r}u'_r, \quad (15)$$

285

286 where  $u'_t = u_t - 2\Omega r$  and  $u'_y = u_y$ . Note that  $\frac{\partial\omega'_r}{\partial t}u'_t$  and  $\frac{\partial\omega'_r}{\partial y}u'_y$  describe the vorticity transport

287 by tangential and vertical flow;  $\frac{\partial\omega'_r}{\partial r}u'_r$  describes the transport by spanwise flow, which was

288 acknowledged in the previous studies as the key mechanism to keep the leading-edge vortex

289 stable (e.g., Ellington et al. 1996). Lastly, the tilting and stretching term can be expanded as

290

$$291 \quad (\boldsymbol{\omega} \cdot \nabla) \mathbf{u}'_r = \frac{\partial \mathbf{u}'_r}{\partial t} \omega'_t + \frac{\partial \mathbf{u}'_r}{\partial y} \omega'_y + \frac{\partial \mathbf{u}'_r}{\partial r} \omega'_r \quad (16)$$

292

293 where  $\omega'_t = \omega_t$  and  $\omega'_y = \omega_y - 2\Omega$ . Note that  $\frac{\partial \mathbf{u}'_r}{\partial t} \omega'_t$  and  $\frac{\partial \mathbf{u}'_r}{\partial y} \omega'_y$  describe the vortex tilting

294 from tangential and vertical components; and  $\frac{\partial \mathbf{u}'_r}{\partial r} \omega'_r$  describes the radial vortex stretching.

295

296 Based on the measured velocity field, all the terms in the vorticity equation described

297 above could be evaluated. We used MATLAB for all the analysis. Derivatives of the velocity

298 and vorticity were calculated using central differencing. No smooth rendering was applied to

299 calculate velocity, vorticity and vortex tilting and stretching terms during post-processing.

300 However, we did smooth the convection terms, which was subject to greater noise due to the

301 vorticity gradient, which was magnified by ambient velocity ( $2\Omega r$ ) factor in the tangential

302 direction (Eq. 15). Specifically, the convection terms at a meshgrid were smoothed out by

303 (weighted) averaging it with the six nearest neighboring points, and the process was iterated

304 five times.

### 305 **3 Results and Discussions**

#### 306 **3.1 Velocity and vorticity fields**

307 The three-dimensional velocity and vorticity data is shown in Figs. 5 through 7.

308 Figure 5 shows the spatial locations of the 2D slices represented in Figs. 6 and 7, in the

309 context of the measurement volume. In the velocity isosurface plots (Fig. 6a-c), we represent

310 radial components (Fig. 6a) with red (base to tip) and blue (tip to base), the tangential

311 components (Fig. 6b) with green (direction of wing motion) and orange (opposite direction of

312 wing motion), and vertical components (Fig. 6c) with purple (upward) and yellow

313 (downward) colors.

314 Several interesting features are identified. First, the spanwise components of the flow  
315 are distributed both along the wing tip and downstream to the wake (Fig. 6a, d). The  
316 tangential flow in the direction of wing motion (Fig. 6b) is greater than other two components  
317 of the flow, and reaches maximum at approximately 80% of the wing span (Fig. 7g). A small  
318 upwash (purple) is also found along the leading edge and tip corner (Fig. 6c). Second, there is  
319 negligible spanwise flow at the leading edge towards the middle of span (Fig. 6e) or near the  
320 wing base (Fig. 6f), although it does gain some strength towards the tip and further into the  
321 wake (Fig. 6a, d, e). Third, a reverse spanwise flow is observed layered above this flow (Fig.  
322 6a, d). The downwash, on the other hand, is distributed both below the wing surface and in  
323 the wake behind the wing.

324 The isosurfaces associated with individual vorticity components in the axes of the  
325 rotating Cartesian frame are shown in Fig. 7a-c. The radial vorticity ( $\omega_r$ , corresponding to  
326 LEV and TEV) is generated at the wing edges and surface and extends into the wake  
327 downstream (Fig. 7a) to form two parallel vortex sheets of opposite sign. The spanwise and  
328 reverse spanwise flows are separated by a strong shear layer (negative tangential vorticity,  
329 green (Fig 7b). In comparison, positive tangential vorticity is distributed along the trailing-  
330 edge (Fig. 7d-f) and also extends somewhat into the wake, together with the negative  
331 components, forming two counter-rotating vortex sheets with a more dominant negative  
332 component. Together, the picture that emerges from these observations is similar to results  
333 obtained at  $Re \sim 3000$  (modeled after the hawk moth *Manduca sexta*, Ellington et al, 1996) as  
334 well as  $Re \sim 200$  (modeled after the fruit fly *Drosophila melanogaster*, Birch and Dickinson  
335 2001). Although we saw a LEV localized at the leading edge similar to Ellington et al (1996),  
336 we did not measure significant flow through the core (Birch and Dickinson 2001). We found  
337 upward vorticity components ( $+\omega_y$ ) near the wing tip (Fig. 7c, g), as they shed into the wake,  
338 and merged with the tangential vorticity in the tip vortex. There are also downward vorticity  
339 components ( $-\omega_y$ ) close to the wing surface, perhaps due to the no-slip condition on the  
340 wing span.

341 To identify specific vortex structures, we plotted the total vorticity magnitude

342 isosurfaces with RGB colors indicating the vorticity direction (Fig. 8). Based on the Q-value  
343 criteria for these flows, we identified the two major vortex structures on the wing (Fig 8b)  
344 which include the leading edge and trailing edge vortices and the tip vortex. The top structure  
345 consists of a combination of negative radial (LEV, blue) and negative tangential (TV, green)  
346 vorticity and extends into the wake (Fig. 8a). The bottom structure consists of positive radial  
347 (TEV, red) and positive tangential vorticity (representative color not shown in Fig. 8a). The  
348 top and bottom vortex structures connect at wing tip and form a horseshoe-like structure that  
349 is attached to the wing (represented by the dashed line in Fig. 8b, see also Liu, 2009). From  
350 the top portion of this structure, a long tube-like tip vortex structure extends tangentially into  
351 the wake. At the relatively low Reynolds number of 220, these vortex structures are coherent  
352 and stable, and do not disintegrate, unlike similar structures at higher Reynolds numbers  
353 (Lentink and Dickinson 2009). The horseshoe vortex structure (Fig. 8b) likely influences the  
354 observed tangential flow (Fig. 6b) in the wing wake; while the arc formed by LEV and TV  
355 core (Fig. 8b) likely influences the downwash (Fig. 6c).

356         Spanwise flow within the vortex core is thought to be critical for maintaining a stable  
357 LEV in flapping/revolving wings (e.g. Ellington et al. 1996; Lentink and Dickinson 2009).  
358 Because our experiments were conducted at a Reynolds numbers of 220, the magnitude of  
359 spanwise flow within the LEV core was small, however its magnitude was greater behind the  
360 wing, consistent with the observations of Birch et al. (2004). Thus, at these Reynolds  
361 numbers, the stability of LEV appears to not be guided by the spanwise flow within the core.

362         Our results show both the co-occurrence and inter-dependence of the spanwise flow  
363 and tangential vorticity in the wake, which supports the possibility that the spanwise flow is  
364 induced by the vortices. However, Lentink and Dickinson (2009b) raised another possibility  
365 that the spanwise flow behind the LEV is mediated by the centripetal acceleration through a  
366 process called centrifugal pumping. It explains the well-sustained spanwise (or radial) flow  
367 observed in rotating discs by conservation of mass. In this process, a fluid particle traveling  
368 with the spanwise flow undergoes Coriolis force supported by the viscous frictional force  
369 resulted from the tangential flow gradient (Lentink and Dickinson 2009b). Therefore, this

370 mechanism requires a viscous region with considerable tangential velocity gradients.  
371 However, this region is not quite prominent in the current study and it may require further  
372 experiments to validate the possibility of centrifugal pumping. On the other hand, the  
373 observation of reverse spanwise flow (along negative radial axes, Fig. 6a, d) in the wake  
374 downstream clearly indicates the shed tangential vorticity should dominate other mechanisms  
375 on the cause of spanwise flow within that region.

376

### 377 3.2 Vortex tilting and stretching

378 We calculated vortex tilting and stretching using the measured flow dynamics (Eq. 13).  
379 Because the results were consistent between different frames; only 8<sup>th</sup> frame is shown here. In  
380 Fig. 9ai, bi, red regions represent a positive tilting/stretching in the radial component of  
381 vorticity, which reduces the strength of the LEV with negative radial components. As will be  
382 shown in section 3.3, the attenuation of the LEV by vortex tilting and stretching is important  
383 to the vortex dynamics.

384 In the tangential and vertical components, the tilting effects have a wider influence than  
385 stretching (Fig. 9a<sub>ii-iii</sub>, b<sub>ii-iii</sub>). In the region corresponding to LEV and TEV vortex sheet  
386 (Fig. 7a), a strong and consistent tangential tilting is observed. Leading ( $-\omega_r$ ) and trailing  
387 edge ( $+\omega_r$ ) vortices are tilted into negative and positive tangential vorticity ( $\omega_t$ ), across the  
388 two vortex sheets extended into the wake, consistent with the observation that negative  
389 tangential and negative radial vorticity combine at the LEV vortex sheet (top portion of the  
390 shell-like isosurface, Fig. 8a). In addition to the contribution of the vortex tilting to tangential  
391 vorticity components, there is also direct generation of tangential vorticity at the wing tip  
392 edge. These combine to give the net observed tangential vorticity in the shed tip vortex.  
393 Another possible source of the tangential vorticity is the spanwise flow creating positive  $\omega_t$   
394 due to the no-slip condition, as suggested by Kim and Gharib (2010).

395

### 396 3.3 Vorticity dynamics

397 To investigate the radial vorticity dynamics (LEV and TEV) in the wing rotating  
398 frame, and its effect on the stability of the vortex structures, we calculated and compared the  
399 individual terms of convection, stretching/tilting and diffusion in Eq. 14, 15 and 16. First, we  
400 found that the contribution of the convection along tangential and vertical direction to the  
401 vorticity change (Fig. 10a, b) is significant. In comparison, the contribution due to convection  
402 by spanwise flow is quite low and may be neglected as it is even smaller than the diffusion  
403 term (Fig. 11).

404 Together, these observations suggest that the convection by tangential flow carries away  
405 the negative radial vorticity generated at the leading edge (increase of positive radial  
406 vorticity, region 1 in Fig. 10a) and convects it into a region behind the wing (increase of  
407 negative radial vorticity, region 3 in Fig. 10a). In contrast, the downwash convects the  
408 vorticity out from this region, but brings it into a region between the LEV and the TEV vortex  
409 sheets (increase of negative radial vorticity, region 4, Fig. 10bi, ii). Because the positive  
410 radial vorticity (TEV) is convected into region 2 by the downwash and away from the wing  
411 by tangential flow, the net vorticity in this region remains mostly unaltered.

412 The vortex tilting and stretching terms ( $((\boldsymbol{\omega} \cdot \nabla)u'_r)$ ) have a smaller magnitude than the  
413 convection term (Fig. 10c) with an isosurface value lower than the one used for the  
414 convection term. In both regions 3 and 4, tilting/stretching create positive radial vorticity. In  
415 Eq. 16, the term  $\frac{\partial u'_r}{\partial r} \omega'_r$ , which compresses the leading edge vorticity, contributes most to the  
416 total tilting/stretching ( $((\boldsymbol{\omega} \cdot \nabla)u'_r)$ ). This compression (along  $\hat{\mathbf{e}}_r$ ) by the spanwise flow gradient  
417 creates positive radial vorticity and hence reduces the strength of the LEV, with a smaller  
418 contribution from the vorticity tilted from tangential vorticity ( $\frac{\partial u'_r}{\partial t} \omega'_t$ ).

419 In comparison to convection and tilting/stretching, the vorticity diffusion (or dissipation)  
420 is generally negligible except at regions with very dense vorticity (Fig. 11). Even at these  
421 regions, contribution from diffusion is lower than other terms, and its effect on the overall  
422 vorticity dynamics may be ignored.



423 The overall phenomenon described above can thus be summarized as follows (Fig.  
424 12): the vorticity dynamics and balance lead to discrete and coherent flow structures in the  
425 near-field and wake. The negative radial vorticity (LEV) generated at the leading edge  
426 (region 1) is first convected backward into the wake by tangential flow and then downward  
427 by vertical flow (region 3). It continues to be convected into a region between the LEV and  
428 the TEV vortex sheet (region 4), and is compressed by the gradient of spanwise flow and  
429 tilted into other components of vorticity. It should be noted here that this phenomenon applies  
430 to the flow at the majority of the wing span away from the wing tip and base edges. However,  
431 the relative magnitude of each component changes: the convection by vertical flow is  
432 significantly reduced close to the wing tip because the downwash is small in the region of the  
433 tip vortex; on the other hand, the convection by tangential flow becomes weaker close to the  
434 wing base because of the low local wing velocity ( $\Omega r$ ). The tilting/stretching term is most  
435 significant close to 75% of the wing span, and decreases towards the wing base and wing tip.

436 The experimental results described above quantify the vortex dynamics in fair detail  
437 and hence may be able to shed some insights into the mechanisms of stability of the LEV. In  
438 previous studies, there are two major hypotheses: 1) spanwise flow, within the LEV core or  
439 behind it, convects the lead-edge vorticity into the tip vortex that sheds into wake and  
440 prevents it from overgrowth (Ellington et al. 1996; VandenBerg and Ellington 1997; Lentink  
441 and Dickinson 2009b); this corresponds to the term  $\frac{\partial \omega'_r}{\partial r} u'_r$  in Eq. 15. 2) downwash induced  
442 by wake vortices limits the growth by reducing the effective angle of attack (Birch and  
443 Dickinson 2001). The first hypothesis assumed that spanwise flow convects substantial  
444 vorticity into the tip vortex which is then shed into the wake, therefore balancing the new  
445 vorticity being generated. However, as shown here, at a low Reynolds number, the spanwise  
446 convection ( $\frac{\partial \omega'_r}{\partial r} u'_r$ ) is small compared to the convection in the other two directions, and is  
447 unlikely to significantly affect the LEV strength. On the other hand, the negative radial  
448 vorticity in region 4 (Fig 10) is reduced by the compression effect (proportional to the  
449 gradient of spanwise flow,  $\frac{\partial u'_r}{\partial r} \omega'_r$ ). Thus, our data support the second hypothesis that

450 downwash limits the strength of the LEV by convecting it downward from the LEV vortex  
451 sheet to a region between the LEV and TEV vortex sheets, where it is compressed and tilted  
452 to other components of vorticity (e.g., tip vorticity).

453

#### 454 **4 Conclusions**

455 Using a V3V system, we studied the velocity and vorticity fields generated by a  
456 revolving wing and evaluated the vorticity equation to interpret the vorticity dynamics. The  
457 results show a strong correlation between the velocity and the vorticity fields, implying the  
458 velocity is mostly induced by vorticity. The results also suggest strong three-dimensional  
459 phenomena of the flow, as there exists substantial vortex tilting and stretching. As one of the  
460 results, part of the radial vorticity is tilted into tangential vorticity, and shed into the wake. By  
461 comparing different terms in the vorticity equation, we found convection in tangential and  
462 vertical directions are responsible for a majority of the vorticity change, where those in the  
463 spanwise direction are negligible. In comparison, vortex tilting and stretching have a smaller  
464 effect than convection, but reduce the radial vorticity accumulated by vertical convection in a  
465 particular region.

466 In sum, the results in this paper advance the understandings on flapping/revolving wing  
467 aerodynamics, and are fundamental to future studies including more complex parameters  
468 (e.g., varying wing geometry, aspect ratio and angle of attack). The results and methods may  
469 also be extended from revolving to flapping wings to study and quantify the time-dependent  
470 unsteady phenomenon (e.g., interaction with the wake, effect of wing rotation and added  
471 mass effect introduced in Dickinson et al., 1999).

472

#### 473 **Acknowledgement**

474 We thank former graduate student Zheng Hu for assistance with the V3V experiments, and  
475 Spencer Frank for the discussion on the experimental results.

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535

536

537

538

539 **Figure captions**

540

541 Fig. 1. Schematic of the experimental setup showing the locations of the V3V camera, laser,  
542 robotic flapper, and the measurement volume.

543

544 Fig. 2. Schematics showing the measurement volume and the fixed coordinate frame ( $\hat{\mathbf{X}}, \hat{\mathbf{Y}}, \hat{\mathbf{Z}}$ )  
545 (a), and schematics showing the top view of the experimental setup, measurement volume  
546 and the wing motion. The wing starts at  $\hat{\mathbf{X}}$  axis and rotates clockwise (b).

547

548 Fig. 3. Wing angular velocity profile indicating the image capture window.

549

550 Fig. 4. Rotating Cartesian coordinate system. Vectors are written in the base axes of a rotating  
551 Cartesian coordinate frame ( $\hat{\mathbf{e}}_t, \hat{\mathbf{e}}_y, \hat{\mathbf{e}}_r$ ). The tangential ( $\hat{\mathbf{e}}_t$ ) and radial ( $\hat{\mathbf{e}}_r$ ) axes vary with the  
552 azimuth angles ( $\phi$ ) of the particles (blue dot).

553

554 Fig. 5. Schematic showing the locations of slices exhibited in Figs. 6 and 7.

555

556 Fig. 6. Velocity components in the rotating Cartesian frame. Isosurfaces of (a) radial  
557 component (spanwise flow), with dimensionless isosurface value  $u_r^+ = \pm 0.13$ ; (b) tangential  
558 component (transverse flow) with dimensionless isosurface value  $u_t^+ = \pm 0.39$ , (c) vertical  
559 component (up/down wash), with dimensionless isosurface value  $u_y^+ = 0.08$  and  $-0.20$ .  
560 Chordwise slices located at (d) 80%, (e) 55%, and (f) 30% of the wing span as shown in Fig.  
561 5. Color represents (reverse) spanwise flow and arrows represent tangential and vertical flow.

562

563 Fig. 7. Vorticity components in the rotating Cartesian frame. Isosurfaces of (a) radial  
564 component (lead-edge and trailing-edge vorticity). (b) tangential component (tip vorticity).  
565 (c) vertical component.  $\omega_r^+ = \omega_t^+ = \omega_y^+ = \pm 0.8$ . Spanwise slices located at (d) trailing edge,

566 (e)  $20^\circ$  after the trailing edge, and (f)  $40^\circ$  after the trailing edge as shown in Fig. 5. Colors  
 567 represent tangential vorticity and arrows represent spanwise and vertical flow. Horizontal  
 568 slice (g) located as shown in Fig. 5. Color represents vertical vorticity and arrows represent  
 569 tangential and spanwise flow.

570

571 Fig. 8. Isosurfaces of color-coded vorticity magnitude and vortex structure. Vorticity  
 572 magnitude ( $\omega^+ = 1.5$ ) viewed at two different angles, (ai) is looking down on the wing, while  
 573 (a(ii)) is looking up on the wing. Isosurfaces are color-coded to reflect the direction of  
 574 vorticity. RGB values of the isosurface color correspond to the magnitudes of the vorticity  
 575 components: trailing-edge vorticity ( $+\omega_r$ ), red; leading-edge vorticity, ( $-\omega_r$ ), blue and tip  
 576 vorticity, ( $-\omega_t$ ), green. (b) Vortex structure evaluated by the isosurface of Q value.  $Q^+ =$   
 577 0.25. Isosurfaces are color-coded following the same rule in (a).

578

579 Fig. 9. Isosurfaces of vortex tilting and stretching in rotating Cartesian frame. (a) Vortex  
 580 tilting:  $(\omega \cdot \nabla u')_{\perp r}^+ = (\omega \cdot \nabla u')_{\perp t}^+ = (\omega \cdot \nabla u')_{\perp y}^+ = \pm 3$ . (ai) radial component, (a(ii)) tangential  
 581 component, (a(iii)) vertical component. (b) Vorticity stretching:  $(\omega \cdot \nabla u')_{\parallel r}^+ = (\omega \cdot \nabla u')_{\parallel t}^+ =$   
 582  $(\omega \cdot \nabla u')_{\parallel y}^+ = \pm 3$ , (bi) radial component, (b(ii)) tangential component, (b(iii)) vertical component.

583

584 Fig. 10. Isosurfaces of individual terms in vorticity equation (ai, bi and ci) and corresponding  
 585 cylindrical slices at 75% of wing span (a(ii), b(ii) and c(ii)). (ai) and (a(ii)): vorticity convection by  
 586 tangential flow  $\frac{\partial \omega_r'}{\partial t} u'_t$ . (bi) and (b(ii)): vorticity convection by vertical flow  $\frac{\partial \omega_r'}{\partial y} u'_y$ . (ci) and  
 587 (c(ii)) total vortex tilting and stretching  $\omega \cdot \nabla u'_r$ . The isosurfaces in (a) and (b) are shown at  
 588 dimensionless value 8, and that in (c) is shown at dimensionless value 3. Regions 1, 2, 3 and  
 589 4 are indicated in both isosurfaces and cylindrical slices. In (c(ii)), the locations for LEV and  
 590 TEV are also plotted.

591

592 Fig. 11. Isosurface of diffusion term  $\nabla^2 \omega_r$  and corresponding cylindrical slice. (a) isosurface  
 593 shown at dimensionless value  $\pm 3$ . (b) Cylindrical slice at 75% of the wing span.

594

595 Fig. 12. Schematic demonstrating the vorticity dynamics. Region 1-4 are corresponding to  
596 those in Fig. 10. Blue and red arrows represent convection of negative and positive radial  
597 vorticities. A background contour of radial vorticity is also plotted to illustrate the  
598 distribution of the LEV and the TEV.

599

Figure 1

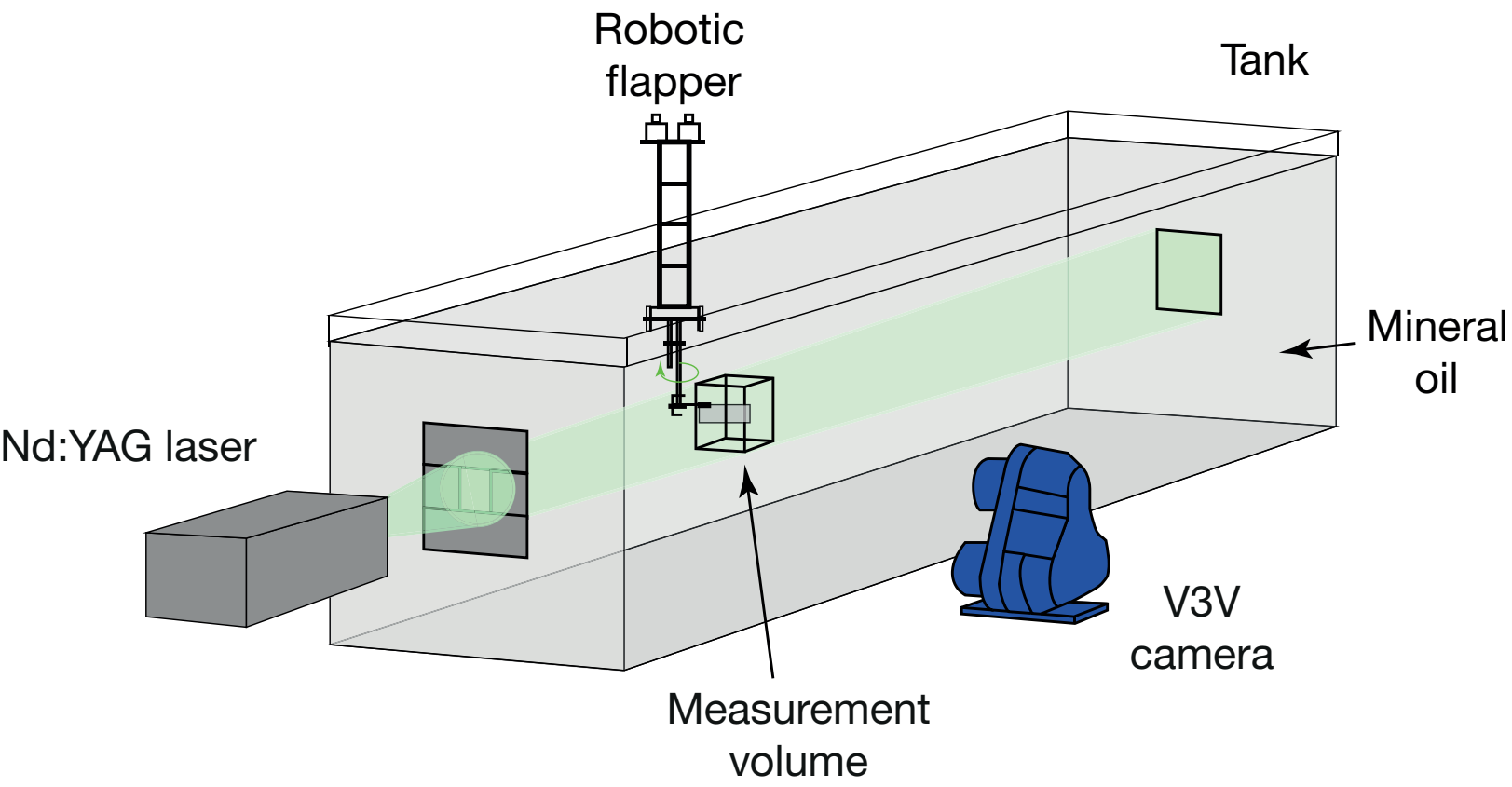




Figure2

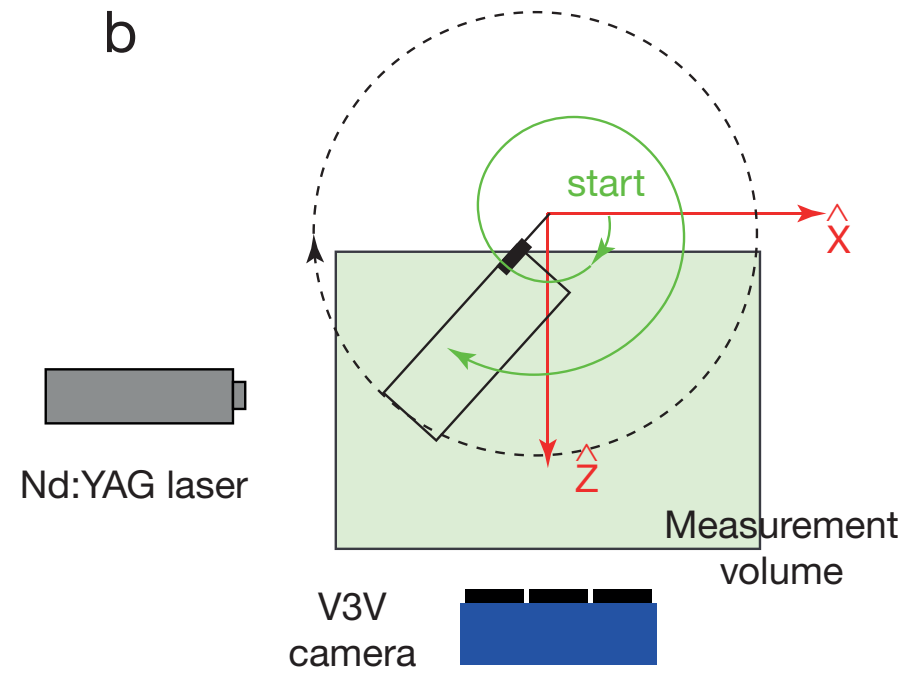
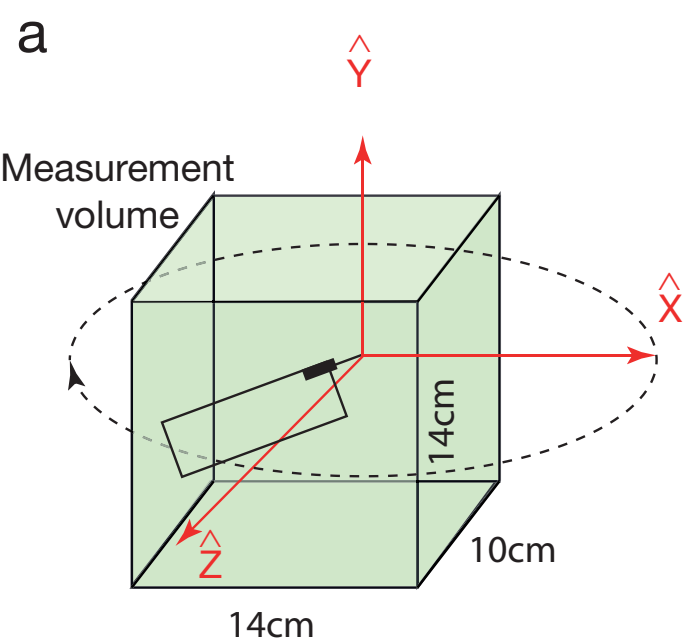


Figure3

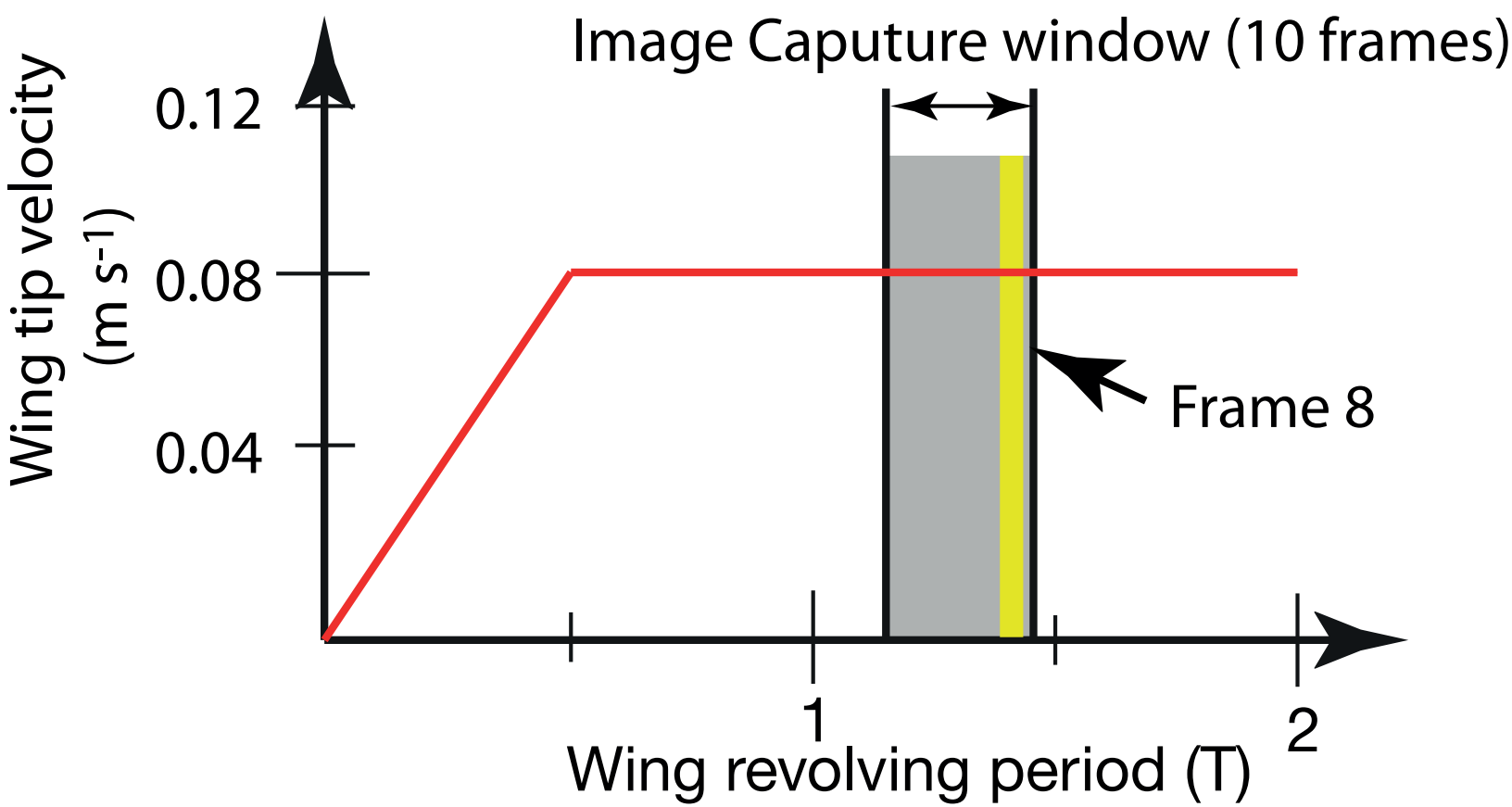
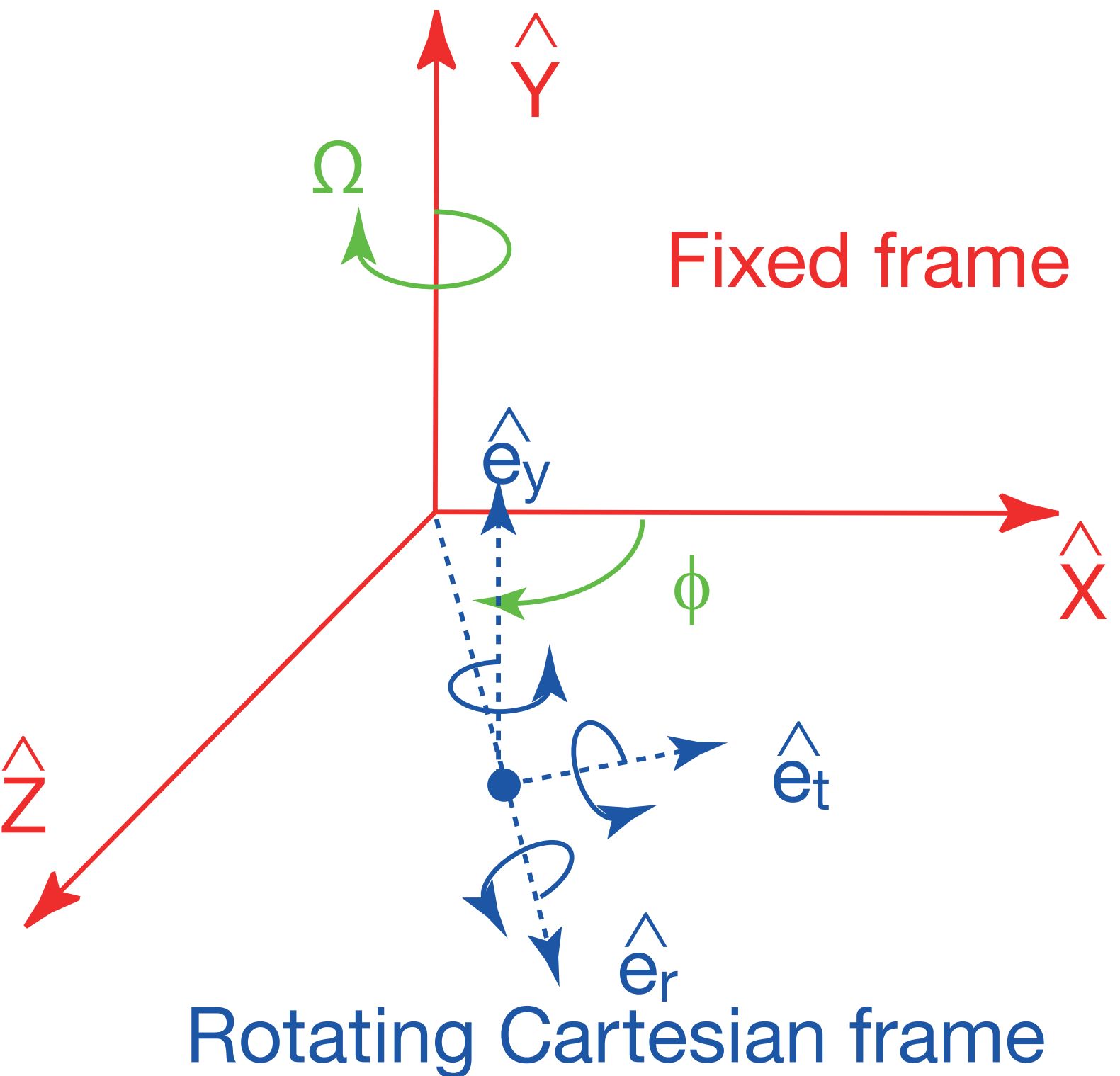


Figure 4



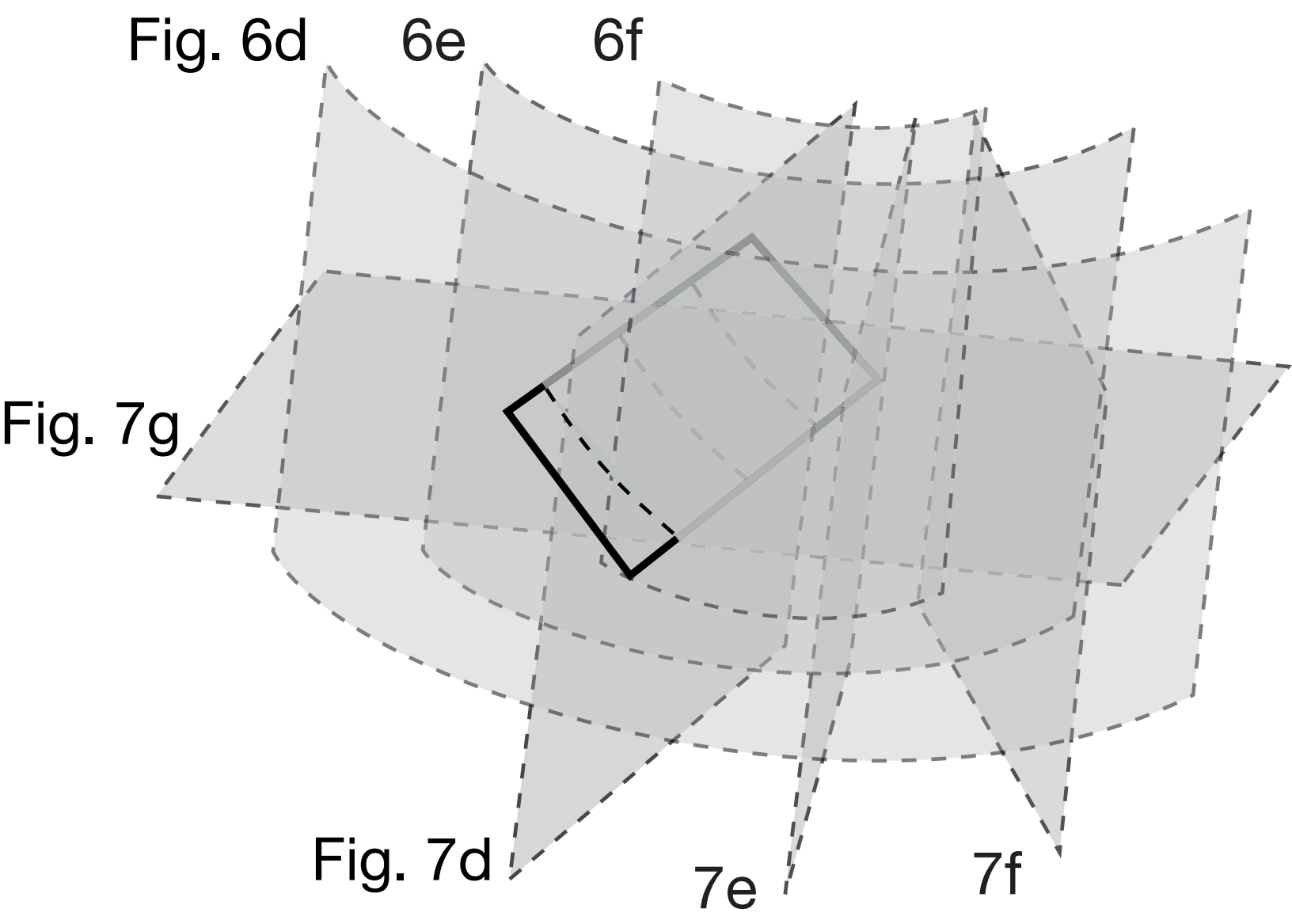


Figure 6

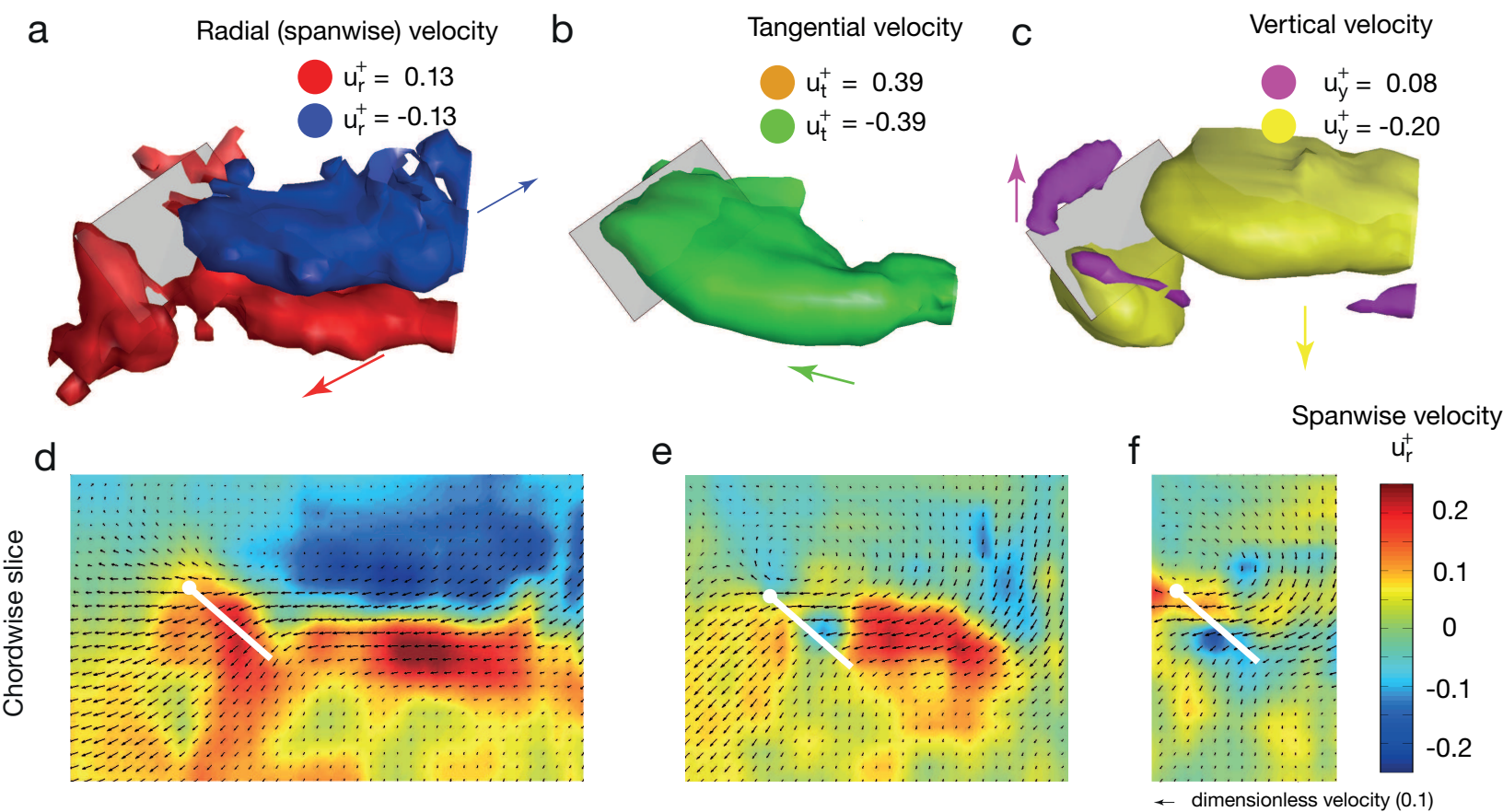
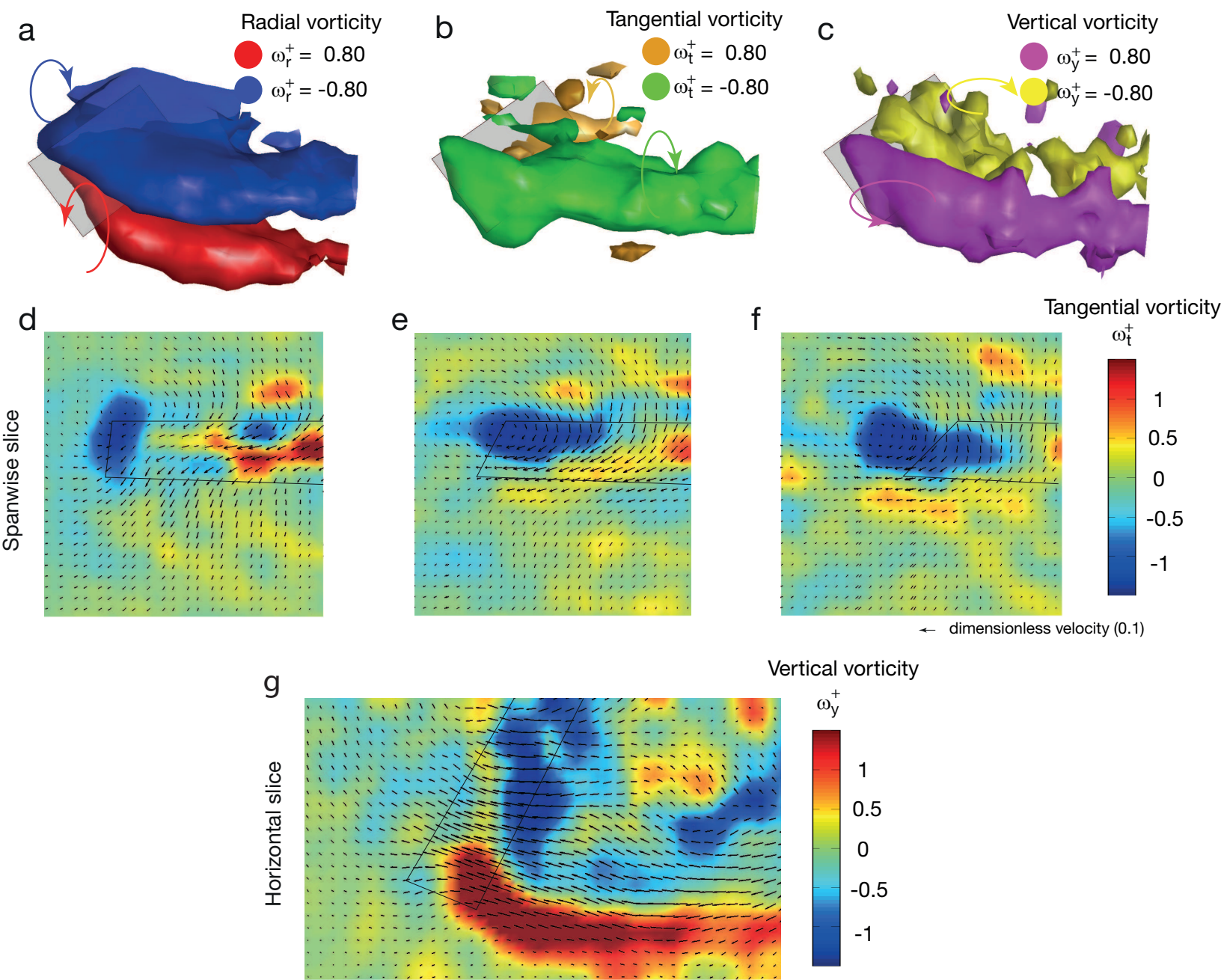
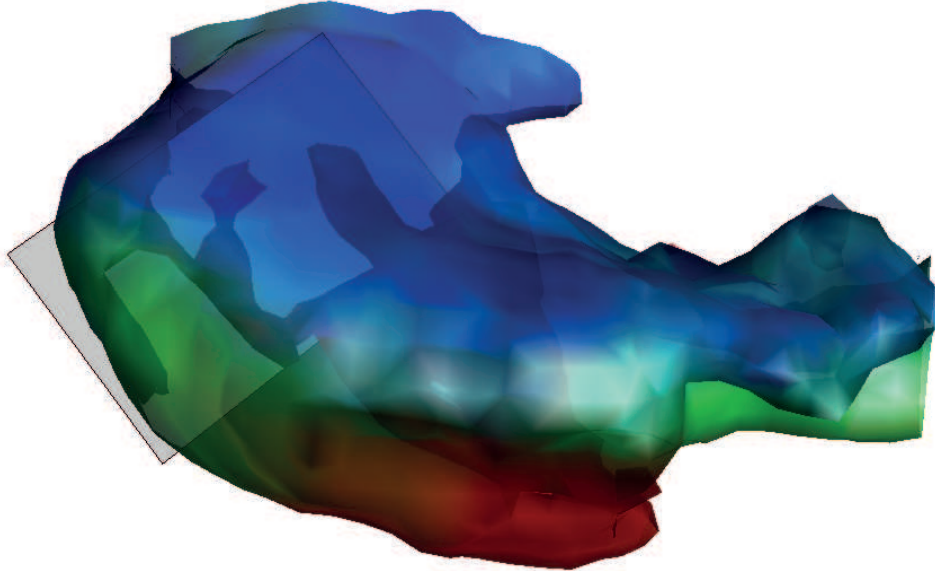


Figure 7

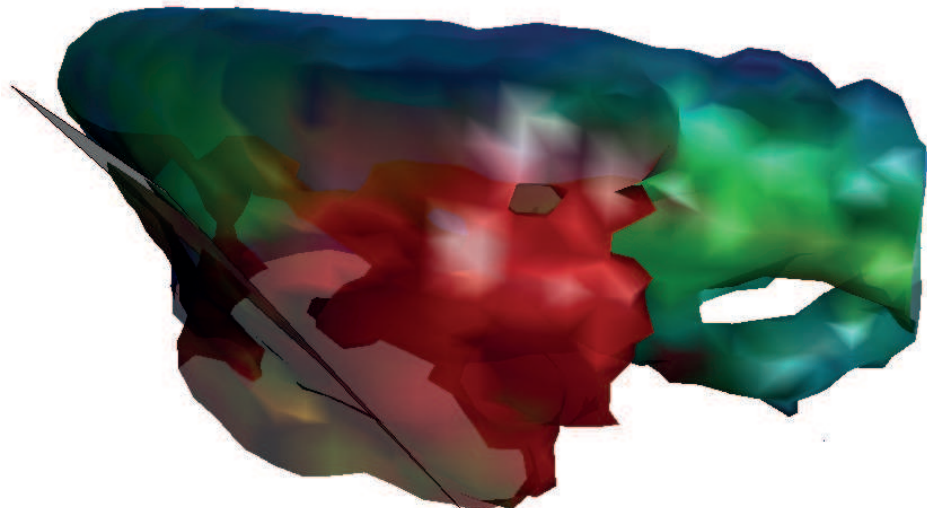




ai



aii



b

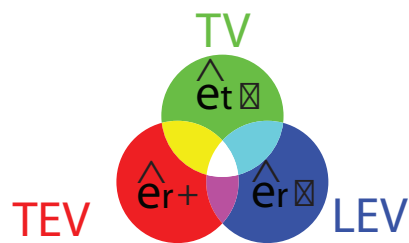
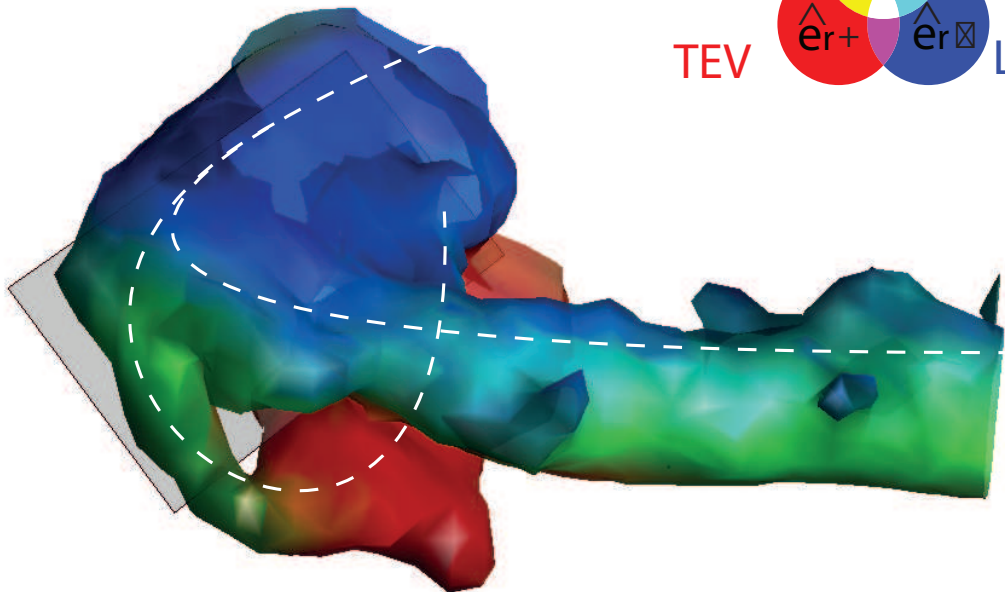


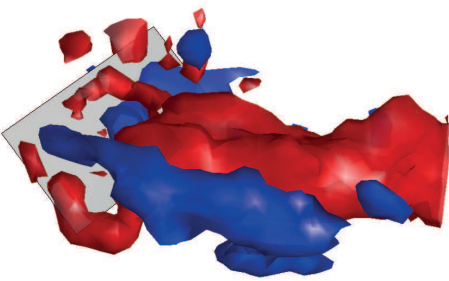




Figure9

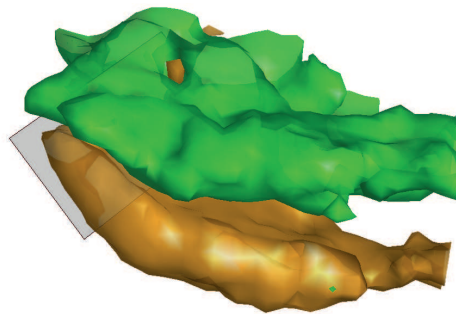
ai

  $(\omega \cdot \nabla u')_{\perp r} +$   
  $(\omega \cdot \nabla u')_{\perp r} -$





aii

  $(\omega \cdot \nabla u')_{\perp t} +$   
  $(\omega \cdot \nabla u')_{\perp t} -$





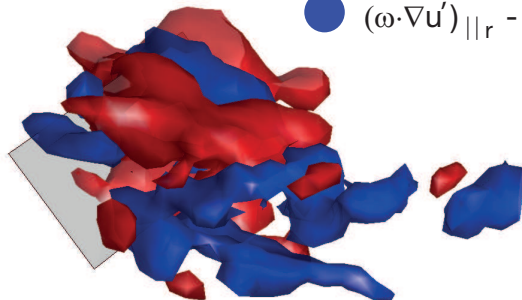
aiii

  $(\omega \cdot \nabla u')_{\perp y} +$   
  $(\omega \cdot \nabla u')_{\perp y} -$





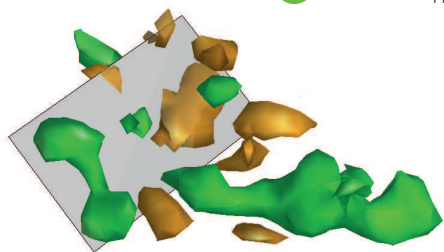
bi

  $(\omega \cdot \nabla u')_{\parallel r} +$   
  $(\omega \cdot \nabla u')_{\parallel r} -$





bii

  $(\omega \cdot \nabla u')_{\parallel t} +$   
  $(\omega \cdot \nabla u')_{\parallel t} -$



biii

  $(\omega \cdot \nabla u')_{\parallel y} +$   
  $(\omega \cdot \nabla u')_{\parallel y} -$

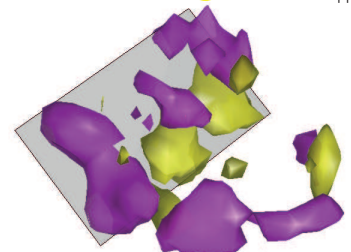




Figure10

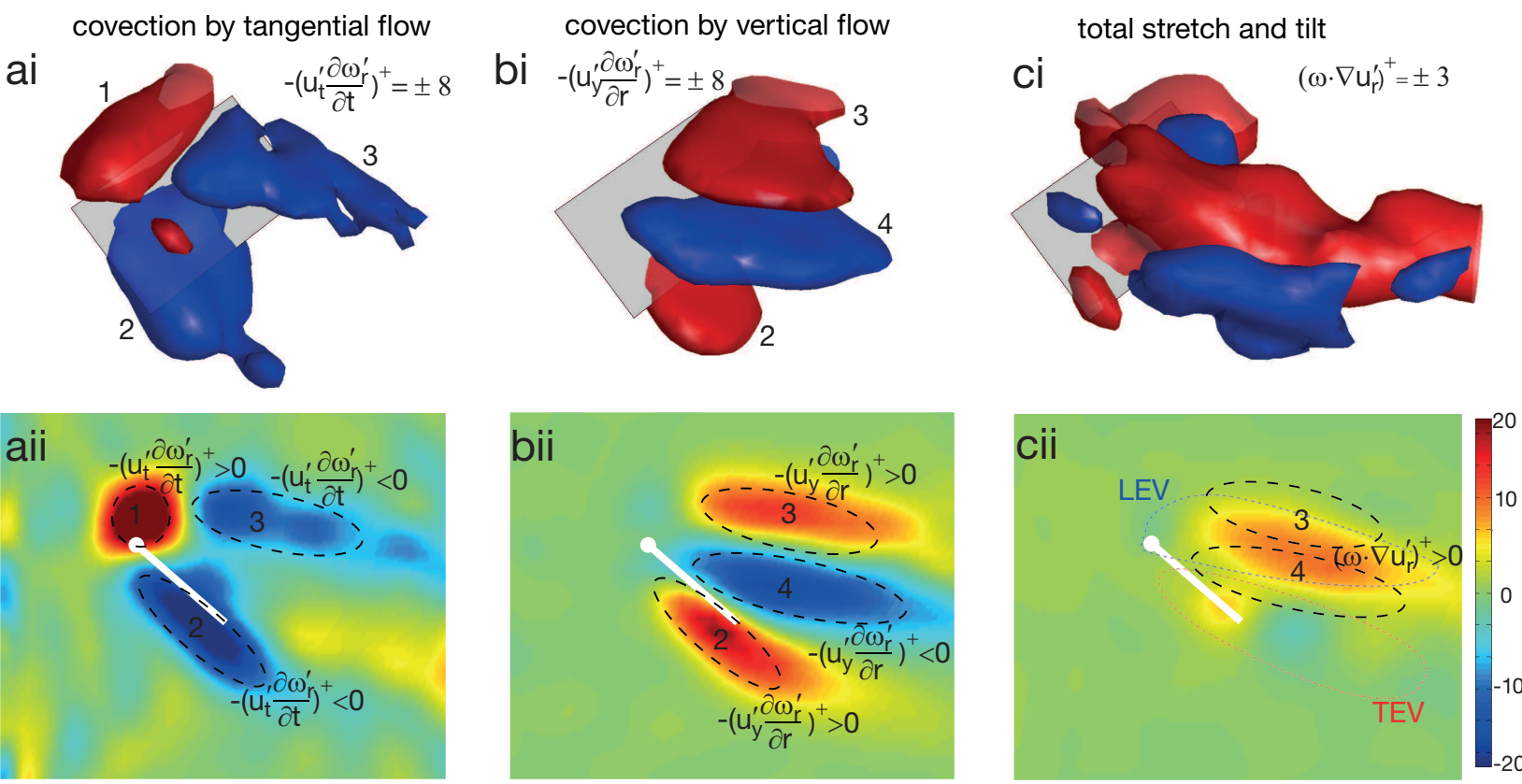


Figure11

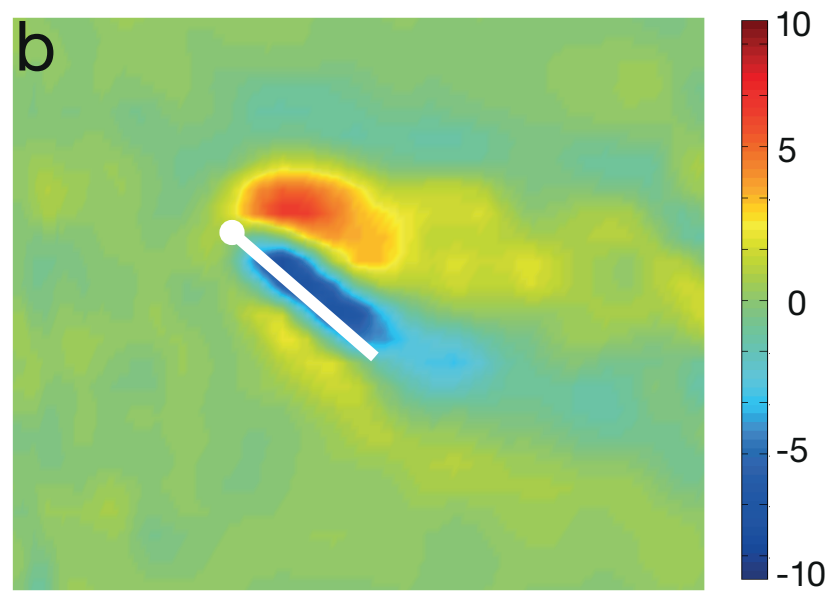
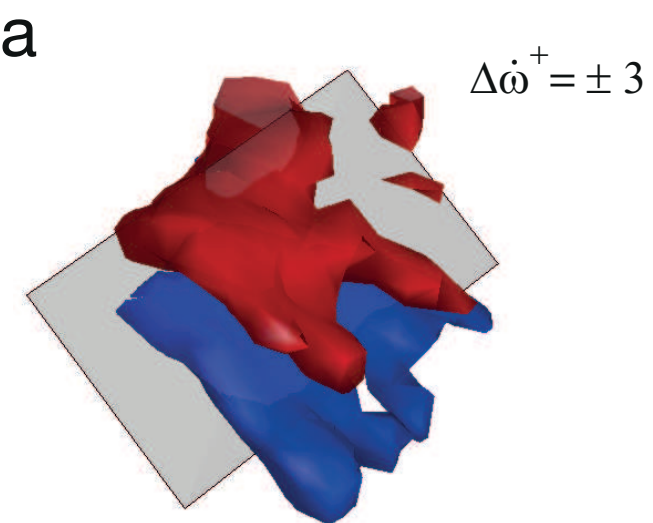


Figure12

