

Flapping Flight for Biomimetic Robotic Insects: Part II—Flight Control Design

Xinyan Deng, *Member, IEEE*, Luca Schenato, *Member, IEEE*, and S. Shankar Sastry, *Fellow, IEEE*

Abstract—In this paper, we present the design of the flight control algorithms for flapping wing micromechanical flying insects (MFIs). Inspired by the sensory feedback and neuromotor structure of insects, we propose a similar top-down hierarchical architecture to achieve high performance despite the MFIs' limited on-board computational resources. The flight stabilization problem is formulated as high-frequency periodic control of an underactuated system. In particular, we provide a methodology to approximate the time-varying dynamics caused by the aerodynamic forces with a time-invariant model using averaging theory and a biomimetic parametrization of the wing trajectories. This approximation leads to a simpler dynamical model that can be identified using experimental data from the on-board sensors and the voltage inputs to the wing actuators. The overall control law is a periodic proportional output feedback. Simulations, including sensor and actuator models, demonstrate stable flight in hovering mode.

Index Terms—Averaging, biomimetic, flapping flight, micro-aerial vehicles (MAVs), periodic control.

I. INTRODUCTION

THE recent interest in micro-aerial vehicles (MAVs) [1], which is largely motivated by the need for aerial reconnaissance robots inside buildings and confined spaces, has galvanized the development of inch-size flapping-wing MAVs that could mimic insect flight. This is a challenging endeavor for several reasons. First, aerodynamics for inch-size flapping robots differ substantially from manmade fixed or rotary-winged vehicles [2]. Second, size constraints forbid the use of rotary electric motors and commercial inertial navigation systems (INSs), global positioning systems (GPSs), and current cameras. Finally, a flapping frequency beyond 100 Hz requires sensors and processing algorithms with bandwidth and sensitivity at least one order of magnitude higher than those usually found in today's aircrafts. Nonetheless, recent technological advances, together with a better understanding of insect

aerodynamics and mechanisms, have promoted projects aimed at the design of micromechanical flying insects (MFIs) [3].

The goal of this paper is to develop a general framework to design a control unit for MFIs which would enable them to accomplish complex autonomous tasks such as searching, surveillance, and monitoring. This paper builds upon a companion paper [4], in which comprehensive modeling of MFI aerodynamics, body dynamics, sensors, and electromechanical actuation is presented together with a list of references to relevant research. In this paper, we focus on the control aspects of flapping flight. In particular, we propose a hierarchical architecture for the control unit that mimics the sensory feedback and neuromotor structure of insects to achieve high performance while satisfying MFIs physical and computational limitations. One of the main contributions of this paper is to approximate the time-varying (TV) dynamics of insect flight caused by the flapping wings with a time-invariant (TI) system based on which feedback controllers can be designed. This approximation relies on two ideas that can be formalized within the framework of high-frequency control theory. The first idea is that the frequency of the aerodynamic forces acting on the insect is much higher than the bandwidth of the body dynamics, therefore, only the mean aerodynamic forces and torques over one wingbeat affect the insect dynamics. The second idea is to parameterize the wing trajectory using biologically inspired wing kinematic parameters which affect the distribution of aerodynamic forces within one wingbeat, thus modulating the total forces and torques acting on the insect. These parameters appear as virtual inputs in the TI approximation of flight dynamics. Finally, we show how the parameters of the TI approximation can be identified directly from sensors measurements and actuators input voltages obtained from experiments from the original TV system. This approach is particularly suitable for flapping flight since it does not require the knowledge of exact aerodynamics models, which are particularly complex. Also, it provides a model for uncertainty caused by sensor and actuator nonlinearities and external disturbances that can be used to design robust controllers.

The paper is organized as follows. In Section II, we briefly review biological literature about insect flight control mechanisms, focusing on the interaction between the sensory system and the neuromotor architecture. In Section III, the hierarchical architecture of flight control observed in insects and the helicopter attitude-based navigation are used as a model for the design of an equivalent control system for MFIs. In Section IV, we highlight analogies and differences between flapping flight and helicopter flight. In Section V, we propose a formal approach to approximate the TV insect dynamics with TI invariant dynamics based on averaging theory and wing trajectory parametrization.

Manuscript received September 5, 2004; revised June 22, 2005. This paper was recommended for publication by Associate Editor G. Sukhatme and Editor I. Walker upon evaluation of the reviewers' comments. This work was supported in part by the Office of Naval Research under Contract ONR MURI N00014-98-1-0671 and Contract DURIP N00014-99-1-0720, and in part by the Defense Advanced Research Projects Agency. This paper was presented in part at the IEEE International Conference on Robotics and Automation, Taipei, Taiwan, 2003.

X. Deng is with Department of Mechanical Engineering, University of Delaware, Newark, DE 19716 USA (e-mail: deng@me.udel.edu).

L. Schenato is with the Department of Information Engineering, University of Padova, 35131 Padova, Italy (e-mail: schenato@dei.unipd.it).

S. S. Sastry is with the Department of Electrical Engineering and Computer Sciences, University of California, Berkeley, CA 94720 USA (e-mail: sastry@dei.unipd.it).

Digital Object Identifier 10.1109/TRO.2006.875483

Section VI presents the design of the input voltage to the actuators that is required to track a desired wing trajectory. In Section VII, we model insect dynamics as a discrete-time (DT) dynamical system where the inputs are the kinematic parameters defined in the previous section. Closed-loop identification is then implemented to estimate the DT system. The identified model is then used to design linear-quadratic regulator (LQR)-based feedback laws for hovering. Finally, in Section VIII, conclusions and future research directions are presented.

II. INSECT FLIGHT SENSORS AND CONTROL MECHANISMS

Flies have inhabited our planet for over 300 million years, and today they account for more than 125 000 different species, so that, by now, roughly every tenth known species is a fly [5]. This evolutionary success might spring from their insuperable maneuverability and agility to survive, which enable them, for example, to chase mates at turning velocities of more than $3000^\circ \text{ s}^{-1}$ with delay times of less than 30 ms.

The extraordinary maneuverability exhibited by flying insects is the result of a sophisticated neuromotor control system combined with highly specialized sensors. These sensors comprise the pressure sensilla, the halteres, the ocelli, and the compound eyes.

Pressure force sensilla are present along the wing surface, the wing base, the halteres, and other parts of the body. Although their functionality in flight control is not clear, they might play an important role in estimating the instantaneous air flow around the wing and in controlling the wing trajectory [6].

The *halteres*, which are two oscillating club-shaped appendages, are the biological equivalence of a gyroscope, and they are used to estimate the body angular velocities [7].

The *ocelli*, which is a sensor system composed of three wide-angle photoreceptors oriented in a tetrahedron configuration, can estimate insect orientation relative to the horizon by comparing the light intensity from different regions of the sky [8].

The *compound eyes* serve the purpose of estimating large-field optical flow, small-field object fixation, and object recognition [9]–[11]. The large-field optical flow estimated from the compound eyes can provide information about the orientation, the angular velocity, and the linear velocity. The compound eyes combined with ocelli and halteres play the role of the INS in insect flight and can guarantee good performance [12], [13]. Furthermore, compound eyes can also perform specialized visual processing for object fixation and landmark recognition, which is used to navigate the environment and estimate proximity of obstacles and targets.

A more detailed description for these sensors from a flight control perspective can be found in [4] and [13] and in the references therein.

At present, little is known about the flight control mechanisms and neuromotor physiology in insects [5], [14]–[16]. Experimental evidence suggests the existence of at least two levels of control, as shown in Fig. 1. At the lower level, the halteres and the ocelli control the wing muscles directly in order to keep stable flight orientation. This level of control seems to be reactive, since it mediates corrective reflexes to compensate for external disturbances and to maintain a stable flight posture. At the higher level, the brain, stimulated by visual and physi-

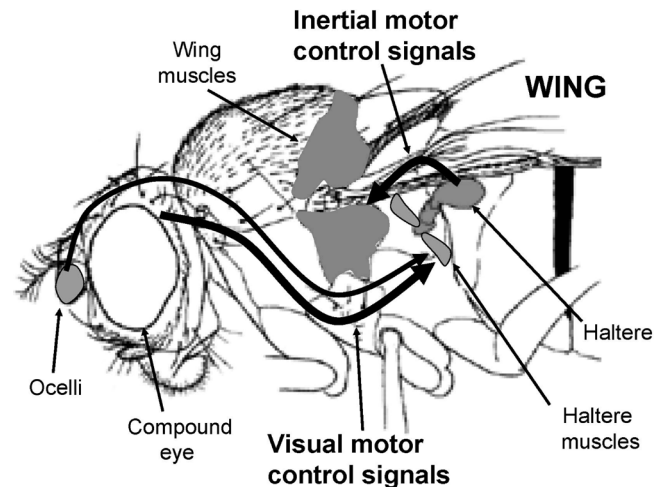


Fig. 1. Neuromotor control physiology in flying insect.

ological stimuli, plays the role of a navigation planner, which plans the flight trajectory based on its ultimate goal, such as foraging or chasing a mate. Different from the haltere–ocelli system, the visual system is not directly connected to the wing muscles. Instead, it provides excitatory input to the haltere muscles [14]. Therefore, this level of control indirectly affects the flight behavior by biasing the motion of the halteres, thus creating an external disturbance for which the lower level of control would try to compensate. This hierarchical architecture in insects might reflect the evolution of the halteres from the hindwings; neurons from the visual system were connected to the muscles of both the forewings and hindwings and continued to do so when the latter evolved into halteres; neurons interconnected the forewing and hindwing pairs so as to permit their synchronization and continued to do so when the hindwings were reduced to halteres. Therefore, a hierarchical architecture appears to be an efficient solution to resolve the conflict between flight stability reflexes and goal-orientated maneuvers. In fact, a similar structure is also present between the vestibular-ocular reflexes and active head rotation in vertebrates [17]. This typical biological neuromotor control architecture is shown in the left side of Fig. 2. Without some appropriate inhibiting mechanism, the haltere-mediated equilibrium reflexes would always counter goal-orientated motions. To resolve this potential conflict, the nervous system must contain the means of attenuating equilibrium reflexes during the generation of controlled maneuvers.

Another sublevel, as part of the reactive control system, might be present and associated with the pressure sensors which innervate the wings and the haltere. This bottom-level reactive control can adjust wing motion within a single wing-beat to improve aerodynamic efficiency and compensate for local turbulence [18].

The hierarchical structure of neuromotor control in true insects has been adopted as a guiding model for the design of the control unit for MFIs, as described in Section III.

III. HIERARCHICAL CONTROL ARCHITECTURE

The hierarchical architecture, which is partially inspired by insects and autonomous aerial robots research [19], decomposes

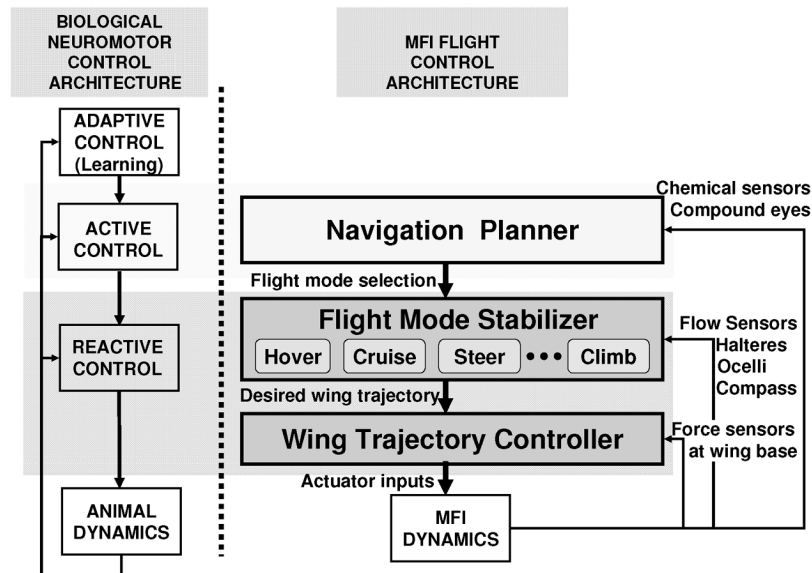


Fig. 2. Design architecture for the control unit of the MFI compared with the neuromotor control architecture present in most animals.

the original flight control problem into a set of hierarchical modules, each responsible for a specific task. This way, the controllers in each module can be designed independently of those on higher levels, thus allowing the possibility to incrementally build more and more articulated control structures. Fig. 2 shows the architecture proposed for the MFI control unit. It is possible to identify three main levels: the *navigation planner*, the *flight mode stabilizer*, and the *wing trajectory controller*. The top level is a voluntary one, since planning is determined by the MFI's goal, and the two lower levels are more reactive since the purpose of the flight mode stabilizers and the wing kinematic generator is to maintain the desired flight posture and the desired wing trajectory in the presence of external disturbances, respectively. Each of these three levels in the control unit receives specific sensory information from different sensors.

At the top level of the control unit, there is the *navigation planner*. Besides sensory input from the visual system, this unit can receive commands from a communication link and information from application-specific sensors such as chemical or temperature sensors. The purpose of this module is to choose a sequence of appropriate flight modes for the flight-mode stabilizer level, which enables the MFI to safely navigate the environment and achieve the desired task such as territory exploration, target localization, and tracking.

The middle level is the *flight mode stabilizer*, which is responsible for stabilizing different flight modes available to the MFI, such as takeoff, hovering, cruising, steer left, steer right, climb, dive, and land. Each flight mode is achieved by a dedicated controller that uses as inputs the signals from the halteres, the ocelli, the large-field optical flow estimates, and a magnetic compass. Based on this information, the controller chooses the appropriate values for the desired torques and forces that must be applied to MFI body to compensate for possible disturbances and to maintain the desired flight mode. The desired torques and forces are then mapped directly into the corresponding wing trajectory for the next wingbeat, as shown in Section V-C.

The bottom level is the *wing trajectory controller*, which is responsible for generating the electrical signals for the actuators in order to track the desired wing motion generated by the flight-mode stabilizer module. The set of possible wing trajectories is parameterized according to some biokinematic parameters, as described in Section V-C. These parameters are chosen based on biomimetic principles, i.e., by changing them, it is possible to replicate most of the wing trajectories observed in insects. The most important biokinematic parameters are the stroke angle amplitude and offset, timing of rotation, mean angle of attack, and upstroke-to-downstroke wing speed ratio. The active change of these parameters by insects have been observed to be directly correlated to specific maneuvers and flight modes [20]. Then, every wing trajectory is mapped to the corresponding actuator voltages via another map, as described in Section VI. The wing trajectory controller receives input information from force sensors placed at the wing's base. This sensory information can be directly used to estimate the instantaneous position and velocity of the wing, thus improving wing motion control through feedback.

IV. INSECT VERSUS HELICOPTER FLIGHT

Similar to aerial vehicles that are based on rotary wings such as helicopters, flying insects control their flight by controlling their attitude and the magnitude of the vertical thrust [20]. Position and velocity control is achieved via attitude control; in fact, forces acting on a plane parallel to the ground can be generated by tilting and banking the body. For example, pitching down would result in a forward thrust, while rolling sideward would result in a lateral acceleration. Altitude control is achieved via mean lift modulation, for example, by increasing the vertical force it would result in an upward acceleration and vice versa.

However, there are some particular differences that prevent one from directly applying successful flight control techniques developed for helicopters to insect flight [21]. The first difference is the lateral asymmetry of helicopter flight. For example,

the spinning of the rotor blade induces a reaction yaw torque on the helicopter body that would make the body rotate in the opposite direction if not compensated by the tail rotor. On the other hand, the tail rotor generates a lateral thrust that needs to be compensated by tilting the helicopter body sideways. This problem is not present in insect flight since the wings oscillate almost symmetrically on the opposite side of the insect body, therefore, lateral inertial forces cancel out over the course of a wingbeat. Moreover, when the helicopter moves forward, the blade is advancing on one side and retreating on the opposite side; the blade on the advancing side experiences a larger flow, while the one on the retreating side experiences a smaller or even reverse flow, thus causing lateral imbalance and instability, called dynamic stall, which needs to be actively compensated [22]. In insect flight, however, the motion of two wings is very symmetric, and coupling between lateral and longitudinal dynamics is probably less pronounced.

Another difference is the highly TV nature of the aerodynamic forces in insect flight. As shown in Fig. 5, the aerodynamic forces and torques generated by the wings can change substantially during a wingbeat. However, the wing motions cannot change dramatically from one wingbeat to the next, since the wings need to oscillate to maintain sufficient lift to sustain the insect weight. Moreover, in insect flight, the two wings can be actively controlled to follow asymmetric trajectories. This allows the insects to generate large angular accelerations by modulating the distribution of the aerodynamic forces within a wingbeat without substantially affecting the mean lift generation. The dependence of torque generation on wing motion in insects has also recently been considered in [23] and [24].

Finally, it is not clear whether the insect forward flight and hovering flight dynamics are intrinsically stable. Recent theoretical [25] and experimental [26] research by Taylor *et al.* on forward flight in desert locusts and numerical analysis by Sun *et al.* [27] on hovering flight in bumblebees suggest that the insect longitudinal flight dynamics possess some unstable modes. However, these modes have a timescale much slower than the wingbeat frequency, therefore it is reasonable to propose that they can be actively compensated for by the flight control system.

These similarities and differences lead us to consider the following strategy when designing a robust stabilizing hovering controller. First, we will model the insect dynamics as a DT linear TI (DTLTI) system based on the average forces and torques over a wingbeat. This approach is based on high-frequency control theory that guarantees good approximation error between the original TV system and averaged system, assuming that the wingbeat frequency is sufficiently high [28]. Moreover, the design for the controller is based on an MFI dynamics model obtained through an identification procedure that includes the approximation errors due to the TV nature of the dynamics.

Second, we parameterize the wing kinematics with four parameters such that they can be mapped uniquely into the three mean torques (i.e., roll, pitch, and yaw) and mean lift. This approach allows direct control of the torques and lift generation, thus simplifying the control design for the attitude and altitude of the MFI. The dynamics of the insect is then linearized about the hovering condition and the original multiple-input multiple-

output (MIMO) system were decoupled into four single-input single-output (SISO) subsystems. Finally, the controller is based on robust output feedback using LQR design.

V. HIGH-FREQUENCY INSECT FLIGHT CONTROL

A. Insect Dynamics

As shown in [4], the insect dynamics can be written as

$$\begin{aligned}\ddot{\Theta} &= (\mathcal{I}W)^{-1} \left[\tau_a^b(t) - W\dot{\Theta} \times \mathcal{I}W\dot{\Theta} - \mathcal{I}W\dot{\Theta} \right] \\ \ddot{\mathbf{p}} &= -\frac{b}{m}\dot{\mathbf{p}} - \mathbf{g} + \frac{1}{m}Rf_a^b(t)\end{aligned}\quad (1)$$

where $\tau_a^b \in \mathbb{R}^3$ and $f_a^b \in \mathbb{R}^3$ are the torque and force vectors generated by wing aerodynamics applied to the insect center of mass. The vector $\Theta = [\eta \ \theta \ \psi]^T$ represents the *ZYX* Euler angles (i.e., roll, pitch, and yaw) relative to the inertia coordinates, $W = W(\Theta)$ is the transformation matrix from body angular velocity ω^b to Euler angular velocity in inertia frame $\dot{\Theta}$, i.e. $\dot{\Theta} = W\omega^b$, \mathcal{I} is the insect moment of inertia relative to the body frame, \mathbf{p} is the position of the center of mass relative to the inertia frame, $\mathbf{g} = [0 \ 0 \ -g]^T$ is the gravity vector, b is the linear damping coefficient, and $R = e^{\hat{z}\psi} e^{\hat{y}\theta} e^{\hat{x}\eta}$ is the rotation matrix. This notation is commonly found in spacecraft and helicopter dynamics literature [21], [29].

The wrench, i.e., the forces and torques applied to the center of mass, is based on a quasi-steady-state model for the insect aerodynamics. It is a nonlinear function of the instantaneous position and velocity of the wing stroke (flapping) angle ϕ and the angle of attack α of both wings, but it does not depend explicitly on time. The aerodynamic forces and torques can be written as

$$\begin{aligned}f_a^b(t) &= f_a^b(\phi_r, \phi_l, \varphi_r, \varphi_l, \dot{\phi}_r, \dot{\phi}_l, \dot{\varphi}_r, \dot{\varphi}_l) = f_a^b(u, \dot{u}) \\ \tau_a^b(t) &= \tau_a^b(\phi_r, \phi_l, \varphi_r, \varphi_l, \dot{\phi}_r, \dot{\phi}_l, \dot{\varphi}_r, \dot{\varphi}_l) = \tau_a^b(u, \dot{u})\end{aligned}\quad (2)$$

where $u = (\phi_r, \phi_l, \varphi_r, \varphi_l)$, and the lower scripts r and l stand for right and left wing, respectively. The stroke angle ϕ is the angle between the wing radial axis and the y -axis of the stroke plane. The rotation angle φ is defined as the angle between the vertical plane and the wing profile, which corresponds to the complement of the angle of attack α , i.e., $\alpha = 90^\circ - |\varphi|$ (see Fig. 3). The explicit expression of aerodynamics forces and torques as a function of wing kinematics can be found in [4]. The aerodynamic forces and torque are the only TV element in (1); otherwise, the insect dynamics would be very similar to the TI nonlinear dynamics of a helicopter. On the other hand, the wingbeat period is much smaller than the responsiveness of the insect body, therefore, intuitively speaking, only mean forces and torques are relevant. In fact, this approximation has been formalized by averaging theory [28] and has been widely used in different applications including helicopter aerodynamics [22], [30]. Recently, averaging theory and high-frequency periodic control has been successfully paired with tools from geometric control theory [31], [32] for trajectory tracking and approximate stabilization of fish and snake-like vehicles [33]–[39]. In particular, these tools model the system dynamics as an affine system of the form $\dot{x} = f_0(x) + \sum_{i=1}^m f_i(x)u_i$, where u_i are the control inputs. Moreover, these systems are underactuated, i.e., the number of available inputs u_i is smaller than the DOFs. A

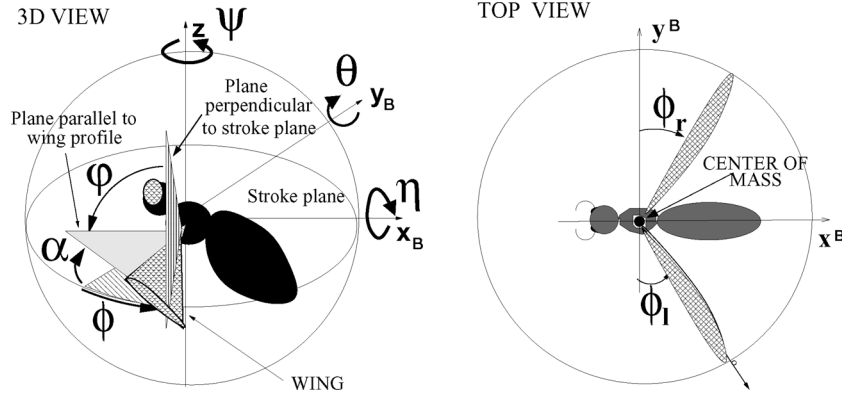


Fig. 3. Definition of wing kinematic parameters. Left: 3-D view of insect body and left wing. Right: Top view of the insect stroke plane.

classical example of an underactuated system is a car-like vehicle; in fact, even if only steering and forward velocity can be controlled, the car can be steered to any desired configuration, i.e., x - y position and orientation. One of the goals of geometric control theory is to design suitable stabilizing TV inputs $u_i = g_i(x, t)$ directly from the structure of the flow of the dynamics, i.e., from the vectors $f_i(x)$. For driftless systems, i.e., for $f_0(x) = 0$, such conditions have been found, and a number of stabilizing algorithms exists [31], [40], [41]. However, the dynamics of most biological locomotion such as fish and eel swimming include a drift term. The drift term greatly complicates the controllability analysis and controller design. Only a few tools are available to systematically synthesize the control laws for such systems, and they are mainly limited to mechanical systems with specific geometric properties [42], [43]. This is a very active research area, but it is beyond the scope of this paper to review it. We refer the interested reader to the textbooks [31] and [32] for a general discussion on geometric control theory and to the review paper [44] for its application to fish swimming.

Although insect flight belongs to the class of underactuated control systems, we do not directly apply these tools because of the complexity of the aerodynamic forces and torques and, thus, the complexity of the vector flow described as a function of the wing angles and velocities u . In principle, the geometrical properties of insect flight could be analyzed numerically and then control algorithms could be designed by applying the aforementioned tools. However, this is not a straightforward method since insect aerodynamics are highly nonlinear. Moreover, this purely mathematical approach gives rise to a very complex description of controllers which is hard to relate to the flight control mechanisms adopted by insects. Therefore, this direction is not pursued further here. Instead, we propose to parameterize the wing motion based on biomimetic principles to design our periodic inputs, i.e., we propose $u = g(v, t)$. Then, by applying averaging theory to approximate the complex TV dynamics with the average TI dynamics, we show that there is a direct map between the proposed kinematic parameters and the mean forces and torques. The kinematic parameters appear as virtual inputs in the averaged dynamics. The averaged dynamics is then suitable to standard controller design, similarly to those found in helicopter control.

B. Averaging

Averaging theory and high-frequency control encompass several results, and they have been applied in different scientific areas. Recently, these results have been applied specifically to insect flight [45]. Here, we report only some of the results that we will use for the flight controller design.

Theorem 1: ([45]) Let us consider the following systems:

$$\begin{cases} \dot{x} = f(x, u, \dot{u}) \\ u = g(v, t) \\ v = h(x) \\ g(v, t) = g(v, t + T) \end{cases} \quad (3)$$

$$\begin{cases} \dot{\bar{x}} = \bar{f}(\bar{x}, \bar{v}) \\ \bar{f}(\bar{x}, \bar{v}) = \frac{1}{T} \int_0^T f(x, g(v, t), \dot{g}(v, t)) dt \\ \bar{v} = h(\bar{x}) \end{cases} \quad (4)$$

where $x, \bar{x} \in \mathbb{R}^n$, $u \in \mathbb{R}^m$, and $v \in \mathbb{R}^p$, and all functions and their partial derivatives are continuous up to second order.

If $\bar{x} = 0$ is an exponentially stable equilibrium point for the averaged system (4), then there exists $k > 0$ such that $\|x(t) - \bar{x}(t)\| < kT$ for all $t \in [0, \infty)$. Moreover, the original system (3) has a unique, exponentially stable, T -periodic orbit $x_T(t)$ with the property $\|x_T(t)\| < kT$.

In our setting, T is the wingbeat period, and the system $f(x, u)$ is given by (1) and (2), where the vector $u = (\phi_r, \phi_l, \varphi_r, \varphi_l)$ represents the right and left wing angles. The theorem is an application of singular perturbation theory [28], [46], which studies the behavior of the dynamical system $\dot{x} = \epsilon f(x, t, \epsilon)$, where the vector flow f is T -periodic in t and ϵ is a small parameter. In fact, after the change of timescale $\tau = t/T$, (3) can be written as $dx/d\tau = Tf(x, g(h(x), T\tau), \dot{g}(h(x), T\tau)) = T\tilde{f}(x, \tau)$, where \tilde{f} is 1-periodic in its second argument. Therefore, the period T plays the role of the perturbation parameter ϵ and should not be confused with the period T .

As will be shown in Section V-C, the wing trajectories are chosen to be T -periodic functions and are parameterized by a parameter vector v , i.e., $u = g(v, t)$. The parameter vector v can be interpreted as a vector of virtual inputs. Therefore, as suggested by the theorem, we will focus on the averaged dynamics

given by (1) where the TV wrench $(f_a^b(t), \tau_a^b(t))$ is substituted with its average

$$\begin{aligned}\bar{f}_a^b(v) &\triangleq \frac{1}{T} \int_0^T f_a^b(g(v,t), \dot{g}(v,t)) dt \\ \bar{\tau}_a^b(v) &\triangleq \frac{1}{T} \int_0^T \tau_a^b(g(v,t), \dot{g}(v,t)) dt.\end{aligned}\quad (5)$$

The averaged wrench is time-independent and depends only on the virtual input vector v . The use of periodic control inputs parameterized by a set of virtual input is not new, and it has been used extensively in geometric control theory and averaging [34], [37], [42], [43], [47]. We will then look for exponentially stabilizing control feedback law $v = h(x)$ for the averaged systems. If such a function exists, then the original TV system will have a bounded error from the desired equilibrium point if the wing-beat period T is sufficiently small. Although this approach does not guarantee asymptotic stability for the original system, we will show that the error bound kT is very small for insect flight as observed in true insects and, therefore, irrelevant from a practical point of view.

C. Wing Kinematic Parametrization

Although it is currently unclear how true insects accomplish the control of their flight and maneuvering capabilities, recent experimental work on true and robotic models has found that, by modulating a few kinematic parameters on each wing, such as wing rotation timing at the stroke reversals and the wing blade angle of attack, the insect can readily apply torques on the body and, therefore, control its attitude and position [2], [20], [24], [48]. Similar considerations has also been observed also in fish-like swimming [49], where the modulation of few fin kinematic parameters can generate large torques and forces. Based on these observations, it was suggested that a small set of wing kinematics might be sufficient to generate all possible flight modes, and the key point for designing any of these modes is the capability to control the MFI's attitude [50].

In particular, the research done by Dickinson and his group [2], [24] has suggested that the following kinematic parameters may suffice to generate any flight maneuver: *timing of rotation, mean angle of attack, stroke angle amplitude, stroke angle offset, and downstroke deviation*. There is a strong evidence that, if these parameters can be controlled independently, then it is possible to control the torque and force generation during flapping. For example, a large (small) stroke angle amplitude would generate a large (small) lift. An advanced (delayed) timing of rotation at the end of the downstroke results in a nose-up (nose-down) pitch torque. A larger (smaller) angle of attack during the downstroke relative to the upstroke produces a backward (forward) thrust. Most true insects flap their wings along a symmetric trajectory with a stroke angle amplitude around 120° and mean angle of attack of 45° on both downstroke and upstroke [5], [51]. However, during saccades and other maneuvers, they modify the wing trajectory by changing the kinematic parameters described above [52].

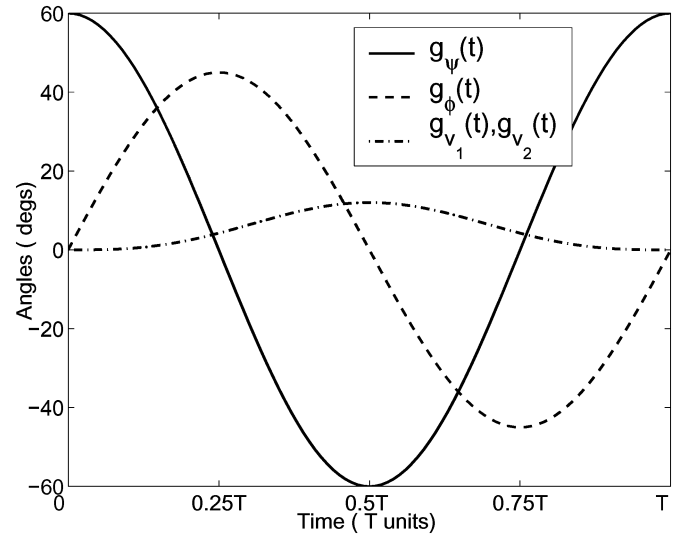


Fig. 4. Wing kinematic parameterizing functions given in (8).

Based on these biologically inspired arguments, the problem to solve then is how to parameterize the wing trajectory to be able to mimic the real insects by *independently* controlling some of the biokinematic parameters described above. We will then show how the parameters map directly to the mean torques and forces, thus simplifying the design of a flight stabilizer. More specifically, the wing trajectory during a wingbeat is described using the stroke angle ϕ and the rotation angle φ . In particular, we parameterize the wing motion of each wing within a wing-beat period as follows:

$$\phi(v, t) = g_\phi(t) + v_1 g_1(t) \quad (6)$$

$$\varphi(v, t) = g_\varphi(t) + v_2 g_2(t) \quad (7)$$

where the functions $g_i(t)$ are T -periodic functions, i.e., $g_i(t + T) = g_i(t)$, (v_1, v_2) are the kinematic parameters, and T is the wingbeat period. These functions are chosen based on the considerations above. In particular, $g_\varphi(t)$ and $g_\phi(t)$ generate a symmetric motion with maximum lift production, $g_1(t)$ modifies only the stroke angle amplitude, and $g_2(t)$ modifies the timing of rotation of the angle of attack at the end of the downstroke. Based on observations of true insect flight, we choose the following functions:

$$\begin{aligned}g_\phi(t) &= \frac{\pi}{3} \cos\left(\frac{2\pi}{T}t\right) \\ g_\varphi(t) &= \frac{\pi}{4} \sin\left(\frac{2\pi}{T}t\right) \\ g_1(t) &= \frac{\pi}{15} \sin^3\left(\frac{\pi}{T}t\right) \\ g_2(t) &= g_1(t)\end{aligned}\quad (8)$$

shown in Fig. 4, which are defined on the interval $t \in [0, T)$ and extended by periodicity so that $g_i(t + T) = g_i(t)$. The rationale behind the choice of functions g_1 and g_2 was the necessity of finding smooth curves that could modify wing trajectory amplitude and timing of rotation as described above. Fig. 5 shows a pictorial representation of wing motion and corresponding aerodynamic forces for different choices of the kinematic parameter

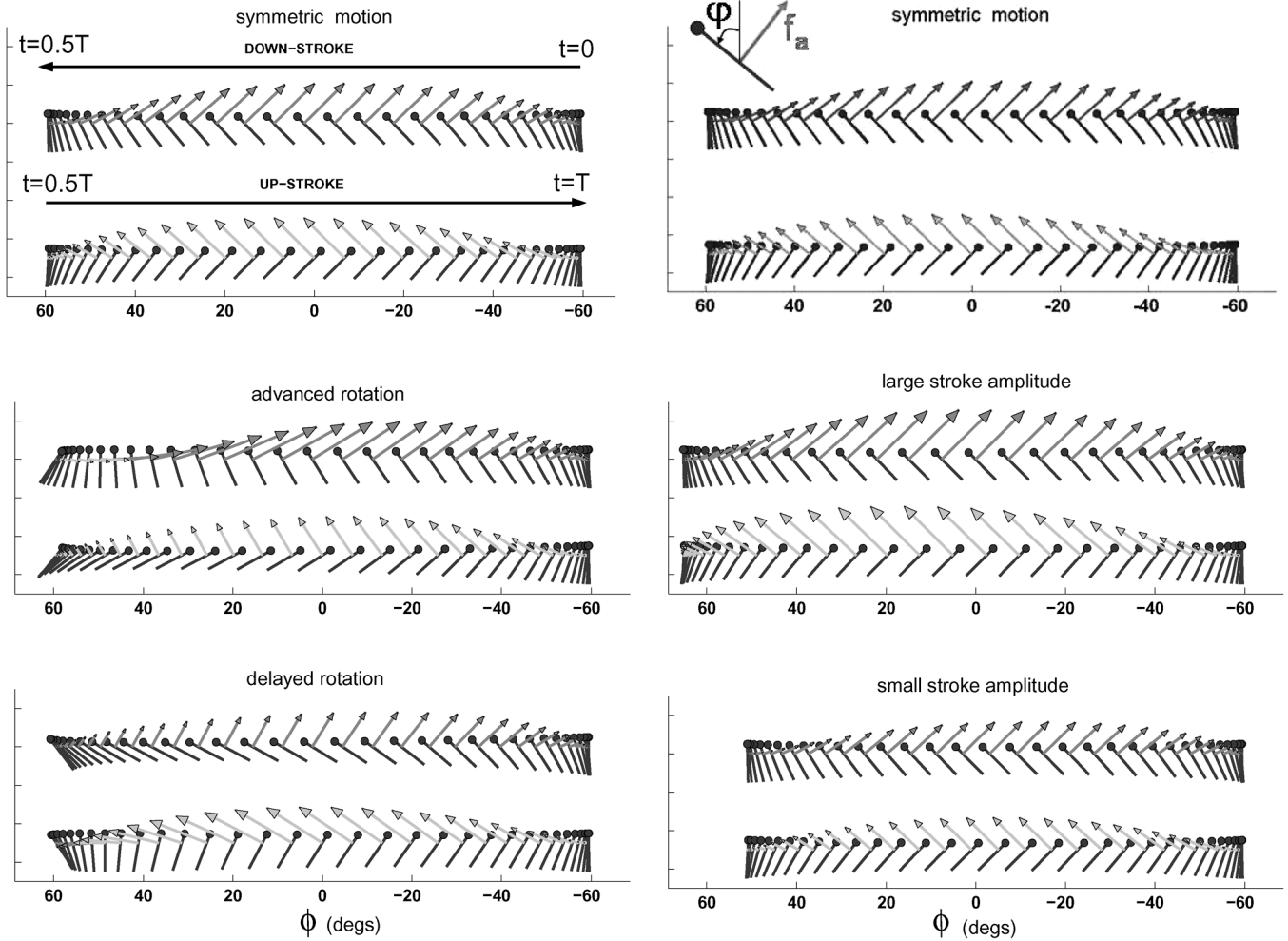


Fig. 5. Pictorial sequence of the side view of wing motions and the corresponding aerodynamic forces for different choice of kinematic parameters. Symmetric motion: $v_1 = 0, v_2 = 0$. Advanced rotation: $v_1 = 0, v_2 = 1$. Delayed rotation: $v_1 = 0, v_2 = -1$. Large stroke amplitude: $v_1 = 1, v_2 = 0$. Small stroke amplitude: $v_1 = -1, v_2 = 0$. Symmetric motion is defined as a wing trajectory for which downstroke and upstroke of a single wing are identical, i.e., the wing motion is symmetrical with respect to time instant $0.5T$. The vector f_a represents the aerodynamic force acting on the center of pressure of the wing.

v_1 and v_2 . Note how these parameters affect the distribution of forces along the whole wingbeat period.

The wing parametrization given by (8) is not unique, and might not be optimal, either. However, it gives rise to wing trajectories that mimic some of the trajectories observed in true insects. In fact, a positive (negative) value for v_1 results in a large (small) stroke angle amplitude; a positive (negative) value for β results in a delayed (advanced) timing of rotation at the end of the downstroke. If this parametrization above is replicated for both wings, the wings kinematics $u = (\phi_r, \phi_l, \varphi_r, \varphi_l)$ can be written in terms of the parameters $v = (v_1^r, v_1^l, v_2^r, v_2^l)$ as follows:

$$u(v, t) = g(t) + G(t)v \quad (9)$$

$$g = \begin{bmatrix} g_\phi \\ g_\phi \\ g_\varphi \\ g_\varphi \end{bmatrix}$$

$$G = \begin{bmatrix} g_1 & 0 & 0 & 0 \\ 0 & g_1 & 0 & 0 \\ 0 & 0 & g_2 & 0 \\ 0 & 0 & 0 & g_2 \end{bmatrix}$$

where $g(t)$ and $G(t)$ are a T -periodic vector and matrix, respectively, whose entries are defined in (8).

It is now possible to study the effect of the chosen parametrization on the mean wrench. In fact, if we substitute (9) into (5), we obtain a static map $\Pi : \mathbb{R}^4 \rightarrow \mathbb{R}^6$ from the wings parameters $v \in \mathbb{R}^4$ to the mean wrench $(\bar{f}_a^b, \bar{\tau}_a^b) \in \mathbb{R}^6$ as follows:

$$\begin{bmatrix} \bar{f}_a^b \\ \bar{\tau}_a^b \end{bmatrix} = \Pi(v). \quad (10)$$

This is a nonlinear map and cannot be computed analytically, since the aerodynamic force and torque are complex functions of wing position and velocity (see [4, Sec. IV]). However, one could look for an affine approximation around the origin of the wings parameters

$$\begin{bmatrix} \bar{f}_a^b \\ \bar{\tau}_a^b \end{bmatrix} = \pi_0 + \Pi_I v + \delta(v) \quad (11)$$

where $\pi_0 \in \mathbb{R}^6$, $\Pi_I \in \mathbb{R}^{6 \times 4}$, and $\delta(v)$ is the approximation error. Although it is not possible to linearize analytically (11)

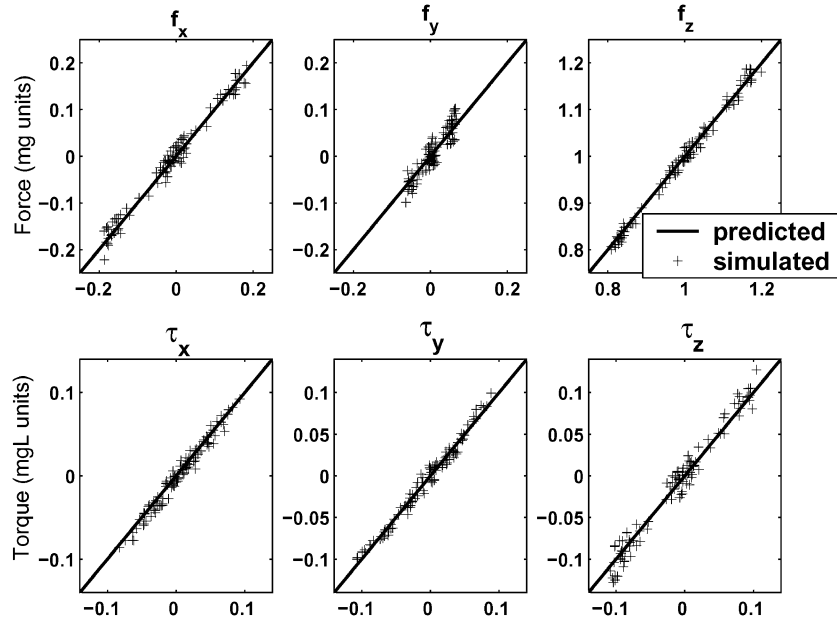


Fig. 6. Predicted mean wrench $w = \pi_0 + \Pi_l v$ (x -axis) versus the exact mean wrench (y -axis) obtained from simulations for 100 random values of the wings parameter vector v in the unit box, i.e., $\|v\|_\infty \leq 1$. The spreading around diagonal lines quantifies the modeling errors.

to obtain π_0 and Π_l directly, it is possible to randomly select different values for the parameter vector v , substitute it into the parametrization given by (9), and, finally, compute the true mean wrench $(\bar{f}_a^b, \bar{\tau}_a^b)$ via simulations using the exact wing aerodynamics. The approximating π_0 and Π_l can then be found by rewriting (11) as a least-square (LS) problem, where (π_0, Π_l) are the unknowns. Simulations are performed based on the aerodynamic model described in [4] and on the morphological body parameter of a typical blowfly, which is the MFI target model. The approximating affine map is found to be as follows:

$$\pi_0 = \begin{bmatrix} 0 \\ 0 \\ \text{mg} \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

$$\Pi_l = 0.1 \text{ mg} \begin{bmatrix} 0 & 0 & -1.0 & -1.0 \\ 0 & 0 & 0.3 & -0.3 \\ 0.9 & 0.9 & 0 & 0 \\ 0.4L & -0.4L & -0.1L & 0.1L \\ -0.2L & -0.2L & -0.4L & -0.4L \\ 0 & 0 & -0.5L & 0.5L \end{bmatrix} \quad (12)$$

where m is the mass of the insect, L is the length of the wing, and the zero entries correspond to estimated values negligible relative to the largest entries in the matrix. This approximation is quite accurate for kinematic parameters smaller than unity, $\|v\|_\infty \leq 1$. Fig. 6 shows that the estimated mean wrench, $w = \pi_0 + \Pi_l v$, predicts quite accurately the true mean wrench obtained from simulations, thus validating our approach.

The particular structure of this map is a consequence of the parametrization based on the biological insights described at the beginning of this section. In fact, as we expect, any component of the wrench depends additively or differentially on two parameters, depending on if the wings are moving symmetrically or

antisymmetrically. Note that, along the z -component, the symmetrical wing motions generate a vertical lift sufficient to balance insect body weight. The magnitude of the coefficients in the map are considerable. In fact, besides the force necessary to balance its weight, the insect can generate forward or vertical thrust in excess of in the order of $\bar{f}_a^b \approx 0.1\text{--}0.2$ mg, and angular torques of order $\bar{\tau}_a^b = 0.1\text{--}0.2$ mLg. In other words, considering that the moment of inertia of a true insect along one of its principal axis is on the order of $I \approx 0.1$ mL² [51] and that our target wing size is $L = 10$ mm, this is equivalent to saying that the insect can generate linear accelerations of about $a_{\text{lin}} = \bar{f}_a^b/m = 0.2g \approx 2$ m/s² and angular accelerations of about $a_{\text{ang}} = \bar{\tau}_a^b/I = g/L \approx 10^5$ deg/s², which are comparable with those observed in true insects.

By inspecting the structure of this parameters-to-wrench map, it is apparent that the three mean torques and the vertical thrust can be controlled almost independently by appropriately choosing the values for the four wing parameters v . However, there are small but nonnegligible couplings between some of the wrench components. For example, a positive (negative) pitch torque is always associated with a positive (negative) forward thrust. Similarly, a positive (negative) yaw torque is associated with a small positive (negative) roll torque and a small negative (positive) lateral force. Although this is undesirable, it does not undermine the stabilizability of flight modes, as we will show in Section VI.

This section can be summarized by saying that although it is not possible to instantaneously control the insect wrench, there exist wing motions that can independently control the *mean* forces along the z -axis and the torques about all three axes. We also showed that the affine parametrization of wing motions given by (9), based on biomimetic principles, gives rise to a simple affine map between the mean wrench and the kinematic parameters. The inspection of the map shows that the three mean torque components and the vertical thrust can be

controlled independently. The input vector u and virtual input v , as defined in *Theorem 1*, correspond in our setting to the wing angles $u = (\phi_r, \phi_l, \varphi_r, \varphi_l)$ and kinematic parameters $v = (v_1^r, v_1^l, v_2^r, v_2^l)$. In Section VI, we will show how to design stabilizing controllers for the linearized averaged dynamics. By *Theorem 1*, these controllers are also guaranteed to stabilize the original nonlinear TV dynamics. It is also important to remark that insect flight control is being studied from a fully dynamic point of view, although the control inputs, which are parameterized relative to the wing kinematics, might induce the thought that the control is based only on a kinematic model.

VI. WING TRAJECTORY TRACKING AND ACTUATOR CONTROL

The previous section described how to design wing trajectories that can generate the desired mean forces and torques during a wingbeat period. However, the wing trajectory cannot be controlled directly, and appropriate input voltages to the thorax actuators must be devised to track the desired wing trajectory. As described in [4], the dynamics of the thorax-wing structure can be approximated as a stable two-degree-of-freedom (2-DOF) second-order system. Given a desired wing trajectory $(\phi_d(t), \varphi_d(t))$, we can calculate the corresponding steady-state input voltages by substitution as follows:

$$\begin{bmatrix} V_{1,d}(t) \\ V_{2,d}(t) \end{bmatrix} = T_0^{-1} \left(M_0 \begin{bmatrix} \ddot{\phi}_d(t) \\ \ddot{\varphi}_d(t) \end{bmatrix} + B_0 \begin{bmatrix} \dot{\phi}_d(t) \\ \dot{\varphi}_d(t) \end{bmatrix} + K_0 \begin{bmatrix} \phi_d(t) \\ \varphi_d(t) \end{bmatrix} \right) \quad (13)$$

where T_0 , M_0 , B_0 , and $K_0 \in \mathbb{R}^{2 \times 2}$ are constant matrices, and V_1 and V_2 are the input voltages to the wing actuators. Let $V = (V_1^l, V_1^r, V_2^l, V_2^r)$ be the input voltages for the two wings, and $u = (\phi_r, \phi_l, \varphi_r, \varphi_l)$, then the wing-thorax dynamics for both wings can be rewritten as follows:

$$M\ddot{u} + B\dot{u} + Ku = V \quad (14)$$

where M , B , and K are matrices that depend on T_0 , M_0 , B_0 , and K_0 , and the dynamics are stable. As we will show in Section VII, the flight-mode stabilizer is assumed to be able to select a new wing trajectory at the beginning of every wingbeat from among those defined by the parametrization in (7) and (8). This is equivalent to saying that given any sequence $\{v_n\}_{n=0}^{\infty}$, where $v = (v_1^r, v_1^l, v_2^r, v_2^l)$ is the wing kinematics parameter vector as defined in the previous section, the wing trajectory controller must track the trajectory

$$u_d(t) = g(t) + G(t)v(t), \quad (15)$$

$$v(t) = v_n, \quad t \in [nT, (n+1)T) \quad (16)$$

where $g(t)$ and $G(t)$ are defined in (9). Note that the matrix $G(t)$ defined in (8) was specifically chosen to have the additional property

$$G(0) = \dot{G}(0) = \ddot{G}(0) = G(T) = \dot{G}(T) = \ddot{G}(T) = 0 \quad (17)$$

and, therefore, the trajectory $u_d(t) \in \mathcal{C}^2$ is continuous up to its second derivative for any sequence $\{v_n\}$. If we substitute (15) into (14), we formally obtain

$$V_d(t) = h(t) + H(t)v(t) \quad (18)$$

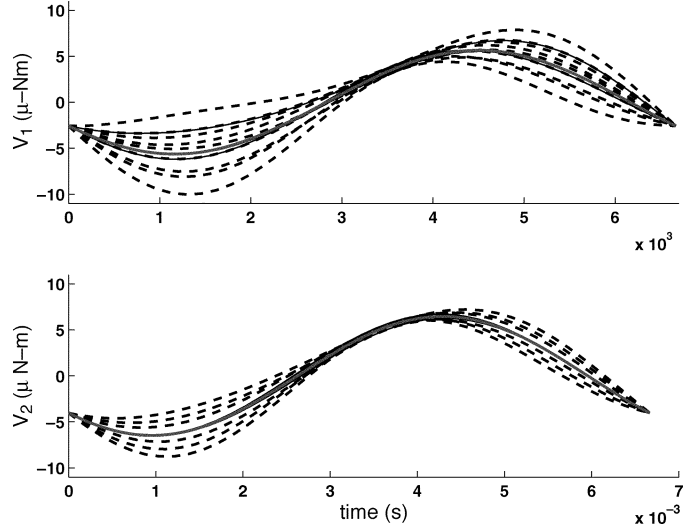


Fig. 7. Actuator voltage profile as defined in (18) for ten random values of parameter vector v with $\|v\|_{\infty} \leq 1$. The solid line corresponds to $v = 0$, i.e., $V_d(t) = h(t)$. Note that $\|V_d(t)\|_{\infty} \leq 10 \mu\text{N}$ for all $\|v\|_{\infty} \leq 1$.

$$\begin{aligned} v(t) &= v_n, \quad t \in [nT, (n+1)T) \\ h(t) &= M\ddot{g}(t) + B\dot{g}(t) + Kg(t) \\ H(t) &= M\dot{G}(t) + B\dot{G}(t) + KG(t) \end{aligned} \quad (19)$$

where $h(t)$ and $H(t)$ are a T -periodic vector and matrix, respectively (see Fig. 7). Since $H(t)$ is simply a linear combination of $G(t)$ and its first and second derivatives, then it follows from (17) that $H(0) = H(T) = 0$. This implies that the input voltage vector $V_d(t) \in \mathcal{C}^0$ is continuous for any sequence $\{v_n\}$.

Let us consider a desired wing trajectory vector $u_d(t)$ defined by (9), and the corresponding feasible input voltage vector $V_d(t)$ defined by (18). We define the wing trajectory tracking error to be $e_u = u - u_d$ and apply input voltage $V_d(t)$, then we have

$$\begin{aligned} M\ddot{e}_u &= -B\dot{e}_u - Ke_u \\ \dot{e}_u(0) &= \dot{u}(0) - \dot{u}_d(0) \\ e_u(0) &= u(0) - u_d(0) \end{aligned}$$

where we used (14) and the fact $\ddot{u}_d(t) = -B\dot{u}_d(t) - Ku_d(t) + V_d(t)$ for all $t \in [0, \infty)$. Since the system above is stable, we have that $\lim_{t \rightarrow \infty} e_u(t) = 0$ or, equivalently, $\lim_{t \rightarrow \infty} u(t) = u_d(t)$ for any initial condition. The rate of decay $1/\tau_{\text{decay}}$ is set by the poles of the wing-thorax mechanical system. The time constant τ_{decay} is approximately one to two wingbeat periods for the target MFI design. This property guarantees that even if we cannot directly control the wing trajectory, any initial perturbation would disappear within a few wingbeats, and the wing trajectory would converge exponentially to the steady-state solution, as shown in Fig. 8.

The wing trajectory tracking approach developed in this section is equivalent to a feedforward control of wing trajectory during a single wingbeat. In fact, it allows trajectory changes only at the beginning of every wingbeat, in such a way that this transition is smooth and there is no error between desired wing trajectory and actual wing trajectory. This is equivalent to saying that there is no error between the desired and actual mean

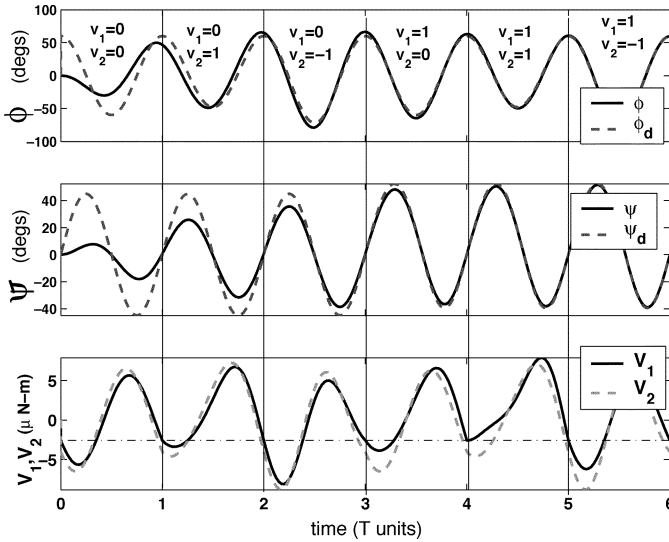


Fig. 8. Simulation of actuator control given in (18) showing asymptotically tracking of desired trajectory for a random sequence of kinematic parameters $\{v_n\}$, where $v = (v_1, v_2)$, and random initial condition of actuator state vector, for one wing. From bottom to top: actuator voltages V_1, V_2 as given by (18) (bottom). Rotation angle ψ (center), and stroke angle ϕ (top), given by (14). The error between desired and true wing trajectory decays after approximately three wingbeat periods.

wrench during the following wingbeat. This approach has two main advantages. The first advantage is that we can assume to have direct control of the wing trajectory, and we can neglect the wing-thorax dynamics since any perturbation would die off within a few wingbeats. The second advantage is that it naturally leads to a DT system, since the wing kinematic parameters v are updated every T seconds, i.e., at the beginning of every wingbeat. We will exploit these two properties in Section VII by modeling the insect dynamics as a DT system, where the inputs are the wing kinematic parameters v , and the state is the mean value of the body linear and angular position and velocity within the previous wingbeat.

VII. FLIGHT CONTROL IN HOVER

Following the guidelines described in the previous section, we can now look for a stabilizing controller for hovering by designing a feedback law $v = h(x)$, such that the origin of the averaged system is exponentially stable.

A. Identification

The analysis in the previous section provides a torque decoupling scheme together with a set of virtual control inputs, i.e., the wing kinematic parameters v , which enters into the averaged system in an affine fashion. Since we are interested in the insect dynamics close to the hovering regime where angular deviations and angular velocities are small, we linearize the averaged system dynamics near hover. We approximate the continuous-time nonlinear system, with a DTLTI model in the following form:

$$\begin{aligned} x(n+1) &= Ax(n) + Bv(n) + \delta(n) \\ y(n) &= x(n) + \eta(n) \end{aligned} \quad (20)$$

where $x = [\bar{\eta}_x \ \bar{\theta}_y \ \bar{\psi}_z \ \bar{\omega}_x \ \bar{\omega}_y \ \bar{\omega}_z \ \bar{p}_x \ \bar{p}_y \ \bar{p}_z \ \bar{v}_x \ \bar{v}_y \ \bar{v}_z]^T$ is the vector of average roll, pitch, and yaw angles, angular velocities, positions, and linear velocities over one wingbeat, respectively, and $\delta(n)$ represents the unmodeled dynamics, as well as external disturbances which appear as an external noise to the linear model. This term includes both process noise as well as unmodeled nonlinearities. The input vector $v = [v_1^x \ v_1^l \ v_2^x \ v_2^l]^T$ are the wing kinematic parameters, which appear as virtual control inputs. The measurement vector $y = [\bar{y}_2^o \ \bar{y}_1^o \ \bar{y}^c \ \bar{y}_1^h \ \bar{y}_2^h \ \bar{y}_3^h; \ \bar{y}_1^e \ \bar{y}_2^e \ \bar{y}_3^e \ \bar{y}_4^e \ \bar{y}_5^e \ \bar{y}_6^e]^T$ is the vector of measured outputs from the ocelli, halteres, magnetic compass, and compound eyes, with additional measurement noise $\eta(n)$. As described in [4], these measurements correspond to an estimate of the insect true state, i.e., $y = \hat{x}$.

The matrices $[A, B]$ can be obtained analytically from MFI morphological parameters such as mass, moment of inertia, and center of mass. However, these parameters are difficult to obtain in practice. Moreover, this approach cannot model the effect of the TV part of the aerodynamic forces. Another approach would be to substitute the parameter-to-wrench map into the original nonlinear dynamics and linearize it. Here, we adopted the system identification approach, i.e., run a large number of experiments and record the pair $[y(n), v(n)]$ of sensor measurements and kinematic parameters, and then find the matrices $[A, B]$ that best fit the data. Moreover, further investigation into the particular structure of the insect dynamics given in (20) results in the following approximate linear system to be identified:

$$\begin{aligned} A &= \begin{bmatrix} I_{3 \times 3} & TI_{3 \times 3} & 0_{3 \times 3} & 0_{3 \times 3} \\ 0_{3 \times 3} & A_{22} & 0_{3 \times 3} & 0_{3 \times 3} \\ 0_{3 \times 3} & 0_{3 \times 3} & I_{3 \times 3} & TI_{3 \times 3} \\ A_{41} & 0_{3 \times 3} & 0_{3 \times 3} & A_{44} \end{bmatrix} \\ B &= \begin{bmatrix} 0_{3 \times 3} \\ B_{21} \\ 0_{3 \times 3} \\ B_{41} \end{bmatrix} \end{aligned}$$

where T is the wingbeat period, the matrices A_{22} and A_{44} account for angular and linear damping, and the matrix A_{41} accounts for the linear accelerations due to tilted body orientation. This structure is typically used in helicopter dynamics identification [53], [54].

We first estimate a model in open loop where only data for the first several wingbeats are recorded. Since the sensor measurements provide an estimate for all of the entries of the state vector, the model identification problem can be recast into an LS solution to an overdetermined set of linear equations as $Ez = d$, where $z = [a_{i,j}, \dots, b_{k,h}]^T$ is the vector of system parameters to be estimated, and $a_{i,j}$ and $b_{k,h}$ are the nonzero entries of the matrices A and B , respectively. The matrix $E = E(y(\cdot), u(\cdot))$ and $d = d(y(\cdot))$ are matrices whose entries depend on the experimental data. The LS solution that minimizes the norm of the error $\|e\|^2 = \|d - Ez\|^2$ is given by $z = E(E^T E)^{-1} E^T d$. The experiments were performed on the Virtual Insect Flight Simulator (VIFS), which was developed by the authors to provide a software testbed for insect flight [4]. The experimental data were generated with random inputs and initial conditions near the hovering equilibrium.

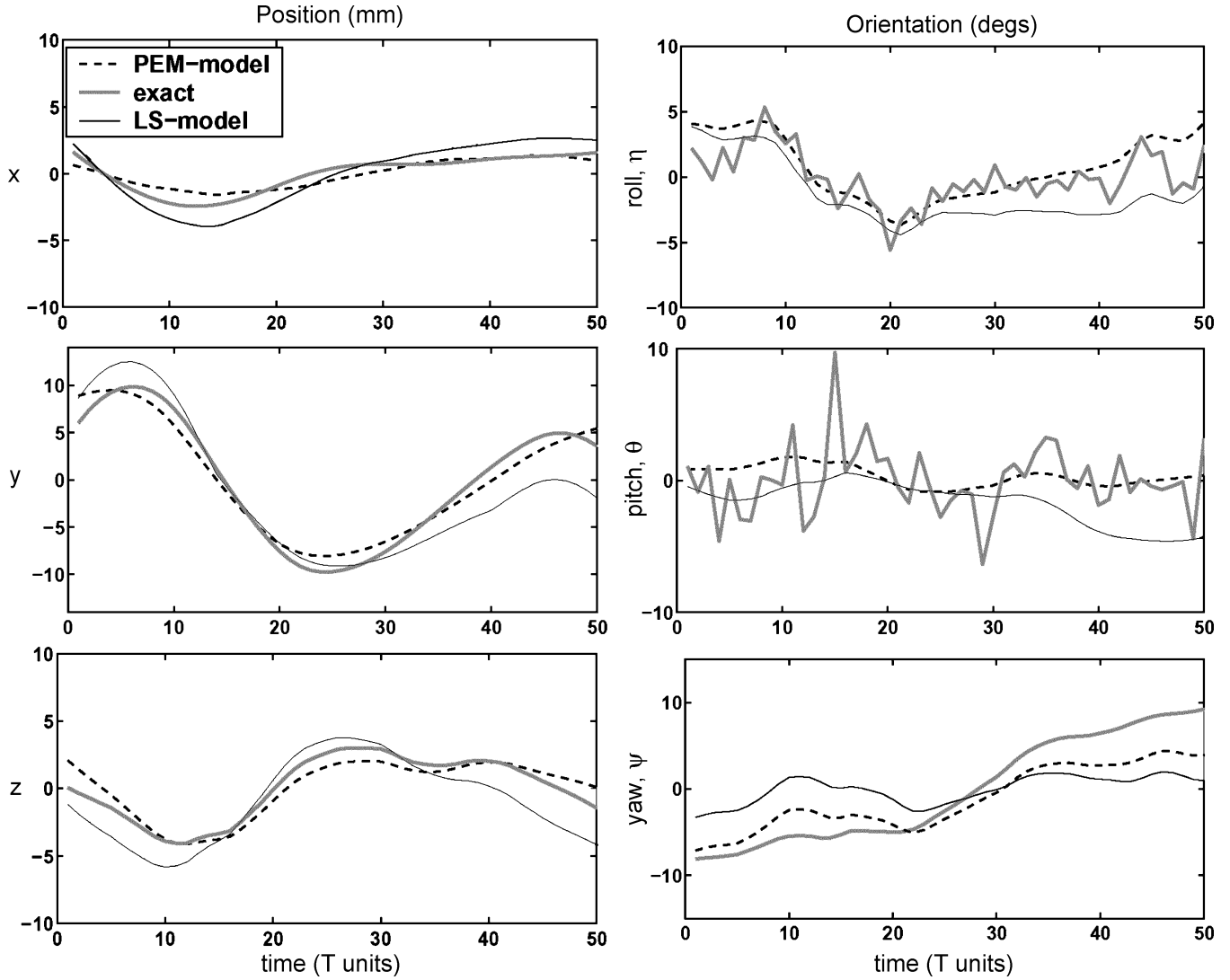


Fig. 9. Comparison of the exact mean angles and angular velocities (thick solid line) with those predicted with the PEM-based DTLTI model (dashed line), the LS-based DTLTI model (thin solid line), and those simulated using an exact model over 50 consecutive wingbeats.

Based on this LS model $[A, B]$, a stabilizing state feedback control based on pole placement was designed and tuned, first on the nominal LTI model, and then verified on the fully nonlinear continuous-time dynamics provided by VIFS. Although LS identification is simple and straightforward, it does not exploit the structure of the dynamics present in (20) nor does it provide a systematic way to estimate process and output noise. However, it does provide a stabilizing controller which can be used successively to perform closed-loop system identification through the prediction error method (PEM) [55]. The PEM cannot be applied directly to the system (20), since the system is unstable, which is why LS identification is performed first. The PEM-based identified model performed better than the LS-based one in predicting insect dynamics as shown in Fig. 9. Moreover, the estimated process and measurement noise variances and biases can be used to design better robust controllers.

B. Controller Design

In order to address the tradeoff between regulation performance and control effort to avoid control input saturation and to

take into account process disturbances and measurement noise in (20), we employed a linear quadratic Gaussian (LQG) optimal controller design.

As a first step, a state-feedback LQR regulator $v = -Kx$ was designed to minimize the following quadratic cost function:

$$J = \lim_{N \rightarrow \infty} E \left(\sum_{n=1}^N x(n)^T Q x(n) + v(n)^T R v(n) \right) \quad (21)$$

where $Q \geq 0$ and $R > 0$ are the weighting matrices that define the tradeoff between regulation performance and control effort. The controller was designed with standard DT LQG software, and the diagonal entries in the weighting matrices are iteratively tuned to ensure a good transient response without saturating the control inputs. The above LQR optimal state feedback $v = -Kx$ is then substituted with a more realistic output feedback

$$v(n) = -Ky(n) \quad (22)$$

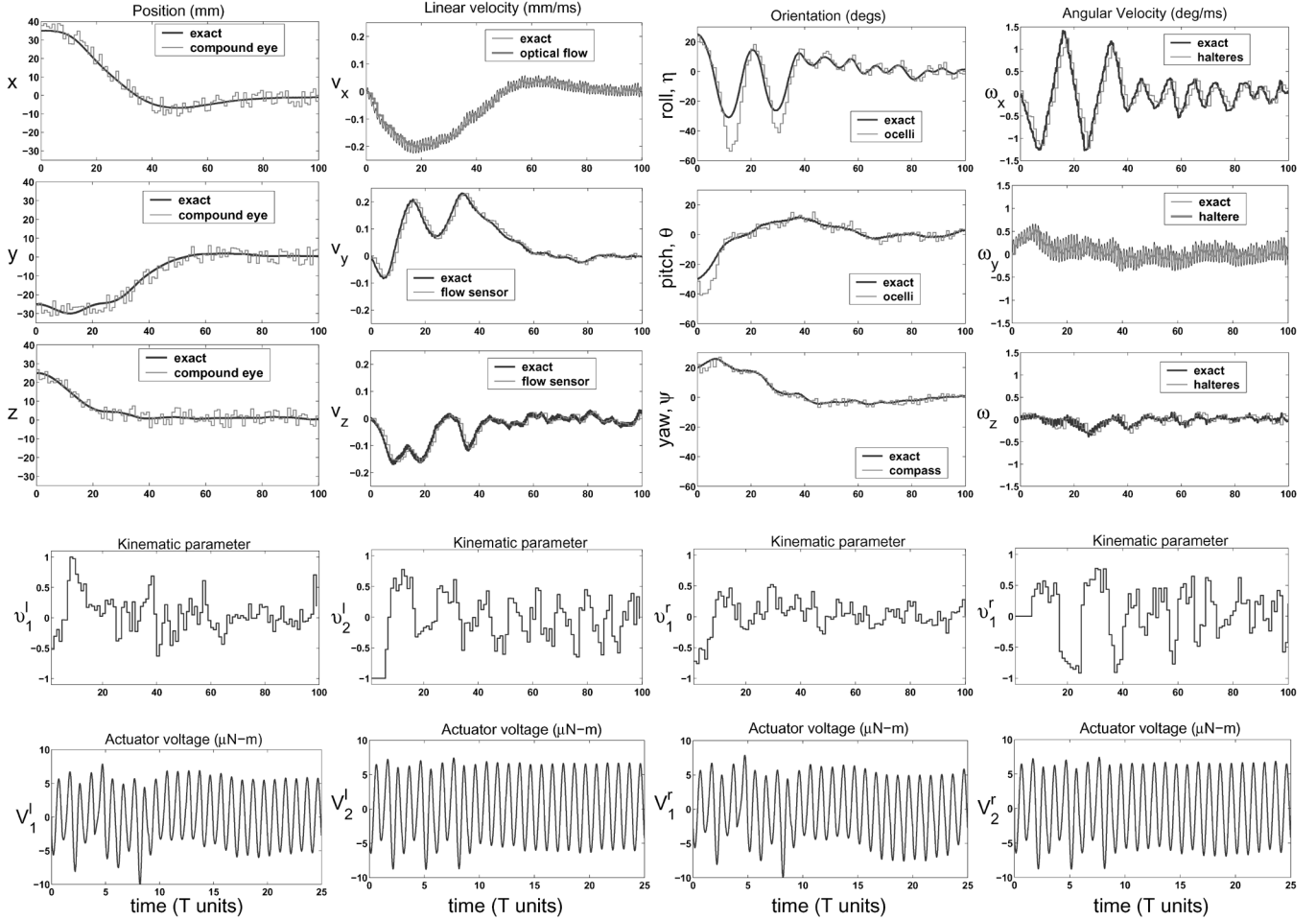


Fig. 10. Simulation of hovering control with sensor feedback and actuators dynamics. From top to bottom: insect true state and sensors measurements (row 1–3); kinematics parameters given by (22) (row 4); actuators voltage given by (23) (row 5) during the first 25 wingbeats.

where the output y is given by the sensors measurements. As described earlier, the simplified DTLTI system (20) provides a feedback scheme to select the wing kinematic parameter for the next wingbeat period. The true feedback control from sensor measurements to actuator voltages is obtained by combining (22) with (18) to give

$$\begin{aligned} V_d(t) &= h(t) + H(t)v(t) = h(t) + H(t)Ky(t) \\ &= h(t) + \tilde{K}(t)y(t) \end{aligned} \quad (23)$$

$$y(t) = y(nT), \quad t \in [nT, (n+1)T) \quad (24)$$

where the sensors measurements are sampled at the beginning of each wingbeat, and $\tilde{K}(t)$ is simply a proportional T -periodic matrix gain. It is remarkable that a simple proportional T -periodic feedback scheme is sufficient to stabilize the complex TV nonlinear insect dynamics including nonlinear sensor measurements, actuator dynamics, and process and output noise. More importantly, this gain can be computed off-line and easily stored on the computation unit of the MFI.

The LQR controller was finally tested on the fully nonlinear TV model which includes the MFI dynamics of (1), the wing-thorax dynamics of (13), and the sensor models described in

[4]. The simulations are based on a target MFI of 100 mg and 10-mm wingspan with wingbeat frequency $f = 150$ Hz. Fig. 10 shows a simulation for hovering stabilization from the initial condition $x = (\eta_x, \theta, \psi, \omega_x, \omega_y, \omega_z, p_x, p_y, p_z, v_x, v_y, v_z) = (25^\circ, -25^\circ, 20^\circ, 0, 0, 0, 35 \text{ mm}, -25 \text{ mm}, 25 \text{ mm}, 0, 0, 0)$, and wing state $(u, \dot{u}) = (\phi_r, \phi_l, \varphi_r, \varphi_l, \dot{\phi}_r, \dot{\phi}_l, \dot{\varphi}_r, \dot{\varphi}_l) = 0$. Our proposed controller design successfully achieved stabilization despite sensor and process noise. The initial condition corresponds to an offset from the desired position of about three body lengths. The steady-state error during hovering is $< 1/10$ of the body length for the position and $< 5^\circ$ for the orientation. The MFI requires about 50 wingbeat periods to reach the final configuration, which corresponds to about two-thirds of a second for a wingbeat frequency of 150 Hz.

C. Single Channel Identification and Control Design

Based on the particular structure of the mean wrench map given in (12), where it appears that the mean torque and the vertical thrust can be controlled almost independently by combining, symmetrically or antisymmetrically, the kinematic parameters $v = (v_1^l, v_1^r, v_2^l, v_2^r)$, we can reformulate the flight control problem of the 6-DOF system similar to that of helicopter

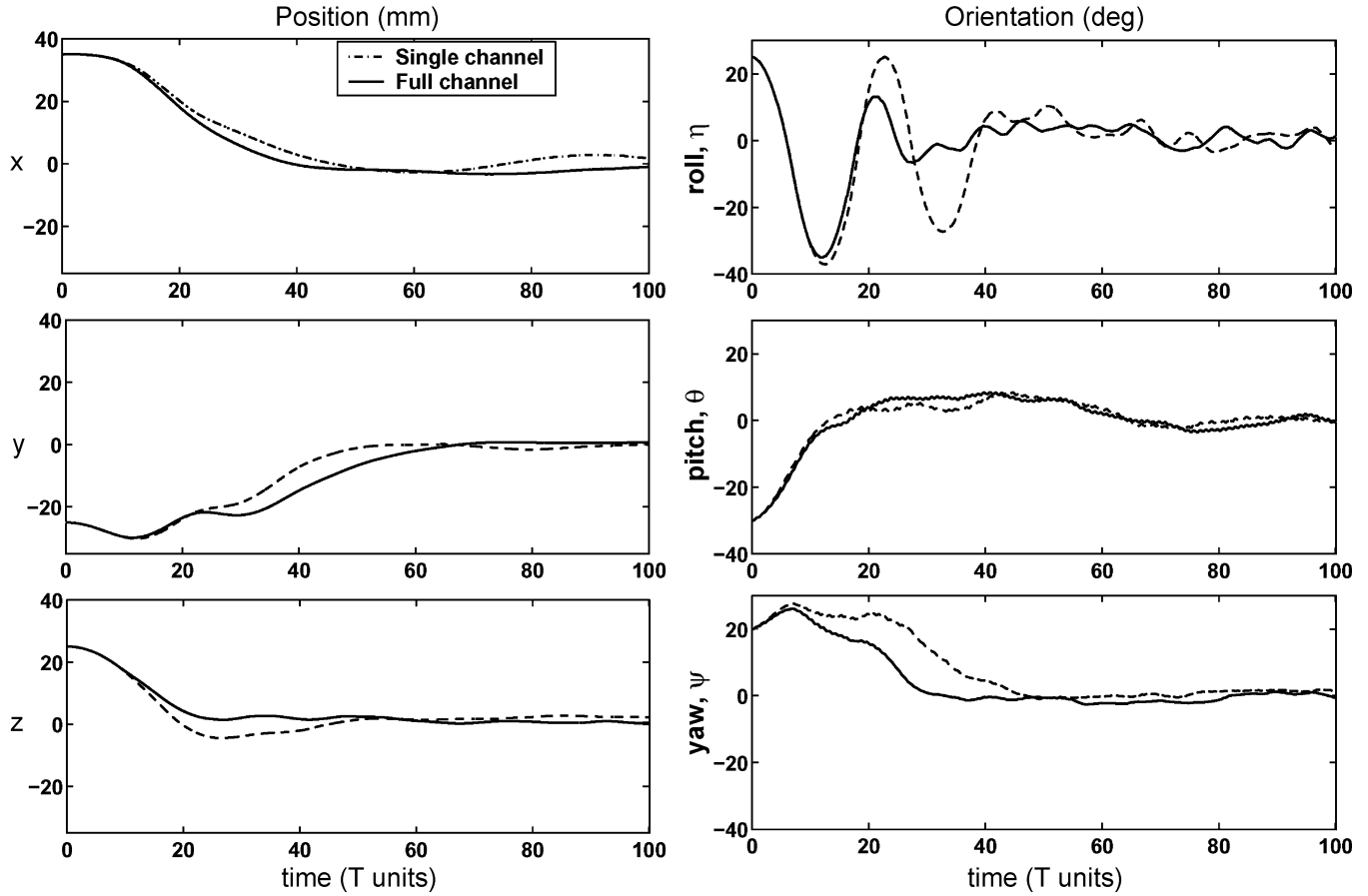


Fig. 11. Comparison of single-channel design versus full-channel design.

control, where we have decoupled the system dynamics into longitudinal, lateral, heave, and yaw dynamics [21], [54]. In fact, if we redefine the kinematic parameters as follows:

$$\begin{aligned} \tilde{v} &= (\tilde{v}_1, \tilde{v}_2, \tilde{v}_3, \tilde{v}_4) \\ &= (v_1^r - v_1^l, v_2^r + v_2^l, v_2^r - v_2^l, v_1^r + v_1^l) = Fv \end{aligned} \quad (25)$$

and we use these parameters as inputs for the system (20) and repeat the identification process, then we obtain the following matrices:

$$\begin{aligned} A_{41} &= \begin{bmatrix} 0 & a_4 & 0 \\ -a_4 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \\ A_{22} &= \text{diag}\{a_1, a_2, a_3\} \\ A_{44} &= 0_{3 \times 3} \\ B_{21} &= \begin{bmatrix} b_1 & 0 & * & 0 \\ 0 & b_2 & 0 & * \\ 0 & 0 & b_3 & 0 \end{bmatrix} \\ B_{41} &= \begin{bmatrix} 0 & * & 0 & 0 \\ 0 & 0 & * & 0 \\ 0 & 0 & 0 & b_4 \end{bmatrix} \end{aligned} \quad (26)$$

where the zero entries are entries that were much smaller than the other entries in the same row, and the asterisks * indicate nonnegligible entries. If the *'s are neglected, it is clear that each virtual parameter \tilde{v}_i controls independently one of the three angular accelerations and the vertical acceleration, thus justifying

the single channel controller design scheme as is typically done for a helicopter. The advantage of this approach is that the feedback matrix gain is given by

$$K_{\tilde{v}} = \text{diag}\{K_{\text{long}}, K_{\text{lat}}, K_{\text{heav}}, K_{\text{yaw}}\} \quad (27)$$

where the matrices K_{long} , K_{lat} , K_{heav} , and K_{yaw} are the smaller size proportional gain matrices obtained from the decoupled insect flight dynamics, thus reducing the computational burden when computing the feedback $\tilde{v} = K_{\tilde{v}}y$. Fig. 11 shows a comparison between the full channel controller design and the single-channel design. The performance using single-channel design degrades somewhat, but it is less computationally demanding than the full-channel design, which is a clear advantage for the limited computational unit available to MFIs.

VIII. CONCLUSION

In this paper, we presented a framework for flapping flight control and navigation in biomimetic robotic insects. We started by reviewing the neuromotor architecture present in true flying insects and highlighting analogies and differences between insect flapping flight and helicopter flight. Inspired by true insect neuromotor organization of flight control mechanisms, we proposed a three-layered hierarchical control structure that simplified flight control design while preserving the high

maneuverability and the agile navigation capability exhibited by true insects. The first major contribution of this paper was to propose a suitable parametrization of wing motion during the course of a full wingbeat and to combine it with averaging theory arguments, thus showing that the insect TV dynamics can be well approximated by a DTLTI system where the wing kinematic parameters appear as virtual inputs. The second major contribution was to propose an identification-based LQR controller design which does not require the knowledge of an accurate model for the insect morphological parameters, such as moment of inertia and mechanical part's sizes, nor an accurate model of the aerodynamics. As a result, hovering flight mode can be stabilized with simple affine T -periodic proportional feedback from sensor measurements to actuator voltages. This is very important considering the limited computational resources available to MFIs. Although in this paper we focused on hovering, it has been shown that other flight modes like cruising and steering can be stabilized using affine T -periodic proportional feedback [56].

Several research directions can be explored. The most important one is probably in regard to the wing parametrization, which in this paper was based on the observations of true insect wing motions. However, different wing kinematic parameters could be chosen. Therefore, a more systematic methodology to optimize the wing trajectory parametrization with respect to some metrics, such as aerodynamic power or maximum torque production, is sought.

Another important direction emerges from wing trajectory tracking. One of the major assumptions in our approach was the linearity of the actuator dynamics, so that wing trajectory tracking could be easily solved using a pseudoinverse method to compute the control input to the actuators. This assumption is true only to the first order, as shown in [57], and nonlinearities become particularly important as rapid wing rotations at the end of the half-strokes are necessary for aggressive flight maneuvers.

Finally, the methodologies proposed here need to be validated against experimental data from MFI prototypes.

ACKNOWLEDGMENT

The authors wish to thank R. S. Fearing and D. Campolo for their helpful comments and ideas. They are also grateful to the anonymous reviewers for their valuable comments and criticisms.

REFERENCES

- [1] B. Motazed, D. Vos, and M. Drela, "Aerodynamics and flight control design for hovering MAVs," in *Proc. Amer. Control Conf.*, Philadelphia, PA, Jun. 1998, pp. 681–683.
- [2] M. H. Dickinson, F.-O. Lehmann, and S. S. Sane, "Wing rotation and the aerodynamic basis of insect flight," *Science*, vol. 284, no. 5422, pp. 1954–1960, 1999.
- [3] R. S. Fearing, K. H. Chiang, M. H. Dickinson, D. L. Pick, M. Sitti, and J. Yan, "Wing transmission for a micromechanical flying insect," in *Proc. IEEE Int. Conf. Robot. Autom.*, 2000, pp. 1509–1516.
- [4] X. Deng, L. Schenato, W. C. Wu, and S. S. Sastry, "Flapping flight for biomimetic robotic insects: Part I—System modeling," *IEEE Trans. Robot.*, vol. 22, no. 4, Aug. 2006.
- [5] R. Dudley, *The Biomechanics of Insect Flight: Form, Function, Evolution*. Princeton, NJ: Princeton Univ. Press, 2000.
- [6] M. H. Dickinson, "Directional sensitivity and mechanical coupling dynamics of campaniform sensilla during chordwise deformations of the fly wing," *J. Exp. Biol.*, vol. 169, pp. 221–233, 1992.
- [7] G. Nalbach, "The halteres of the blowfly *Calliphora*: I. Kinematics and dynamics," *J. Compar. Physiol. A, Sensory Neural Behavior. Physiol.*, vol. 173, pp. 293–300, 1993.
- [8] C. P. Taylor, "Contribution of compound eyes and ocelli to steering of locusts in flight: I–II," *J. Exp. Biol.*, vol. 93, pp. 1–31, 1981.
- [9] A. Borst and M. Egelhaaf, "Principles of visual motion detection," *Trends Neurosci.*, vol. 12, pp. 297–306, 1989.
- [10] W. Reichardt and M. Egelhaaf, "Properties of individual movement detectors as derived from behavioural experiments on the visual system of the fly," *Biolog. Cybernet.*, vol. 58, no. 5, pp. 287–294, 1988.
- [11] M. V. Srinivasan, M. Poteserb, and K. Kralb, "Motion detection in insect orientation and navigation," *Vision Res.*, vol. 39, no. 16, pp. 2749–2766, 1999.
- [12] A. Sherman and M. H. Dickinson, "A comparison of visual and haltere mediated equilibrium reflexes in the fruit fly *Drosophila melanogaster*," *J. Exp. Biol.*, vol. 206, pp. 295–302, 2003.
- [13] L. Schenato, W. C. Wu, and S. S. Sastry, "Attitude control for a micromechanical flying insect via sensor output feedback," *IEEE Trans. Robot. Autom.*, vol. 20, no. 2, pp. 93–106, Apr. 2004.
- [14] W. P. Chan, F. Prete, and M. H. Dickinson, "Visual input to the efferent control system of a fly's "gyroscope"," *Science*, vol. 280, no. 5361, pp. 289–292, 1998.
- [15] A. Fayyazuddin and M. H. Dickinson, "Haltere afferents provide direct, electrotonic input to a steering motor neuron of the blowfly," *J. Neurosci.*, vol. 16, no. 16, pp. 5225–5232, 1996.
- [16] A. Sherman and M. H. Dickinson, "Summation of visual and mechanosensory feedback in *Drosophila* flight control," *J. Exp. Biol.*, vol. 207, pp. 133–142, 2004.
- [17] G. Sandini, F. Panerai, and F. A. Miles, "The role of inertial and visual mechanisms in the stabilization of gaze in natural and artificial systems," *Motion Vision—Comput., Neural, Ecologic. Constraints*, pp. 189–218, 2001.
- [18] R. Hengstenberg, "Mechanosensory control of compensatory head roll during flight in the blowfly *Calliphora erythrocephala*," *J. Compar. Physiol. A, Sensory Neural Behavior. Physiol.*, vol. 163, pp. 151–165, 1988.
- [19] H. J. Kim, D. H. Shim, and S. Sastry, "A flight control system for aerial robots: Algorithms and experiments," *Control Eng. Practice*, vol. 11, no. 12, pp. 1389–1400, 2003.
- [20] G. K. Taylor, "Mechanics and aerodynamics of insect flight control," *Biologic. Rev.*, vol. 76, no. 4, pp. 449–471, 2001.
- [21] R. W. Prouty, *Helicopter Performance, Stability, and Control*. Melbourne, FL: Krieger, 1995.
- [22] J. G. Leishman, *Principles of Helicopter Aerodynamics*, ser. Cambridge Aersp. Ser. Cambridge, U.K.: Cambridge Univ. Press, 2003.
- [23] S. P. Sane and M. H. Dickinson, "The control of flight force by a flapping wing: Lift and drag production," *J. Exp. Biol.*, vol. 204, no. 15, pp. 2607–2626, 2001.
- [24] C. N. Balint and M. H. Dickinson, "Neuromuscular control of aerodynamic forces and moments in the blowfly, *Callifora vicina*," *J. Exp. Biol.*, vol. 207, pp. 3813–3838, 2004.
- [25] G. K. Taylor and A. L. R. Thomas, "Animal flight dynamics II. Longitudinal stability in flapping flight," *J. Exp. Biol.*, vol. 214, pp. 2803–2829, 2002.
- [26] —, "Dynamic flight stability in the desert locust *Schistocerca gregaria*," *J. Theoretic. Biol.*, vol. 206, pp. 351–370, 2003.
- [27] M. Sun and Y. Xiong, "Dynamic flight stability of a hovering bumblebee," *J. Exp. Biol.*, vol. 208, pp. 447–459, 2005.
- [28] J. A. Sanders and F. Verhulst, *Averaging Methods in Nonlinear Dynamical Systems*. New York: Springer-Verlag, 1985.
- [29] B. Wie, *Space Vehicle Dynamics and Control*, ser. AIAA Educ. Ser. Reston, VA: AIAA, 1998.
- [30] K. Hohenemser, NACA Tech. Memo. 907, 1939.
- [31] A. M. Bloch, "Nonholonomic mechanics and control," in *Interdisciplinary Applied Mathematics*. Berlin, Germany: Springer-Verlag, 2003.
- [32] F. Bullo and A. D. Lewis, "Geometric control of mechanical systems," in *Applied Mathematics*. Berlin, Germany: Springer-Verlag, 2004.
- [33] N. E. Leonard, "Periodic forcing, dynamics and control of underactuated spacecraft and underwater vehicles," in *Proc. 34th IEEE Conf. Decision Control*, New York, NY, 1995, pp. 3980–3985.
- [34] —, *Control Synth. Adaptation Underactuated Underwater Vehicle*, vol. 20, no. 3, pp. 211–220, 1995.

- [35] J. Ostrowski and J. W. Burdick, "The geometric mechanics of undulatory robotic locomotion," *Int. J. Robot. Res.*, vol. 17, no. 7, pp. 683–701, 1998.
- [36] K. A. Morgansen, V. Duindam, R. J. Mason, J. W. Burdick, and R. M. Murray, "Nonlinear control methods for planar carangiform robot fish locomotion," in *Proc. IEEE Int. Conf. Robot. Autom.*, Seoul, South Korea, May 2001, pp. 427–434.
- [37] K. Morgansen, P. Vela, and J. W. Burdick, "Trajectory stabilization for a planar carangiform robot fish," in *Proc. IEEE Int. Conf. Robot. Autom.*, Washington, DC, May 2002, pp. 756–762.
- [38] P. A. Vela, K. M. Burdick, and J. W. Burdick, "Underwater locomotion from oscillatory shape deformations," in *Proc. IEEE Int. Conf. Decision Control*, Las Vegas, NV, 2002, pp. 2074–2080.
- [39] K. A. McIsaac and J. P. Ostrowski, "Motion planning for anguilliform locomotion," *IEEE Trans. Robot. Autom.*, vol. 19, no. 4, pp. 637–652, Aug. 2003.
- [40] H. J. Sussmann and W. Liu, "Limits of highly oscillatory controls and the approximation of general paths by admissible trajectories," in *Proc. 30th IEEE Conf. Decision Control*, New York, NY, 2001, vol. 1, pp. 437–425.
- [41] P. A. Vela and W. J. Burdick, *Averaging Methods for Control Part I: Driftless Syst.*, submitted for publication.
- [42] P. A. Vela, "Averaging and control of nonlinear systems (with application to biomimetic locomotion)," Ph.D. dissertation, California Inst. Technol., Pasadena, CA, 2003.
- [43] S. Martínez, J. Cortés, and F. Bullo, "On analysis and design of oscillatory control systems," *IEEE Trans. Autom. Control*, vol. 48, no. 7, pp. 1164–1177, Jul. 2003.
- [44] J. E. Colgate and K. M. Lynch, "Mechanics and control of swimming: A review," *IEEE J. Ocean. Eng.*, vol. 29, no. 3, pp. 660–673, Jul. 2004.
- [45] L. Schenato, "Analysis and control of flapping flight: From biological to robotic insects," Ph.D. dissertation, Univ. of California, Berkeley, CA, Dec. 2003.
- [46] H. K. Khalil, *Nonlinear Systems*, 3rd ed. Upper Saddle River, N.J.: Prentice-Hall, 2002.
- [47] H. J. Sussmann, "New differential geometric methods in nonholonomic path finding," *Syst., Models, Feedback: Theory Applic.*, pp. 365–384, 1992.
- [48] M. H. Dickinson and F. O. Lehmann, "The active control of wing rotation by *Drosophila*," *J. Exp. Biol.*, vol. 182, pp. 173–189, 1993.
- [49] D. A. Read, F. S. Hover, and M. S. Triantafyllou, "Forces on oscillating foils for propulsion and maneuvering," *J. Fluids Struct.*, vol. 17, pp. 163–183, 2003.
- [50] L. Schenato, X. Deng, and S. S. Sastry, "Flight control system for a micromechanical flying insect: Architecture and implementation," in *Proc. IEEE Int. Conf. Robot. Autom.*, 2001, pp. 1641–1646.
- [51] C. P. Ellington, "The aerodynamics of hovering insect flight. I–VI," *Philos. Trans. Roy. Soc. London B, Biol. Sci.*, vol. 305, pp. 1–181, 1984.
- [52] S. N. Fry, R. Sayaman, and M. H. Dickinson, "The aerodynamics of free-flight maneuvers in *Drosophila*," *Science*, vol. 300, no. 5618, pp. 495–498, Apr. 2003.
- [53] J. C. Morris, M. Nieuwstadt, and P. Bendotti, "Identification and control of a model helicopter in hover," in *Proc. Amer. Control Conf.*, Baltimore, MD, 1994, vol. 2, pp. 1238–1242.
- [54] D. H. Shim, H. J. Kim, and S. Sastry, "System identification and control synthesis for rotorcraft-based unmanned aerial vehicles," in *Proc. IEEE Int. Conf. Control Appl.*, Anchorage, AK, Sep. 2000, pp. 808–813.
- [55] L. J. Ljung and E. J. Ljung, *System Identification: Theory for the User*. Upper Saddle River, NJ: Prentice-Hall, 1998.
- [56] X. Deng, L. Schenato, and S. Sastry, "Attitude control for a micromechanical flying insect including thorax and sensor models," in *Proc. IEEE Int. Conf. Robot. Autom.*, Taipei, Taiwan, R.O.C., May 2003, pp. 1152–1157.

- [57] S. Avadhanula, R. J. Wood, D. Campolo, and R. S. Fearing, "Dynamically tuned design of the MFI thorax," in *Proc. IEEE Int. Conf. Robot. Autom.*, Washington, DC, May 2002, pp. 52–59.



Xinyan Deng (S'02–M'03) received the B.S. degree in electrical engineering and automation from Tianjin University, Tianjin, China, in 1995, and the Ph.D. degree in mechanical engineering from the University of California, Berkeley, in 2004.

Since 2004, she has been an Assistant Professor of Mechanical Engineering with the University of Delaware, Newark. Her main research interests are in biomimetic microrobots, including flapping wing robotic insects and ostraciiform underwater robotic fish.



Luca Schenato (S'00–M'03) was born in Treviso, Italy, in 1974. He received the Dr. Eng. degree in electrical engineering from the University of Padova, Padova, Italy, in 1999, and the Ph.D. degree in electrical engineering and computer sciences from the University of California, Berkeley (UC Berkeley), in 2003.

From January 2004 to August 2004, he was a Post-Doctoral Scholar with UC Berkeley. In September 2004, he joined the Control Systems Group, Department of Information Engineering, University of Padova, as an Adjoint Professor with the support of the Researchers Mobility Fellowship, sponsored by the Italian Ministry of Education, University and Research (MIUR). His interests include biomimetic locomotion, swarm robotics, networked control systems, and sensor networks.



S. Shankar Sastry (S'79–M'80–SM'90–F'95) received the M.S. degree (*honoris causa*) from Harvard University, Cambridge, MA, in 1994, and the Ph.D. degree from the University of California, Berkeley (UC Berkeley), in 1981.

From 1980 to 1982, he was an Assistant Professor with the Massachusetts Institute of Technology, Cambridge. In 2000, he was Director of the Information Technology Office with the Defense Advanced Research Projects Agency, Arlington, VA, and Chairman of the Department of Electrical Engineering and Computer Sciences, UC Berkeley. He is currently the NEC Distinguished Professor of Electrical Engineering and Computer Sciences and Bioengineering, and the Director of the Center for Information Technology Research in the Interest of Society, UC Berkeley. His research interests are embedded and autonomous software, computer vision, computation in novel substrates such as DNA, nonlinear and adaptive control, robotic telesurgery, control of hybrid systems, embedded systems, sensor networks, and biological motor control.

Dr. Sastry was elected into the National Academy of Engineering in 2000 "for pioneering contributions to the design of hybrid and embedded systems." He has served as Associate Editor for the IEEE TRANSACTIONS ON AUTOMATIC CONTROL, the *IEEE Control Systems Magazine*, and the IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS.